

## Separable extensions of first countable spaces

by

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**Abstract.** B. Fitzpatrick, J. W. Ott and G. M. Reed raised the following questions:

QUESTION 1. Can each Moore space with weight at most  $c$  be embedded in a separable Moore space?

QUESTION 2. Can each first countable space with weight at most  $c$  be embedded in a separable first countable space?

We show that these questions are *independent* of the ZFC axioms for set theory.

We also prove the following theorem, which is well known for completely regular spaces.

**THEOREM.** Let  $\kappa$  be an infinite cardinal. Any space with weight  $\leq 2^\kappa$  which is Hausdorff or regular can be embedded in a space with density  $\kappa$  of the same type.

**1. Introduction.** It is well known that a completely regular space can be embedded in a separable completely regular space if and only if its weight is at most  $c$ . In 1969 J. W. Ott proved that every metrizable space of weight at most  $c$  can be embedded in a separable Moore space, [16] (see [18], Thm. 5 for an easy proof) and raised the following

QUESTION 1. Can each Moore space with weight at most  $c$  be embedded in a separable Moore space?

When investigating this question, G. M. Reed, [20] asked

QUESTION 2. Can each first countable Hausdorff space with weight at most  $c$  be embedded in a separable first countable Hausdorff space?

The purpose of this paper is to show that these questions cannot be answered in ZFC. It is convenient to introduce the following statements, where  $\kappa$  is an infinite cardinal.

$D(\kappa)$ : Let  $\mathcal{B}$  be a family of subsets of any set  $X$ , with  $|\mathcal{B}| \leq \kappa$ . Then there is a function  $h: \mathcal{B} \rightarrow \mathcal{P}(N)$  ( $\mathcal{P}(N)$  is the power set of  $N$ ) such that

- 1)  $h(B)$  is infinite for nonempty  $B \in \mathcal{B}$ ,

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- 2) if  $A, B \in \mathcal{B}$  are disjoint,  $h(A) \cap h(B)$  is finite, and  
 3) if  $A \in \mathcal{B}$  and if  $\mathcal{F} \subseteq \mathcal{B}$  is finite, and if  $A \subseteq \bigcup \mathcal{F}$ , then  
 $h(A) - \bigcup \{h(B) : B \in \mathcal{F}\}$  is finite.

$T(\kappa)$ : If  $S$  is a set of cardinality  $\leq \kappa$ , and if  $\mathcal{A}$  is a family of  $\leq \kappa$  subsets of  $S$ , then there is a separable metrizable topology  $\mu$  on  $S$  such that each member of  $\mathcal{A}$  is an  $F_\sigma$  with respect to  $\mu$ .

One can show the negation of  $T(c)$  to be consistent with ZFC, see Section 2, hence the following example shows that it is consistent with ZFC that the answer to the above questions is in the negative.

1.1. EXAMPLE  $[\neg T(\kappa)]$ . There exists a zero-dimensional Moore space with cardinality and weight  $\kappa$  which cannot be embedded in a separable first countable Hausdorff space.

The construction of this example depends on the following lemma which is of independent interest, see Appendix.

1.2. LEMMA. Let  $X$  be a first countable separable (or, more generally, sequentially separable) Hausdorff space. Then there is a separable metrizable topology  $\mu$  on  $X$  such that any two disjoint open subsets of  $X$  are contained in disjoint sets which are  $F_\sigma$  with respect to  $\mu$ .

On the other hand, it is known that  $D(\omega_1)$  is true in ZFC, see Section 2, so CH, the Continuum Hypothesis, implies  $D(c)$ . Consequently the following theorem shows that it is consistent with ZFC that the answer to the above questions is in the positive.

1.3. THEOREM  $[D(\kappa)]$ . Any space with weight  $\leq \kappa$  which is first countable, or quasi-developable, or developable and which also is Hausdorff, or regular, or completely regular, or zero-dimensional, or locally compact, or compact, can be embedded in a separable space of the same type.

Note that the theorem gives an absolute result for spaces with weight  $\leq \omega_1$ . Special cases of the theorem were known already: G. M. Reed has shown that any space with weight  $\leq \omega_1$  which is first countable or developable, and locally compact or compact, can be embedded in a separable space of the same type ([20], Thm. 1.7, 1.8 and 2.1); he did not observe however that his method also works for completely regular spaces, see also Remark 2.6.

We also include a proof of the following theorem which seems to be new.

1.4. THEOREM. Let  $\kappa$  be an infinite cardinal. Any space with weight  $\leq 2^\kappa$  which is Hausdorff or regular can be embedded in a space with density  $\kappa$  of the same type.

Earlier G. M. Reed had shown that  $MA + \neg CH$  implies that every Hausdorff space of cardinality  $\omega_1$  can be embedded in a separable Hausdorff space ([20], Thm. 1.10). Since a space of cardinality  $\omega_1$  has weight  $\leq 2^{\omega_1}$ , and  $2^{\omega_1} = c$  under  $MA + \neg CH$ , this is an immediate consequence of Theorem 1.4.

