

$$m(\bar{\Omega}) \leq \sum_{k=k_0+1}^N \sum_{i \geq 1} \left(\frac{3}{2}\right)^{k-k_0} |Q_i^k|$$

$$\leq \sum_{k \geq k_0} 2^{-(k-k_0)} \left(\frac{3}{2}\right)^{k-k_0} 2^{-k_0} \|f\|_{WH^1} \leq \frac{C}{\alpha} \|f\|_{WH^1},$$

the theorem is proven.

References

- [1] A. B. Aleksandrov, *Essays on non locally convex Hardy classes*, in: Lecture Notes in Math. 864, Springer, Berlin 1981, 1-89.
- [2] C. Fefferman, N. Riviere and Y. Sagher, *Interpolation between H^p spaces: the real method*, Trans. Amer. Math. Soc. 191 (1974), 75-81.
- [3] C. Fefferman and E. M. Stein, *H^p spaces of several variables*, Acta Math. 129 (1972), 137-193.
- [4] S. Hudson, Ph.D. Thesis, University of Chicago, 1984.
- [5] N. J. Kalton, *Linear operators on L_p for $0 < p < 1$* , Trans. Amer. Math. Soc. 259 (1980), 319-355.
- [6] R. Latter, *A characterization of $H^p(\mathbb{R}^n)$ in terms of atoms*, Studia Math. 62 (1978), 93-101.
- [7] D. Sarason, *Function Theory on the Unit Circle*, Lecture Notes, Conference at Virginia Polytechnic and State University, 1978.
- [8] E. M. Stein, *Singular Integrals and Differentiability Properties of Functions*, Princeton University Press, 1970.
- [9] E. M. Stein, M. Taibleson and G. Weiss, *Weak type estimates for maximal operators on certain H^p classes*, Suppl. Rend. Circ. Mat. Palermo, no. 1, 1981, 81-97.
- [10] A. Zygmund, *Trigonometric Series*, 2nd edition, Cambridge University Press, London-New York 1968.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CHICAGO
Chicago, Illinois 60637, U.S.A.

and

FACULTAD DE MATEMÁTICAS, UNIVERSIDAD COMPLUTENSE
28040 Madrid, Spain

Received August 23, 1984

Revised version September 27, 1985

(1994)

On regular generators of Z^2 -actions in exhaustive partitions

by

B. KAMIŃSKI (Toruń)

Abstract. It is shown that for every totally ergodic Z^2 -action with finite entropy there exists a regular generator in a given exhaustive partition and the set of regular generators is dense in the set of all generators.

1. Introduction. Let (X, \mathcal{B}, μ) be a Lebesgue probability space, \mathcal{M} the set of all measurable partitions of X and \mathcal{E} the subset of \mathcal{M} consisting of partitions with finite entropy.

All relations between measurable partitions are to be taken mod 0.

Let ϱ be the metric on \mathcal{E} defined by the formula

$$\varrho(P, Q) = H(P|Q) + H(Q|P), \quad P, Q \in \mathcal{E}.$$

We denote by ε the measurable partition of X into single points and by ν the measurable trivial partition whose only element is X .

Let T be an automorphism of (X, \mathcal{B}, μ) . For $P \in \mathcal{M}$ we define

$$P_T^- = \bigvee_{n=1}^{\infty} T^{-n} P, \quad P_T = \bigvee_{n=-\infty}^{+\infty} T^n P.$$

If $P_T = \varepsilon$ we say that P is a *generator* of (X, T) .

A partition $\zeta \in \mathcal{M}$ is said to be *T-perfect* if

$$T^{-1} \zeta \leq \zeta, \quad \zeta_T = \varepsilon, \quad \bigwedge_{n=0}^{\infty} T^{-n} \zeta = \pi(T) \quad \text{and} \quad h(\zeta, T) = h(T)$$

where $\pi(T)$ and $h(T)$ denote the Pinsker partition and the entropy of T respectively.

Rokhlin and Sinai showed in [9] that for every automorphism T there exists a T -perfect partition. If T is aperiodic with $h(T) < \infty$ then for every generator P of (X, T) the partition $\zeta = P \vee P_T^-$ is T -perfect. Rokhlin [7] proved that if $h(T) < \infty$ and ζ is T -perfect then there exists a generator P such that $\zeta = P \vee P_T^-$, i.e. ζ is a past of the process (P, T) .

