

# Some properties of $l_p$ , $0 < p < 1$

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**Abstract.** This paper contains some results about  $l_p$  spaces for  $0 < p < 1$ . In particular, it contains a proof of the fact that every complemented infinite-dimensional subspace of  $l_p$  is isomorphic to  $l_p$  and a proof of the fact that every continuous linear map of a normed linear space into  $l_p$  is compact.

In [8] we investigated some of the properties of  $l_p$  spaces for  $0 < p < 1$ . We showed, for example, that each  $l_p$  contains a subspace no infinite-dimensional subspace of which is complemented in  $l_p$ , that each  $l_p$  contains an infinite-dimensional subspace not isomorphic to  $l_p$ , and that each  $p$ -normed separable  $F$ -space is a quotient of  $l_p$ . We add to these results here by showing that each complemented infinite-dimensional subspace of  $l_p$  is isomorphic to  $l_p$  and that each continuous linear mapping of a normed linear space into  $l_p$  is compact. We also give some other related results and state some problems concerning  $l_p$  spaces.

**1. Terminology and Notation.** The notation and terminology employed here seem to be fairly standard. We use the term  $F$ -space to denote a complete linear metric space and the symbol  $[x_n]$  to denote the smallest subspace spanned by the sequence  $\{x_n\}$ , where the term subspace is used only for a closed linear manifold. A subspace  $X$  is *complemented* if and only if there is a continuous linear projection onto  $X$ , and two spaces are *isomorphic* if and only if they are linearly homeomorphic. For  $0 < p < 1$ ,  $l_p$  will denote the  $F$ -space of all real sequences  $x = \{x_n\}$  such that  $\|x\|_p = \sum |x_n|^p < \infty$ , and  $L_1$  will denote the space of all Lebesgue summable functions on the interval  $(0, 1)$ . Two basic sequences  $\{a_n\}$  and  $\{b_n\}$  in an  $F$ -space are said to be *equivalent* if and only if  $\sum a_n a_n$  converges when and only when  $\sum a_n b_n$  converges. Finally, we will say that a linear metric space  $X$  is a  $p$ -normed space if  $X$  admits a  $p$ -homogeneous norm.

**2. Complements in  $l_p$ .** It was shown by A. Pełczyński in [4] that each complemented infinite-dimensional subspace of  $l_p$ ,  $p \geq 1$ , is isomorphic to  $l_p$ . His proof utilized the fact that each subspace of  $l_p$ ,  $p \geq 1$ , contains a complemented subspace which is isomorphic to  $l_p$ . Since there are

subspaces of  $l_p$ ,  $0 < p < 1$ , which contain no complemented infinite-dimensional subspaces (cf. [8]), this proof does not apply when  $0 < p < 1$ ; however, the theorem is true in this case as we will now show.

LEMMA 1. Let  $a_n = (a_1^n, a_2^n, \dots)$  and  $b_n = (0, \dots, a_{k_n}^n, a_{k_n+1}^n, a_{k_n+2}^n, \dots)$  be points in  $l_p$ ,  $0 < p < 1$ , such that  $\|a_n\|_p = 1$ ,  $\sum_{j=k_n}^{k(n+1)-1} |a_j^n| \geq r > 0$ , where  $\{k_n\}$  is a strictly increasing sequence of positive integers,

$$\sum_{j=k_n}^{k(n+1)-1} |a_j^n| \geq 1 - 1/2^{n+1}, \quad \text{and} \quad \sum \|b_j - a_j\|_p < (1/2)r^p.$$

Then the following hold.

- (1)  $\{a_n\}$  is a basic sequence equivalent to the unit vector basis in  $l_p$ .
- (2) There is a continuous projection  $P$  of  $l_p$  onto the space  $[b_n]$  and  $P$  is given by  $P(x) = \sum f_j(x)b_j$ .
- (3) The mapping  $A$  given by  $A(x) = x - P(x) + \sum f_j(x)a_j$  is an isomorphism of  $l_p$  onto  $l_p$ .

Proof. By the proof of Theorem 2.1 of [8],  $\{a_n\}$  is a basic sequence equivalent to the basic sequence  $\{b_n\}$  which is equivalent to the unit vector basis in  $l_p$ .

Since  $\sum_{j=k_n}^{k(n+1)-1} |a_j^n| \geq r > 0$  there exists a linear functional  $f_n$  and a corresponding sequence  $(0, \dots, f_{k_n}^n, \dots, f_{k(n+1)-1}^n, 0, \dots)$  such that  $f_n(b_m) = \sum_{j=1}^{\infty} f_j^n a_j^m = \delta_{m,n}$  and  $\sup_{j,n} |f_j^n| \leq 1/r$ . It is then easy to see that  $P(x) = \sum f_j(x)b_j$  is a continuous projection of  $l_p$  onto  $[b_n]$ .