Our conventions and definitions are fairly standard; for undefined notions we refer to [10]. We review only the most important facts. Regular spaces, zero-

dimensional spaces and locally compact spaces are taken to be Hausdorff. A space is quasi-developable (developable) if there is a countable family  $\mathcal{G}$  consisting of open families (open covers) such that for each  $x \in X$  the family

$$\{St(x, \mathcal{G}) : \mathcal{G} \in \mathcal{G}\} - \{\emptyset\}$$

is a neighborhood base for  $x$  in  $X$  (recall that  $St(x, \mathcal{G}) = \bigcup \{G \in \mathcal{G} : x \in G\}$ ). A More space is a regular developable space.  $N$  is the set (or space) of positive integers. A cardinal is an initial ordinal, an ordinal is the set of smaller ordinals,  $\omega$  is  $\omega_0$  and  $c = 2^\omega$ .

2.  $D(\kappa)$  and  $T(\kappa)$ . Bukovský [5] proved that the statement

(\*)  $2^\omega = 2^{\omega_1}$  and there is no uncountable separable metrizable  $Q$ -set

is consistent with ZFC. (A  $Q$ -set is a space every subset of which is an  $F_\sigma$ .) The following fact has been brought to the authors' attention by G. M. Reed.

2.1. THEOREM. (\*) implies  $\neg T(c)$ .

Proof.  $\mathcal{P}(\omega_1)$ , the power set of  $\omega_1$ , has cardinality  $2^\omega$  if  $2^\omega = 2^{\omega_1}$ , so  $T(c)$  would imply the existence of an uncountable separable metrizable  $Q$ -set. ■

The statement  $T(\kappa)$  will be investigated more thoroughly in [19], in particular it will be shown that a result of Rothberger, [22], implies the following

2.2. THEOREM.  $\omega_2 \leq 2^\omega < 2^{\omega_1} = \omega_{\omega_2}$  implies  $\neg T(c)$ .

So  $T(c)$  is not true in various models of set theory.

We now turn our attention to  $D(\kappa)$ . We may assume that the family  $\mathcal{B}$  in the statement of  $D(\kappa)$  is closed under finite intersections, under complementation and that  $\emptyset \in \mathcal{B}$ . Then  $D(\omega_1)$  is an immediate consequence of [6], 4.12. Hence we have the following

2.3. THEOREM.  $D(\omega_1)$  is true in ZFC.

2.4. Remark. Example 1.1 and Theorem 1.3 show that  $D(\kappa)$  implies  $T(\kappa)$  for every  $\kappa$ . Consequently  $T(\omega_1)$  is true in ZFC. We do not know if  $D(\kappa)$  and  $T(\kappa)$  are equivalent for all  $\kappa$ . See also Remark 2.8.

We now give topological equivalents of  $D(\kappa)$ .

2.5. THEOREM. The following conditions are equivalent for every infinite cardinal  $\kappa$ :

- $D(\kappa)$ ,
- for every compact Hausdorff space  $Y$  of weight  $\leq \kappa$  there is a Hausdorff compactification  $bN$  of  $N$  such that  $bN - N$  and  $Y$  are homeomorphic,
- every compact Hausdorff space  $Y$  of weight  $\leq \kappa$  is a continuous image of  $\beta N - N$ , and
- every zero-dimensional compact space  $Y$  of weight  $\leq \kappa$  is a continuous image of  $\beta N - N$ .

Proof. (a)→(b). We prove this implication in detail in Section 4, here we only outline the construction of  $bN$ . We may assume that  $Y \cap N = \emptyset$ . Let  $\mathcal{B}$  be a base for  $Y$  with  $|\mathcal{B}| \leq \kappa$  which is closed under finite unions. Let  $h$  be as in the statement of  $D(\kappa)$ . We may assume that  $h(Y) = N$ . The family

$$\{B \cup (h(B) - F) : B \in \mathcal{B}, F \subseteq N \text{ finite}\} \cup \{\{n\} : n \in N\}$$

is a base for a topology on  $bN = N \cup Y$ . This topology is Hausdorff since  $h(A) \cap h(B)$  is finite for disjoint  $A, B \in \mathcal{B}$ , and  $bN$  is compact since  $Y$  is compact, and  $h(A) - \bigcup \{h(B) : B \in \mathcal{F}\}$  is finite whenever  $A \in \mathcal{B}$ ,  $\mathcal{F} \subseteq \mathcal{B}$  is finite and  $A \subseteq \bigcup \mathcal{F}$ .

(b)→(c) if  $bN$  is as under (b), there is a continuous  $f: \beta N \rightarrow bN$  such that  $f(n) = n$  for  $n \in N$ . Then  $f$  maps  $\beta N - N$  onto  $bN - N$ .

