

# Spaces of continuous functions into a Banach space

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**Abstract.** Let  $X$  be a compact Hausdorff space and  $E$  be a real Banach space. Let  $C(X, E)$  denote the Banach space of  $E$ -valued continuous functions with the usual supremum norm. The Banach-Stone theorem asserts that if  $X, Y$  are compact Hausdorff spaces then  $X$  is homeomorphic with  $Y$  if and only if there is a linear isometry on  $C(X, \mathbf{R})$  onto  $C(Y, \mathbf{R})$ . The corresponding theorem for Banach space-valued continuous functions is not true even when  $E$  is the two dimensional Banach space  $\mathbf{R}^2$  with supremum norm and  $X, Y$  are compact metric spaces. However, an analogue of the Banach-Stone theorem is obtained for Banach spaces  $E$  with a cylindrical unit cell of which the base is strictly convex and smooth.

**§ 1. Introduction.** Let  $X$  be a compact Hausdorff space and  $E$  be a real Banach space. Let  $C(X, E)$  denote the Banach space of  $E$ -valued continuous functions equipped with the usual supremum norm. The Banach-Stone theorem, Day [2], asserts that if  $X, Y$  are compact Hausdorff spaces then  $X$  is homeomorphic with  $Y$  if and only if there is a linear isometry on  $C(X, \mathbf{R})$  onto  $C(Y, \mathbf{R})$  where  $\mathbf{R}$  is the real line. Subsequently Jerison [5], investigated the problem of extending Banach-Stone theorem with  $\mathbf{R}$  replaced by an arbitrary Banach space. In [5] it is proved that the theorem remains true if (\*) any two  $T$ -sets in  $E$  are discrepant. It is further shown that the Banach-Stone theorem is not true if  $E$  is the infinite dimensional space  $C(I, \mathbf{R})$  where  $I$  is the unit interval. The results in this paper were obtained in an attempt to extend Banach-Stone theorem to the case of finite dimensional  $E$ . Surprisingly enough, as shown in the last section of this paper, it is found that the theorem is not true even when  $E$  is the two dimensional Banach space  $\mathbf{R}^2$  with the supremum norm and  $X, Y$  are compact metric spaces.

The plan of the paper is as follows. We recall few useful known theorems and other preliminaries in Section 2. In Section 3 we obtain an analogue of Banach-Stone theorem for Banach spaces  $E$  with a cylindrical unit cell of which the base is strictly convex and smooth. The two dimensional Banach space mentioned in the preceding paragraph is one such. Lastly we discuss a counter example thus justifying the theorem in Section 3.

**§ 2. Preliminaries.** Throughout the paper,  $E$  is a fixed real Banach space of dimension at least 2. If  $B$  is a Banach space we denote the dual

space of  $B$  by  $B^*$ . The norms of the various Banach spaces that enter our discussion are all denoted by the same symbol  $\|\cdot\|$  as there is no occasion for confusion. The unit cell of  $B(B^*)$  is denoted by  $U_B(U_B^*)$  and  $S_B(S_B^*)$  is the boundary of  $U_B(U_B^*)$ . If  $X$  is a compact Hausdorff space the unit cells of  $C(X, E)$  and  $C(X, E)^*$  are denoted by  $U_X$  and  $U_X^*$  respectively. The set of extreme points of a set  $K$  is denoted by  $\text{Ext} K$ .

We recall few geometric properties of a Banach space. If  $B$  is a Banach space and  $x \in S_B$  then a functional  $l \in B^*$  is said to support  $U_B$  at  $x$  if  $\|l\| = 1 = l(x)$ . The cell  $U_B$  is said to be smooth at  $x$  if there is only one hyperplane of support at  $x$ . A Banach space  $B$  is said to be smooth if  $U_B$  is smooth at all points  $x \in S_B$ . An  $M$ -set in a Banach space  $B$  is a maximal convex subset of  $S_B$ . A  $T$ -set is a half-cone of nonnegative multiples of vectors in a  $M$ -set. For a discussion of these sets we refer to [9]. Two  $T$ -sets  $T_1, T_2$  in  $B$  are said to be discrepant if either  $T_1 \cap T_2 = \{0\}$  or there exists a  $T$ -set  $T_3$  such that  $T_3 \cap T_1 = T_3 \cap T_2 = \{0\}$ . It is verified by applying Zorn's lemma that if  $x \in S_B$  then there is an  $M$ -set  $M_x$  containing  $x$ . Further if  $x \in S_B$  and  $\{x\}$  is an  $M$ -set then any two  $T$ -sets are discrepant. Thus in a strictly convex Banach space  $B$  any two  $T$ -sets are discrepant. We summarize few properties of  $M$ -sets in the accompanying remark.