The mapping  $A(x) = x - P(x) + \sum f_j(x)a_j$  is a well defined continuous mapping on  $l_p$  since  $\{a_j\}$  and  $\{b_j\}$  are equivalent basic sequences. We will show that this mapping is one-to-one and onto  $l_p$ . Note that

$$\begin{aligned} \|I(x) - A(x)\|_p &= \|P(x) - \sum f_j(x)a_j\|_p = \|\sum f_j(x)(b_j - a_j)\|_p \\ &\leq \sum |f_j(x)|^p \|b_j - a_j\|_p \leq \sum ((1/r)\|x\|_1)^p \|b_j - a_j\|_p \\ &\leq 1/r^p \|x\|_1^p \sum \|b_j - a_j\|_p < 1/2 \|x\|_1^p. \end{aligned}$$

Hence,  $\|I(x) - A(x)\|_1 \leq \|I(x) - A(x)\|_p^{1/p} \leq (1/2)^{1/p} \|x\|_1$ . A simple induction argument shows that  $\|(I-A)^n x\|_1^{1/p} \leq (1/2)^{n/p} \|x\|_1$  for each positive integer  $n$ . Recall that

$$\begin{aligned} A(I + (I-A) + (I-A)^2 + \dots + (I-A)^n) \\ &= (I + (I-A) + (I-A)^2 + \dots + (I-A)^n)A \\ &= I - (I-A)^{n+1}. \end{aligned}$$

Since

$$\|(I-A)^{n+1}x\|_p \leq (1/2)^{n+1} \|x\|_1^p, \quad \lim \| (I-A)^{n+1}x \|_p = 0.$$

Moreover,

$$\begin{aligned} \|(I-A)^m x + \dots + (I-A)^{m+k} x\|_p &\leq \|(I-A)^m x\|_p + \dots + \|(I-A)^{m+k} x\|_p \\ &\leq ((1/2)^m + \dots + (1/2)^{m+k}) \|x\|_1^p. \end{aligned}$$

This implies that the series  $\sum (I-A)^n x$  converges. Hence, it is easy to see that  $A$  is one-to-one and maps  $l_p$  onto  $l_p$ .

THEOREM 1. If  $X$  is a subspace of  $l_p$ ,  $0 < p < 1$ , then  $X$  contains no subspace which is both complemented in  $l_p$  and isomorphic to  $l_p$  if and only if  $S_X^p = \{x \in X: \|x\|_p \leq 1\}$  is a precompact subset of  $l_1$ , i. e. if and only if given any  $\varepsilon > 0$  there exists an integer  $N$  such that  $n \geq N$ ,  $a = (a_1, a_2, \dots) \in X$ , and  $\|a\|_p \leq 1$  implies  $\sum_{j=n}^{\infty} |a_j| \leq \varepsilon$ .

Proof. The equivalence of the last two conditions stated in the theorem follows from a theorem of Cohen and Dunford (cf. [7], p. 193). We will first show that if the second of these conditions is not satisfied, then  $X$  has a subspace  $Y$  which is both isomorphic to  $l_p$  and complemented in  $l_p$ .

Suppose that for some  $\varepsilon$ ,  $0 < \varepsilon \leq 1$ , there is a sequence  $\{a_n\}$  such that  $a_n = (a_1^n, a_2^n, \dots)$ ,  $\|a_n\|_p \leq 1$  and given any integer  $N$  there exists an  $a_n$  such that  $\sum_{j=N}^{\infty} |a_j^n| > \varepsilon$ . We note that by using a diagonal process if necessary we can assume that  $\lim_n a_k^n$  exists for each  $n$ . Let  $a_k = \lim_n a_k^n$ .