(c)→(d) Trivial.

(d)→(a) Let  $\mathcal{B}$  be a family of subsets of a set  $X$ , with  $|\mathcal{B}| \leq \kappa$ . We may assume that  $\mathcal{B}$  is closed under finite intersections and complementation. We also may assume that  $\mathcal{B}$  is point-separating, i.e. for any two distinct  $x, y \in X$  there is a  $B \in \mathcal{B}$  which contains only one of  $x$  and  $y$ . (Argument: if not, define an equivalence relation  $\sim$  on  $X$  by

$$x \sim y \text{ iff } x \in B \Leftrightarrow y \in B \text{ for every } B \in \mathcal{B}$$

and do the obvious thing.) Hence  $\mathcal{B}$  is a base for a zero-dimensional Hausdorff topology on  $X$ . Since the members of  $\mathcal{B}$  are clopen in  $X$  (when equipped with this topology) there is, as is well known, a Hausdorff compactification  $Y$  of  $X$  with weight  $\leq \kappa$  such that

$$(a) \quad \text{Cl}_Y B \cap \text{Cl}_Y (X - B) = \emptyset, \text{ for all } B \in \mathcal{B}.$$

Let  $f: \beta N - N \rightarrow Y$  be any continuous surjection. Recall that each clopen subset of  $\beta N - N$  has the form  $A' = (\text{Cl}_{\beta N} A) - N$  for some  $A \subseteq N$ , that

$$(b) \quad A' \cap B' = (A \cap B)',$$

$$(c) \quad A' \cup B' = (A \cup B)',$$

(d) if  $A' \subseteq B'$  then  $A - B$  is finite.

Define a map  $h: \mathcal{B} \rightarrow \mathcal{P}(N)$  by choosing for each  $B \in \mathcal{B}$  a  $h(B) \subseteq N$  such that

$$h(B)' = f^{-1}[\text{Cl}_Y B].$$

One can easily check that  $h$  has all properties required. ■

2.6. Remarks. I. I. Parovičenko proved that condition (c) holds in ZFC for  $\kappa = \omega_1$  [17]. His proof contains a small gap, but the result is known to be true. This theorem also follows from our Theorems 2.3 and 2.5.

K. D. Magill observed that if a Hausdorff space  $S$  is a continuous image of  $\beta X - X$  for some locally compact space  $X$ , then  $S$  is homeomorphic to  $bX - X$  for some Hausdorff compactification  $bX$  of  $X$ , [15]. (This explains conditions (c) and (d).) S. P. Franklin and M. Rajagopalan combined these results of Parovičenko and Magill to obtain condition (b) of Theorem 2.5 for  $\kappa = \omega_1$  [11].

G. M. Reed used condition (b) of Theorem 2.5 for  $\kappa = \omega_1$  to obtain the results mentioned in the Introduction immediately following Theorem 1.3. That theorem derives conclusions from  $D(\kappa)$ . So our method is in some sense equivalent to Reed's.

For our next result we need the following two combinatorial statements, where  $\kappa$  is an infinite cardinal.

$S(\kappa)$ : If  $\mathcal{S}$  and  $\mathcal{T}$  are families of subsets of some countable set  $K$  with  $|\mathcal{S}| + |\mathcal{T}| < \kappa$ , and if  $S \cap \bigcap \mathcal{T}$  is infinite for every  $S \in \mathcal{S}$  and finite  $\mathcal{T} \subseteq \mathcal{T}$ , then there is an infinite  $J \subseteq K$  such that  $S \cap J$  is infinite for each  $S \in \mathcal{S}$ , and  $T - J$  is finite for each  $T \in \mathcal{T}$ .

$P(\kappa)$ : As  $S(\kappa)$  with  $\mathcal{S} = \{K\}$ .

It is known that MA implies both  $P(c)$  and  $S(c)$ , see e.g. [23];  $S(\kappa)$  clearly implies  $P(\kappa)$ , but the converse also is true, [7].

2.7. THEOREM.  $P(\kappa)$  implies  $D(\lambda)$  for  $\lambda < \kappa$ . In particular, Martin's Axiom implies  $D(\lambda)$ , for  $\lambda < c$ . ■

We check condition (b) of Theorem 2.5. Let  $Y$  be a compact Hausdorff space with weight  $\lambda < \kappa$ . Let  $I$  be the closed unit interval. We may assume that  $Y$  is a subspace of  $I^\lambda$ , we may even assume that  $Y$  is nowhere dense (simply make sure that  $y(0) = 0$  for all  $y \in Y$ ).

