

A reduced free product of lattices

by

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1. The purpose of this note is to provide a generalization of the Basic Lemma of [1]. The new form is more general and easier to apply than the form given in [1].

To state the result we need some notation. For a lattice K with 0 and 1 let $\mathcal{C}(K)$ denote the set of complemented pairs, that is,

$$\mathcal{C}(K) = \{\{x, y\} \mid x, y \in K, x \wedge y = 0, x \vee y = 1\}.$$

K is called a lattice *with no comparable complements* if $\{x, y\}, \{x, z\} \in \mathcal{C}(K)$, and $y \geq z$, imply $y = z$.

Let $L_\lambda, \lambda \in A$, be pairwise disjoint lattices with 0 and 1. Let \mathcal{C} be a set of two element subsets of $\bigcup (L_\lambda \mid \lambda \in A)$ such that if $\{x, y\} \in \mathcal{C}$ then for some $\lambda, \mu \in A, x \in L_\lambda, y \in L_\mu, x \neq 0, 1, y \neq 0, 1$, and $\lambda \neq \mu$.

THEOREM 1. *Let $L_\lambda, \lambda \in A$, and \mathcal{C} be given as described above. Assume that all L_λ are lattices with more than one element and with no comparable complements, and that \mathcal{C} satisfies the following condition:*

(P) *if $\{x_1, y_1\}, \{x_2, y_2\} \in \mathcal{C}, x_1, x_2 \in L_\lambda, y_1, y_2 \in L_\nu, (\lambda, \nu \in A), x_1 \leq x_2, y_1 \leq y_2$, then $x_1 = x_2$, and $y_1 = y_2$.*

Then there exists a lattice L with 0 and 1 satisfying the following conditions:

- (i) L contains all L_λ as $\{0, 1\}$ -sublattices;
- (ii) L is generated by $\bigcup (L_\lambda \mid \lambda \in A)$;
- (iii) $\mathcal{C}(L) = \bigcup (\mathcal{C}(L_\lambda) \mid \lambda \in A) \cup \mathcal{C}$.

A lattice L satisfying Theorem 1 can be described using free products. Let K be the free product of the lattices $L_\lambda, \lambda \in A$. (Note that K has neither 0 nor 1 if A is infinite.) In terms of \mathcal{C} we define a congruence relation $\theta(\mathcal{C})$ on K :

$\theta(\mathcal{C})$ is the smallest congruence relation satisfying the following conditions:

- (a) if 0_λ is the zero of L_λ , and $x \leq 0_\lambda$, then $x \equiv 0_\lambda(\theta(\mathcal{C}))$;

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- (b) if 1_λ is the unit of L_λ , and $1_\lambda \leq x$, then $1_\lambda = x(\theta(C))$;
 (c) if $\{x, y\} \in C$, and $x \vee y \leq z$, then $x \vee y = z(\theta(C))$;
 (d) if $\{x, y\} \in C$, and $z \leq x \wedge y$, then $z = x \wedge y(\theta(C))$.

The quotient lattice $K/\theta(C)$ will be called the C -reduced free product of the L_λ , $\lambda \in A$.

THEOREM 2. Let L be the C -reduced free product of the L_λ , $\lambda \in A$. Then L satisfies conditions (i), (ii), and (iii) of Theorem 1.

A few remarks are in order. Firstly, note that of the three conditions of Theorem 1, (i) and (iii) are the important ones. Secondly, observe that apart from a few pathological cases (e.g., $|A| = 1$, or for $\lambda \neq \mu$, $|L_\lambda| = 1$, or $C = \{\{x, y\} \mid x \in L_\lambda, y \in L_\mu, x \neq 0, 1, y \neq 0, 1\}$, i.e. the full graph, and so on) if the conclusions of Theorem 1 hold, then so do the hypotheses. In other words, apart from a few pathological exceptions, Theorem 1 is best possible. Thirdly, Theorem 2 follows from Theorem 1, although we shall proceed the other way around. Nevertheless, Theorem 2 is the more important result in some applications.

The Basic Lemma of [1] is a special case of Theorem 1: one L_λ is arbitrary and all the others are three element chains. It is easily seen that the Basic Lemma does not imply Theorem 1. Theorems 1 and 2 were made possible by the solution of the word problem for free products of lattices given in [3].

