

Si  $X$  est localement compact, on a alors les égalités:

$$\begin{aligned} \mathcal{C}(T \times X) &= \mathcal{C}(T, \mathcal{C}_c(X)) = \mathcal{EF}((T; \mathcal{A}), \pi \mathcal{C}_c(X)) = \mathcal{EF}((T; \mathcal{A}), \mathbf{Hom}(X; \mathbb{K})) \\ &= \mathcal{EF}(T \times X; \mathcal{A} \otimes \mathbb{K}) \end{aligned}$$

d'après 4.5 et 3.4.

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## Atomic compactness in $\kappa_1$ -categorical Horn theories

by

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**Abstract. Theorem.** *If  $T$  is an almost strongly minimal  $\forall\exists$  Horn theory then every model of  $T$  is atomic compact.*

Mycielski [7] introduced the notion of an atomic compact algebra. A structure  $\mathcal{A}$  is atomic compact if each class  $\Sigma$  of atomic formulas (possibly with constants naming elements of  $\mathcal{A}$ ) which is finitely satisfiable in  $\mathcal{A}$  is satisfiable in  $\mathcal{A}$ . Atomic compact structures have been intensively investigated e.g. [8, 11]. Taylor's recent paper [10] contains an extensive bibliography on atomic compact structures.

We were struck by the remark in [7] that all divisible Abelian groups were atomic compact. This meant in particular that every model of the complete  $\kappa_1$ -categorical theory of infinite, torsion-free divisible Abelian groups was atomic compact. We sought sufficient conditions on a complete first order theory  $T$  for all its models to be atomic compact.

We found a narrow class of  $\kappa_1$ -categorical first order theories which satisfy this condition. These are the almost strongly minimal, model complete, Horn theories. Various properties of almost strongly minimal theories are investigated in [1, 2]. A theory  $T$  is model complete if every submodel of a model of  $T$  is an elementary submodel. A Horn theory is one axiomatized by Horn sentences. We rely on the fact [9] that the class of models of a Horn theory is closed under direct power. Various examples of theories of the type we are considering are given in [5]. This paper assumes familiarity with [11], sections 1 and 2 of [4] and the first section of [1].

We deal with structures  $\mathcal{A}$  which may have both relations and function for a first order language  $L$ . The logical connectives of  $L$  are  $\rightarrow, \wedge, \vee, \sim, \forall$  and  $\exists$ . A formula  $A$  is existential ( $\exists$ -formula) if it is equivalent to a formula in prenex normal form all of whose quantifiers are existential.  $A$  is an  $\forall\exists$  formula if it is equivalent to a formula in prenex normal form whose prefix consists of a string of universal quantifiers followed by a string of existential quantifiers. A McKinsey formula is a conjunction of atomic and negation of atomic formulas (neg-atomic) at most one of which is atomic. A Horn formula is a formula in prenex normal form

whose matrix is a conjunction of McKinsey formulas. A primitive formula is a  $\mathbb{E}$ -formula whose matrix is a conjunction of atomic and neg-atomic formulas. A formula is positive if it contains no implication or negation symbols. A formula is negative if it is the negation of a positive formula.

Let  $\mathcal{A}$  be an  $L$ -structure we say a set  $X$  is *definable* in  $\mathcal{A}$  if there is a formula  $A \in S_{n+1}(L)$  and elements  $a_1, \dots, a_n \in |\mathcal{A}|$  such that

$$X = \{a \in |\mathcal{A}| \mid \mathcal{A} \models A(a, a_1, \dots, a_n)\} = A(v_0, a_1, \dots, a_n)(\mathcal{A}).$$

If we have chosen  $A \in S_1(L(\mathcal{A}))$  we may write  $A(\mathcal{A})$  for the set of members of  $|\mathcal{A}|$  satisfying  $A$ . We can naturally embed  $\mathcal{A}$  in the countable direct power of  $\mathcal{A}$  by the diagonal map. For each  $a \in |\mathcal{A}|$  we let  $\bar{a}$  be the image of  $a$  in  $\mathcal{A}^\omega$ . If  $A \in S_1(L(\mathcal{A}))$ ,  $\bar{A}$  is that member of  $S_1(L(\mathcal{A}^\omega))$  obtained by substituting  $\bar{a}$  for  $a$ . In the following lemma we collect some relationships between the cardinality of  $A(\mathcal{A})$  and  $\bar{A}(\mathcal{A}^\omega)$ .

