

Now we define a *prequojection* as a Fréchet space whose strong bidual is a quojection or, equivalently, whose strong dual has a representation as a strict (LB)-space (cf. [6]). A prequojection is *proper* (or *nontrivial*) if it is not a quojection. By [6, § 4] every strongly nonnorming subspace  $M$  in a dual Banach space  $X'$  gives rise to a prequojection  $F$  with a continuous norm (obviously proper).  $F$  is just the projective limit of the sequence  $(F_n)$ , where  $F_n$  is the completion of  $X$  for the norm generated by the polar of the unit ball of  $M^n$ , so that  $F'_n = M^n$ . Hence Theorem 3 enables us to assert

**THEOREM 4.** *There is a prequojection  $F$  such that:*

(a)  $F$  is separable and has a continuous norm (is even countably normed by [6, § 4]);

(b)  $F'_\beta \simeq \bigoplus_n l^1$ .

Therefore:

(c)  $F$  and the quojection  $\prod_n c_0$  have isomorphic duals;

(d)  $F'_\beta$  has an unconditional (even absolute) basis;

(e)  $F$  has the approximation property but not the bounded approximation property.

((e) follows from [5, Proposition 4.1(b)] and [10, Remark 4].)

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## Unconditional bases and the Radon–Nikodým property

by

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**Abstract.** It is known that if  $X$  has an unconditionally basic finite-dimensional decomposition (UBFDD), then each of RNP, KMP, and PCP is equivalent to  $X$  not having a subspace isomorphic with  $c_0$ . If  $X$  is a subspace of a space with an unconditional basis, then RNP and KMP are equivalent. It is shown that there is a Banach space  $X$  which is a subspace of a space with an unconditional basis, but  $X$  does not have RNP or KMP,  $X$  has PCP, and no subspace of  $X$  is isomorphic with  $c_0$ .

A Banach space  $X$  has the *Radon–Nikodým property* (RNP) if the Radon–Nikodým theorem is valid for Bochner integration and bounded-variation measures with values in  $X$ ;  $X$  has the *Krein–Milman property* (KMP) if each closed convex subset of  $X$  is the closure of the convex span of its extreme points; and  $X$  has the *point-of-continuity property* (PCP) if, for each bounded closed nonempty subset  $C$  of  $X$ , there is a point  $x$  of  $C$  such that the weak and norm topologies (restricted to  $C$ ) coincide at  $x$ .

Rather than using the definition of RNP, we will use the fact that  $X$  has RNP if and only if  $X$  does not contain a bush (for an easy proof of this, see [5, Theorem 7, p. 354]). A *bush* in a Banach space  $X$  is a bounded partially ordered subset  $B$  of  $X$  for which each member has at least two (but finitely many) successors and is a convex combination of its successors, there is a positive *separation constant*  $\delta$  such that  $\|v - u\| \geq \delta$  if  $v$  is a successor of  $u$ , and  $B$  has a first member to which each member of  $B$  can be joined by a linearly ordered chain of successive members of  $B$ . If the chain that joins a member  $b$  of  $B$  to the first member has  $n$  members, then  $b$  is said to be of *order  $n$* . An *approximate bush* is a set  $B^n$  that satisfies all the hypotheses for a bush except that instead of requiring that each member is a convex combination of its successors, it is assumed that there is a sequence of positive numbers  $\{\delta_n\}$  for which  $\sum_1^n \delta_n < \infty$  and each member of  $B^n$  of order  $n$  differs from a convex combination of its successors by less than  $\delta_n$ .

It has long been known that a Banach space  $X$  has RNP if each separable subspace is isomorphic to a subspace of a separable dual (e.g., if  $X$  is reflexive or if  $X$  is isomorphic to a subspace of a space that has a boundedly complete basis). The converse is false (see [1] or [8]). Also,  $\text{RNP} \Rightarrow \text{KMP}$  [9, Theorem 2]. If  $X$  has PCP, then  $\text{RNP} \Leftrightarrow \text{KMP}$  [10, Theorem 2.1]. If  $X$  is a subspace of a space with an unconditional basis, then  $\text{RNP} \Leftrightarrow \text{KMP}$  and  $\text{KMP} \Rightarrow \text{PCP}$ .