**Remark 1.** If  $M$  is a  $M$ -set in a Banach space  $B$  then it follows from the separation theorem that there exists an  $f \in B^*$ ,  $\|f\| = 1$  such that  $\inf_{x \in M} f(x) \geq \sup_{x \in U_B} f(x)$ . Hence if  $H$  is the hyperplane  $f^{-1}(1)$ , then  $M \subset H$ . Further since  $H \cap S_B = H \cap U_B$  is a convex set and  $M$  is a maximal convex set it follows that  $H \cap S_B = M$ . We refer to  $H$  as a hyperplane supporting  $U_B$  along  $M$ . Further we note that if there is a smooth point  $x$  in  $M$  then the hyperplane supporting  $U_B$  along  $M$  is unique.

We conclude this section with a definition of  $S$ -cylinders and few known results useful in the subsequent discussion.

**DEFINITION 1.** The unit cell of a Banach space  $(B, \|\cdot\|)$  is said to be  $S$ -cylindrical if there exists a closed subspace  $L$  of  $B$  of codimension 1 such that  $(L, \|\cdot\|)$  is smooth and strictly convex and there is a point  $p, \|p\| = 1$  such that  $U_B = [-p, p] + U_B \cap L$ . The Banach space  $B$  is said to be  $S$ -cylindrical if the unit cell of  $B$  is  $S$ -cylindrical.

The two dimensional Banach space  $R^2$  with supremum norm is  $S$ -cylindrical. More generally if  $B$  is a smooth Banach space with a strictly convex norm and  $L$  is a closed subspace of codimension 1 such that a translate of  $L$  supports  $U_B$  at  $x$  then let  $V = [-x, x] + U_B \cap L$ . It is verified that if  $p$  is the gauge of  $V$  then  $(B, p)$  is a  $S$ -cylindrical Banach space linearly homeomorphic with  $B$ . In passing we note that if the unit cell of a Banach space  $B$  is  $S$ -cylindrical then the  $T$ -sets in  $B$  need not be discrepant. In this connection we refer to example 4.5 in [5].

For convenience of reference we state three useful theorems.

**THEOREM 1.** (Jerison). Let  $X, Y$  be compact Hausdorff spaces and  $E$  be a real Banach space such that any two  $T$ -sets in  $E$  are discrepant. Then  $X$  is homeomorphic with  $Y$  if and only if there is an isometry on  $C(X, E)$  onto  $C(Y, E)$ .

For a proof of this theorem we refer to 5.2 in [5]. Before proceeding to the statement of the next theorem let us note that there is a natural map  $e$  on  $E^* \times X$  into  $C(X, E)^*$  defined by  $e(l, p)(f) = l(f(p))$ . It is verified that  $\|e(l, p)\| = \|l\|$  and for a fixed  $p \in X$ ,  $e(\cdot, p)$  is a linear isometry on  $E^*$  into  $C(X, E)^*$ .

**THEOREM 2.** (Singer). Let  $X$  be a compact Hausdorff space and  $E$  be a Banach space. Then

$$\text{Ext}(U_X^*) = e(\text{Ext } U_E^* \times X)$$

where  $e$  is the map defined earlier.

For a proof of this theorem we refer to Singer [8].

**THEOREM 3.** (Sundaresan). If  $X$  is a compact Hausdorff space and  $f \in C(X, E)$ ,  $\|f\| = 1$  then the unit cell of  $C(X, E)$  is smooth at  $f$  if and only if there is a point  $q \in X$  such that  $1 = \|f(q)\| > \|f(q')\|$  for all  $q' \neq q$  and  $U_E$  is smooth at  $f(q)$ .

A proof of this theorem is provided in [8].

In this context we recall a theorem of Mazur [6] stating that if  $U_E$  is smooth at  $x$  and  $f$  is the supporting functional at  $x$  then  $\lim_{t \rightarrow 0} \frac{\|x + ty\| - \|x\|}{t}$  exists for all  $y \in E$  and is equal to  $f(y)$ . Further if the preceding limit exists for all  $y \in E$  then  $U_E$  is smooth at  $x$ .

**§ 3. Banach-Stone theorem for spaces  $C(X, E)$ .** We proceed to the main theorem of the paper after stating few lemmas.