LEMMA 1. i) If  $A \in S_1(L(\mathcal{A}))$  is a Horn formula and  $|A(\mathcal{A})| > 1$  then  $\bar{A}(\mathcal{A}^\omega)$  is infinite.

ii) Let  $B \in S_1(L(\mathcal{A}))$  be a formula of the form  $\mathbb{E}v_1, \dots, \mathbb{E}v_n B_1 \wedge B_2$  where  $B_1$  is neg-atomic and  $B_2$  is a Horn formula. If

$$\mathcal{A} \models \mathbb{E}v_1, \dots, \mathbb{E}v_n, \mathbb{E}v_{n+1}, \mathbb{E}v_{n+2}(v_{n+1} \neq v_{n+2} \wedge B_1(v_{n+1}) \wedge B_2(v_{n+1}) \wedge B_2(v_{n+2}))$$

then  $\bar{B}(\mathcal{A}^\omega)$  is infinite

iii) Let  $B \in S_1(L(\mathcal{A}))$  be a formula of the form  $B_1 \wedge B_2$  where  $B_1$  is the negation of a positive primitive formula and  $B_2$  is a Horn formula. If  $B(\mathcal{A}) \neq \emptyset$  and  $|B_2(\mathcal{A})| > 1$  then  $\bar{B}(\mathcal{A}^\omega)$  is infinite.

Proof. i) Let  $b_1 \neq b_2 \in |\mathcal{A}|$  such that  $\mathcal{A} \models A(b_1) \wedge A(b_2)$ . Let  $f_i \in \mathcal{A}^\omega$  be defined by

$$f_i(j) = \begin{cases} b_1 & \text{for } j < i, \\ b_2 & \text{for } j \geq i. \end{cases}$$

Then for each  $i$   $\mathcal{A}^\omega \models \bar{A}(f_i)$ .

ii) Let  $b_1 \neq b_2 \in |\mathcal{A}|$  such that

$$\mathcal{A} \models \mathbb{E}v_1, \dots, \mathbb{E}v_n B_1(b_1) \wedge B_2(b_1) \wedge B_2(b_2).$$

Define  $f_i$  as in i). Once again  $\mathcal{A}^\omega \models \bar{B}(f_i)$  for each  $i$ .

iii) Choose  $b_1 \neq b_2 \in |\mathcal{A}|$  such that

$$\mathcal{A} \models B(b_1, a_1, \dots, a_n) \wedge B_2(b_2, a_1, \dots, a_n)$$

and proceed as in i) and ii).

For the rest of the paper we deal with an almost strongly minimal theory  $T$ . Applying the definition [1] we fix a principal extension  $T'$  of  $T$  and a strongly minimal formula  $D$  in  $L(T')$  such that if  $\mathcal{A} \models T'$ ,  $|\mathcal{A}| = \text{cl}(D(\mathcal{A}))$ . We assume  $\text{cl}(\emptyset) \cap D(\mathcal{A})$  is infinite for each model  $\mathcal{A}$  of  $T'$ .

If  $T$  is model complete so is  $T'$ . Moreover, if  $T$  is a model complete Horn theory the class of models of  $T'$  is closed under direct power. If  $T$  is model complete we may further assume that  $D$  is a primitive formula. For, since  $T$  is model complete  $D$  is equivalent to an existential formula. Thus  $D$  is equivalent to a disjunction of primitive formulas. One of the disjuncts say  $A_i$  defines an infinite set such that  $D \wedge \sim A_i(\mathcal{A})$  is finite since  $D$  is minimal. We take  $A_i$  as our strongly minimal formula.