The results of [3] needed in the proof are summarized in § 2. Theorem 1 is proved in § 3 and Theorem 2 is proved in § 4. Some applications are given in § 5, a further application will be given in [6].

2. In this section let L_λ , $\lambda \in A$, be lattices, assumed to be pairwise disjoint. Set $Q = \bigcup \{L_\lambda \mid \lambda \in A\}$.

DEFINITION 1 (Lattice polynomials over Q).

- (i) If $x \in Q$ then x is a lattice polynomial of length 1; we write $l(x) = 1$.
 (ii) If A_0, A_1 are lattice polynomials of length l_0, l_1 , respectively, then $A_0 \vee A_1$ and $A_0 \wedge A_1$ are lattice polynomials of length $l_0 + l_1$; $l(A_0 \vee A_1) = l(A_0 \wedge A_1) = l(A_0) + l(A_1)$.

(iii) The only lattice polynomials over Q are those obtained from a finite sequence of applications of (i) and (ii). The set of all lattice polynomials over Q is denoted by $P(Q)$. It may help the reader to observe that $P(Q)$ with the operations \wedge and \vee is the absolutely free algebra over Q .

DEFINITION 2 (Upper and lower λ -cover). For each $A \in P(Q)$ and each $\lambda \in A$, existence and value of the upper λ -cover, $A^{(\lambda)}$, and the lower λ -cover, $A_{(\lambda)}$, are defined as follows:

(i) If $A \in L_\lambda$ then $A_{(\lambda)}$ and $A^{(\lambda)}$ exist, and they are both equal to A ; $A_{(\mu)}, A^{(\mu)}$ do not exist for $\mu \neq \lambda$.

(ii) If $A = B \vee C$ then $A^{(\lambda)}$ exists if and only if $B^{(\lambda)}$ and $C^{(\lambda)}$ both exist and in this event $A^{(\lambda)} = B^{(\lambda)} \vee C^{(\lambda)}$ (the join is in L_λ , of course). Furthermore, $A_{(\lambda)}$ exists if and only if at least one of $B_{(\lambda)}, C_{(\lambda)}$ exists; $A_{(\lambda)} = B_{(\lambda)}$ (respectively $C_{(\lambda)}$) if only $B_{(\lambda)}$ (respectively $C_{(\lambda)}$) exists, and $A_{(\lambda)} = B_{(\lambda)} \vee C_{(\lambda)}$ if both $B_{(\lambda)}, C_{(\lambda)}$ exist.

(iii) If $A = B \wedge C$ then $A_{(\lambda)}$ exists if and only if $B_{(\lambda)}$ and $C_{(\lambda)}$ both exist and in this event $A_{(\lambda)} = B_{(\lambda)} \wedge C_{(\lambda)}$. $A^{(\lambda)}$ exists if and only if at least one of $B^{(\lambda)}, C^{(\lambda)}$ exists; $A^{(\lambda)} = B^{(\lambda)}$ (respectively $C^{(\lambda)}$) if only $B^{(\lambda)}$ (respectively $C^{(\lambda)}$) exists, and $A^{(\lambda)} = B^{(\lambda)} \wedge C^{(\lambda)}$ if both $B^{(\lambda)}, C^{(\lambda)}$ exist.

DEFINITION 3 (Quasi ordering on $P(Q)$). For any $A, B \in P(Q)$ we define by induction on $l(A) + l(B)$ the relation $A \subseteq B$ to hold if and only if at least one of the conditions (1) to (6) below holds:

- (1) $A = B$;
- (2) there is a $\lambda \in A$ such that $A^{(\lambda)}, B_{(\lambda)}$ exist and $A^{(\lambda)} \leq B_{(\lambda)}$ in L_λ ;
- (3) $A = A_0 \vee A_1$, where $A_0 \subseteq B$ and $A_1 \subseteq B$;
- (4) $A = A_0 \wedge A_1$, where $A_0 \subseteq B$ or $A_1 \subseteq B$;
- (5) $B = B_0 \vee B_1$, where $A \subseteq B_0$ or $A \subseteq B_1$;
- (6) $B = B_0 \wedge B_1$, where $A \subseteq B_0$ and $A \subseteq B_1$.

