

## A metric result on the pair correlation of fractional parts of sequences

by

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**1. Introduction.** Our purpose in this note is to show that the pair correlation function of several sequences of fractional parts behaves like those of random numbers. The pair correlation density for a sequence of  $N$  numbers  $\theta_1, \dots, \theta_N \in [0, 1]$  which are uniformly distributed as  $N \rightarrow \infty$ , measures the distribution of spacings between the numbers at distances of order of the mean spacing  $1/N$ . Precisely, if  $\|x\| = \text{distance}(x, \mathbb{Z})$  then for any interval  $[-s, s]$  set

$$(1.1) \quad R_2([-s, s], N) = \frac{1}{N} \#\{1 \leq j \neq k \leq N : \|\theta_j - \theta_k\| \leq s/N\}.$$

For random numbers  $\theta_j$  chosen uniformly and independently,

$$R_2([-s, s], N) \rightarrow 2s$$

with probability tending to 1 as  $N \rightarrow \infty$ . In this case one says that the pair correlation function is *Poissonian*. A smooth form of (1.1) is to take a test function  $f \in C_c^\infty(\mathbb{R})$  and set

$$R_2(f, N) := \frac{1}{N} \sum_{1 \leq j \neq k \leq N} F_N(\theta_j - \theta_k)$$

where  $F_N(y) = \sum_{m \in \mathbb{Z}} f(N(y + m))$ . The Poisson case is that in the limit  $N \rightarrow \infty$ ,  $R_2(f, N) \rightarrow \int_{-\infty}^{\infty} f(x) dx$ .

We will show that the pair correlation function of many sequences of fractional parts of the form  $\{\alpha a(x)\}$ ,  $x = 1, \dots, N$  with  $a(x)$  integers, have Poissonian pair correlation for almost all  $\alpha$ . Our main tool is:

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THEOREM 1. Let  $a(x)$  be a sequence of integers so that  $a(x) \neq a(y)$  if  $x \neq y$  and furthermore suppose that there are at most  $O(MN^{2+\varepsilon})$  solutions to the equation

$$(1.2) \quad n_1(a(x_1) - a(y_1)) = n_2(a(x_2) - a(y_2))$$

with  $1 \leq x_i \neq y_i \leq N$ , and  $1 \leq |n_i| \leq M$ ,  $M \ll N^R$  for some  $R > 0$ , and all  $\varepsilon > 0$ . Then for almost all  $\alpha$ , we have

$$R_2(f, N) \rightarrow \int_{-\infty}^{\infty} f(x) dx.$$

A result of this kind was proved by Rudnick and Sarnak [4] for the spacings of  $\alpha n^d$ , where  $d \geq 2$  is an integer. Crucial use is made there of Weyl's differencing argument [1, 5] to get cancellations in sums of the exponential sums  $\sum_{n \leq N} e(\alpha F(n))$ , where  $F(n)$  is a polynomial of degree  $d \geq 1$ , and  $\alpha$  is of diophantine type. No such estimate is available when we replace polynomials by functions such as the exponential function  $g^n$  (this is a key issue in the study of "normal" numbers). The idea here is to avoid this issue for *individual*  $\alpha$ , and instead to prove this kind of result for *almost all*  $\alpha$  (see Proposition 4).

Theorem 1 reduces the study of the generic behavior of the pair correlation of the sequence of fractional parts of  $a(x)$  to estimating the number of solutions of the equation (1.2). In [4] it was shown that the number of solutions of this equation for  $a(x) = x^d$ ,  $d \geq 2$ , is indeed  $O(MN^{2+\varepsilon})$ . In Section 4 we show that the same estimate holds if  $a(x)$  is *lacunary*:

PROPOSITION 2. Let  $a(x) > 0$  be an increasing sequence of positive integers so that there is some  $c > 1$  for which

$$a(x+1) \geq ca(x).$$

Then the equation (1.2) has at most  $O(MN^2 \log^2 N)$  solutions in  $0 < |n_i| \leq M$ ,  $1 \leq x_i \neq y_i \leq N$ , where  $M \ll N^R$  for some  $R > 0$ .

An example of such a sequence is  $a(x) = g^x$ ,  $g \geq 2$  an integer. Thus we get:

COROLLARY 3. Let  $g \geq 2$  be an integer. Then for almost all  $\alpha$ , the sequence of fractional parts of  $\alpha g^n$  has Poisson pair correlation.

It seems plausible that for almost all  $\alpha$ , all correlation functions should be Poissonian in this case, and in particular the nearest neighbor spacing distribution should be exponential.

Other examples would be the sequences  $a(n) = n!$  or  $g^{g^n}$  for an integer  $g \geq 2$ , or the integer parts  $[c^n]$  where  $c > 1$  is any real number.