We say that the set  $X$  is a *strong basis* for the model  $\mathcal{A}$  if for each  $a \in |\mathcal{A}|$  there is a primitive formula  $A_a \in S_{n+1}(L)$  and elements  $x_1, \dots, x_n$  of  $X$  such that

$$\mathcal{A} \models A_a(a, x_1, \dots, x_n) \wedge \mathbb{E}! v_0 A_a(v_0, x_1, \dots, x_n).$$

For the rest of the paper we assume  $T$  is an almost strongly minimal Horn theory and  $T'$  is chosen as specified above. Note that by Lindstrom's Theorem [6],  $T$  is model complete.

THEOREM 1. If  $\mathcal{A}$  is a model of  $T'$  and  $X$  is a basis of  $D(\mathcal{A})$  then  $X$  is a strong basis for  $\mathcal{A}$ .

Proof. By [4, Lemma 8]  $\mathcal{A}$  is prime over  $X$ . For each  $a \in |\mathcal{A}|$  let  $A_a$  be the element of  $S_{n+1}(L)$  such that there exist  $x_1, \dots, x_n \in X$  and  $A_a(v_0, x_1, \dots, x_n)$  generates the principal type in  $\text{Th}(\mathcal{A}, X)$  realized by  $a$ . Then as  $T'$  is model complete and  $A_a$  generates a principal type  $A_a$  can be chosen to be a primitive formula. Since  $A_a$  generates the principal type realized by  $a$  in  $\text{Th}(\mathcal{A}, \omega)$  and since  $|\mathcal{A}| = \text{cl}(D(\mathcal{A}))$  there exists an integer  $k$  such that

$$\mathcal{A} \models A_a(a, x_1, \dots, x_n) \wedge \mathbb{E}! v_0 A_a(v_0, x_1, \dots, x_n).$$

If  $|A_a(v_0, x_1, \dots, x_n)(\mathcal{A})| > 1$  or some conjunct of  $A_a$  is negative then  $A_a(v_0, \bar{x}_1, \dots, \bar{x}_n)(\mathcal{A}^\omega)$  is infinite. This result follows from Lemma 2 noticing in the first instance that  $A_a$  is a Horn formula and in the second that taking the neg-atomic conjunct for  $B_1$  and the remainder of  $A_a$  for  $B_2$  that the hypothesis of Lemma 1 (ii) holds (as  $A_a$  generates a principal type). Thus  $X$  is a strong basis for  $\mathcal{A}$ .

Note that the choice of  $A_a$  is unique up to equivalence.

COROLLARY 1. Every non-saturated model of an almost strongly minimal  $\forall\mathbb{E}$  Horn theory has at most  $\kappa_0$  automorphisms.

Proof.  $T$  is  $\kappa_1$ -categorical so by Lindstrom's theorem [6]  $T$  is model complete. Hence by Theorem 1 if  $\mathcal{A} \models T'$  and  $X$  is a basis for  $D(\mathcal{A})$   $X$  is a strong basis for  $\mathcal{A}$ . By [4], if  $\mathcal{A}$  is unsaturated  $X$  is finite. Hence by [3, Theorem 2]  $\mathcal{A}$  has at most  $\kappa_0$  automorphisms. By [3, Lemma 1] the reduct of  $\mathcal{A}$  to a model of  $T$  also has at most  $\kappa_0$  automorphisms. Since each model of  $T$  is saturated if and only if its expansion to a model of  $T'$  is, the result is now immediate.

Following [11] we say a substructure  $\mathcal{A}$  of a structure  $\mathcal{B}$  is a retract of  $\mathcal{B}$  if there is a homomorphism  $f$  from  $\mathcal{B}$  onto  $\mathcal{A}$  such that  $f|_{\mathcal{A}} = 1_{\mathcal{A}}$ .