Set $A \cong B$ if $A \subseteq B$ and $B \subseteq A$.

THEOREM 3 (The structure theorems of free products of lattices [3]).

(i) The relation \subseteq is a quasi-order (that is, \subseteq is reflexive and transitive) and thus \cong is an equivalence relation.

(ii) Given $A \in P(Q)$ let $\langle A \rangle$ denote the equivalence class of A under \cong , and let $L = \{\langle A \rangle \mid A \in P(Q)\}$. Define the binary relation \leq on L by $\langle A \rangle \leq \langle B \rangle$ if and only if $A \subseteq B$. Then \leq is a partial order on L with respect to which L is a lattice. Moreover, $\langle A \rangle \vee \langle B \rangle = \langle A \vee B \rangle$ and $\langle A \rangle \wedge \langle B \rangle = \langle A \wedge B \rangle$.

(iii) For each $\lambda \in A$ the mapping $\varphi_\lambda: L_\lambda \rightarrow L$, given by $\varphi_\lambda(x) = \langle x \rangle$, is a 1-1 lattice homomorphism, and $\langle \langle \varphi_\lambda \mid \lambda \in A \rangle; L \rangle$ is the free product of the family $\{L_\lambda \mid \lambda \in A\}$.

(iv) For each $\lambda \in A$ and $A \in P(Q)$, $A_{(\lambda)}$ exists if and only if $\{x \in L_\lambda \mid \langle x \rangle \leq \langle A \rangle\} \neq \emptyset$ and in this event $A_{(\lambda)} = \bigvee \{x \in L_\lambda \mid \langle x \rangle \leq \langle A \rangle\}$, and dually for $A^{(\lambda)}$. Therefore, if both $A_{(\lambda)}$ and $A^{(\lambda)}$ exist, then $A_{(\lambda)} \leq A^{(\lambda)}$.

(v) For $\lambda, \mu \in A$ and $A \in P(Q)$, if both $A_{(\lambda)}$ and $A^{(\mu)}$ exist, then $\lambda = \mu$.

3. In this section let L_λ , $\lambda \in A$ and C be given as in Theorem 1. We denote by 0_λ and 1_λ the zero and unit of L_λ . Set $Q = \bigcup \{L_\lambda \mid \lambda \in A\}$ as in § 2. The following definition contains the idea of the proof of Theorem 1.

DEFINITION 4. A subset $R(Q)$ of $P(Q)$ is defined by induction on the length of the polynomial:

- (i) if $A \in Q$, then $A \in L_\lambda$ for exactly one $\lambda \in A$; $A \in R(Q)$ iff A is not 0_λ or 1_λ ;
- (ii) if $A = B \vee C$, then $A \in R(Q)$ iff $B, C \in R(Q)$ and the following two conditions are satisfied:
- (ii₁) $1_\lambda \subseteq A$, for no $\lambda \in A$;
- (ii₂) $x \subseteq B, y \subseteq C$, for no $\{x, y\} \in C$;
- (iii) if $A = B \wedge C$, then $A \in R(Q)$ if $B, C \in R(Q)$ and the following two conditions are satisfied:
- (iii₁) $A \subseteq 0_\lambda$, for no $\lambda \in A$;
- (iii₂) $B \subseteq x, C \subseteq y$, for no $\{x, y\} \in C$.
- Now we are ready to construct L :

$$L = \{0, 1\} \cup \{\langle A \rangle \mid A \in R(Q)\},$$

partially ordered by

$$0 < \langle A \rangle < 1 \quad \text{for all } A \in R(Q),$$

$$\langle A \rangle \leq \langle B \rangle \quad \text{if } A \subseteq B.$$

In other words, $L - \{0, 1\}$ is a subset of the free product; the partial ordering on $L - \{0, 1\}$ is the same as on the free product. Thus L is obviously a partially ordered set.