Also, if the space with an unconditional basis has no subspace isomorphic with  $c_0$ , then RNP, KMP, and PCP are equivalent for  $X$  [6, Theorem 4.7]. If  $X$  itself has an UBFDD, then each of RNP, KMP, and PCP is equivalent to  $X$  not having a subspace isomorphic with  $c_0$  [6, Theorem 4.8]. The purpose of this paper is to show that this conclusion is false if it is assumed only that  $X$  is a subspace of a space with an unconditional basis.

The proof of the following theorem, as well as attempts to prove there do not exist such  $X$  and  $Z$  that have properties (a), (b), and (c) of this theorem, was motivated heavily by the fact that, if  $X \subset Z$ ,  $X$  fails RNP, and  $Z$  has a FDD  $\{\varphi_n\}$ , then there is a positive number  $\delta$  such that, for any sequence of positive numbers  $\{\beta_n\}$ , there is a bush  $B$  in the unit ball of  $X$  with separation constant  $\delta$  and a sequence  $\{\Phi_n: n \geq 0\}$  of consecutive blocks of  $\{\varphi_n\}$  such that  $\Phi(0)$  is empty and, if  $\Delta$  is a difference of order  $n$  for  $B$ , then

$$\Delta \in \text{lin}\{\Phi_{n-1}, \Phi_n\} + N(Z, \beta_n),$$

where  $N(Z, \beta_n)$  is the  $\beta_n$ -neighborhood of 0 in the space  $Z$  (see Lemma 1.2 of [6]). Actually, there may be some connection between the need for  $N(Z, \beta_n)$  and the apparent need to use an approximate bush in the proof of the theorem.

Note that  $X$  having properties (a)-(c) of the theorem gives a negative answer to the question raised in [2, Remark 3.4]: Is  $X$  a subspace of a space with a boundedly complete unconditional basis if  $X$  is a subspace of a space with an unconditional basis and  $X$  has no subspace isomorphic with  $c_0$ ?

**THEOREM.** *There is a Banach space  $X$  which is a subspace of a Banach space  $Z$  for which  $X$  and  $Z$  have the properties:*

- (a)  $Z$  has an UBFDD (and therefore is contained in a space with an unconditional basis [7, p. 51]).
- (b)  $X$  does not have RNP.
- (c)  $X$  has no subspace isomorphic with  $c_0$ .
- (d)  $X$  has PCP.
- (e)  $X$  does not have KMP.
- (f)  $X$  does not have an UBFDD.

**Proof.** To define  $X$  and  $Z$ , we first let  $\{N_i\}$  be a sequence of sets for which  $\sum |N_i|^{-1/2} < \infty$  and  $|N_i| \geq 2$  for each  $i$ . Then we introduce, for each  $k \geq 1$ , the set of symbols

$$D_k = \{A_k^\alpha: \alpha \in \prod_1^k N_i\} \cup \{\delta_k^\alpha: \alpha \in \prod_1^{k+1} N_i\}.$$

For notational convenience later, we let  $\delta_0^\alpha = 0$ . Let  $V$  be the natural vector space of all formal linear combinations with real coefficients of members of  $\bigcup_{k=1}^\infty D_k$ . After a norm has been introduced on  $V$ , the resulting normed linear space will be denoted by  $Z_0$  and the completion of  $Z_0$  will be denoted by  $Z$ . We let  $\Phi_k$  denote  $\text{lin}(D_k)$  for each  $k$ . It will be seen that  $\{\Phi_k\}$  is an UBFDD for  $Z$ .

Let  $V^+$  be the subspace of  $V$  for which

$$V^+ = \text{lin}\{\delta_{k-1}^\alpha + A_k^\alpha: \alpha \in \prod_1^k N_i, k \geq 1\}.$$

After the norm has been introduced on  $V^+$ , the resulting normed linear space will be denoted by  $X_0$  and the completion of  $X_0$  will be denoted by  $X$ .