**THEOREM 2.** *If  $\mathcal{A}$  and  $\mathcal{B}$  are models of  $T'$  and  $\mathcal{A} \leq \mathcal{B}$  then  $\mathcal{A}$  is retract of  $\mathcal{B}$ .*

**Proof.** We first show that if  $\mathcal{A}, \mathcal{B}$  are models of  $T$  and  $\mathcal{A} \leq \mathcal{B}$  such that  $X$  is a basis for  $D(\mathcal{A})$  and  $X \cup \{y\}$  is a basis for  $D(\mathcal{B})$  then  $\mathcal{A}$  is a retract of  $\mathcal{B}$ . Fix any  $z \in \text{cl}(\emptyset) \cap D(\mathcal{A})$ . Let  $f(x) = x$  if  $x \in X$  and let  $f(y) = z$ . We will show  $f$  has a unique extension to a retraction of  $\mathcal{B}$  onto  $\mathcal{A}$ . To extend the domain of  $f$  to all of  $|\mathcal{B}|$  note that by Theorem 1 and the remark thereafter for each  $b \in |\mathcal{B}|$  there is a unique formula  $A_b$ , a unique integer  $n$ , and a unique set of  $n$  elements  $x_1, \dots, x_n$  from  $X \cup \{y\}$  such that

$$\mathcal{B} \models A_b(b, x_1, \dots, x_n) \wedge \exists! v_0 A_b(v_0, x_1, \dots, x_n).$$

Moreover  $y$  is among  $x_1, \dots, x_n$  if and only if  $b \in |\mathcal{B}| - |\mathcal{A}|$ . If  $b \in |\mathcal{A}|$  let  $f(b) = b$ . If  $b \notin |\mathcal{A}|$  choose  $f(b)$  such that

$$\mathcal{A} \models A_b(f(b), x_1, \dots, x_{n-1}, z)$$

where without loss of generality we assume  $y = x_n$ . In order to show  $f$  is well defined it suffices to show

$$\mathcal{A} \models \exists! v_0 A_b(v_0, x_1, \dots, x_{n-1}, z).$$

Let  $\mathcal{B}(v_0) = \exists v_1 A_b(v_1, x_1, \dots, x_n, v_0) \wedge D(v_0)$ . Since  $y \notin \text{cl}(X)$   $B(\mathcal{B})$  and hence  $B(\mathcal{A})$  is infinite. Let  $C_1(v_0) = \exists v_1 A_b(v_1, x_1, \dots, x_n, v_0) \wedge D(v_0)$ . Since  $A_b$  is a positive primitive formula and  $D(\mathcal{A})$  is infinite if  $C_1(\mathcal{A}) \neq \emptyset$  by Lemma 1 iii)  $\bar{C}_1(\mathcal{B}^w)$  is infinite. But this contradicts the minimality of  $D(\mathcal{B}^w)$  as  $\mathcal{B}^w \leq \mathcal{B}$  implies  $\bar{B}(\mathcal{B}^w)$  is infinite. So  $C_1(\mathcal{A}) = \emptyset$ . The formula  $\exists! v_1 A_b(v_1, x_1, \dots, x_{n-1}, v_0) \wedge D(v_0)$  abbreviates

$$C(v_0) = \exists v_1 \exists v_2 [v_1 \neq v_2 \wedge A_b(v_1, x_1, \dots, x_{n-1}, v_0) \wedge A_b(v_2, x_1, \dots, v_0) \wedge D(v_0)].$$

Since  $|D(\mathcal{A})| > 1$  we can apply Lemma 1 ii) to  $C(v_0)$  and conclude by a similar argument to that for  $C_1$  that  $C(\mathcal{A}) = \emptyset$ . Thus

$$\mathcal{A} \models \forall v_0 [D(v_0) \rightarrow \exists! v_1 (v_1, x_1, \dots, x_{n-1}, v_0)]$$

and in particular  $\mathcal{A} \models \exists! v_0 A(v_0, x_1, \dots, x_{n-1}, z)$ . Thus  $f$  is a map from  $|\mathcal{B}|$  onto  $|\mathcal{A}| = 1_{\mathcal{A}}$ .