Now, let G be an abelian free group of rank 2 of automorphisms of (X, \mathcal{B}, μ) . We denote by $b(G)$ the set of $\bigwedge_{\alpha \in \mathbb{N}} \alpha$ all ordered pairs of independent generators of G .

The quadruple (X, \mathcal{B}, μ, G) is said to be a *two-dimensional dynamical system* (\mathbb{Z}^2 -action) and is shortly denoted by (X, G) .

The entropy theory for such systems has been developed by Conze [1], Katznelson and Weiss [5], and the theory of invariant partitions by the author [3].

Let \mathbb{Z}^2 denote the two-dimensional integers and $<$ the lexicographical order in \mathbb{Z}^2 .

We put $\Pi = \{(i, j) \in \mathbb{Z}^2; (i, j) < (0, 0)\}$. Let $(T, S) \in b(G)$. For $P \in \mathcal{M}$ we define

$$P_G^- = \bigvee_{(k, l) \in \Pi} T^k S^l P, \quad P_G = \bigvee_{(k, l) \in \mathbb{Z}^2} T^k S^l P.$$

A partition $P \in \mathcal{M}$ is said to be a *generator* for (X, G) if $P_G = \mathcal{C}$.

Now, let G be aperiodic and $h(G) < \infty$. Following Conze [1] we denote by Γ_G the set of all $P \in \mathcal{Z}$ with $h(P, G) = h(G)$ and by B_G the set of all generators of (X, G) with finite entropy. It is proved in [1] that $B_G \neq \emptyset$ and B_G is a dense subset of Γ_G .

In [3] the following two-dimensional analogue of the notion of perfect partition mentioned above is introduced. A partition $\zeta \in \mathcal{M}$ is said to be (T, S) -*exhaustive* if

- (i) $T^k S^l \zeta \leq \zeta$ for $(k, l) \in \Pi$,
- (ii) $\zeta_G = \mathcal{C}$,
- (iii) $\bigwedge_{n=0}^{\infty} S^{-n} \zeta = T^{-1} \zeta_S$.

If ζ also satisfies

- (iv) $\bigwedge_{(k, l) \in \mathbb{Z}^2} T^k S^l \zeta = \pi(G)$,
- (v) $h(G) = H(\zeta | \zeta_G^-) = H(\zeta | S^{-1} \zeta)$,

where $\pi(G)$ and $h(G)$ mean the Pinsker partition and the entropy of G respectively, then it is called (T, S) -*perfect*.

It is clear that conditions (i) and (iv) are equivalent to the following:

- (i') $S^{-1} \zeta \leq \zeta$, $T^{-1} \zeta_S \leq \zeta$,
- (iv') $\bigwedge_{n=0}^{\infty} T^{-n} \zeta_S = \pi(G)$.

It is shown in [3] that for every $(T, S) \in b(G)$ there exists a (T, S) -perfect partition.

For $P \in B_G$ we define $\zeta_P = P \vee P_G^-$. In [4] we investigated the following question: is the partition ζ_P (T, S) -perfect for any $P \in B_G$? As we have seen above, the analogue of this question for single automorphisms has the positive answer. Our question is equivalent to the following: is the equality

$$\bigwedge_{n=0}^{\infty} (S^{-n} P_S^- \vee (P_S)_T^-) = (P_S)_T^-$$

satisfied for any $P \in B_G$? It turned out [4] that in general the answer to this question is negative. A generator satisfying the above equality was called in [4] (T, S) -*regular*. We denote the set of all (T, S) -regular generators of (X, G) by $B_{T,S}$.

It is worth noting that Weizsäcker [11] considered a more general problem in probability theory.

Using the relative version of the Kolmogorov zero-one law one can show that the zero time partition in the two-dimensional Bernoulli dynamical system is regular with respect to the pair of the shifts. A more general example is given in [4].

In this paper, using relative versions of some results of the ergodic theory of single automorphisms, we show that if G is totally ergodic then for any $(T, S) \in b(G)$ and for any (T, S) -exhaustive partition ζ there exists $P \in B_{T,S}$ with $P \leq \zeta$. Moreover, the set $B_{T,S}$ is dense in B_G . It appears that by the use of regular generators it is possible to characterize the groups with zero entropy in a manner similar to that for single automorphisms.

I am grateful to J. P. Thouvenot for suggesting the possibility of using relative generator theorems for a solution of the question stated above.

2. Some results of the relative ergodic theory. In the sequel we denote by \mathbb{Z} the set of integers and by \mathbb{N} the set of positive integers.