**LEMMA 1.** If  $X$  is a compact Hausdorff space and  $f$  is an extreme point of the unit cell of  $C(X, E)$  then  $\|f(t)\| = 1$  for all  $t \in X$ .

**Proof.** Let  $f \in \text{Ext } U_X$ . If for some  $t_0 \in X$   $\|f(t_0)\| \neq 1$  then there exists a  $\delta, 0 \leq \delta < 1$ , a compact neighborhood  $N$  of  $t_0$  such that  $\|f(t)\| \leq \delta < 1$  for all  $t \in N$ . Since  $X$  is a compact Hausdorff there exists a continuous function  $\varphi: X \rightarrow [0, 1 - \delta]$  such that  $\varphi(t_0) = 1 - \delta$  and  $\varphi(t) = 0$  if  $t \notin N$ . Let  $a$  be a vector in  $E$  such that  $\|a\| = 1$ . Let  $g$  be the function on  $X \rightarrow E$  defined by  $g(t) = \varphi(t)a$ . Then  $g \in C(X, E)$ ,  $\|g\| \leq 1$  and  $g \neq 0$ . Thus  $f \notin \text{Ext } U_X$ . This completes the proof of the lemma.

**LEMMA 2.** If  $M$  is an  $M$ -set in a Banach space  $E$  then  $\text{Ext } M \subset \text{Ext } U_E$ .

**LEMMA 3.** Let  $E$  be a Banach space with a  $S$ -cylindrical unit cell  $U_E$ . Let  $U_E = [-p, p] + U_E \cap L$  where  $p$  and  $L$  are as in Definition 1. Then

(1)  $\text{Ext } U_E = \text{Ext } M_1 \cup \text{Ext } M_2$  where  $M_1 = p + U_E \cap L$  and  $M_2 = -p + U_E \cap L$ .

(2)  $M$  is an  $M$ -set in  $E$  if and only if  $M = M_i$ ,  $i = 1, 2$ , or  $M = [p + \xi, p - \xi]$  for some  $\xi \in S_E \cap L$ .

(3) If  $P_1, P_2$  are distinct  $M$ -sets in  $E$  then  $\text{card}(P_1 \cap P_2) \leq 1$ . (4) Interior  $M_i$  relative to  $S_E$  is  $M_i \sim \text{Ext } M_i$ .

The proofs of Lemmas 2 and 3 are rather straightforward and the details are omitted.

Remark 2. We make a note of some implications of Lemma 3.

(a)  $\text{Ext } U_E$  is a closed subset of  $S_E$ . Further from (2) of the lemma it follows that if  $M$  is an  $M$ -set in  $E$ ,  $\text{card } M \geq 2$ . Thus  $M \cap D \neq \emptyset$  for every  $M$ -set ( $D$  is the set of points of smoothness of  $S_E$ ),  $M$  in  $E$ . Hence the hyperplane supporting  $U_E$  along a fixed  $M$ -set is unique. Further if  $x \in S_E \sim (M_1 \cup M_2)$  then from (2) it is verified that there exist a unique pair of extreme points  $e_x^1, e_x^2$  with  $e_x^i \in M_i$ ,  $i = 1, 2$  and  $e_x^1 - e_x^2 = 2p$ .

(b) The property (4) implies that if  $E$  is an  $S$ -cylindrical Banach space and  $M_i$ ,  $i = 1, 2$  are as in the preceding lemma then if  $g \in \text{Ext } U_X$  then  $g(p) \notin (M_1 \sim \text{Ext } M_1) \cup (M_2 \sim \text{Ext } M_2)$ . If possible let  $p \in X$  such that  $g(p) \in (M_1 \sim \text{Ext } M_1)$ . From Lemma 1 it follows that  $\|g(t)\| = 1$  for all  $t \in X$ . Since  $g$  is a continuous function, from (4) it follows that there is a compact neighborhood  $C$  of  $p$  such that  $g(C) \subset M_1 \sim \text{Ext } M_1$ . Since  $C$  is compact, with  $f$  as in the proof of (4), it is found that there exists a  $\delta > 0$  such that  $\|h\| < \delta$ ,  $f(h) = 0$  imply  $g(z) + h \in M_1$  for all  $z \in C$ . Let  $h$  be such a fixed non-zero vector. Since  $X$  is a compact Hausdorff space there exists a continuous function  $\varphi: X \rightarrow [0, 1]$  such that  $\varphi(p) = 1$  and  $\varphi(X \sim \text{Int } C) = \{0\}$ . Let  $g_1, g_2$  be the functions on  $X$  to  $E$  defined by  $g_1(t) = f(t) + \varphi(t)h$  and  $g_2(t) = f(t) - \varphi(t)h$ . It is verified that  $g_1, g_2 \in C(X, E)$ ,  $\|g_i\| = 1$ ,  $i = 1, 2$  and  $g = (g_1 + g_2)/2$ . Since  $g_1 \neq g_2$ ,  $g \notin \text{Ext } U_X$ . Thus the proof is completed.