Since  $P(\kappa)$  implies  $\kappa \leq c$ ,  $I^\lambda$  is separable. Since  $Y$  is nowhere dense, we therefore can find a countable dense subset  $K$  of  $I^\lambda$  which misses  $Y$ . Let  $\mathcal{B}$  be a base for  $I^\lambda$  with  $|\mathcal{B}| = \lambda$  which is closed under finite unions. It is easy to check that the families

$$\mathcal{S} = \{B \cap K : B \in \mathcal{B}, B \cap Y \neq \emptyset\}$$

and

$$\mathcal{T} = \{B \cap K : B \in \mathcal{B}, Y \subseteq B\}$$

satisfy the hypothesis of  $S(\kappa)$ . Since  $P(\kappa)$  implies  $S(\kappa)$ , there is a  $J \subseteq K$  as in the conclusion of  $S(\kappa)$ . Let  $Z = Y \cup J$ .

It remains to show that  $Z$  is compact and that  $J$  is a dense set of isolated points of  $Z$ , for then  $Z$  is homeomorphic to a Hausdorff compactification of  $N$ .

Each set of the form  $B \cap K$ , with  $B \in \mathcal{B}$  and  $B \cap Y \neq \emptyset$ , has infinite intersection with  $J$ , hence  $J$  is dense in  $Z$ . Any member of  $\mathcal{B}$  that includes  $Y$  contains all but finitely many points of  $J$ . Since  $\mathcal{B}$  is closed under finite unions and  $Y$  is compact, a moments reflection shows that this implies that  $Z$  is compact and also that the points of  $J$  are isolated in  $Z$ . ■

2.8. Remark. We do not know if  $P(\kappa)$  implies  $D(\kappa)$ , we do not even know if MA implies  $D(c)$ . On the other hand, it will be shown in [19] that MA implies  $T(c)$ , cf. Remark 2.4.

3. No if  $\neg T(c)$ . Recall that a subset  $S$  of a space  $X$  is sequentially dense iff each point of  $X$  is the limit of some convergent sequence of points from  $S$ ; also recall

that a space  $X$  is *sequentially separable* if it has a countable sequentially dense subset.  $\beta\mathbb{N}$  is an easy example of a separable space that is not sequentially separable. The following lemma is the key to the construction of Example 1.1. Other applications will be given in Appendix.

5.1. LEMMA. *Let  $X$  be a sequentially separable Hausdorff space. Then there is a separable metrizable topology  $\mu$  on  $X$  such that any two disjoint open sets of  $X$  are contained in disjoint sets which are  $F_\sigma$  with respect to  $\mu$ .*

Proof. Let  $S$  be a countable sequentially dense subset of  $X$ , let  $D(S)$  be  $S$  with the discrete topology, and let  $P$  be the product  $D(S)^\omega$ .  $P$  is a separable metrizable space (which is homeomorphic to the irrationals). Points of  $P$  are sequences in  $S$ , hence we can choose for each  $x \in X$  a  $c(x) \in P$  which converges to  $x$ . Let  $M$  be  $c[X]$ . Then  $c: X \rightarrow M$  is a bijection. Hence  $\mu = \{c^{-1}[U]: U \text{ open in } M\}$  is a separable metrizable topology on  $X$ . We show that it has the property required.

For  $U$  open in  $X$  define  $m(U) \subseteq M$  by

$$m(U) = \{p \in M: \exists n \forall k > n (p_k \in U)\}.$$

Clearly  $c[U] \subseteq m(U)$ , and if  $V$  also is open in  $X$ , then  $m(U) \cap m(V) = m(U \cap V)$ , hence  $m(U) \cap m(V) = \emptyset$  if  $U \cap V = \emptyset$ .

We complete the proof by showing that each  $m(U)$  is an  $F_\sigma$  in  $M$ . To this end it suffices to prove that if  $A \subseteq X$  and  $n \in \omega$  are arbitrary, then

$$F = \{x \in M: \forall k > n (x_k \in A)\}$$

is closed in  $M$ . Indeed, let  $x \in M - F$ . Then  $x_k \notin A$  for some  $k > n$ . But then  $\{y \in M: y_k = x_k\}$  is open in  $M$  and disjoint from  $F$ . ■

We need one more, easy, lemma.

3.2. LEMMA. *Let  $\{\tau_k: k \in \omega\}$  be a family of separable metrizable topologies on a set  $X$ . Then there is a separable metrizable topology  $\tau$  on  $X$  with  $\bigcup_{k \in \omega} \tau_k \subseteq \tau$ .*

Sketch of proof. Consider the diagonal  $\{\langle x, x, \dots \rangle: x \in X\}$  in  $\prod_{k \in \omega} \langle X, \tau_k \rangle$ . ■

3.3. EXAMPLE.  $[\neg T(\aleph)]$ . There is a zero-dimensional Moore space with cardinality and weight  $\aleph$  that cannot be embedded in a first countable separable Hausdorff space (in fact, not even in a sequentially separable Hausdorff space).

Construction. If  $\aleph > c$  there is nothing to prove: the discrete space with  $\aleph$  points will do, so we assume  $\aleph \leq c$ .