To show that L is a lattice, take $X, Y \in L$; we have to find $X \vee Y$. If X or $Y \in \{0, 1\}$ this is obvious. So let $X, Y \notin \{0, 1\}$; then $X = \langle B \rangle$, $Y = \langle C \rangle$, $B, C \in R(Q)$. Set $A = B \vee C$. We claim that $X \vee Y = \langle A \rangle$ if A satisfies (ii₁) and (ii₂), and $X \vee Y = 1$ otherwise. This follows from the observation that if $A, A_1 \in P(Q)$, $A \subseteq A_1$, and A violates (ii₁) or (ii₂), then so does A_1 . The dual argument now proves that L is a lattice.

For $a \in L_\lambda$, $a \neq 0_\lambda, 1_\lambda$, identify a with $\langle a \rangle$; identify 0_λ with 0 and 1_λ with 1. This makes L_λ a $\{0, 1\}$ -sublattice of L . ($\langle a \rangle = \langle b \rangle$ implies $a = b$ follows from (2) of Definition 3; the identification preserves meets and joins in view of the discussion in the previous paragraph.) Thus (i) of Theorem 1 has been verified. (ii) of Theorem 1 is obvious from (ii) and (iii) of Definition 4.

Finally, we verify (iii) of Theorem 1. It follows from (i) of Theorem 1 that $C(L_\lambda) \subseteq C(L)$. Let $\{x, y\} \in C$; (ii₂) and (iii₂) of Definition 4 yield $x \vee y = 1$ and $x \wedge y = 0$ in L , hence $\{x, y\} \in C(L)$. This proves \supseteq in (iii) of Theorem 1.

To prove the converse, let $\{X, Y\} \in C(L)$, that is $X \wedge Y = 0$, $X \vee Y = 1$. We can assume that $\{X, Y\} \neq \{0, 1\}$, hence $X = \langle A \rangle$, $Y = \langle B \rangle$, $A, B \in R(Q)$. Therefore $A \vee B$ violates (ii₁) or (ii₂) and $A \wedge B$ violates (iii₁) or (iii₂) of Definition 4. The four cases that arise are handled separately.

Case 1. $A \vee B$ violates (ii₁) and $A \wedge B$ violates (iii₁). Hence for some $\lambda, \nu \in A$, $1 \subseteq A \vee B$, $A \wedge B \subseteq 0_\nu$. Thus by (iv) of Theorem 3, $1_\lambda = (A \vee B)_{(\lambda)}$, and $(A \wedge B)_{(\nu)} = 0_\nu$. Note that if $A_{(\lambda)}$ exists but $B_{(\lambda)}$ does not exist then by (ii) of Definition 2, $A_{(\lambda)} = 1_\lambda$, and so $A \supseteq 1_\lambda$ by (2) of Definition 3, hence $A \notin R(Q)$, contradicting our assumptions. Three more similar arguments and (ii), (iii) of Definition 2 give that $A_{(\lambda)}, B_{(\lambda)}, A^{(\nu)}$, and $B^{(\nu)}$ exist. Hence, by (v) of Theorem 3, we conclude that $\lambda = \mu$. Since $A_{(\lambda)} \leq A^{(\lambda)}$ and $B_{(\lambda)} \leq B^{(\lambda)}$ the above equations mean that both $B_{(\lambda)}$ and $B^{(\lambda)}$ are complements of $A_{(\lambda)}$ in L_λ . By the assumption that L_λ has no comparable complements we conclude that $B_{(\lambda)} = B^{(\lambda)}$. Similarly, $A_{(\lambda)} = A^{(\lambda)}$. Therefore, $X = \langle A \rangle = A_{(\lambda)} = A^{(\lambda)} \in L_\lambda$, $Y = \langle B \rangle = B_{(\lambda)} = B^{(\lambda)} \in L_\lambda$, and $\{X, Y\} \in C(L_\lambda)$, which was required to prove.

Case 2. $A \vee B$ violates (ii₁) and $A \wedge B$ violates (iii₂). Hence, for some $\lambda \in A$, $1_\lambda \subseteq A \vee B$, and for some $\{x, y\} \in C$, $A \subseteq x$ and $B \subseteq y$. Let $x \in L_\nu$, $y \in L_\mu$ ($\nu, \mu \in A$, $\nu \neq \mu$). Just as in Case 1 we conclude that $A_{(\lambda)} \wedge B_{(\lambda)} = 1_\lambda$; furthermore, $A^{(\nu)} \leq x$, $B^{(\mu)} \leq y$. Since $\nu \neq \mu$ we have $\lambda \neq \nu$ or $\lambda \neq \mu$. If, say, $\lambda \neq \nu$ then the existence of both $A_{(\lambda)}$ and $A^{(\nu)}$ is a contradiction. Thus Case 2 cannot occur.