LEMMA 4. Let  $E$  be a Banach space with a  $S$ -cylindrical unit cell. Then if  $f \in C(X, E)$  is an extreme point of  $U_X$  then  $f(p) \in \text{Ext } U_E$  for all  $p \in X$ .

Proof. Let  $f \in \text{Ext } U_X$ . As noted in Lemma 1,  $\text{range } f \subset S_E$ . From the preceding remark it is further seen that  $\text{range } f \subset [S_E \sim (M_1 \cup M_2)] \cup (\text{Ext } M_1 \cup \text{Ext } M_2)$ . Thus if for some  $p \in X$ ,  $f(p) \notin \text{Ext } U_E$  then since  $M_1 \cup M_2$  is a closed subset of  $S_E$ , there exists a compact neighborhood  $C$  of  $p$  such that  $f(C) \subset S_E \sim (M_1 \cup M_2)$ . From the preceding remark it follows that for each point  $t \in C$ , there is a unique pair of points  $e_t^i$ ,  $i = 1, 2$  such that  $e_t^i \in \text{Ext } M_i$ ,  $f(t) \in [e_t^1, e_t^2]$  and  $e_t^1 - e_t^2 = 2p$ . Since  $f(t) \notin M_i$ ,  $i = 1, 2$ , there exists a function  $\lambda: C \rightarrow ]0, 1[$  such that  $f(t) = \lambda(t)e_t^1 + (1 - \lambda(t))e_t^2$ . Further if  $l \in E^*$ ,  $\|l\| = 1$  is such that  $l^{-1}(0) = L$ ,  $l(p) = 1$  then  $l(f(t))$

$= 2\lambda(t) - 1$ . Thus  $\lambda$  is a continuous function. Since  $C$  is a compact set there exists an  $a > 0$  such that  $a < \lambda(t) < 1 - a$  for  $t \in C$ . Let  $G = \text{Int } C$  and  $\varphi: X \rightarrow [0, 1]$  be a continuous function such that  $\varphi(p) = 1$  and  $\varphi(X \sim G) \subset \{0\}$ . Let  $g_1, g_2$  be the functions on  $X \rightarrow E$  defined by  $g_1(t) = f(t) + \varphi(t)a[e_t^1 - e_t^2]$  and  $g_2(t) = f(t) - \varphi(t)a[e_t^1 - e_t^2]$ . Since  $e_t^1 - e_t^2 = 2p$ ,  $g_1, g_2$  are in  $C(X, E)$ , and it is further verified that  $\|g_i\| = 1$ ,  $i = 1, 2$ ,  $f = (g_1 + g_2)/2$  and  $g_1 \neq g_2$ . Thus  $f \notin \text{Ext } U_X$  contradicting the hypothesis. Thus the proof of the lemma is complete.

LEMMA 5. A set  $P \subset C(X, E)$  is an  $M$ -set if and only if there is an  $M$ -set  $M \subset E$  and a point  $p \in X$  such that

$$P = \{f \mid f \in C(X, E), \|f\| = 1 \text{ and } f(p) \in M\}.$$

Thus each  $M$ -set  $P$  in  $C(X, E)$  could be represented as  $P = (M, p)$  where  $M$  and  $p$  are chosen as above, and two  $M$ -sets  $P_1, P_2$  where  $P_1 = (M_1, p_1)$ ,  $P_2 = (M_2, p_2)$  are equal if and only if  $M_1 = M_2$  and  $p_1 = p_2$ .

This lemma is an immediate consequence of Theorem 4.1 and Lemma 4.3 in [9]. Hence the details of a proof are omitted.

Before proceeding to the main theorem we note that if  $X, Y$  are compact Hausdorff spaces and  $T: X \rightarrow Y$  is a homeomorphism then the operator  $T: C(Y, E) \rightarrow C(X, E)$  defined by  $T(f)(p) = f(Tp)$  is a linear isometry onto  $C(X, E)$ . Thus in the subsequent discussion we consider only the converse question.