Let  $T$  be a set with cardinality  $\aleph$  and let  $\{T_\alpha: \alpha \in \aleph\}$  be a family of subsets of  $T$  such that for no separable metrizable topology  $\tau$  on  $T$  it is the case that every  $T_\alpha$  is an  $F_\sigma$  with respect to  $\tau$ .

Let  $\mathcal{A} = \{A_\alpha: \alpha \in \aleph\}$  be an almost disjoint family of infinite subsets of  $\omega$  (almost disjoint means that  $A_\alpha \cap A_\beta$  is finite whenever  $\alpha \neq \beta$ ). It is well known that such families exist.

Topologize  $Y = \aleph \times 2 \cup T \times \omega$  as follows (we assume  $\aleph \times 2$  and  $T \times \omega$  are disjoint). Points of  $T \times \omega$  are isolated, and a basic neighborhood of a point  $\langle \alpha, i \rangle \in \aleph \times 2$  has the form

$$U(\alpha, i, n) = \begin{cases} \{\langle \alpha, i \rangle\} \cup T_\alpha \times \{k \in A_\alpha: k > n\} & \text{if } i = 0, \\ \{\langle \alpha, i \rangle\} \cup (T - T_\alpha) \times \{k \in A_\alpha: k > n\} & \text{if } i = 1. \end{cases}$$

Since  $\mathcal{A}$  is almost disjoint,  $Y$  is easily seen to be zero-dimensional. It is obvious that  $Y$  is developable.

Suppose it were possible to embed  $Y$  in a sequentially separable space  $X$ . Let  $\mu$  be as in Lemma 3.1, and for  $n \in \omega$  let  $\mu_n$  be the subspace topology on  $T \times \{n\}$ . For each  $n \in \omega$  the family

$$\tau_n = \{U \subseteq T: U \times \{n\} \in \mu_n\}$$

is a separable metrizable topology on  $T$ . We will prove

(\*) for each  $\alpha \in \aleph$  there is a  $k$  such that  $T_\alpha$  is an  $F_\sigma$  with respect to  $\tau_k$

which is impossible because of Lemma 3.2.

To prove (\*) let  $\alpha \in \aleph$  be arbitrary. Since  $X$  is Hausdorff the points  $\langle \alpha, 0 \rangle$  and  $\langle \alpha, 1 \rangle$  have disjoint neighborhoods,  $V_0$  and  $V_1$ , in  $X$ . Find  $n \in \omega$  such that  $U(\alpha, i, n) \subseteq V_i$  for  $i = 0, 1$ , and pick  $k \in A_\alpha$  with  $k > n$ . Then  $T_\alpha \times \{k\}$  and  $(T - T_\alpha) \times \{k\}$  are contained in  $V_0$  and  $V_1$ , respectively. But  $V_0$  is contained in a set, which is  $F_\sigma$  with respect to  $\mu$ , that misses  $V_1$ . It follows that  $T_\alpha \times \{k\}$  is an  $F_\sigma$  with respect to  $\mu_k$ . In view of the way the  $\tau_k$ 's are defined this proves (\*). ■

3.4. Comments. In [9] the same technique is used to construct small spaces all compactifications of which contain a homeomorph of  $\beta\mathbb{N}$ . The idea of splitting the index set  $\aleph$  has been inspired by examples of G. M. Reed, [21], and is quite essential: If we do not split  $\aleph$  we get (a space looking like) the subspace  $Y_0 = \aleph \times \{0\} \cup T \times \omega$  of  $Y$ . If  $\mathcal{A}$  is constructed carefully,  $Y_0$  is submetrizable, and it is known that every submetrizable first countable (developable) Hausdorff space can be embedded in a separable first countable (developable) Hausdorff space, [20], Thm. 1.4. On the other hand, it is not difficult to show, using the same methods as above, that  $Y_0$  cannot be embedded in a separable sequentially separable regular space. This answers Reed's question of whether every Moore space that can be embedded in a separable developable Hausdorff space, can be embedded in a separable Moore space, [20], 4.3, in the negative.

4. Yes if  $D(\aleph)$ . Let  $X$  be a space with weight  $\leq \aleph$ . Let  $\mathcal{B}$  be a base for  $X$  with  $|\mathcal{B}| \leq \aleph$ . We first construct a separable extension  $Y$  of  $X$  and then show how various properties of  $X$  and  $\mathcal{B}$  determine which properties  $Y$  has.