It remains to show that  $f$  is a homomorphism. That is if  $b_1, \dots, b_n \in |\mathcal{B}|$  and  $R$  is an  $n$ -ary atomic formula such that  $\mathcal{A} \models R(b_1, \dots, b_n)$  we must show  $\mathcal{B} \models R(f(b_1), \dots, f(b_n))$ . Let  $A_1, \dots, A_n$  be the positive primitive formulas generating the principal types of  $b_1, \dots, b_n \in \text{Th}(\mathcal{B}, X \cup \{y\})$ . We may assume that  $A_i$  and  $A_j$  contain distinct bound variables if  $i \neq j$

and that the same set  $\{x_1, \dots, x_m\}$  occur in each  $A_i$ . If  $y$  is in this set let it be  $x_m$ .

$$\mathcal{B} \models \forall v_1, \dots, \forall v_n \left[ \bigwedge_{i=1}^n A_i(v_i, x_1, \dots, x_m) \rightarrow R(v_1, \dots, v_n) \right].$$

If  $y \notin \{x_1, \dots, x_m\}$ ,  $\mathcal{A} \models R(f(b_1), \dots, f(b_n))$ . If  $y \in \{x_1, \dots, x_m\}$  we want to show  $\mathcal{A} \models C(z)$  where

$$C(v_0) = \forall v_1, \dots, \forall v_n \left[ \bigwedge_{i=1}^n A_i(v_i, x_1, \dots, x_{m-1}, v_0) \rightarrow R(v_1, \dots, v_n) \right].$$

If

$$B(v_0) = \exists v_1, \dots, \exists v_n \left[ \bigwedge_{i=1}^n A_i(v_i, x_1, \dots, x_{m-1}, v_0) \wedge R(v_1, \dots, v_m) \wedge D(v_0) \right]$$

and  $B(\mathcal{A}) \neq \emptyset$  then by Lemma 1 ii) (noticing that  $\bigwedge_{i=1}^n A_i(v_i, x_1, \dots, x_{m-1}, v_0) \wedge D(v_0)$  is equivalent to a Horn formula)  $\bar{B}(\mathcal{A}^w)$  is infinite. That is, if  $\mathcal{A} \models \sim C(z)$  then  $\sim \bar{C}(v_0) \wedge D(v_0)(\mathcal{A}^w)$  is infinite. On the other hand  $\mathcal{B} \models C(y)$  and  $y \notin \text{cl}(X)$  so  $C(v_0) \wedge D(v_0)(\mathcal{B})$  is infinite. Taking a common elementary extension of  $\mathcal{B}$  and  $\mathcal{A}^w$  this contradicts the strong minimality of  $D$ . Thus  $f$  is a homomorphism.

It is now easy to establish by induction that if  $\mathcal{A}, \mathcal{B}$  are models of  $T'$   $\mathcal{A} \leq \mathcal{B}$ , and  $\mathcal{B}$  has finite dimension over  $\mathcal{A}$ , i.e.  $X$  is a basis for  $D(\mathcal{A})$ ,  $Y$  is a basis for  $D(\mathcal{B})$  extending  $X$ , and  $|Y - X|$  is finite, then  $\mathcal{A}$  is a retract of  $\mathcal{B}$ . Moreover the retraction is determined by taking each member of  $Y - X$  into a fixed member  $z$  of  $\mathcal{A}$ .

Now let  $\mathcal{B}$  be an arbitrary elementary extension of  $\mathcal{A}$ . Let  $X$  be a basis for  $D(\mathcal{A})$  and  $X \cup \{y_a\}_{a < \kappa}$  be a basis for  $D(\mathcal{B})$ . Then the map  $f$  defined by fixing each member of  $\mathcal{A}$  and taking each  $\langle y_a \rangle_{a < \kappa}$  to a fixed  $z \in |\mathcal{A}|$  is the required retraction. To verify this notice that each finite subset of  $\mathcal{B}$  is contained in an elementary extension of  $\mathcal{A}$  which has finite dimension over  $\mathcal{A}$ .