Remark 3. If  $x \in E$  let  $K_x$  be the function in  $C(X, E)$  with  $\text{range} = \{x\}$ . In § 2 we defined the function  $e: E^* \times X \rightarrow C(X, E)^*$ . We note that  $e|_{(E^* \sim \{0\}) \times X \rightarrow C(X, E)^*}$  is a 1-1 map. For let  $l, m \in E^* \sim \{0\}$  and  $p, q \in X$ . Suppose  $e(l, p) = e(m, q)$ . For each  $x \in E$ ,  $e(l, p)(K_x) = e(m, q)(K_x)$ . Thus  $l(x) = m(x)$  for all  $x \in E$ . Hence  $l = m$ . Now if  $p \neq q$  let  $x \in E$  such that  $l(x) \neq 0$ . Since  $X$  is a compact space there is a function  $f \in C(X, E)$  such that  $f(p) = x$  and  $f(q) = 0$ . For such a function  $f$ ,  $e(l, p)f \neq e(m, q)f$ . Hence a contradiction. Thus  $p = q$  justifying the claim.

We denote the map corresponding to the map  $e$ , defined on  $E^* \times Y$  into  $C(Y, E)^*$  also by the same symbol  $e$ .

THEOREM. Let  $E$  be a Banach space with a  $S$ -cylindrical unit cell and  $X, Y$  be compact first countable Hausdorff spaces. Let  $T$  be a linear isometry on  $C(X, E)$  onto  $C(Y, E)$  such that corresponding to each point  $t \in X$  there are at least two points  $x_1, x_2 \in \text{Ext } M_1$  for which  $TK_{x_1}(t) \neq TK_{x_2}(t)$ . Then  $X$  is homeomorphic with  $Y$ .

Proof. Let  $E$  be a  $S$ -cylindrical Banach space and  $T$  be a linear isometry satisfying the hypothesis in the theorem. Let  $p, L, M_1, M_2$  be as in the Lemma 4. Let  $l_1(l_2 = -l_1)$  be the functionals in  $E^*$  supporting  $U_E$  along  $M_1(M_2)$ . From the uniqueness of  $l_1$  it is verified that  $l_1 \in \text{Ext } U_E^*$ .

We note that since  $T$  is an isometry,  $T^*$ , the adjoint of  $T$ , is an isometry on  $C(Y, E)^*$  onto  $C(X, E)^*$ . For each point  $t \in X$  consider the functional  $e(l_1, t)$ . From Singer's theorem (see § 2),  $e(l_1, t) \in \text{Ext } U_X^*$ . Since linear isometries preserve extreme points there exists a point  $e(l_1', \tau(t)) \in \text{Ext } U_X^*$  such that  $T^*e(l_1', \tau(t)) = e(l_1, t)$ . From Remark 3 it follows that  $\tau$  is a function on  $X \rightarrow Y$ . We proceed to verify that  $\tau$  is a homeomorphism on  $X$  onto  $Y$ .

1.  $\tau(X) = Y$ : As an initial step we verify that if  $t' \in Y$  then there exist two points  $y, z \in M_1$  such that  $TK_y(t')$ ,  $TK_z(t')$  are in the same  $M$ -set in  $E$ . For consider the mapping  $\beta: E \rightarrow E$  defined by  $\beta(x) = TK_x(t')$ . It is verified that  $\beta$  is a continuous mapping. Further from Lemma 4 after noting that for each  $x \in \text{Ext } M_1$ ,  $K_x$  (hence  $TK_x$ ) is an extreme point of  $U_X(U_Y)$  it follows that  $\beta(\text{Ext } M_1) \subset \text{Ext } U_E$ . Thus  $\beta(\text{Ext } M_1) \subset M_1$  or  $\beta(\text{Ext } M_1) \subset M_2$ . In either case the assertion is verified since  $\text{card } \text{Ext } M_1 \geq 2$ . Next, if  $\dim E = 2$ , then since  $U_E$  is a parallelogram, using Lemma 4 and enumerating possible positions of  $TK_x(t')$  for  $x \in \text{Ext } M_1$ , the assertion is verified.