We select two strictly increasing sequences  $\{h_n\}$  and  $\{k_n\}$  of non-negative integers and a sequence  $\{b_n\}$  of vectors inductively in the following manner. Let  $b_0 = a_1$ ,  $h_0 = 0$  and choose  $k_0$  such that  $\sum_{j=k_0}^{\infty} |a_j|^p < (1/8)(\varepsilon/2)^p$  and  $\sum_{j=1}^{k_0} |a_j| > \varepsilon/2$ . Having selected  $h_1, \dots, h_{2n}$ ,  $k_1, \dots, k_{2n}$ , and  $b_n$ , select  $h_{2n+1}$  such that

$$(1) \quad \sum_{j=1}^{k_{2n}} |a_j^m - a_j|^p < 1/2^{n+5} (\varepsilon/2)^{2p}$$

for all  $m \geq h_{2n+1}$ . Select  $k_{2n+1}$  such that

$$(2) \quad \sum_{j=k_{2n+1}}^{\infty} |a_j^{h_{2n+1}}| < \varepsilon/2.$$

Then select  $h_{2n+2}$  such that

$$(3) \quad \sum_{j=h_{2n+1}}^{\infty} |a_j^{h_{2n+2}}| > \varepsilon.$$

Let  $\tilde{b}_{n+1} = a_{h_{2n+2}} - a_{h_{2n+1}}$ . Then  $\|b_{n+1}\|_1 > \varepsilon/2$  and  $\|b_{n+1}\|_p \leq 2$ . Hence  $(\varepsilon/2)^p \leq \|b_{n+1}\|_p \leq 2$ . Finally, choose  $k_{2n+2}$  such that

$$(4) \quad \sum_{j=k_{2n+2}}^{\infty} |b_j^{n+1}|^p < (1/2^{n+4})(\varepsilon/2)^{2p}$$

and

$$(5) \quad \sum_{j=k_{2n+1}}^{k_{2n+2}} |b_j^{n+1}| > \varepsilon/2,$$

where  $b_n = (b_1^n, b_2^n, \dots)$ . Let  $c_n = b_n / \|b_n\|_p^{1/p}$ ; then  $\|c_n\|_p = 1$ . Now let  $c_n = (c_1^n, c_2^n, \dots)$ , and  $\tilde{c}_n = (0, \dots, 0, c_{k_{2n+1}}^n, \dots, c_{k_{2n+2}}^n, 0, \dots)$ . We will show that  $\{c_n\}_1^\infty$  satisfies the conditions of Lemma 1 with  $r = (1/2^{1/p})(\varepsilon/2)$ . Let  $K_{n+1} = \{j: j \text{ is an integer and } k_{2n}+1 \leq j \leq k_{2n+2}\}$ . Then (1) implies that  $\sum_{j=1}^{k_{2n}} |b_j^{n+1}|^p < 1/2^{n+4}(\varepsilon/2)^{2p}$  and (4) implies that  $\sum_{j=k_{2n+2}}^{\infty} |b_j^{n+1}| < 1/2^{n+4} \times (\varepsilon/2)^{2p}$ . Hence

$$(6) \quad \sum_{j \in K_{n+1}} |b_j^{n+1}|^p < 1/2^{n+3}(\varepsilon/2)^{2p}.$$

Thus

$$\begin{aligned} \|c_n - \tilde{c}_n\|_p &= \sum_{j \notin K_n} |c_j^n|^p = \sum_{j \notin K_n} |b_j^n|^p / \|b_n\|_p \leq \sum_{j \notin K_n} |b_j^n|^p / (\varepsilon/2)^p \\ &< 1/2^{n+2}(\varepsilon/2)^{2p} / (\varepsilon/2)^p = 1/2^{n+2}(\varepsilon/2)^p. \end{aligned}$$

This implies that

$$\sum_{n=1}^{\infty} \|c_n - \tilde{c}_n\|_p < \sum_{n=1}^{\infty} 1/2^{n+2}(\varepsilon/2)^p = 1/4(\varepsilon/2)^p = 1/2(1/2^{1/p}\varepsilon/2)^p.$$

Also

$$\begin{aligned} \sum_{j=k_{2n-2}+1}^{k_{2n}} |c_j^n| &\geq \sum_{j=k_{2n-2}+1}^{k_{2n}} |c_j^n| = \sum_{j=k_{2n-2}+1}^{k_{2n}} |b_j^n| / \|b_n\|_p^{1/p} \\ &\geq 1/2^{1/p} \sum_{j=k_{2n-2}+1}^{k_{2n}} |b_j^n| \geq 1/2^{1/p}\varepsilon/2 \end{aligned}$$

by (5). Finally

$$\sum_{j=k_{2n-2}+1}^{k_{2n}} |c_j^n|^p \geq 1 - \sum_{j \notin K_n} |c_j^n|^p \geq 1 - 1/2^{n+2}(\varepsilon/2)^{2p}$$

by (6). Thus, since  $(\varepsilon/2)^{2p} < 1$ ,

$$\sum_{j=k_{2n-2}+1}^{k_{2n}} |c_j^n|^p \geq 1 - 1/2^{n+2}.$$

This shows that  $c_{n+1}$  satisfies the conditions of Lemma 1. Thus there is a projection  $P, P(x) = \sum f_j(x)c_j$ , of  $l_p$  onto  $[\tilde{c}_j]$  and the mapping  $A(x) = x - P(x) + \sum f_j(x)c_j$  is an isomorphism of  $l_p$  onto  $l_p$ . This implies that  $Q = APA^{-1}$  is a continuous projection of  $l_p$  onto  $[c_j]$ . Since  $[c_j]$  is a subspace of  $X$  which is isomorphic to  $l_p$  by Lemma 1, this completes the proof of the first implication.