**2. A metric result for sums of exponential sums.** Suppose we are given a sequence  $a(x) \in \mathbb{Z}_+$ , satisfying  $a(x) \neq a(y)$  if  $x \neq y$ . Define the Weyl sum

$$S_\alpha(n, N) = \sum_{1 \leq x \leq N} e(\alpha n a(x))$$

and for each  $N$  suppose we choose  $M = M(N) = N^{1+1/100}$ , and set

$$H_N(\alpha) = \sum_{1 \leq n \leq M} |S_\alpha(n, N)|^2.$$

PROPOSITION 4. *For almost all  $\alpha$ , we have*

$$H_N(\alpha) \ll_\alpha MN^{2-1/4}.$$

PROOF. The method of proof follows standard steps in the metric theory of uniform distribution of sequences (see [2, 3]): Because  $a(x) \neq a(y)$  if  $x \neq y$ , we clearly have

$$\int_0^1 |S_\alpha(n, N)|^2 d\alpha = N$$

and so

$$\int_0^1 H_N(\alpha) d\alpha = MN.$$

Therefore we can estimate the measure of the set of  $\alpha$  for which  $H_N(\alpha) > MN^{2-1/4}$  by

$$\begin{aligned} \text{meas}\{\alpha : H_N(\alpha) > MN^{2-1/4}\} &\leq \frac{1}{MN^{2-1/4}} \int_{\{\alpha : H_N(\alpha) > MN^{2-1/4}\}} H_N(\alpha) d\alpha \\ &\leq \frac{1}{MN^{2-1/4}} \int_0^1 H_N(\alpha) d\alpha \\ &= \frac{1}{MN^{2-1/4}} MN = N^{-3/4}. \end{aligned}$$

It follows from the Borel–Cantelli lemma that if we take a sequence of  $N_m$ ’s which is sufficiently sparse so that  $\sum_m N_m^{-3/4}$  converges, then along that sequence we find that for all  $\alpha$  in a set of full measure,

$$(2.1) \quad H_{N_m}(\alpha) \leq M_m N_m^{2-1/4} \quad \text{for all } m > m_0(\alpha).$$

For simplicity, we take  $N_m = m^2$ .

Now fix  $\alpha$  for which (2.1) holds. We now show that if  $N_m < N < N_{m+1}$ , then

$$(2.2) \quad |H_N(\alpha) - H_{N_m}(\alpha)| \ll MN^{3/2},$$

which together with (2.1) proves our proposition.

Note that  $N - N_m < N_{m+1} - N_m = 2m + 1 \ll N^{1/2}$ , and further

$$\begin{aligned} M - M_m &= N^{101/100} - N_m^{101/100} < (m + 1)^{202/100} - m^{202/100} \\ &\ll m^{102/100} = N^{1/2+1/100}. \end{aligned}$$

We have

$$\begin{aligned} H_N - H_{N_m} &= \sum_{n \leq M} |S_\alpha(n, N)|^2 - \sum_{n \leq M_m} |S_\alpha(n, N_m)|^2 \\ &= \sum_{n \leq M_m} (|S_\alpha(n, N)|^2 - |S_\alpha(n, N_m)|^2) + \sum_{M_m < n \leq M} |S_\alpha(n, N)|^2 \\ &= I + II. \end{aligned}$$

We use the trivial bound  $|S_\alpha(n, N)|^2 \leq N^2$  to estimate the term  $II$ :

$$II \ll (M - M_m)N^2 \ll N^{1/2+1/100}N^2 = MN^{3/2}.$$

For the term  $I$ , note that if we square out the summands  $|S_\alpha(n, N)|^2 = \sum_{x, y \leq N} e(n\alpha(a(x) - a(y)))$  and likewise for  $|S_\alpha(n, N_m)|^2$ , we find that

$$\begin{aligned} I &= \sum_{n \leq M_m} \sum_{N_m < y \leq N} e(-\alpha na(y)) \sum_{1 \leq x \leq N_m} e(\alpha na(x)) + \text{complex conjugate} \\ &\quad + \sum_{n \leq M_m} \left| \sum_{N_m < x \leq N} e(\alpha na(x)) \right|^2 \\ &= I_1 + \bar{I}_1 + I_2. \end{aligned}$$

For the term  $I_2$  we use the trivial bound on the inner sum to get

$$I_2 \ll M_m(N - N_m)^2 \ll MN.$$

For  $I_1$  we get

$$I_1 \ll \sum_{n \leq M_m} \sum_{N_m < y \leq N} |S_\alpha(n, N_m)| = (N - N_m) \sum_{n \leq M_m} |S_\alpha(n, N_m)|.$$

By Cauchy–Schwarz we find

$$\begin{aligned} I_1 &\ll (N - N_m)M_m^{1/2} \left( \sum_{n \leq M_m} |S_\alpha(n, N_m)|^2 \right)^{1/2} \ll N^{1/2}M_m^{1/2}H_{N_m}(\alpha)^{1/2} \\ &\leq N^{1/2}M_m^{1/2}(M_mN^{2-1/4})^{1/2} \ll MN^{3/2-1/8} < MN^{3/2}. \end{aligned}$$

Together with the estimates on  $II$  and  $I_2$  we get (2.2) and so prove the proposition. ■

REMARK. The choice of exponents  $2 - 1/2$ ,  $1 + 1/100$  is completely arbitrary. All we needed was some improvement on the trivial bound  $H_N \leq MN^2$ .