Case 3. $A \vee B$ violates (ii₂) and $A \wedge B$ violates (iii₁). This leads to a contradiction just as Case 2 did.

Case 4. $A \vee B$ violates (ii₂) and $A \wedge B$ violates (iii₂). Let $\{x_1, y_1\}, \{x_2, y_2\} \in C$, $x_1 \subseteq A$, $y_1 \subseteq B$, $A \subseteq x_2$, $B \subseteq y_2$. Then $x_1 \subseteq x_2$, $y_1 \subseteq y_2$, hence by condition (P) of Theorem 1, $x_1 = x_2$ and $y_1 = y_2$. Hence $x_1 = A$, $y_1 = B$ and so $\{X, Y\} = \{\langle A \rangle, \langle B \rangle\} \in C$. This concludes the proof of Theorem 1.

4. To prove Theorem 2 we shall verify that the L constructed in § 3 is isomorphic to $K/\theta(C)$. Since $L - \{0, 1\} \subseteq K$, and in view of the behaviour of \wedge and \vee in L , it suffices to show that every congruence class of K modulo $\theta(C)$ except $[1_\lambda]\theta(C)$ and $[0_\lambda]\theta(C)$ contains exactly one element of $L - \{0, 1\}$.

Let $A \in P(Q)$. We prove by induction on the length of A that if $A \neq 1_\lambda(\theta(C))$ and $A \neq 0_\lambda(\theta(C))$, then there exists a $D \in R(Q)$ such that $A \equiv D(\theta(C))$.

Let $A \in Q$; by assumption $A \neq 0_\lambda$ and $A \neq 1_\lambda$ for any $\lambda \in A$, hence we can take $D = A$. Let $A = A_1 \vee A_2$ and let $A_i \equiv D_i(\theta(C))$, $D_i \in R(Q)$, $i = 1, 2$. Then $A \equiv D_1 \vee D_2(\theta(C))$. If $D_1 \vee D_2 \in R(Q)$, then the proof is finished. Otherwise, by (ii) of Definition 4, $D_1 \vee D_2 \equiv 1_\lambda(\theta(C))$, for any $\lambda \in A$, hence $A \equiv 1_\lambda(\theta(C))$, contrary to assumption. The dual argument completes the proof.

Since K is the free product of the L_λ , $\lambda \in A$, there is a homomorphism $\varphi: K \rightarrow L$ which is the identity map on all L_λ , $\lambda \in A$. Let Φ denote the congruence of K induced by φ . Obviously, for $A \in R(Q)$, $\langle A \rangle \varphi = \langle A \rangle$.

Hence if $A, B \in R(Q)$, $\langle A \rangle \neq \langle B \rangle$, then $\langle A \rangle \not\equiv \langle B \rangle (\Phi)$. Since $\Theta(C) \leq \Phi$ is obvious, we conclude that $\langle A \rangle \not\equiv \langle B \rangle (\Theta(C))$, showing that every congruence class modulo $\Theta(C)$ other than $[0]_{\Theta(C)}$ and $[1]_{\Theta(C)}$ contains exactly one element of $L - \{0, 1\}$.

5. The concept of $\{0, 1\}$ -free product of lattices is the same as that of free product of lattices, except that it is applied only to lattices with 0 and 1, and homomorphism is replaced by $\{0, 1\}$ -homomorphism. Let us make two observations. First, the construction of L in § 3 and the proof that L satisfies (i) and (ii) of Theorem 1 made no use of the assumptions of Theorem 1. Second, the proof of $K/\Theta(C) \cong L$ in § 4 is again independent of the assumptions of Theorem 1. Hence this isomorphism holds for L_λ arbitrary and $C = \emptyset$, showing that L is the $\{0, 1\}$ -free product of the L_λ , $\lambda \in A$. Since the word problem in L is solved we conclude:

THEOREM 4. *The word problem of $\{0, 1\}$ -free product of lattices L_λ , $\lambda \in A$, is solved relative to the L_λ , $\lambda \in A$.*

This result is not new, as it can also be concluded from a result of [5].