Suppose that  $S_X^p$  is a precompact subset of  $l_1$  and that  $Y$  is an infinite-dimensional subspace of  $X$  which is isomorphic to  $l_p$ . Since  $S_Y^p \subset S_X^p$ ,  $S_Y^p$  is precompact in  $l_1$  (cf. [6], p. 51), and so  $co S_Y^p$  is precompact in the  $l_1$  topology of  $Y$ . Thus the Minkowski functional of  $co S_Y^p$  is a norm,  $|\cdot|$ , on  $Y$  which is strictly stronger than  $\|\cdot\|_1$  on  $Y$ . This implies that there is a linear functional  $f$  which is continuous with respect to  $|\cdot|$  which is not continuous with respect to  $\|\cdot\|_1$ . Thus  $f$  cannot be extended to a continuous linear functional on  $l_p$ . However, since  $Y$  is complemented in  $l_p$ , every continuous linear functional on  $Y$  can be extended to a continuous linear functional on  $l_p$ . This contradiction completes the proof of the theorem.

**THEOREM 2.** Every complemented infinite-dimensional subspace of  $l_p$ ,  $0 < p < 1$ , is isomorphic to  $l_p$ .

**Proof.** Let  $X$  be an infinite-dimensional complemented subspace, of  $l_p$ . If  $X$  contains a subspace which is both complemented in  $l_p$  and isomorphic to  $l_p$ , then  $X$  is isomorphic to  $l_p$  by Proposition 4 of [4] (cf. [8]). Hence if  $X$  is not isomorphic to  $l_p$ ,  $S_X^p = \{x \in X: \|x\|_p \leq 1\}$  is a precompact subset of  $l_1$  by Theorem 1. However, since  $X$  is complemented, the last part of the proof of Theorem 1 shows that  $S_X^p$  cannot be precompact. We conclude that  $X$  is isomorphic to  $l_p$ .

**3. Extension Property.** It seems natural to ask for a characterization of the infinite-dimensional subspaces of  $l_p$  for which every continuous linear functional can be extended continuously to all of  $l_p$ . It follows of course from the proof of Theorem 1 that no such subspace whose unit ball is  $l_1$  precompact has this property. Although we cannot give a satisfactory characterization of these subspaces, we can show that the  $l_1$  precompactness of their unit ball does not characterize them. Indeed, the referee has pointed out to us that a simple example of such a subspace can be obtained in the following manner. Select two isometric copies,  $X$  and  $Y$ , of  $l_p$  such that  $X$  and  $Y$  have "disjoint supports" and the unit ball in  $X$  is  $l_1$  precompact while the unit ball of  $Y$  is not  $l_1$  precompact (cf. [8]). Then if  $Z$  is the direct sum  $Z = X \oplus Y$ ,  $Z$  is easily seen to have the desired properties. We will now give another example of such a subspace. This subspace  $X_p$  has some interesting properties which will be discussed in the following lemmas. In particular  $X_p$  (as a subset of  $l_1$ ) is dense in  $U_0$  where  $U_0$  is a subspace of  $l_1$  which is an  $\mathcal{L}_1$  space without

an unconditional basis which is not isomorphic to  $l_1$  (cf. [1] and [3]). Furthermore, if  $\hat{X}_p$  denotes the completion of  $X_p$  when  $X_p$  has the strongest locally convex topology weaker than the  $l_p$  topology, then  $\hat{X}_p$  is isomorphic to  $l_1$ .

We begin by letting  $A$  be the continuous linear mapping of  $l_1$  onto  $L_1$  such that

$$A(b_{2^n+k}) = 2^n \chi_{[k2^n - n, (k+1)2^n - n]},$$

$0 \leq k < 2^n$ ,  $n = 0, 1, \dots$ , where  $b_j = (0, \dots, 0, 1_j, 0, \dots)$ . This mapping is essentially  $T_0$ , the mapping given by Lindenstrauss in [1]. It is stated without proof in [1] that the mapping  $T_0$  is an isometry of  $l_1/U_0$  onto  $L_1$  where  $U_0$  is the kernel of  $T_0$ . It is not difficult to see that  $A$  is also an isometry of  $l_1/X_1$  onto  $L_1$  where  $X_1$  is the kernel of  $A$  in  $l_1$ . Let  $e_n$  be the element in  $l_1$  defined by

$$e_n(i) = \begin{cases} 1 & \text{if } i = n, \\ -\frac{1}{2} & \text{if } i = 2n, 2n+1, \\ 0 & \text{otherwise} \end{cases}$$

for  $n = 1, 2, \dots$ . Obviously  $A(e_n) = 0$ .