Let T be an automorphism of (X, \mathcal{B}, μ) and let $\sigma \in \mathcal{M}$ be such that $T\sigma = \sigma$. For $P \in \mathcal{Z}$ we put

$$h(P, T | \sigma) = H(P | P_T^- \vee \sigma).$$

We define the σ -relative entropy $h(T | \sigma)$ of T by the formula

$$h(T | \sigma) = \sup h(P, T | \sigma)$$

where the supremum is taken over all $P \in \mathcal{Z}$.

It is clear that $h(T | \sigma) \leq h(T)$. There is a simple formula connecting $h(T)$ with $h(T | \sigma)$.

PROPOSITION 1. $h(T) = h(T | \sigma) + h(T_\sigma)$

where T_σ denotes the factor automorphism of T on X/σ .

Proof. Let $P_k, Q_l \in \mathcal{Z}$, $k, l \in \mathbb{N}$ be such that $P_k \nearrow \sigma$ and $Q_l \nearrow \varepsilon$. From the Pinsker formula and simple properties of the conditional entropy easily follow the inequalities:

$$h(P_k \vee Q_l, T) \geq h(P_k, T) + h(Q_l, T | \sigma),$$

$$h(Q_l, T) \leq h(P_k, T) + H(Q_l | (Q_l)_T^- \vee (P_k)_T^-), \quad k, l \in \mathbb{N}.$$

Applying to both the inequalities the well-known limit properties of entropy we obtain the desired equality.

We shall use in the sequel the following result given in [8] (lemma 10.2).

LEMMA 1. For all $P, Q \in \mathcal{M}$ such that $P \geq Q$ and $H(P|Q) < \infty$ there exists $R \in \mathcal{Z}$ with $P = Q \vee R$ and $H(R) < H(P|Q) + 3\sqrt{H(P|Q)}$.

The main tool to obtain our main result is a relative version of the well-known Rokhlin generator theorem (cf. [6]). Since the proof runs in a similar way to that of Rokhlin we give only a sketch of it below.

For $n \in \mathbb{N}$, $B \in \mathcal{B}$ and a partition $P = (P_i, i \in \mathbb{N})$ we define the following partitions:

$$P_T^n = \bigvee_{k=-n+1}^{n-1} T^k P, \quad P \cap B = (P_i \cap B, X \setminus B; i \in \mathbb{N}).$$

Let $\sigma, \tau \in \mathcal{M}$ be such that $T\sigma = \sigma$, $T\tau = \tau$ and $\sigma \leq \tau$.

LEMMA 2. If T is aperiodic with $h(T|\sigma) < \infty$ then for all $P, Q \in \mathcal{Z}$ and $\delta > 0$ there exists a partition $R \in \mathcal{Z}$ such that $R_T \geq P_T$ and $H(R|TQ_T \vee \sigma) \leq h(T|\sigma) - h(Q, T|\sigma) + \delta$.

Sketch of proof. Let $\delta > 0$ be arbitrary and $n \in \mathbb{N}$ be such that

$$\frac{1}{2n-1} H((P \vee Q)_T^n | \sigma) - h(P \vee Q, T|\sigma) < \frac{\delta}{3}.$$

We choose $\lambda > 0$ satisfying the condition

$$H(P \cap B) < \frac{\delta}{3} \quad \text{for } \mu(B) < \lambda.$$

The Rokhlin tower theorem implies there exists a set $C \in \mathcal{B}$ such that the sets $C, TC, \dots, T^{n-1}C$ are pairwise disjoint and $\mu(D) < \lambda$ where $D = X \setminus (C \cup TC \cup \dots \cup T^{n-1}C)$.

There exists $0 \leq k \leq n-1$ with

$$H(P_T^n \cap T^k C | TQ_T \vee \sigma) \leq h(T|\sigma) - h(Q, T|\sigma) + \frac{2}{3}\delta.$$

The partition $R = P_T^n \cap T^k C \vee P \cap D$ satisfies the desired properties: $R_T \geq P_T$ and

$$\begin{aligned} H(R|TQ_T \vee \sigma) &\leq H(P_T^n \cap T^k C | TQ_T \vee \sigma) + H(P \cap D) \\ &\leq h(T|\sigma) - h(Q, T|\sigma) + \delta. \end{aligned}$$

RELATIVE GENERATOR THEOREM. If $\tau \in \mathcal{M}$ is such that the factor automorphism T_τ is aperiodic with $h(T_\tau|\sigma) < \infty$ then there exists $P \in \mathcal{Z}$ such that $P \leq \tau$ and $P_T \vee \sigma = \tau$. Moreover, the set of $P \in \mathcal{Z}$, $P \leq \tau$, $P_T \vee \sigma = \tau$ is dense in the set of $P \in \mathcal{Z}$, $P \leq \tau$ and $h(P, T|\sigma) = h(T_\tau|\sigma)$.