**THEOREM 3.** *If  $T$  is an almost strongly minimal model complete Horn theory then every model of  $T$  is atomic compact.*

**Proof.** It is immediate from Theorem 2 and Theorem 2.3 of [11] which asserts that a structure  $\mathcal{A}$  is atomic compact if it is a retract of its every elementary extension, that each model  $\mathcal{B}$  of  $T'$  is atomic compact. But then so is the reduct of  $\mathcal{B}$  to a model of  $T$ .

**COROLLARY 1.** *If  $T$  is an almost strongly minimal  $\forall \exists$  Horn theory then every model of  $T$  is atomic compact.*

**Proof.** By Lindstrom's Theorem [6]  $T$  is model complete and the result follows from Theorem 3.

COROLLARY 2. If  $T$  is a universal Horn theory and  $T'$ , the theory of the infinite models of  $T$ , is complete then every model of  $T$  is atomic compact.

Proof. All finite structures are atomic compact so it suffices to consider the models of  $T'$ . By the main theorem of [5]  $T'$  is  $\aleph_1$ -categorical. By the corollary to Theorem 1 of [5],  $T'$  is almost strongly minimal.  $T'$  is model complete by Lindstrom's theorem and the result follows.

The situation regarding possible strengthenings of the last three results is clarified by noticing that the last example in [4] has the following properties.  $T$  is a  $\forall\exists$   $\aleph_1$ -categorical Horn theory which is not almost strongly minimal but each model of  $T$  is atomic compact.

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## On limit numbers of real functions

by

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**Abstract.** In this work is given a general way of introducing of limit numbers for real function of real variable. With every real number is connected some family of sets fulfilling two natural conditions. They assure that for arbitrary function at every point  $x$  there exists at least one limit number and the set of all limit numbers at a point  $x$  is closed. By adequate adjustment of the family  $\mathfrak{B}$  one can get usual limit numbers or approximate limit numbers. The main results of the work are concerned with the questions of: the set of points of  $\mathfrak{B}$ -asymmetry, connections between the ordinary continuity and  $\mathfrak{B}$ -continuity and  $\mathfrak{B}$  semicontinuity of upper and lower  $\mathfrak{B}$ -functions of Baire.

**Introduction.** The aim of this work is to generalize the notion of limit numbers and approximate limit numbers and to find some properties of these generalized limit numbers. To obtain this it will be convenient to use the following definition:

If  $f: R \rightarrow R$  and  $x_0 \in R$ , where  $R$  denotes the set of all real numbers, then  $g$  is called the *limit number* of  $f$  at  $x_0$  if and only if, for every  $\varepsilon > 0$ ,  $x_0$  is a point of accumulation of the set  $\{x: |f(x) - g| < \varepsilon\}$ .

The starting point of my considerations is the following remark: the family  $\mathfrak{B}$  of all sets having  $x_0$  as a point of accumulation have the following properties:

- (1) every set including the set from  $\mathfrak{B}$  also belongs to  $\mathfrak{B}$ ,
- (2) if  $E_1 \cup E_2 \in \mathfrak{B}$ , then  $E_1 \in \mathfrak{B}$  or  $E_2 \in \mathfrak{B}$ ,
- (3) if  $E_1 \in \mathfrak{B}$ , then for every  $t > 0$  also  $E_1 \cap (x_0 - t, x_0 + t) \in \mathfrak{B}$ .

There is a very similar situation in the case of approximate limit numbers. Now  $\mathfrak{B}$  is the family of all sets for which  $x_0$  is not a point of dispersion.

The foregoing generalization will depend on making use of rather arbitrary families of sets fulfilling only conditions (1)-(3). These conditions seem to be natural, because the set of limit numbers of an arbitrary functions at every point obtained by means of them is non empty and closed.