LEMMA 2. For each  $p$ ,  $0 < p \leq 1$ , the sequence  $\{e_n\}$  is a monotone basis for a subspace of  $l_p$ .

Proof. Let  $\{a_j\}$  be any sequence of real numbers, and, for each  $n$ , let  $a^n = a_1 e_1 + \dots + a_n e_n$ . If  $[(n+1)/2]$  denotes the smallest integer greater than or equal to  $(n+1)/2$ , then

$$\begin{aligned} \|a^{n+1}\|_p - \|a^n\|_p &= |a_{n+1} - \frac{1}{2} a_{[(n+1)/2]}|^p + 2|\frac{1}{2} a_{n+1}|^p - |\frac{1}{2} a_{[(n+1)/2]}|^p \\ &\geq |\frac{1}{2} a_{[(n+1)/2]}|^p - |a_{n+1}|^p + 2/2^p |a_{n+1}|^p - |\frac{1}{2} a_{[(n+1)/2]}|^p \geq 0 \end{aligned}$$

because  $0 < p \leq 1$ . The theorem follows immediately when  $p = 1$ ; the theorem follows for  $0 < p < 1$  from the proof of a well-known theorem of M. M. Grynblum (see e. g. [9], p. 211).

LEMMA 3. For  $0 < p \leq 1$ , let  $X_p = \{x \in l_p : A(x) = 0\}$ ; then  $\{e_j\}$  is a basis for  $X_p$ .

Proof. Since  $\{e_j\}$  is a basic sequence and  $e_j \in X_p$ , it is sufficient to show that the set of all finite linear combinations of the form  $\sum a_k e_k$  is dense in  $X_p$ . To see that this is true, let  $x = (x_1, x_2, \dots)$  be any element in  $X_p$ , and let  $\varepsilon$  be any positive number. Choose a positive integer  $N$  such that

$$\sum_{j=2^{2N}} |x_j|^p < \varepsilon/2,$$

and choose  $\bar{x}_j$ ,  $2^N \leq j \leq 2^{N+1}-1$ , such that

$$A(x_1, \dots, x_{2^N-1}, \bar{x}_{2^N}, \dots, \bar{x}_{2^{N+1}-1}, 0, \dots) = 0.$$

Since  $A(0, \dots, 0, \bar{x}_{2^N}, \dots, \bar{x}_{2^{N+1}-1}, 0, \dots) = A(0, \dots, 0, x_{2^N}, x_{2^{N+1}}, \dots)$ , it follows that

$$A(0, \dots, 0, \bar{x}_k, 0, \dots) = A(0, \dots, 0, x_k, 0, \dots, 0, x_{2k}, x_{2k+1}, 0, \dots, 0, x_{4k}, \dots, x_{4k+3}, 0, \dots)$$

for  $2^N \leq k \leq 2^{N+1}-1$ . Since  $A: l_1/X_1 \rightarrow L_1$  is an isometry,

$$\begin{aligned} \|\bar{x}_k\| &= d((0, \dots, 0, \bar{x}_k, 0, \dots), X_1) \\ &= d((0, \dots, 0, x_k, 0, \dots, 0, x_{2k}, x_{2k+1}, 0, \dots), X_1) \\ &\leq \|(0, \dots, 0, x_k, 0, \dots, 0, x_{2k}, x_{2k+1}, 0, \dots)\| \end{aligned}$$

for  $2^N \leq k \leq 2^{N+1}-1$ , where  $d(x, X_1)$  denotes the  $l_1$  distance of  $x$  from  $X_1$ . Therefore,

$$\|(0, \dots, 0, \bar{x}_{2^N}, \dots, \bar{x}_{2^{N+1}-1}, 0, \dots)\|_p \leq \|(0, \dots, 0, x_{2^N}, x_{2^{N+1}}, \dots)\|_p < \varepsilon/2$$

and this implies that

$$\|x - (x_1, \dots, x_{2^N-1}, \bar{x}_{2^N}, \dots, \bar{x}_{2^{N+1}-1}, 0, \dots)\|_p < \varepsilon.$$

Since  $(x_1, \dots, x_{2^N-1}, \bar{x}_{2^N}, \dots, \bar{x}_{2^{N+1}-1}, 0, \dots) \in X_p$ , this last inequality completes the proof.