Sketch of proof. We may suppose $\tau = \varepsilon$. Let $\delta > 0$ be arbitrary and $Q \in \mathcal{Z}$ be such that

$$h(T|\sigma) - h(Q, T|\sigma) < \frac{\delta^2}{2}.$$

We take a sequence (Q_n) of partitions in \mathcal{Z} with $Q_0 = Q$, $Q_n \nearrow \varepsilon$ and

$$h(T|\sigma) - h(Q_k, T|\sigma) < \frac{\delta^2}{2^{2k+7}}, \quad k \in \mathbb{N} \cup \{0\}.$$

Using Lemma 2 we may choose a sequence (R_k) in \mathcal{Z} with $(R_k)_T \geq (Q_k)_T$ and

$$H(R_k | (Q_{k-1})_T \vee \sigma) = H((Q_{k-1})_T \vee R_k \vee \sigma | (Q_{k-1})_T \vee \sigma) < \frac{\delta^2}{2^{2k+4}}, \quad k \in \mathbb{N}.$$

Now Lemma 1 implies there exists a sequence (P_k) in \mathcal{Z} such that

$$(Q_{k-1})_T \vee R_k \vee \sigma = (Q_{k-1})_T \vee P_k \vee \sigma, \quad H(P_k) < \frac{\delta}{2^k}, \quad k \in \mathbb{N}.$$

This equality gives

$$(Q \vee \bigvee_{k=1}^n P_k)_T \vee \sigma \geq (Q_n)_T \vee \sigma, \quad n \in \mathbb{N}.$$

Therefore putting $P = Q \vee \bigvee_{k=1}^{\infty} P_k$ we have $P_T \vee \sigma = \varepsilon$,

$$H(P) \leq H(Q) + \sum_{k=1}^{\infty} H(P_k) < H(Q) + \delta < \infty$$

and $q(P, Q) < \delta$, which completes the proof.

We denote by $\pi(T, \tau|\sigma)$ the join of all $P \in \mathcal{Z}$ with $P \leq \tau$, $h(P, T|\sigma) = 0$ and call it the σ -relative Pinsker partition of T_τ . We shall write $\pi(T|\sigma)$ instead of $\pi(T, \varepsilon|\sigma)$. The concept of σ -relative Pinsker partition was introduced in [2] in the case $\tau = \varepsilon$ and called there the Pinsker closure of σ .

It is clear that $\pi(T, \tau|\sigma) \geq \sigma$. If $\pi(T, \tau|\sigma) = \sigma$ then we say that T_τ is a K -automorphism relative to σ . Let us remark that T is a K -automorphism relative to σ iff for any $\zeta \in \mathcal{M}$ with $T_\zeta = \zeta$, $\zeta \geq \sigma$, $h(T_\zeta|\sigma) = 0$ we have $\zeta = \sigma$. Thouvenot also defined (cf. [10]) a concept of relative K -automorphism. Using Proposition 1 and the above remark one can easily check that in the case $h(T) < \infty$ both concepts coincide.

Some properties of relative Pinsker partitions:

- (a) $T\pi(T, \tau|\sigma) = \pi(T, \tau|\sigma)$.
- (b) $h(T_{\pi(T, \tau|\sigma)}|\sigma) = 0$.
- (c) If S is an automorphism of (X, \mathcal{B}, μ) commuting with T then

$$S\pi(T, \tau|\sigma) = \pi(T, S\tau|S\sigma).$$

- (d) If $\tau_i, \sigma_i \in \mathcal{M}$, $T\tau_i = \tau_i$, $T\sigma_i = \sigma_i$, $i = 1, 2$, $\sigma_1 \leq \tau_1 \leq \tau_2$, $\sigma_1 \leq \sigma_2 \leq \tau_2$ then

$$\pi(T, \tau_1|\sigma_1) \leq \pi(T, \tau_2|\sigma_2).$$

(e) T_τ is a K -automorphism relative to $\pi(T, \tau|\sigma)$.