4.1. Construction of  $Y$ . We may assume that  $X \cap N = \emptyset$ . Let  $h: \mathcal{B} \rightarrow \mathcal{P}(N)$  be as in the statement of  $D(\aleph)$ . If  $B \in \mathcal{B}$ , we write  $B^*$  for  $B \cup h(B)$ , if  $\mathcal{A} \subseteq \mathcal{B}$  we write  $\mathcal{A}^*$  for

$$\{B^* - F: B \in \mathcal{A}, F \subseteq N \text{ finite}\} \cup \{\{x\}: x \in N\}.$$



Note

- (a)  $B^* \cap N$  is infinite for nonempty  $B \in \mathcal{B}$ ,
  - (b) if  $A \in \mathcal{B}$ ,  $\mathcal{F} \subseteq \mathcal{B}$  finite and  $A \subseteq \bigcup \mathcal{F}$ , then  $A^* - \bigcup \{B^*: B \in \mathcal{F}\}$  is a finite subset of  $N$ ,
  - (c) if  $A, B \in \mathcal{B}$  are disjoint, then  $A^* \cap B^*$  is a finite subset of  $N$ .
- Clearly  $\mathcal{B}^*$  is a base for some topology on  $Y = X \cup N$ . The subspace topology on  $X$  coincides with the original topology, and  $N$  is dense in  $Y$  (because of (a)), so  $Y$  is a separable extension of  $X$ . It is useful to observe the following facts
- (d) if  $B \in \mathcal{B}^*$  then  $\text{Cl}_Y B^* = B^* \cup \text{Cl}_X B$ , (because of (c)),
  - (e) if  $\mathcal{A} \subseteq \mathcal{B}$  is a base for  $X$ , then  $\mathcal{A}^*$  is a base for  $Y$ , and
  - (f) all points of  $N$  are isolated.

4.2.  $Y$  is Hausdorff if  $X$  is. This follows from (c) and (f).

4.3.  $Y$  is regular if  $X$  is. This follows from (d) and (f).

4.4.  $Y$  is zero-dimensional if  $X$  is (for suitable  $\mathcal{B}$ ). We have to assume that  $\{B \in \mathcal{B}: B \text{ closed}\}$  is a base for  $X$ , the result then follows from (d), (e) and (f).

4.5.  $Y$  is completely regular if  $X$  is (for suitable  $\mathcal{B}$ ). Let  $Q = \{q(n): n \in \omega\}$  be the rationals between 0 and 1. We have to assume that if  $x \in B \in \mathcal{B}$  for some  $x \in X$ , then there is a  $\{B_s: s \in Q\} \subseteq \mathcal{B}$  such that

$$(1) \quad x \in B_s \subset B \quad \text{for all } s \in Q,$$

$$(2) \quad \text{if } s < t \quad \text{then} \quad \text{Cl}_X B_s \subseteq B_t.$$

Let  $x \in U \in \mathcal{B}^*$  for some  $x \in X$ . Find  $B \in \mathcal{B}$  and finite  $F \subseteq N$  with  $U = B^* - F$ . Choose a  $\{B_s: s \in Q\}$  satisfying (1) and (2). With an easy induction on  $n$  we can find for each  $n \in \omega$  a  $U_{q(n)} \subseteq Y$  of the form  $(B_{q(n)} - G) \cup H$ , with  $G, H \subseteq \text{finite}$ , such

$$(3) \quad U_s \subseteq U_t \subseteq U \quad \text{for all } s, t \in Q \text{ with } s < t.$$

It follows from (d) that

$$(4) \quad \text{if } s < t \quad \text{then} \quad \text{Cl}_Y U_s \subseteq U_t.$$

The proof of Urysohn's Lemma now shows that there is a continuous  $f: Y \rightarrow \mathbb{R}$  with  $f(x) = 0$ ,  $f[Y - U] = \{1\}$  (cf. [10], Theorem 1.5.10).

4.6.  $Y$  is locally compact if  $X$  is. This easily follows from (b), (d) and (f).

If  $X \in \mathcal{B}$  then we may assume that  $h(X) = N$ , for otherwise define  $h'$  and  $N'$  by  $N' = h(X)$ ,  $h'(B) = N' \cap h(B)$ . Therefore the following makes sense.

4.7.  $Y$  is compact if  $X$  is (provided  $X \in \mathcal{B}$  and  $h(X) = N$ ). Similar to 4.6.

4.8.  $Y$  is first countable if  $X$  is. This easily follows from (b).

4.9.  $Y$  is quasi-developable if  $X$  is (for suitable  $\mathcal{B}$ ). A space  $S$  is quasi-developable if and only if the following is true

- (\*) There are open families  $\mathcal{V}_n$ ,  $n \in \omega$ , such that for each  $x \in S$  and each neighborhood  $U$  of  $x$  there is an  $n \in \omega$  such that there is exactly one  $V \in \mathcal{V}_n$  with  $x \in V$ , and  $V \subseteq U$  for this  $V$ .

This statement is implicit in the proof of Bennett and Lutzer's ([3], Prop. 7). Find such  $\mathcal{V}_n$ 's for  $X$ . We have to assume that  $\bigcup_n \mathcal{V}_n \subseteq \mathcal{B}$ . For  $n \in \omega$  and  $k \in N$  define

$$\mathcal{W}_{nk} = \{V^* - \{1, \dots, k\}: V \in \mathcal{V}_n\} \cup \{\{k\}\}.$$

For each  $x \in Y$  and each neighborhood  $U$  of  $x$  in  $Y$  there are  $n \in \omega$  and  $k \in N$  such that  $x \in W$  for exactly one  $W \in \mathcal{W}_{nk}$ , and  $W \subseteq U$ , this follows from (b) and (f). Hence  $Y$  is quasi-developable.