LEMMA 4. There exists a positive number  $K_p$  such that  $K_p \overline{\text{co}}_{X_p}(\{e_j\}) \supset \{x \in X_p : \|x\|_p \leq 1\}$  where  $\overline{\text{co}}_{X_p}(\{e_j\})$  is the  $l_p$  closure of the convex hull of the set  $\{e_j\}$ .

Proof. If  $x = a_1 e_1 + \dots + a_n e_n$  and  $\|x\|_p \leq 1$ , then an application of the triangle inequality yields

$$|a_1|^p + 2^{1-p} \left( \sum_1^n |a_j|^p \right) - \sum_1^n |a_j|^p \leq 1.$$

Therefore,

$$\sum_1^n |a_j|^p \leq 1/(2^{1-p} - 1) \quad \text{and} \quad \sum_1^n |a_j| \leq (1/(2^{1-p} - 1))^{1/p}.$$

By letting  $K_p = (1/(2^{1-p} - 1))^{1/p}$  and noting that  $\{e_j\}$  is a monotone basis for  $X_p$ , we see that the conclusion follows easily.

Since  $X_p$  is a locally bounded space in the topology it inherits from  $l_p$ , the strongest locally convex topology on  $X_p$  which is weaker than the topology of  $X_p$  is a norm topology. A neighborhood base at the origin for this topology can be obtained by taking the convex hull of  $l_p$  neighborhoods of the origin. This norm topology will be called the *Mackey topology* for  $X_p$ . The Mackey topology is obviously stronger than the topology induced on  $X_p$  by the  $l_1$  norm. However, an even stronger statement is true as is shown by the following lemma.

**LEMMA 5.** *The Mackey topology on  $X_p$  is strictly finer than the topology induced on  $X_p$  by the  $l_1$  norm.*

**Proof.** Since the Mackey topology is a norm topology, we can assume that its unit ball is the set  $\text{co}_{X_p}(\{x \in X_p: \|x\|_p \leq 1\})$ . Since this set is, by Lemma 4, contained in  $K_p \overline{\text{co}_{X_p}}(\{e_j\})$ , it suffices to show that  $R \overline{\text{co}_{X_p}}(\{e_j\})$  does not contain  $\{x \in X_p: \|x\|_1 \leq 1\}$  for any real number  $R$ . Suppose to the contrary that  $R \overline{\text{co}_{X_p}}(\{e_j\})$  contains  $\{x \in X_p: \|x\|_1 \leq 1\}$ . Then, since  $\{e_j\}$  is a monotone basis for  $X_1$ , it follows that  $R \overline{\text{co}_{X_1}}(\{e_j\}) = \{x \in X_1: \|x\|_1 \leq 1\}$ . Since the  $l_1$  topology on  $X_1$  is obviously weaker than the norm topology whose unit ball is  $\overline{\text{co}_{X_1}}(\{e_j\})$ , this implies that the two topologies on  $X_1$  are equivalent. We will complete the proof by showing that this is impossible.

Consider the linear mapping  $T$  of  $l_1$  into  $X_1$  which maps  $(0, \dots, 0, 1, 0, \dots)$  onto  $\frac{1}{2}e_j$ . This mapping is one-to-one and continuous. Since  $\{e_j\}$  is a basis and the coefficient functionals are continuous,  $\{x \in X_1: x = \sum a_j e_j \text{ and } \sum |a_j| \leq 1\}$  is an  $l_1$  closed convex subset of  $X_1$ . This implies that the range of  $T$  contains  $\overline{\text{co}_{X_1}}(\{e_j\})$ . Thus, since  $R \overline{\text{co}_{X_1}}(\{e_j\}) \supset \{x \in X_1: \|x\|_1 \leq 1\}$ ,  $T$  maps  $l_1$  onto  $X_1$ . Hence  $X_1$  is isomorphic to  $l_1$ ; however, this gives a contradiction since Lindenstrauss has shown that  $X_1$  is not isomorphic to  $l_1$  (cf. [1]).

The above lemma clearly implies the following theorem.

**THEOREM 3.** *For each  $p$ ,  $0 < p < 1$ , the set  $\{x \in X_p: \|x\|_p \leq 1\}$  fails to be a precompact subset of  $l_1$  and there is a continuous linear functional on  $X_p$  which cannot be extended continuously to all of  $l_p$ .*

Since each complemented subspace  $X$  has the *extension property*, i. e., each continuous linear functional on  $X$  can be extended to a continuous linear functional on  $l_p$ , one might suspect that the quotient space  $l_p/X$  determines those  $X$  which have the extension property. Thus we state the following.