If  $n_i \in N_i$  for each  $i$ , we say that the sequence  $\{n_i\}$  determines the *branch* of  $V$  that is the linear span of

$$\{A_1^{\alpha(1)}; \delta_1^{\alpha(2)}; A_2^{\alpha(2)}; \delta_2^{\alpha(3)}; A_3^{\alpha(3)}; \dots; \delta_{k-1}^{\alpha(k)}; A_k^{\alpha(k)}; \dots\},$$

where  $\alpha(k) = (n_1, n_2, \dots, n_k)$  for each  $k$ . Also,  $\{n_i\}$  determines the *branch* of  $V^+$  that is the linear span of

$$\{A_1^{\alpha(1)}; \delta_1^{\alpha(2)} + A_2^{\alpha(2)}; \delta_2^{\alpha(3)} + A_3^{\alpha(3)}; \dots; \delta_{k-1}^{\alpha(k)} + A_k^{\alpha(k)}; \dots\}.$$

If  $\beta$  and  $\beta^+$  are the branches of  $V$  and  $V^+$  determined by  $\{n_i\}$  and  $\alpha(k) = (n_1, n_2, \dots, n_k)$  for each  $k$ , then a *segment* of  $\beta$  is a subset  $s$  of  $V$  for which there are integers  $m$  and  $n$  such that

$$s = \text{lin}\left[\bigcup_{m \leq k \leq n} \{\delta_{k-1}^{\alpha(k)}, A_k^{\alpha(k)}\}\right],$$

and a *segment* of  $\beta^+$  is a subset  $s^+$  of  $\beta^+$  for which there are integers  $m$  and  $n$  such that

$$s^+ = \text{lin}\{\delta_{k-1}^{\alpha(k)} + A_k^{\alpha(k)}: m \leq k \leq n\}.$$

The empty set is a segment of each branch in  $V$  and also a segment of each branch in  $V^+$ . The vectors  $\delta_{k-1}^{\alpha(k)}$ ,  $A_k^{\alpha(k)}$ , and  $\delta_{k-1}^{\alpha(k)} + A_k^{\alpha(k)}$  are said to be of *order*  $k$ . A *block* of  $\{\Phi_k\}$  is the linear combination of a finite set of consecutive members of  $\{\Phi_k\}$ . If  $s$  is a segment of a branch  $\beta$  in  $V$  and  $z \in V$ , we let  $s(z)$  denote the truncation of  $z$  to  $s$ . If  $\beta$  is determined by  $\{n_i\}$ , then  $s(z)$  has a unique representation as

$$(1) \quad s(z) = \sum_{k=m}^p a_k (\delta_{k-1}^{\alpha(k)} + A_k^{\alpha(k)}) + \sum_{k=1}^q c_k (A_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}),$$

where  $\alpha(k) = (n_1, n_2, \dots, n_k)$  for each  $k$ . We let

$$(2) \quad \left[ s(z) \right] = \sum_{k=1}^p |a_k - a_{k+1}| + \sup\{|c_k|: 1 \leq k \leq q\},$$

where  $a_{p+1} = 0$ . Note that  $s(\cdot)$  is a linear map of  $V$  onto  $s$  and  $\left[ s(z) \right]$  is a semi-norm on  $V$  and a norm on  $s$ . Let  $\{\Gamma(\lambda)\}$  and  $\{\Psi(\lambda)\}$  be sequences of positive numbers for which  $\Psi(1) = 1$ , each  $\Psi(\lambda)$  is an integer,

$$(3) \quad \sum_{\lambda=1}^\infty \Gamma(\lambda) = 1 \quad \text{and} \quad \lim_{\lambda \rightarrow \infty} \Gamma(\lambda) [\Psi(\lambda)]^{1/2} = \infty.$$

For members  $z$  of  $V$ , we let

$$(4) \quad \|z\| = \sup \left\{ \sum \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} \|s_i^\lambda(z)\|^2 \right]^{1/2} \right\},$$

where the sup is for sums over sets of  $\lambda$ 's such that each  $\lambda$  has an associated set  $S^\lambda = \{s_i^\lambda: 1 \leq i \leq \Psi(\lambda)\}$  of segments of branches in  $V$  for which no two segments in  $S^\lambda$  contain nonzero vectors in the same branch and any two sets  $S^u$  and  $S^v$  have the property that there are at least two  $\Phi_i$ 's that separate these sets in the sense that all segments of one set precede these  $\Phi_i$ 's and all segments of the other set follow all these  $\Phi_i$ 's.