4.10.  $Y$  is developable if  $X$  is (for suitable  $\mathcal{B}$ ). This follows from 4.9 since a space is developable iff it is quasi-developable and perfect (= open sets are  $F_\sigma$ 's), [2], and clearly  $Y$  is perfect if  $X$  is, because  $X$  is closed in  $Y$  and  $N$  is countable. (Alternatively, since a space is developable iff it is quasi-developable and perfect, it follows from the result mentioned in 4.7 that a space  $S$  is developable iff

- (\*\*) There are open covers  $\mathcal{V}_n$ ,  $n \in \omega$ , such that for each  $x \in S$  and each neighborhood  $U$  of  $x$  there is an  $n \in \omega$  such that there is exactly one  $V \in \mathcal{V}_n$  with  $x \in V$ , and  $V \subseteq U$  for this  $V$ .

Now proceed as under 4.7, but define  $\mathcal{W}_{nk}$  by

$$\begin{aligned} \mathcal{W}_{nk} = & \{V^* - \{1, \dots, k\}: V \in \mathcal{V}_n\} \cup \\ & \cup \{x\}: x \in N - \bigcup \{V^* - \{1, \dots, k\}: V \in \mathcal{V}_n\}. \end{aligned}$$

4.11. Remark. In 4.4, 4.5, 4.8 and 4.9 we required  $\mathcal{B}$  to include certain families (this might be avoidable, but that is of no interest), so we can make sure that any combination of properties considered is transferred from  $X$  to  $Y$ .

4.12. Remark. A more efficient but less natural way of achieving 4.5 is to compactify  $X$  first and then apply 4.7. We leave the details to the reader.

4.13. Remark. Note that given any space  $X$  we can construct  $Y$  as in 4.1 provided there is for some base  $\mathcal{B}$  of  $X$  a map  $h: \mathcal{B} \rightarrow \mathcal{P}(N)$  as in the statement of  $D(\aleph)$ .

Positive results concerning separable extensions of first countable spaces which do not require additional set theoretical axioms can be found in [18].

**5. Proof of Theorem 1.4.** Let  $X$  be a space with weight  $\leq 2^*$ , let  $\mathcal{B}$  be a base for  $X$  with  $|\mathcal{B}| \leq 2^k$ . Let  $D$  be a set, disjoint from  $X$ , with  $|D| = \aleph$ . It is well known that there is a family  $\mathcal{J}$  of subsets of  $D$  with  $|\mathcal{J}| = 2^*$  such that

- (α) for any two disjoint finite subsets  $\mathcal{F}$  and  $\mathcal{G}$  of  $\mathcal{J}$  the set  $\bigcap \mathcal{F} - \bigcup \mathcal{G}$  is infinite (actually: has cardinality  $\aleph$ ),

([10], Exercise 3.6.F). For  $B \in \mathcal{B}$  choose  $D_B \in \mathcal{F}$  in such a way that  $D_A \neq D_B$  if  $A \neq B$ . For  $A, B \in \mathcal{B}$  define  $D(B, A)$  by

$$C(B, A) = \begin{cases} D_A & \text{if } B \subseteq A, \\ D - D_A & \text{if } B \subseteq X - A, \\ D & \text{otherwise.} \end{cases}$$

For  $B \in \mathcal{B}$  let

$$\mathcal{F}_B = \{ \bigcap \{ D(B, A) : A \in \mathcal{F} \} : \mathcal{F} \text{ a finite subcollection of } \mathcal{B} \}.$$

It follows from (α) that

$$(\beta) \quad \forall B \in \mathcal{B} \forall I \in \mathcal{F}_B \quad (I \text{ is infinite})$$

and it is easy to see that

$$(\gamma) \quad \forall A, B, B' \in \mathcal{B} \quad (B \subseteq B' \rightarrow D(B, A) \subseteq D(B', A)).$$

Clearly (γ) implies that

$$\mathcal{B}^* = \{ B \cup (I - F) : I \in \mathcal{F}_B, B \in \mathcal{B}, F \subseteq D \text{ finite} \} \cup \{ \{x\} : x \in D \}$$

is a base for some topology on  $Y = X \cup D$ . The subspace topology on  $X$  coincides with the original topology of  $X$ , and  $D$  is dense in  $Y$  because of (β). The definition of the  $D(B, A)$ 's shows

$$(\delta) \quad \forall A, B \in \mathcal{B} \quad (A \cap B = \emptyset \rightarrow D(B, A) \cap D(A, A) = \emptyset).$$