(f) If $\sigma \in \mathcal{M}$, $T\sigma = \sigma$ and $\zeta \in \mathcal{M}$ is such that $\sigma \leq T^{-1}\zeta \leq \zeta$ then

$$\bigwedge_{n=0}^{\infty} T^{-n}\zeta \geq \pi(T, \zeta_T|\sigma).$$

(g) If $\sigma \in \mathcal{M}$, $T\sigma = \sigma$ then for every $P \in \mathcal{Z}$

$$\bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma) = \pi(T, P_T \vee \sigma|\sigma).$$

(h) If $\tau_n \in \mathcal{M}$, $n \in \mathbb{N}$, are such that $T\tau_n = \tau_n$, $\sigma \leq \tau_n \leq \tau_{n+1}$ and T_{τ_n} is a K -automorphism relative to σ , $n \in \mathbb{N}$, then T_τ is a K -automorphism relative to σ , where $\tau = \bigvee_{n=1}^{\infty} \tau_n$.

Proof. Properties (a)–(d) are easy consequences of the definition. The proofs of (f) and (h) are similar to the proofs of Theorems 12.1 and 13.4 of [9] respectively and we omit them.

To prove (e) let us suppose $P \in \mathcal{Z}$, $P \leq \tau$ and

$$h(P, T|\pi(T, \tau|\sigma)) = 0.$$

Let $Q_n \in \mathcal{Z}$, $n \geq 1$, and $Q_n \nearrow \pi(T, \tau|\sigma)$. Using the relative version of the Pinsker formula (cf. [1]) and simple properties of the conditional entropy we have

$$\begin{aligned} h(P \vee Q_n, T|\sigma) &= h(P, T|\sigma) + H(Q_n| (Q_n)_T^- \vee P_T \vee \sigma) \\ &= H(P|P_T^- \vee (Q_n)_T^- \vee \sigma) + H(Q_n| (Q_n)_T^- \vee P \vee P_T^- \vee \sigma), \quad n \in \mathbb{N}. \end{aligned}$$

Therefore the choice of P and Q_n implies

$$h(P, T|\sigma) = H(P|P_T^- \vee (Q_n)_T^- \vee \sigma), \quad n \in \mathbb{N}.$$

Taking the limit as $n \rightarrow \infty$ we have

$$h(P, T|\sigma) = H(P|P_T^- \vee \pi(T, \tau|\sigma)) = 0,$$

i.e. $P \leq \pi(T, \tau|\sigma)$ and (e) is proved.

In order to check (g) let us observe that the inequality

$$\bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma) \geq \pi(T, P_T \vee \sigma|\sigma)$$

is an easy consequence of (f). To prove the converse inequality we take $Q \in \mathcal{Z}$ and $Q \leq \bigwedge_{n=0}^{\infty} (T^{-n}P_T^- \vee \sigma)$. Hence $Q \leq P_T \vee \sigma$ and

$$H(Q|Q_T^- \vee T^{-n}P_T^- \vee \sigma) = 0, \quad n \in \mathbb{N}.$$

Taking the limit as $n \rightarrow \infty$ we obtain $H(Q|Q_T^- \vee \sigma) = 0$. This means that $Q \leq \pi(T, P_T \vee \sigma|\sigma)$ which proves (g).

3. Existence of regular generators. Let (X, G) be a two-dimensional dynamical system and let $(T, S) \in b(G)$. In order to prove our result we shall need the following.

LEMMA 2. If $\zeta \in \mathcal{M}$ is (T, S) -exhaustive then S is a K -automorphism relative to $T^m\zeta_S$, $m \in \mathbb{Z}$.

Proof. Let $k \in \mathbb{N}$ and $P, Q \in \mathcal{Z}$ satisfy the following conditions:

$$P \leq T^k S^l \zeta \quad \text{for some } l \in \mathbb{N} \quad \text{and} \quad Q \leq \pi(S, T^k \zeta_S | T^{-1} \zeta_S).$$

Hence

$$(1) \quad Q \leq T^k \zeta_S, \quad h(Q, S | T^{-1} \zeta_S) = 0.$$

Let $m \in \mathbb{N}$ be arbitrary. The relative Pinsker formula implies

$$\begin{aligned} h(P \vee Q, S^m | T^{-1} \zeta_S) &= h(P, S^m | T^{-1} \zeta_S) + H(Q | Q_{S^m}^- \vee P_{S^m} \vee T^{-1} \zeta_S) \\ &= h(Q, S^m | T^{-1} \zeta_S) + H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S). \end{aligned}$$