Let  $y, z$  be two points in  $\text{Ext } M_1$  with the property in the preceding paragraph. Let  $M_0$  be an  $M$ -set in  $E$  such that  $M_0 \supset \{TK_y(t'), TK_z(t')\}$ . Consider the  $M$ -set  $(M_0, t')$  in the space  $C(Y, E)$ . For the definition of  $(M_0, t')$  see Lemma 5. Since  $T$  is a linear isometry  $T^{-1}(M_0, t')$  is an  $M$ -set in  $C(X, E)$ . Thus from Lemma 5 it follows that there exists exactly one  $M$ -set  $L$  in  $E$  and a unique point  $t \in X$  such that  $T^{-1}(M_0, t') = (L, t)$ . We claim that  $L = M_1$ . For, since  $T((K_y + K_z)/2)(t') = TK_{(y+z)/2}(t') \in M_0$  it follows that  $K_{(y+z)/2}(t) \in L$ . Since  $(y+z)/2$  is a smooth point of  $S_E$  it follows that  $L = M_1$ . Thus  $T(M_1, t) = (M_0, t')$ . Let  $m_0$  be the functional supporting  $U_E$  along  $M_0$ . It will be shown that  $T^*e(m_0, t') = e(l_1, t)$ . Since  $X$  is a first countable compact space with  $v = (y+z)/2$ , there exists a function  $f_v^t \in C(X, E)$  such that  $f_v^t(t) = v$  and  $\|f_v^t(q)\| < 1$  for all  $q \in X$ ,  $q \neq t$ . Since  $v$  is a smooth point of  $S_E$ , it follows from Theorem 3 that  $f_v^t$  is a smooth point of  $U_X$ . Since  $T$  is an isometry it is readily verified from Mazur's theorem (see comments following Theorem 3 in § 2) that  $Tf_v^t$  is a smooth point of  $U_Y$ . Since  $f_v^t(t) \in M_1$  and  $T(M_1, t) = (M_0, t')$  it is verified that  $Tf_v^t(t') \in M_0$ . Thus the linear functionals  $e(l_1, t)$  and  $e(m_0, t')$  support  $U_X$  and  $U_Y$  along  $M_1$  and  $M_0$  respectively. Thus

$$\lim_{r \rightarrow 0} \frac{\|f_v^t + rf\| - \|f_v^t\|}{r} = e(l_1, t)(f)$$

and

$$\lim_{r \rightarrow 0} \frac{\|Tf_v^t + rTf\| - \|Tf_v^t\|}{r} = e(m_0, t')(Tf).$$

Since  $T$  is a linear isometry,  $e(l_1, t)(f) = e(m_0, t')(Tf)$ . Thus  $T^*e(m_0, t') = e(l_1, t)$ . Hence  $\tau(t) = t'$  and  $\tau(X) = Y$ .

2. The map  $\tau$  is injective: Let  $t_1, t_2 \in X$  be such that  $\tau(t_1) = \tau(t_2) = t'$ . From the definition of the map  $\tau$  it follows that there exist two functionals  $m'_1, m'_2 \in \text{Ext } U_E^*$  such that  $(*) T^*e(m'_1, t') = e(l_1, t_1)$  and  $T^*e(m'_2, t') = e(l_1, t_2)$ . Let  $T(M_1, t_1) = (N_1, t'_1)$  and  $T(M_1, t_2) = (N_2, t'_2)$ . Let  $y$  be a smooth point in  $M_1$ . Consider a function  $f_y^{t_1} \in C(X, E)$  such that  $f_y^{t_1}(t_1) = y$  and  $\|f_y^{t_1}(s)\| < 1$  for all  $s \in X \sim \{t_1\}$ . As already noted in the proof of (1) such a function  $f_y^{t_1}$  exists and further since  $f_y^{t_1}(t_1) \in M_1$ ,  $f_y^{t_1} \in (M_1, t_1)$ . Hence from Theorem 3 it is concluded that  $t'_1$  is the only point in  $Y$  such that  $\|Tf_y^{t_1}(t'_1)\| = 1$ . Thus  $t'_1 = t'$  since from equations  $(*)$  it follows that  $l_1(f_y^{t_1}(t'_1)) = m'_1(Tf_y^{t_1}(t')) = 1$  and the last equation implies that  $\|Tf_y^{t_1}(t')\| = 1$ . Further from Theorem 3 it follows that  $Tf_y^{t_1}(t'_1)$  is a smooth point of  $U_E$  and since  $Tf_y^{t_1}(t'_1) \in N_1$ ,  $m'_1$  is the only functional supporting  $U_E$  along  $N_1$ . Similarly it is verified that  $m'_2$  is the only functional supporting  $U_E$  along  $N_2$ . From the additional hypothesis on  $T$  there are two points  $x_1, x_2 \in \text{Ext } M_1$  such that  $TK_{x_1}(t') \neq TK_{x_2}(t')$ . Since  $K_{x_i} \in (M_1, t_i) \cap (M_1, t_2)$ , from our choice of  $N_1, N_2$ , it follows that  $TK_{x_i}(t'_i) \in N_1 \cap N_2$ . Thus  $N_1 \cap N_2 \supset \{TK_{x_1}(t'), TK_{x_2}(t')\}$  since  $t'_1 = t'$ . Hence  $\text{card}(N_1 \cap N_2) \geq 2$ . Hence from the property (3) in Lemma 3 it follows that  $N_1 = N_2$ . Since  $m'_1, m'_2$  are the unique supporting functionals along  $N_1, N_2$  respectively we conclude that  $m'_1 = m'_2$ . Thus  $T^*e(m_1, t') = e(l_1, t_2)$  as seen from equations  $(*)$ . Since  $e|_{(E^* \sim \{0\}) \times X}$  is 1-1 it follows that  $t_1 = t_2$ . Thus  $\tau$  is injective.