Since the points of  $D$  are isolated, it follows that  $Y$  is Hausdorff if  $X$  is. It follows from (δ) that

$$(\epsilon) \quad \forall B \in \mathcal{B} \forall I \in \mathcal{F}_B \forall \text{ finite } F \subseteq D (I \subseteq D(B, B) \rightarrow \text{Cl}_Y(B \cup (I - F)) = (\text{Cl}_X B) \cup (I - F)).$$

One easily deduces that  $Y$  is regular if  $X$  is. ■

5.1. Remark. Theorem 1.4 is well known for completely regular spaces, for every completely regular space  $X$  with weight  $\leq 2^*$  can be embedded in  $I^{2^*}$ , where  $I$  is the closed unit interval, and  $I^{2^*}$  has a dense subspace with cardinality  $\kappa$ .

6. Open questions. The following questions are open in ZFC.

6.1. Can every first countable compact Hausdorff space be embedded in a separable first countable space which is Hausdorff? is regular? is compact Hausdorff? Recall that first countable compact Hausdorff spaces have cardinality  $\leq c$ , hence have weight  $\leq c$ , [1], so they can be embedded in a separable compact Hausdorff space.

6.2. Can a Moore space with weight  $\leq c$  be embedded in a separable first countable (developable?) Hausdorff (regular?) space provided it is locally compact, or has a point-countable base, or is metacompact?

6.3. Can a first countable Hausdorff or regular space  $X$  with weight  $\leq c$  be embedded in a separable first countable Hausdorff (regular?) — only if  $X$  is of course space provided  $X$  is locally compact, or has a point-countable base, or has a  $\sigma$ -point-finite base, or is metacompact?

7. Appendix. In this section we show how Lemma 3.1 can be used to prove part of the following theorems.

Recall the following definitions. A  $Q$ -set ( $\lambda$ -set) is a space every (countable) subset of which is a  $G_\delta$ . A space is *pseudonormal* if any two disjoint closed sets, one of which is countable, have disjoint neighborhoods. If  $\kappa$  is a cardinal, a space is  $\kappa$ -compact if it does not contain a closed discrete subset with  $\kappa$  points.

7.1. THEOREM. The following conditions on a cardinal  $\kappa$  are equivalent:

- (a) there is a separable normal Moore space which is not  $\kappa$ -compact,
- (b) there is a sequentially separable normal space which is not  $\kappa$ -compact, and
- (c) there is a separable metrizable  $Q$ -set of cardinality  $\kappa$ .

Proof. (a)  $\rightarrow$  (b) is trivial.

(b)  $\rightarrow$  (c). Let  $X$  be a sequentially separable normal space which contains a closed discrete subset  $M$  with cardinality  $\kappa$ . Let  $\mu$  be as in Lemma 3.1. Let  $S \subseteq M$  be arbitrary. Since  $X$  is normal, there are disjoint open sets  $U_0$  and  $U_1$  in  $X$  with  $S \subseteq U_0$  and  $M - S \subseteq U_1$ . There is a subset  $F$  of  $X$  with  $U_0 \subseteq F \subseteq X - U_1$  which is an  $F_\sigma$  with respect to  $\mu$ . Clearly  $M \cap F = S$ . Consequently  $\mu$  induces a separable metrizable topology on  $M$  which makes  $M$  a  $Q$ -set.

(c)  $\rightarrow$  (a). This is due to Bing ([4], Ex. E). ■

7.2. THEOREM. The following conditions on a cardinal  $\kappa$  are equivalent:

- (a) there is a separable pseudonormal Moore space which is not  $\kappa$ -compact,
- (b) there is a sequentially separable pseudonormal space which is not  $\kappa$ -compact, and

- (c) there is a separable metrizable  $\lambda$ -set of cardinality  $\kappa$ .

Proof. (a)  $\rightarrow$  (b) is trivial.

(b)  $\rightarrow$  (c) the same argument as above.

(c)  $\rightarrow$  (a) F. D. Tall observed that the proof of (c)  $\rightarrow$  (a) of Theorem 7.1 also proves this implication, [25]. ■

7.3. Remarks. The implication (a)  $\rightarrow$  (c) in Theorem 7.1 is due to R. W. Heath, [13]. Our Lemma 3.1 (= 1.2) and its use in 7.1 (and 7.2) generalize and simplify his method.

We do not know if the implication (a)  $\rightarrow$  (c) of Theorem 7.2 has been explicitly stated before. It is a classical result that uncountable separable  $\lambda$ -sets exist, [14]. However, we do not know if a separable metrizable  $\lambda$ -set of cardinality  $c$  exists in ZFC, although such spaces can easily be constructed, using Theorem 7.2, under set theoretic assumptions much weaker than MA, [8].

Added in proof. Professor K. Kunen showed that the existence of a separable metrizable  $\lambda$ -set of cardinality  $\mathfrak{c}$  is independent of ZFC (cf. [19]).

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