(a)  $Z$  has an UBFDD. Suppose  $z$  and  $z^*$  in  $Z_0$  are identical except that all vectors in some  $\Phi_k$  have been deleted from  $z$  to obtain  $z^*$ . Let

$$s(z) = \sum a_i(\delta_{i-1}^{\alpha(i)} + \Delta_i^{\alpha(i)}) + \sum c_i(\Delta_i^{\alpha(i)} + \delta_i^{\alpha(i+1)})$$

be the representation of  $s(z)$  as in (1). Then

$$\begin{aligned} s(z^*) &= s(z) - (a_k \Delta_k^{\alpha(k)} + a_{k+1} \delta_k^{\alpha(k+1)}) - c_k(\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) \\ &= s(z) + (a_k - a_{k+1}) \delta_k^{\alpha(k+1)} - a_k(\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) - c_k(\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}). \end{aligned}$$

Therefore, if  $z^*$  is obtained from  $z$  by removing terms in some finite collection of sets  $\{\Phi_k: k \in K\}$ , then

$$(5) \quad \begin{aligned} \|s(z^*)\| &\leq \|s(z)\| + \left\| s \left[ \sum_{k \in K} (a_k - a_{k+1}) \delta_k^{\alpha(k+1)} \right] \right\| \\ &\quad + \left\| s \left[ \sum_{k \in K} a_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) \right] \right\| \\ &\quad + \left\| s \left[ \sum_{k \in K} c_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) \right] \right\|. \end{aligned}$$

Since

$$\begin{aligned} \delta_k^{\alpha(k+1)} &= [(\Delta_1^{\alpha(1)} + \delta_1^{\alpha(2)}) + (\Delta_2^{\alpha(2)} + \delta_2^{\alpha(3)}) + \dots + (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)})] \\ &\quad - [\Delta_1^{\alpha(1)} + (\delta_1^{\alpha(2)} + \Delta_2^{\alpha(2)}) + \dots + (\delta_{k-1}^{\alpha(k)} + \Delta_k^{\alpha(k)})], \end{aligned}$$

we have  $\|s(\delta_k^{\alpha(k+1)})\|$  equal to 0 or 2 (0 if  $\delta_k^{\alpha(k+1)} \notin s$ ). Similarly,  $\|s(\Delta_k^{\alpha(k)})\|$  is 0, 1, or 2 (0 if  $\Delta_k^{\alpha(k)} \notin s$  and 1 if  $k = 1$  and  $\Delta_1^{\alpha(1)} \in s$ ). Thus in (5),

$$\left\| s \left[ \sum_{k \in K} (a_k - a_{k+1}) \delta_k^{\alpha(k+1)} \right] \right\| \leq 2 \sum_{k \in K} |a_k - a_{k+1}| \leq 2 \|s(z)\|.$$

The sum  $\sum_{k \in K} a_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)})$  in (5) may consist only of terms of type  $a_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)})$  that are in  $s$ , but it may also contain one or two terms of type  $a_p \delta_p^{\alpha(p+1)}$  or  $a_q \Delta_q^{\alpha(q)}$ . Thus

$$\begin{aligned} \left\| s \left[ \sum_{k \in K} a_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) \right] \right\| &\leq \sup \{ |a_k|: k \in K \} + 4 \sup \{ |a_k|: k \in K \} \\ &\leq 5 \sup \{ |a_i|: i \geq 1 \} \leq 5 \sum_{i \geq 1} |a_i - a_{i+1}| \leq 5 \|s(z)\|. \end{aligned}$$

Similarly,

$$\left\| s \left[ \sum_{k \in K} c_k (\Delta_k^{\alpha(k)} + \delta_k^{\alpha(k+1)}) \right] \right\| \leq \sup \{ |c_k|: k \in K \} + 4 \sup \{ |c_k|: k \in K \} \leq 5 \|s(z)\|.$$