Hence by (1) we have

$$h(P, S^m | T^{-1} \zeta_S) = H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S).$$

Therefore

$$\begin{aligned} (2) \quad H(P | Q \vee T^{-1} \zeta_S) &\geq H(P | P_{S^m}^- \vee Q_{S^m} \vee T^{-1} \zeta_S) \\ &= H(P | P_{S^m}^- \vee T^{-1} \zeta_S) \geq H(P | S^{-m+1} P_S^- \vee T^{-1} \zeta_S) \\ &\geq H(P | S^{-m+l+1} T^k \zeta). \end{aligned}$$

Taking the limit in (2) as $m \rightarrow \infty$ and using (iii) we obtain

$$(3) \quad H(P | Q \vee T^{-1} \zeta_S) \geq H(P | T^{k-1} \zeta_S).$$

Since P runs through a dense subset of the set $\{R \in \mathcal{Z}; R \leq T^k \zeta_S\}$ we conclude that (3) is valid for any $P \in \mathcal{Z}$ with $P \leq T^k \zeta_S$. Assuming $P = Q$ we get $Q \leq T^{k-1} \zeta_S$ and so

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) \leq T^{k-1} \zeta_S.$$

Therefore

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) \leq \pi(S, T^{k-1} \zeta_S | T^{-1} \zeta_S)$$

and thus

$$\pi(S, T^k \zeta_S | T^{-1} \zeta_S) = T^{-1} \zeta_S, \quad k \in \mathbb{N}.$$

Now (ii) and (h) give $\pi(S | T^{-1} \zeta_S) = T^{-1} \zeta_S$ and so by (c) we obtain the result.

Now, let G be aperiodic with $h(G) < \infty$.

COROLLARY 1. A generator $P \in B_{T,S}$ iff S is a K -automorphism relative to $(P_S)_T^-$.

Proof. If $P \in B_{T,S}$ then the partition $\zeta = P \vee P_G^-$ is (T, S) -exhaustive and so, by Lemma 2, S is a K -automorphism relative to $T^{-1}\zeta_S = (P_S)\bar{T}$.

Now, let S be a K -automorphism relative to $(P_S)\bar{T}$. From this and (g) it follows that

$$\bigwedge_{n=0}^{\infty} (S^{-n}P_S^- \vee (P_S)\bar{T}) = \pi(S, T(P_S)\bar{T} | (P_S)\bar{T}) \leq \pi(S | (P_S)\bar{T}) = (P_S)\bar{T},$$

i.e. $P \in B_{T,S}$.

From Corollary 1 and (e) we obtain at once

COROLLARY 2. If $P \in B_G$ and $Q \in \mathcal{L}$ is such that $(Q_S)\bar{T} = \pi(S | (P_S)\bar{T})$ then $Q \in B_{T,S}$.

In the theorem below we prove that for a wide class of groups G and for any generator P of (X, G) such a generator Q exists.

DEFINITION. The group G is said to be *totally ergodic* if every automorphism $\varphi \in G$ different from the identity transformation of X is ergodic.

THEOREM. If G is totally ergodic with $h(G) < \infty$, $P \in B_G$ and $(T, S) \in b(G)$ then for every $\varepsilon > 0$ there exists $Q \in B_{T,S}$ such that $P \leq Q$ and $\varrho(P, Q) < \varepsilon$.

Proof. Let us suppose G is totally ergodic, $(T, S) \in b(G)$, $P \in B_G$ and $\varepsilon > 0$ is arbitrary.

By our assumption, the factor automorphism $S_{\pi(S|(P_S)\bar{T})}$ is ergodic and since $P \in B_G$, the factor measure induced by μ on $X/\pi(S|(P_S)\bar{T})$ is continuous. Therefore the above factor automorphism is aperiodic. Now property (b) implies

$$h(T^{-1}P, S|(P_S)\bar{T}) = h(S_{\pi(S|(P_S)\bar{T})} | (P_S)\bar{T}) = 0.$$

It follows from the Relative Generator Theorem that there exists $R \in \mathcal{L}$ such that

$$R \leq \pi(S | (P_S)\bar{T}), \quad R_S \vee (P_S)\bar{T} = \pi(S | (P_S)\bar{T}) \quad \text{and} \quad \varrho(T^{-1}P, R) < \varepsilon.$$

Putting $Q = P \vee TR$ we have

$$P \leq Q, \quad (Q_S)\bar{T} = \pi(S | (P_S)\bar{T}) \quad \text{and} \quad \varrho(P, Q) \leq \varrho(T^{-1}P, R) < \varepsilon.$$

By Corollary 2 we see that Q satisfies all desired properties.