3. The map  $\tau$  is continuous: Since  $X, Y$  are first countable Hausdorff spaces it is enough to verify that if  $\{t_n\}_{n \geq 1}$  is a sequence converging to  $t \in X$  then  $\tau(t_n) \rightarrow \tau(t)$  in  $Y$ . Let us denote for convenience  $\tau(t_n) = t'_n$  and  $\tau(t) = t'$ . Let  $T^*e(l'_n, t'_n) = e(l_1, t_n)$  where  $l_1$  is as in the first paragraph of the proof. We note that  $l'_n \in \text{Ext } U_E^*$  for  $n \geq 1$ . If  $t'_n \rightarrow t'$  since  $Y$  is first countable compact space there exists a convergent subsequence  $\{t'_{n_i}\}$  in  $\{t'_n\}$ . Let  $t'_{n_i} \rightarrow c$ . Let  $x_0$  be a smooth point in  $M_1$ . Consider the function  $f_{x_0}^t \in C(X, E)$  such that  $f_{x_0}^t(t) = x_0$  and  $\|f_{x_0}^t(q)\| < 1$  if  $q \neq t$ . Since  $\|f_{x_0}^t(t_{n_i}) - f_{x_0}^t(t)\| \rightarrow 0$  it follows that  $l_1(f_{x_0}^t(t_{n_i})) \rightarrow l_1(f_{x_0}^t(t))$ . Thus  $l'_{n_i}(Tf_{x_0}^t(t_{n_i})) \rightarrow 1$  as readily seen from our choice of  $\{e(l'_n, t'_n)\}$ . Since  $\|Tf_{x_0}^t(t_{n_i}) - Tf_{x_0}^t(t)\| \rightarrow 0$  and  $\|Tf_{x_0}^t(t)\| \leq 1$  it is verified that  $\|Tf_{x_0}^t(t')\| = 1$ . Let  $T^*e(l'_i, t') = e(l_1, t)$ . Thus  $l_1(f_{x_0}^t(t)) = 1 = l'_i(Tf_{x_0}^t(t')) = \|l'_i\| = \|Tf_{x_0}^t(t')\|$ . Hence  $\|Tf_{x_0}^t(t')\| = 1$ . From Theorem 3 it follows that  $f_{x_0}^t$  is a smooth point of  $U_X$ . Hence  $Tf_{x_0}^t$  is a smooth point of  $U_Y$ . Further  $\|f_{x_0}^t\| = \|Tf_{x_0}^t\| = 1$ . Since  $\|Tf_{x_0}^t(t')\| = 1$  once again appealing to Theorem 3 we conclude that  $t' = c$ . Thus every convergent subsequence of  $\{\tau(t_n)\}$  converges to  $\tau(t)$ . Since  $Y$  is a first countable compact Hausdorff space  $\tau(t_n) \rightarrow \tau(t)$ . Since  $X, Y$  are compact spaces it follows that  $\tau$  is a homeomorphism.

A counter example. We discuss an example to justify the additional hypothesis on the isometry  $T$  in the preceding theorem. In what follows  $R^n$  is the  $n$ -dimensional real Banach space with supremum norm. For



a definition of topological sum of two topological spaces, we refer to Dugundji [3].

LEMMA 6. *If  $X$ ,  $Y$  are compact Hausdorff spaces then  $C(X, \mathbf{R}^n)$  is isometric with  $C(Y, \mathbf{R}^n)$  if and only if the sum of  $n$  copies of  $X$  is homeomorphic with the sum of  $n$  copies of  $Y$ .*

Proof. It is verified that  $C(X, \mathbf{R}^n)$  is isometric with  $C(Y, \mathbf{R}^n)$  if and only if  $C(X \times n, \mathbf{R})$  is isometric with  $C(Y \times n, \mathbf{R})$ . Hence from Banach-Stone theorem  $X \times n$  is homeomorphic with  $Y \times n$  i.e. the topological sum of  $n$  copies of  $X$  is homeomorphic with the topological sum of  $n$  copies of  $Y$ .