Now it follows from (5) that  $\|s(z^*)\| \leq 13 \|s(z)\|$ . For an arbitrary positive  $\epsilon$ , choose  $\{s_i^\lambda\}$  as in (4) for suitable values of  $\lambda$  so that

$$\|z^*\| - \epsilon < \sum \Gamma(\lambda) \left[ \sum_1^{\Psi(\lambda)} \|s_i^\lambda(z^*)\|^2 \right]^{1/2}.$$

Then

$$\|z^*\| - \epsilon < 13 \sum \Gamma(\lambda) \left[ \sum_1^{\Psi(\lambda)} \|s_i^\lambda(z)\|^2 \right]^{1/2} \leq 13 \|z\|.$$

Thus  $\{\Phi_k\}$  is an UBFDD with unconditional constant not greater than 13.

(b)  $X$  does not have RNP. We will show first that  $X$  contains an approximate bush  $B^u$ . Let 0 be the first member of  $B^u$ . The other members are to be sums of type

$$w = \Delta_1^{\pi(1)} + (\delta_1^{\pi(2)} + \Delta_2^{\pi(2)}) + \dots + (\delta_{k-1}^{\pi(k)} + \Delta_k^{\pi(k)}),$$

for which there is a set  $\{n_i\}$  with  $n_i \in N_i$  for each  $i$  and  $\pi(j) = (n_1, n_2, \dots, n_j)$  for each  $j$ . The successors of this  $w$  are the  $|N_{k+1}|$  members of the set

$$\{w + (\delta_k^{\pi} + \Delta_{k+1}^{\pi}): \pi = (n_1, n_2, \dots, n_k, n) \text{ with } n \in N_{k+1}\}.$$

The difference  $\Delta^\pi$  between  $w$  and the  $\pi$ th successor of  $w$  is  $\delta_k^{\pi} + \Delta_{k+1}^{\pi}$ . It follows from (2), (4), and  $\Psi(1) = 1$  that  $\|\Delta^\pi\| \geq 2\Gamma(1)$  if  $k \geq 1$ , and  $\|\Delta^\pi\| \geq \Gamma(1)$  if  $k = 0$  (so  $\Delta^\pi = \Delta_1^{\pi(1)}$ ,  $n \in N_1$ ). Thus  $B^u$  has separation constant  $\Gamma(1)$ . Also,  $B^u$  is bounded, since it follows from (2), (4), and  $\sum_{\lambda=1}^{\infty} \Gamma(\lambda) = 1$  that  $\|w\| \leq 1$ . Similarly,  $\|\Delta^\pi\| \leq 2$ . Since all  $\Delta^\pi$  have the same order, only one  $S^\lambda$  can be used in (4) to determine the norm of the average of the differences between  $w$  and successors of  $w$ . Also, each  $s_i^\lambda$  for this  $S^\lambda$  can contain at most one  $\Delta^\pi |N_{k+1}|^{-1}$ . Therefore, the average has norm not greater than

$$\Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} \|s_i^\lambda(z)\|^2 \right]^{1/2} \leq 2\Gamma(\lambda) \left[ \sum_{i=1}^{|N_{k+1}|} |N_{k+1}|^{-2} \right]^{1/2} \leq 2|N_{k+1}|^{-1/2}.$$

Since  $\sum |N_k|^{-1/2} < \infty$ ,  $B^u$  is an approximate bush. It follows that  $X$  contains a bush [3] and therefore fails RNP.

(c)  $X$  has no subspace isomorphic with  $c_0$ . It is important to keep in mind that when  $x \in X^0$  and  $x$  is in a branch, then each  $c_i = 0$  for any representation of  $s(x)$  as in (1). Suppose  $X$  has a subspace isomorphic with  $c_0$ . Then  $X$  has a subspace that is almost isometric with  $c_0$  [4, p. 548]. Therefore there are members  $\{e_n\}$  of  $X_0$  for which  $e_k$  is in a block  $\Omega_k$  of  $\{\Phi_k\}$  for each  $k$ , the block  $\Omega_k$

precedes and is separated from  $\Omega_{k+1}$  by at least two  $\Phi$ 's,  $\|e_k\| > 1$  for each  $k$ , and  $\|\sum_{i \in A} e_i\| < 3/2$  for each finite set  $A$ . For each  $e_k$ , choose a set  $A(k)$  such that, for each  $\lambda \in A(k)$ , there is a set  $S_k^\lambda = \{s_{ki}^\lambda: 1 \leq i \leq \Psi(\lambda)\}$  as in (4) so that

$$\sum_{\lambda \in A(k)} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [s_{ki}^\lambda(e_k)]^2 \right]^{1/2} > 1.$$