Since B_G is a dense subset of Γ_G the theorem above implies at once

COROLLARY 1. If G is totally ergodic with $h(G) < \infty$ then for every $(T, S) \in b(G)$ the set $B_{T,S}$ is dense in Γ_G .

Now, let ζ be (T, S) -exhaustive.

COROLLARY 2. If G is totally ergodic with $h(G) < \infty$ then for every $(T, S) \in b(G)$ there exists $P \in B_{T,S}$ with $P \leq \zeta$.

Proof. Let $Q \in B_G$ with $Q \leq \zeta$. The existence of such a generator Q may be proved by the same method as that used by Rokhlin in [7]. Let $\bar{Q} \in B_G$ be

such that $(\bar{Q}_S)\bar{T} = \pi(S | (Q_S)\bar{T})$. As we already know, $\bar{Q} \in B_{T,S}$. It follows from Lemma 2 that

$$T^{-1}\bar{Q} \leq (\bar{Q}_S)\bar{T} = \pi(S | (Q_S)\bar{T}) \leq \pi(S | T^{-1}\zeta_S) = T^{-1}\zeta_S.$$

Hence $P = T^{-1}\bar{Q} \in B_{T,S}$ and $P \leq \zeta$.

In the ergodic theory of single automorphisms the following characterization of automorphisms with zero entropy is well known. Namely, an automorphism T has zero entropy iff any generator P of (X, T) is strong, i.e. $P_T^- = \varepsilon$.

It appears that it is possible to obtain a two-dimensional analogue of this result by the use of regular generators. First we define the concept of two-dimensional strong generator with respect to the lexicographical order.

DEFINITION. A partition $P \in \mathcal{L}$ is said to be a (T, S) -strong generator of (X, G) if $\bigvee_{(k,l) \in \Pi} T^k S^l P = \varepsilon$.

PROPOSITION 2. A totally ergodic group G has zero entropy iff every generator $P \in B_{T,S}$ is (T, S) -strong, $(T, S) \in b(G)$.

Proof. The sufficiency is obvious. Let us suppose $h(G) = 0$, $(T, S) \in b(G)$ and $P \in B_{T,S}$. Since $H(P | P_S^- \vee (P_S)\bar{T}) = 0$ we have $P \leq S^{-n}P_S^- \vee (P_S)\bar{T}$, $n \in \mathbb{N}$, and so $P \leq (P_S)\bar{T}$ by the regularity of P . Therefore $P_G = (P_S)\bar{T}$ and thus P is (T, S) -strong.

Remark. The conditions $h(G) = 0$, $P \in B_G$ do not imply that P is (T, S) -strong, $(T, S) \in b(G)$.

EXAMPLE. Let $(Y, \mathcal{F}, \lambda)$ be a Lebesgue probability space and S_0 an aperiodic automorphism of Y with $h(S_0) = 0$. We denote by (X, \mathcal{B}, μ) the product space $\prod_{i=-\infty}^{+\infty} (Y_i, \mathcal{F}_i, \lambda_i)$, where $Y_i = Y$, $\mathcal{F}_i = \mathcal{F}$, $\lambda_i = \lambda$. Let T, S be automorphisms of (X, \mathcal{B}, μ) defined by the formulas

$$(Tx)(n) = x(n+1), \quad (Sx)(n) = S_0 x(n), \quad n \in \mathbb{Z},$$

and let G be the automorphism group generated by T and S . It is clear that G is totally ergodic. It is shown in [1] that $h(G) = h(S_0) = 0$. Let $\alpha = \{A_0, A_1\}$ be a generator of (Y, S_0) . The partition $P = \{C(0, A_0), C(0, A_1)\}$ where $C(0, A_i) = \{x \in X; x(0) \in A_i\}$, $i = 1, 2$, is a generator of (X, G) . We shall check that P is not (T, S) -strong. Let us suppose $P_S^- \vee (P_S)\bar{T} = \varepsilon$. Since μ is a product measure the partitions P and $P_S^- \vee (P_S)\bar{T}$ are independent. Hence P and α are trivial partitions, which is impossible.