**PROBLEM 0.** *If  $X$  is a subspace of  $l_p$  and  $l_p/X$  is locally convex, does  $X$  have the extension property?*

In connection with this problem, we recall (cf. [8]) that every separable Banach space  $B$  is the quotient of each  $l_p$  space,  $0 < p < 1$ . In fact if  $\{z_n\}$  is any sequence dense in the unit ball of  $B$  and  $\{e_n\}$  is the usual unit vector basis in  $l_p$ , then the linear extension of the mapping  $A$  such that  $A(e_n) = z_n$  is a continuous linear mapping of  $l_p$  onto  $B$  for each  $p$ ,  $0 < p \leq 1$ . A slightly stronger result is given in the following.

**PROPOSITION.** *Let  $B$  be a separable Banach space and  $A: l_p \rightarrow B$  a mapping of the form given above. If  $l_0 \bigcap_{p>0} l_p$  and  $X_p = \{x \in l_p: A(x) = 0\}$ , then  $B$  is a quotient of  $l_0$  and every element  $y$  in  $l_p$  can be written as  $y = u + v$  where  $u \in l_0$  and  $v$  is in the  $p$ -closure of  $X_0$ .*

**Proof.**  $l_0$  is an  $F$ -space when given the "sup" topology, and  $A: l_0 \rightarrow B$  is clearly continuous in this case. By using the technique given in Theorem 4.1 of [8], one can show that  $A$  actually maps  $l_0$  onto  $B$ . Hence  $B$  is a quotient of  $l_0$ . Since  $l_0$  is dense in  $l_p$  and  $l_0/X_0$  is isomorphic to  $B$ ,  $X_0$  is dense in  $X_p$ . If  $y$  is any element of  $l_p$ , there is an element  $u$  in  $l_0$  such that  $A(u) = A(y)$ . Hence  $y - u$  is in  $X_p$ .

**4. Mappings.** In this section, we investigate properties of mappings into  $l_p$  spaces.

**THEOREM 4.** *Every continuous linear mapping of a normed linear space into  $l_p$ ,  $0 < p < 1$ , is compact.*

**Proof.** Let  $X$  be a normed linear space and  $A$  a mapping of  $X$  into  $l_p$ . Then  $A(x) = \sum f_j(x)e_j$  where  $\{e_j\}$  is the unit vector basis in  $l_p$  and  $f_j$  is a continuous linear functional on  $X$ . Let  $S_X$  be the unit ball of  $X$ . If  $A(S_X)$  is not precompact, then by Theorem B. IV. 2.1 of [7] there is an  $\varepsilon > 0$  and a sequence  $\{x_n\}$  of elements of  $S_X$  such that given any positive integer  $N$  there exists an integer  $n$  such that  $\sum_{j=N}^{\infty} |f_j(x_n)|^p > \varepsilon$ . Let  $A(x_n) = y_n = (y_1^n, y_2^n, \dots)$ . By using a diagonalization process if necessary, we can assume that  $\lim_n y_j^n$  exists for each  $n$ . Let  $y_j = \lim_n y_j^n$ . Select two strictly increasing sequences  $\{h_n\}$  and  $\{k_n\}$  of non-negative integers and a sequence  $\{z_n\}$  of vectors in the following manner. Let  $z_0 = y_1$ ,  $h_0 = 0$ , and choose  $k_0$  such that

$$\sum_{j=k_0}^{\infty} |y_j^1|^p < \varepsilon/4 \quad \text{and} \quad \sum_{j=1}^{k_0} |y_j^1|^p > \varepsilon/2.$$

Having chosen  $z_n, h_1, \dots, h_{2n}$ , and  $k_1, \dots, k_{2n}$ , choose  $h_{2n+1}$  such that

$$(1) \quad \sum_{j=1}^{k_{2n}} |y_j^m - y_j^1|^p < \varepsilon/2^{n+2}$$

for every  $m \geq h_{2n+1}$ . Select  $k_{2n+1}$  such that

$$(2) \quad \sum_{j=k_{2n+1}}^{\infty} |y_j^{h_{2n+1}}|^p < \varepsilon/2;$$

then select  $h_{2n+2}$  such that

$$(3) \quad \sum_{j=k_{2n+1}}^{\infty} |y_j^{h_{2n+2}}|^p > \varepsilon,$$

and let  $z_{n+1} = y^{h_{2n+2}} - y^{h_{2n+1}}$ . Finally, use (2) and (3) to choose  $k_{2n+2}$  such that