**3. Proof of Theorem 1.** In this section we deduce Theorem 1 from Proposition 4. The argument follows closely the one given in [4].

**3.1. Bounding the variance.** Let  $f \in C_c^\infty(\mathbb{R})$  be a test function and set

$$R_2(f, N) := \frac{1}{N} \sum_{1 \leq j \neq k \leq N} F_N(\theta_j - \theta_k)$$

where

$$F_N(y) = \sum_{m \in \mathbb{Z}} f(N(y + m)).$$

Using the Fourier expansion of  $F_N(y)$  we find

$$R_2(f, N) = \frac{1}{N^2} \sum_{n \in \mathbb{Z}} \widehat{f}\left(\frac{n}{N}\right) \sum_{1 \leq j \neq k \leq N} e(n(\theta_j - \theta_k)),$$

that is,

$$(3.1) \quad R_2(f, N)(\alpha) = \frac{1}{N^2} \sum_{n \in \mathbb{Z}} \widehat{f}\left(\frac{n}{N}\right) s_{\text{off}}(n, N)$$

where

$$s_{\text{off}}(n, N) := \sum_{1 \leq x \neq y \leq N} e(n\alpha(a(x) - a(y))).$$

As a function of  $\alpha$ ,  $R_2(f, N)(\alpha)$  is periodic and from (3.1) its Fourier expansion is

$$R_2(f, N)(\alpha) = \sum_{l \in \mathbb{Z}} b_l(N) e(l\alpha)$$

where for  $l \neq 0$ ,

$$(3.2) \quad b_l(N) = \frac{1}{N^2} \sum_{n \neq 0} \sum_{\substack{1 \leq x \neq y \leq N \\ n(a(x) - a(y)) = l}} \widehat{f}\left(\frac{n}{N}\right).$$

The mean of  $R_2(f, N)(\alpha)$  is

$$\int_0^1 R_2(f, N)(\alpha) d\alpha = b_0(N) = \frac{1}{N^2} \sum_{1 \leq x \neq y \leq N} \widehat{f}(0) = \left(1 - \frac{1}{N}\right) \widehat{f}(0)$$

so that

$$\int_0^1 R_2(f, N)(\alpha) d\alpha = \int_{-\infty}^{\infty} f(x) dx + O(1/N).$$

This is the expected value for a random sequence.

We next estimate the variance of  $R_2(f, N)$ :

PROPOSITION 5. *Under the assumption of Theorem 1,*

$$\int_0^1 |R_2(f, N)(\alpha) - \widehat{f}(0)|^2 d\alpha \ll N^{-99/100+\varepsilon}$$

for any  $\varepsilon > 0$ , the implied constants depending on  $\varepsilon$  and  $f$ .

PROOF. We first note that since  $\widehat{f}(n/N)$  is negligible if  $|n| \gg N^{101/100} = M$ , we can bound  $b_l(N)$  by

$$\begin{aligned} b_l(N) &\ll \frac{1}{N^2} \sum_{0 < |n| \ll M} \sum_{\substack{1 \leq x \neq y \leq N \\ n(a(x) - a(y)) = l}} \widehat{f}\left(\frac{n}{N}\right) \\ &\ll \frac{1}{N^2} \#\{0 < |n| \ll M, x \neq y \leq N : n(a(x) - a(y)) = l\}. \end{aligned}$$

By Parseval,

$$\int_0^1 |R_2(f, N)(\alpha) - \widehat{f}(0)|^2 d\alpha = \left(\frac{\widehat{f}(0)}{N}\right)^2 + \sum_{l \neq 0} |b_l(N)|^2 \ll \frac{1}{N^2} + \frac{1}{N^4} A(M, N)$$

where  $A(M, N)$  is the number of solutions of the equation

$$n_1(a(x_1) - a(y_1)) = n_2(a(x_2) - a(y_2))$$

with  $0 < |n_1|, |n_2| \ll M$ , and  $x_1 \neq y_1, x_2 \neq y_2 \leq N$ . By the assumption of Theorem 1,  $A(M, N) \ll MN^{2+\varepsilon}$  so since  $M = N^{1+1/100}$  we find

$$\int_0^1 |R_2(f, N)(\alpha) - \widehat{f}(0)|^2 d\alpha \ll MN^{-2+\varepsilon} \ll N^{-1+1/100+\varepsilon}$$

as required. ■

**3.2. Almost everywhere convergence.** In order to prove Theorem 1 from the decay of the variance of the pair correlation (Proposition 5), we first show that for each  $f \in C_c^\infty(\mathbb{R})$ , there is a set of full measure, depending on  $f$ , so that for all  $\alpha$  in this set

$$R_2(f, N_m)(\alpha) \rightarrow \widehat{f}(0)$$

for a subsequence  $N_m$  which grows faster than  $m$ .