There is no loss of generality if we assume that, for any  $k$  and  $\varkappa$  with  $k < \varkappa$ , there exist at least two  $\Phi$ 's which separate  $\text{lin}\{S_k^\lambda: \lambda \in A(k)\}$  from  $\text{lin}\{S_\varkappa^\lambda: \lambda \in A(\varkappa)\}$ . Suppose there is an  $\varepsilon > 0$  for which there are infinitely many  $e_k$ 's for each of which there is an  $s_{ki}^\lambda$  with  $\|s_{ki}^\lambda(e_k)\| > \varepsilon$ , where  $\lambda$  and  $i$  are functions of  $k$ . Associate with each such  $e_k$  exactly one such  $s_{ki}^\lambda = s_k$ . Then one of the following is true.

- (i) There is a branch  $\beta$  that contains infinitely many of these  $s_k$ 's.
- (ii) Each branch contains only finitely many of these  $s_k$ 's.

Suppose (i) is true. Choose  $\{e_k: k \in A\}$  so that  $\varepsilon \Gamma(1)|A| > 3/2$  and the  $s_k$  corresponding to  $e_k$  is in  $\beta$  for each  $k \in A$ . Let  $s$  be a segment that contains each of these  $e_k$ 's. Then

$$\left\| \sum_{k \in A} e_k \right\| \geq \Gamma(1) \left\| s \left( \sum_{k \in A} e_k \right) \right\| \geq \varepsilon \Gamma(1)|A| > 3/2.$$

Therefore, (i) is false.

Suppose (ii) is true. Then there is a set  $S$  of infinitely many  $s_k$ 's for which no two members of  $S$  contain nonzero vectors in the same branch. To see this, observe first that there is some  $n_1$  for which more than one vector  $v = \delta_{n_1-1}^\alpha + \Delta_{n_1}^\alpha$  in  $V^+$  of order  $n_1$  has the property that there is an  $s_k$ , spanned by vectors of order greater than  $n_1$ , that is in a branch that contains  $v$ . Moreover, some  $v = v^*$  has infinitely many such  $s_k$ 's. Choose one such  $s_k$  for each of the other such  $v$ 's. Now apply this procedure again, using an  $n_2$  for which more than one vector of  $V^+$  of order  $n_2$  on a branch containing  $v^*$  has the property ..., etc., etc. Now choose  $\lambda$  for which  $\varepsilon \Gamma(\lambda) [\Psi(\lambda)]^{1/2} > 3/2$  and let  $\{e_{k(i)}: 1 \leq i \leq \Psi(\lambda)\}$  be a set of  $\Psi(\lambda)$  vectors whose corresponding  $s_{k(i)}$ 's are in  $S$ . Then it follows from (4) that, if  $w = \sum_{i=1}^{\Psi(\lambda)} e_{k(i)}$ , then

$$\|w\| \geq \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [s_{k(i)}(w)]^2 \right]^{1/2} > 3/2.$$

Since both (i) and (ii) are false, we know that, for any positive  $\varepsilon$ , there is an  $N$  such that, if  $k > N$ , then  $\|s_{ki}^\lambda(e_k)\| < \varepsilon$  for all  $\lambda$  and  $i$ . For  $e_1$ , the set  $A(1)$  and  $\{s_{1i}^\lambda\}$  for  $\lambda \in A(1)$  satisfy

$$\sum_{\lambda \in A(1)} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [s_{1i}^\lambda(e_1)]^2 \right]^{1/2} > 1.$$

Choose  $\varepsilon > 0$  so that  $\sum_{\lambda \in A(1)} \Gamma(\lambda) [\Psi(\lambda) \varepsilon^2]^{1/2} < \frac{1}{2}$ . For this  $\varepsilon$ , choose  $N$  as above. Let  $k$  be any integer greater than  $N$ . Recall that

$$\sum_{\lambda \in A(k)} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [\sigma_{ki}^\lambda(e_k)]^2 \right]^{1/2} > 1.$$