$$(4) \quad \sum_{j=k_{2n+2}}^{\infty} |z_j^{n+1}|^p < \varepsilon/2^{n+3}$$



and

$$(5) \quad \sum_{j=k_{2n+1}}^{k_{2n+2}} |z_j^{n+1}|^p > \varepsilon/2,$$

where  $z_{n+1} = (z_1^{n+1}, z_2^{n+1}, \dots)$ . Note that (1) implies

$$(6) \quad \sum_{j=1}^{k_{2n}} |z_j^{n+1}|^p < \varepsilon/2^{n+1}.$$

Also note that  $A(x^{h_{2n+2}} - x^{h_{2n+1}}) = z_{n+1}$  and that for each positive integer  $m$ ,

$$\|(1/m)((x^{h_2} - x^{h_1}) + (x^{h_4} - x^{h_3}) + \dots + (x^{h_{2m}} - x^{h_{2m-1}}))\| \leq 2.$$

On the other hand

$$\begin{aligned} & \|(1/m)(z_1 + z_2 + \dots + z_m)\|_p \\ & \geq (1/m^p) \left( \left( \sum_{j=1}^{k_2} |z_j^1|^p - \sum_{r \neq 1} \sum_{j=1}^{k_2} |z_j^r|^p \right) + \left( \sum_{j=k_2+1}^{k_4} |z_j^2|^p - \sum_{r \neq 2} \sum_{j=k_2+1}^{k_4} |z_j^r|^p \right) + \right. \\ & \quad \left. + \dots + \left( \sum_{j=k_{2m-2}+1}^{k_{2m}} |z_j^m|^p - \sum_{r \neq m} \sum_{j=k_{2m-2}+1}^{k_{2m}} |z_j^r|^p \right) \right) \geq (1/m^p)(\varepsilon/2 - \varepsilon/4)m \end{aligned}$$

by (4), (5), and (6). Since  $\varepsilon/4 m^{\frac{1-p}{p}} \rightarrow \infty$  as  $m \rightarrow \infty$ ,  $A(S_X)$  is not a bounded set in  $l_p$ . Thus  $A: X \rightarrow l_p$  is not continuous.

**COROLLARY.** If  $X$  is a reflexive Banach space and  $T: X \rightarrow l_p$ ,  $0 < p < 1$ , is continuous, then  $T(S_X)$  is compact where  $S_X$  is the unit ball of  $X$ .

**Proof.**  $T$  is weakly continuous. Hence  $T(S_X)$  is weakly compact and therefore closed.

We note that no continuous linear mapping of a Banach space into  $l_p$ ,  $0 < p < 1$ , can have a closed infinite-dimensional range. We also note that Theorem 4 could easily be extended to show that a continuous linear mapping of a  $q$ -normed space into  $l_p$  is compact whenever  $q > p$  and  $0 < p < 1$ .

**5. Problems.** It is known from [3] that every normalized unconditional basis of  $l_1$  is equivalent to the unit vector basis  $\{e_n\}$ . This leads to the following.

**PROBLEM 1.** Is every normalized unconditional basis of  $l_p$ ,  $0 < p < 1$ , equivalent to  $\{e_n\}$ ?

It was shown in [5] that the identity map  $I: l_1 \rightarrow l_q$ ,  $q \geq 1$  is absolutely summing if and only if  $q \geq 2$ . This leads to the following.

**PROBLEM 2.** For precisely what values of  $q$ ,  $q > p$ , is  $I: l_p \rightarrow l_q$  absolutely summing?

In [2], the authors show that if  $l_1/X$  is isomorphic to  $l_1/Y$  where  $X$  and  $Y$  are infinite-dimensional subspaces of  $l_1$ , then  $X$  is isomorphic to  $Y$ . Their proof is based on three properties of  $l_1$  given in Lemma 2 of [2]. Since the first two of these properties hold in  $l_p$ ,  $0 < p < 1$ , and it appears possible to replace the third property with a weaker condition, it seems natural to ask the following.

**PROBLEM 3.** If  $X$  and  $Y$  are infinite-dimensional subspaces of  $l_p$ ,  $0 < p < 1$ , and if  $l_p/X$  is isomorphic to  $l_p/Y$ , is  $X$  isomorphic to  $Y$ ?

**PROBLEM 4.** Is every complemented subspace of  $l_p$ ,  $0 < p < 1$ , isomorphic to  $L_q$  for some  $q$ ,  $0 < q < 1$ ?

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