Remark. Let G be totally ergodic, $(T, S) \in b(G)$ and let ζ be a (T, S) -perfect partition. It would be interesting to know whether ζ may be represented as the past of a certain two-dimensional process (P, G) , i.e. ζ

$= P \vee P_G^-, P \in B(G)$. This question has a positive answer if $h(G) = 0$, because in this case every perfect partition is the partition into points and it is sufficient to use Corollary 2 and Proposition 2. We have been unable to decide whether this question has a positive answer in the general case.

References

- [1] J. P. Conze, *Entropie d'un groupe abélien de transformations*, Z. Wahrsch. Verw. Gebiete 25 (1972), 11–30.
- [2] B. Kamiński and E. Świąda, *Spectrum of abelian groups of transformations with completely positive entropy*, Bull. Acad. Polon. Sci. 24 (1976), 683–689.
- [3] B. Kamiński, *The theory of invariant partitions for Z^d -actions*, ibid. 29 (1981), 349–362.
- [4] B. Kamiński and M. Kobus, *Regular generators for multidimensional dynamical systems*, Colloq. Math. (to appear).
- [5] Y. Katznelson and B. Weiss, *Commuting measure-preserving transformations*, Israel J. Math. 12 (1972), 161–173.
- [6] V. A. Rokhlin, *Generators in ergodic theory*, Vestnik Leningrad. Univ. Ser. Mat. Mekh. Astronom. 1963, no. 1, 26–32 (in Russian).
- [7] —, *Generators in ergodic theory. II*, ibid. 1965, no. 3, 68–72 (in Russian).
- [8] —, *Lectures on the entropy theory of transformations with invariant measure*, Uspekhi Mat. Nauk 22 (5) (1967), 3–56 (in Russian).
- [9] V. A. Rokhlin and Ya. G. Sinai, *Construction and properties of invariant measurable partitions*, Dokl. Akad. Nauk SSSR 141 (1961), 1038–1041 (in Russian).
- [10] J. P. Thouvenot, *Une classe de systèmes pour lesquels la conjecture de Pinsker est vraie*, Israel J. Math. 21 (1975), 208–214.
- [11] H. Weizsäcker, *Exchanging the order of taking suprema and countable intersections of σ -algebras*, Ann. Inst. Henri Poincaré Sect. B 19 (1) (1983), 91–100.

INSTYTUT MATEMATYKI UNIwersYTETU MIKOŁAJA KOPERNIKA
INSTITUTE OF MATHEMATICS, NICHOLAS COPERNICUS UNIVERSITY
Chopin 12/18, 87-100 Toruń, Poland

Received January 28, 1985
Revised version September 16, 1985

(2029)

On drop property

by

S. ROLEWICZ (Warszawa)

Abstract. Let $(X, \|\cdot\|)$ be a Banach space. We say that the norm $\|\cdot\|$ has the drop property if for each closed set C disjoint with the closed unit ball $B = \{x: \|x\| \leq 1\}$, there is a point $a \in C$ such that $\text{conv}(a \cup B) \cap C = \{a\}$.

We say that a Banach space $(X, \|\cdot\|)$ has the drop property if there is a norm $\|\cdot\|_1$ equivalent to the given one such that $\|\cdot\|_1$ has the drop property.

In the paper it is shown that each superreflexive space has the drop property and each space X which has the drop property is reflexive.

Let $(X, \|\cdot\|)$ be a Banach space. Let B denote the unit ball in X . By a drop induced by a point $a \notin B$ we mean the set

$$(1) \quad D(a, B) = \text{conv}(a, B).$$

Daneš [3] proved the following

THEOREM 1. (Drop theorem). *Let C be a closed set such that*

$$(2) \quad \inf \{\|x\|: x \in C\} = R > 1.$$

Then there is a point $a \in C$ such that

$$(3) \quad D(a, B) \cap C = \{a\}.$$

The drop theorem was used in various situations (see [1], [2], [4], [5], [10]).

Recently Penot [9] discussed the relations between the drop theorem and Ekeland's variational principle [7].

It is a natural question to ask when we can replace in the drop theorem assumption (2) by the weaker assumption that C is disjoint with B .

We shall say that the norm $\|\cdot\|$ has the drop property if the drop theorem holds under this weaker assumption. If there is a norm $\|\cdot\|_1$ equivalent to the norm $\|\cdot\|$ and having the drop property, then we say that the space X has the drop property.

In this paper we shall show that the uniformly convex norms have the drop property and that the spaces X with the drop property are reflexive.

Let $(X, \|\cdot\|)$ be a Banach space. We recall that the space $(X, \|\cdot\|)$ is called uniformly convex if there is an increasing positive function $\delta(\epsilon)$ defined