We have

$$\|e_1 + e_k\| \geq \sum_{\lambda \in A(1)} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [s_{1i}^\lambda(e_1)]^2 \right]^{1/2} + \sum_{\lambda \in [A(k) - A(1)]} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [\sigma_{ki}^\lambda(e_k)]^2 \right]^{1/2}.$$

Since

$$\sum_{\lambda \in A(1)} \Gamma(\lambda) \left[ \sum_{i=1}^{\Psi(\lambda)} [\sigma_{ki}^\lambda(e_k)]^2 \right]^{1/2} < \frac{1}{2},$$

this implies  $\|e_1 + e_k\| > 1 + \frac{1}{2} = 3/2$  and completes the proof that  $X$  has no subspace isomorphic with  $c_0$ .

(d)  $X$  has PCP. It is known that if  $X$  fails PCP and is contained in a space with an unconditional basis, then  $X$  has a subspace isomorphic with  $c_0$  [6, Theorem 4.5]. Since  $X$  has no subspace isomorphic with  $c_0$ ,  $X$  must have PCP.

(e)  $X$  does not have KMP. This follows from the fact that  $\text{RNP} \Leftrightarrow \text{KMP}$  in any space with PCP [10].

(f)  $X$  does not have an UBFDD. If  $X$  had an UBFDD, then RNP, KMP, and PCP would have been equivalent [6, Theorem 4.8].

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### Extending holomorphic maps from compact sets in infinite dimensions

by

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**Abstract.** The aim of this paper is to study the extension of holomorphic maps from compact sets in metric vector spaces with values in some locally convex spaces and in complete  $C$ -manifolds. Moreover, the theorem of Siciak-Zakharyuta for continuous separately holomorphic functions with values in Banach-Lie groups is established.

**Introduction.** The extension of separately holomorphic functions defined on special subsets of  $C^n$  has been investigated by many authors, for example Siciak [8], Zakharyuta [11]. In [9] and [10] Siciak and Waelbroeck have considered this problem for compact sets in  $C^n$ . Moreover, Waelbroeck has also considered this problem for unique compact sets in a Banach space. Here a compact set  $K$  in a topological vector space is called *unique* if for every holomorphic function  $f$  on a neighbourhood of  $K$  such that  $f|_K = 0$  there exists a neighbourhood  $U$  of  $K$  such that  $f|_U = 0$ . This paper is devoted to the study of the extension of continuous functions on compact sets  $K$  in topological vector spaces with values in locally convex complex manifolds to holomorphic functions on a neighbourhood of  $K$ .

In Section 1 we investigate the interrelation between the holomorphic extendability and weakly holomorphic extendability of continuous functions on a compact set  $K$  in a metric vector space  $E$  with values in a locally convex space  $F$  such that  $F^*$  is a Baire space. We prove that if either  $E$  or  $F$  is nuclear, then holomorphic extendability and weakly holomorphic extendability are equivalent. This has been established by Siciak in [9] and Waelbroeck in [10] in the case where  $\dim E < \infty$ . Our method in the case where  $E$  is a nuclear metric vector space is based on an idea of Waelbroeck [10]. We first prove the nuclearity of the DF-space  $\text{injlim}\{H^n(U)/A_U: U \supset K\}$  where  $H^n(U)$  denotes the Banach space of bounded holomorphic functions on  $U$  equipped with the sup norm and  $A_U := \{f \in H^n(U): f|_K = 0\}$ . (In the case where  $K \subset C^n$  this proof is not difficult.) Next following Waelbroeck, using the closed graph theorem for maps of barrelled locally convex spaces into  $B$ -complete spaces, we obtain the above result.

In the case where  $E$  and  $F$  are Banach spaces we prove that there exists a Banach space  $\tilde{F}$  containing  $F$  as a closed subspace such that every continuous function on a compact set in  $E$  with values in  $F$  having the weakly holomorphic extension property can be extended to a holomorphic function on a neighbourhood of  $K$  but with values in  $\tilde{F}$ .