Next we specialize Theorem 1 to $C = \emptyset$; this result appears to be new.

THEOREM 5. *Let L_λ , $\lambda \in A$, be lattices with 0 and 1, $0 \neq 1$, and with no comparable complements. Let L be the $\{0, 1\}$ -free product of the L_λ , $\lambda \in A$. For $a, b \in L$, a is a complement of b iff for some $\lambda \in A$, $a, b \in L_\lambda$, and a is a complement of b in L_λ .*

As a further application we prove the following result of R. P. Dilworth [2]:

THEOREM 6. *Every lattice M can be embedded in a uniquely complemented lattice.*

Proof. Let $A = \{0, 1, 2, \dots\}$; let L_0 be M with a new zero and unit. Let X_i , $i = 1, 2, \dots$, be pairwise disjoint infinite sets, $|X_i| = \max\{\aleph_0, |M|\}$; let L_i be the lattice freely generated by X_i with zero and unit added. Since $|X_1| \geq |M|$ we can define a function $f_0: M \rightarrow X_1$ which is one-to-one; set $C_0 = \{\{x, y\} \mid y = f_0(x), x \in M\}$. Let M_1 be the C_0 -reduced free product of L_0 and L_1 . Assuming then M_n has been defined, let f_n be a one-to-one map from the set of non-complemented elements of M_n into X_{n+1} , $C_n = \{\{x, y\} \mid x \in M_n, y = f_n(x)\}$, and let M_{n+1} be the C_n -reduced free product of M_n and L_{n+1} . Then $M \subseteq M_1 \subseteq M_2 \subseteq \dots \subseteq M_n$; the lattice $L = \bigcup (M_n \mid n = 1, 2, \dots)$ is the uniquely complemented lattice containing M .

The generalizations of Theorem 6 given in [1] can also be proved in a similar fashion. The present proof of Theorem 6 is equivalent to the proof given in [1].

Finally, we give an application of Theorem 2 which is crucial in some applications that are given in [4]:

THEOREM 7. *Let L_λ , $\lambda \in A$, C and L_λ^1 , $\lambda \in A$ and C^1 be given as in Theorem 1. For every $\lambda \in A$ let φ_λ be a $\{0, 1\}$ -homomorphism of L_λ into L_λ^1 such that if $\{x, y\} \in C$, $x \in L_\lambda$, $y \in L_\lambda$, then $\{x\varphi_\lambda, y\varphi_\lambda\} \in C^1$. Let L be the C -reduced free product of the L_λ , $\lambda \in A$, and L^1 the C^1 -reduced free product of the L_λ^1 , $\lambda \in A$. Then there exists a $\{0, 1\}$ -homomorphism φ of L into L^1 such that φ restricted to L_λ is φ_λ , for all $\lambda \in A$.*

Proof. Let K and K^1 be the free product of the L_λ , $\lambda \in A$, and the L_λ^1 , $\lambda \in A$, respectively. Since φ_λ maps L_λ into $L_\lambda^1 \subseteq K^1$, by the free product property, there exists a homomorphism ψ of K into K^1 , such that ψ restricted to L_λ is φ_λ for all $\lambda \in A$. Set $L = K/\Theta(C)$, and $L^1 = K^1/\Theta(C^1)$, and let α and α^1 denote the natural homomorphisms. Then $\varphi\alpha: K \rightarrow L^1$ is a homomorphism; let Θ be the congruence relation of K induced by $\varphi\alpha$. We claim that $\Theta(C) \leq \Theta$. This follows from the assumption that $\{x, y\} \in C$ implies $\{x\varphi, y\varphi\} \in C^1$. The computation is based on (a)-(d) of the definition of $\Theta(C)$, the easy details are left to the reader. Hence there is a natural homomorphism φ from $L = K/\Theta(C)$ into $K/\Theta \subseteq L^1$. Since α is the identity map on L_λ , α^1 is the identity map on L_λ^1 , and ψ restricted to L_λ is φ_λ , the relation $\alpha\varphi = \varphi\alpha^1$ implies that φ restricted to L_λ is φ_λ , completing the proof of Theorem 7.

References

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