It is known that for each integer  $n \geq 2$ , there are non-homeomorphic compact metric spaces  $X$ ,  $Y$  such that  $X \times n$  is homeomorphic with  $Y \times n$ , Hanf [4]. A concrete description of such spaces  $X$ ,  $Y$ ,  $n = 2$  is provided in Sundaresan [10]. More generally there exist compact metric spaces  $X$ ,  $Y$  such that  $X \times k \neq Y \times k$  for  $k = 1, 2, \dots, n-1$ , and  $X \times n = Y \times n$ , Kroonenberg [6].

It follows from Lemma 6 and preceding remarks that there are non-homeomorphic compact metric spaces  $X$ ,  $Y$  such that  $C(X, \mathbf{R}^n)$  is isometric with  $C(Y, \mathbf{R}^n)$  for  $n \neq 2$ . This justifies the additional hypothesis on the isometry in the preceding theorem.

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#### Decompositions of set functions

by

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**Abstract.** Let  $\mathcal{A}$  be a ring of sets. With each set  $E \in \mathcal{A}$  a collection of classes  $\mathcal{D} \subset \mathcal{A}$ , consisting of disjoint sets, is associated in such a way that the set  $\mathfrak{S}$  of all resulting pairs  $(E, \mathcal{D})$  satisfies certain very natural conditions. The  $\mathfrak{S}$  is then called an additivity on  $\mathcal{A}$  (Section 2). Notions of  $\mathfrak{S}$ -additive and  $\mathfrak{S}$ -singular group valued set functions are next introduced and investigated to some degree; when specifying  $\mathfrak{S}$  one obtains, e.g., notions of  $\sigma$ -additive and purely finitely additive or  $\eta$ -continuous and  $\eta$ -singular functions. For a very important class of the so called exhaustive (= strongly bounded) set functions a decomposition theorem (3.11) is proved, whose special cases are the Hewitt-Yosida and Lebesgue decompositions for group valued functions. Analogons of general and special decompositions are established also for some nonadditive functions (summeasures) and for Fréchet-Nikodym topologies on  $\mathcal{A}$  (Section 4). By the way a theorem is given (2.14') which contains the Vitali-Hahn-Saks, Nikodym and Brooks-Jewett theorems.

**Introduction.** Let  $\mathcal{A}$  be a ring of sets and let  $\mu, \eta$  be additive real-valued set functions on  $\mathcal{A}$  with  $\mu$  bounded and  $\eta \geq 0$ . We say that  $\mu$  is  $\eta$ -continuous and write  $\mu \ll \eta$  if, given  $\varepsilon > 0$ , there is  $\delta > 0$  such that  $|\mu(E)| \leq \varepsilon$  whenever  $\eta(E) \leq \delta$ ,  $E \in \mathcal{A}$ . At first sight it is not seen at all that the properties " $\mu$  is countably additive" and " $\mu$  is  $\eta$ -continuous" have much in common. However, it can be proved ([10]; [7], II) that  $\mu \ll \eta$  iff  $\mu(E_n) \rightarrow 0$  provided  $E_n \searrow$  and  $\eta(E_n) \rightarrow 0$ ,  $(E_n) \subset \mathcal{A}$ . The latter condition can be equivalently formulated as follows: if  $(E_n)$  is a disjoint sequence of sets in  $\mathcal{A}$ ,  $E \in \mathcal{A}$ ,  $\bigcup_{n=1}^{\infty} E_n \subset E$  and  $\eta(E \setminus \bigcup_{k=1}^n E_k) \rightarrow 0$ , then  $\mu(E) = \sum_{n=1}^{\infty} \mu(E_n)$ ; the resemblance with the definition of countably additivity is striking. This observation was first made and employed by W. Orlicz in his study of absolute continuity of vector valued set functions [10]; it motivates the general notion of  $\mathfrak{S}$ -additivity introduced in Section 2. Also, it had suggested a quite natural conjecture that it should be possible to obtain the well known Hewitt-Yosida and Lebesgue decompositions of additive set function in a unified fashion. This is realized in the present paper for exhaustive additive set functions with values in an arbitrary abelian complete topological group  $G$ . The method we