Set

$$X_N(\alpha) = R_2(f, N)(\alpha) - \widehat{f}(0).$$

By Proposition 5,  $\|X_N\|_2^2 \ll_\varepsilon N^{-99/100+\varepsilon}$  for all  $\varepsilon > 0$  and so if we take  $N_m \sim m^{101/99}$  then

$$\int_0^1 \sum_m |X_{N_m}(\alpha)|^2 d\alpha = \sum_m \int_0^1 |X_{N_m}(\alpha)|^2 d\alpha < \infty$$

and so  $\sum_m |X_{N_m}|^2 \in L^1(0, 1)$ . Thus the sum is finite almost everywhere, and so  $X_{N_m}(\alpha) \rightarrow 0$  as  $m \rightarrow \infty$  for almost all  $\alpha$ .

We next show

LEMMA 6. *If  $N_m \sim m^{101/99}$ ,  $N_m \leq N < N_{m+1}$  then for almost every  $\alpha$ ,*

$$X_N(\alpha) - X_{N_m}(\alpha) \rightarrow 0.$$

Since  $X_{N_m}(\alpha) \rightarrow 0$  for almost all  $\alpha$ , this lemma shows that  $R_2(f, N)(\alpha) \rightarrow \widehat{f}(0)$  for a set of full measure of  $\alpha$  which depends on the test function  $f$ . By a diagonalization argument we can pass to a subset of full measure of  $\alpha$ 's which works for all  $f \in C_c^\infty(\mathbb{R})$ ; for the details see [4].

**3.3. Proof of Lemma 6.** Recall that for almost all  $\alpha$  we have, by Proposition 4,

$$\sum_{1 \leq n \leq M} |S_\alpha(n, N)|^2 \ll MN^{2-1/4}$$

and applying Cauchy–Schwarz we get

$$(3.3) \quad \sum_{1 \leq n \leq M} |S_\alpha(n, N)| \ll MN^{1-1/8}$$

for all  $N \gg 1$ , and  $M = N^{101/100}$ .

We write  $N = N_m + k$ , with  $0 \leq k \ll N_m^{2/101}$ . Then we claim that

$$(3.4) \quad \begin{aligned} X_{N_m+k}(\alpha) - X_{N_m}(\alpha) &= \frac{1}{N_m^2} \sum_{0 < |n| \leq M} \widehat{f}\left(\frac{n}{N_m}\right) \{s_{\text{off}}(n, N_m + k) - s_{\text{off}}(n, N_m)\} \\ &\quad + O(N_m^{-1/4+1/100+2/101}). \end{aligned}$$

Indeed, since  $\widehat{f}$  is rapidly decreasing, the trivial estimate

$$|s_{\text{off}}(n, N)| \leq N + |S(n, N)|^2 \leq N + N^2$$

gives

$$X_N(\alpha) = \frac{1}{N^2} \sum_{0 < |n| \leq M} \widehat{f}\left(\frac{n}{N}\right) s_{\text{off}}(n, N) + O(N^{-A})$$

for all  $A \gg 1$ . From now on we ignore this rapidly decreasing term.

Further, from Proposition 4 and  $|s_{\text{off}}(n, N)| \leq N + |S(n, N)|^2$  we have

$$\begin{aligned} \sum_{0 < |n| \leq M} |s_{\text{off}}(n, N_m + k)| &\leq M(N_m + k) + \sum_{0 < |n| \leq M} |S(n, N_m + k)|^2 \\ &\ll M(N_m + k) + M(N_m + k)^{2-1/4} \ll MN_m^{2-1/4}. \end{aligned}$$

Next we claim that

$$\begin{aligned}
(3.5) \quad & \frac{1}{(N_m + k)^2} \sum_{0 \neq |n| \leq M} \widehat{f}\left(\frac{n}{N_m + k}\right) s_{\text{off}}(n, N_m + k) \\
&= \frac{1}{N_m^2} \sum_{0 \neq |n| \leq M} \widehat{f}\left(\frac{n}{N_m}\right) s_{\text{off}}(n, N_m + k) + O(N_m^{-1/4+1/100+2/101}).
\end{aligned}$$

This will immediately give (3.4). Indeed, write

$$\frac{1}{(N_m + k)^2} = \frac{1}{N_m^2} + O\left(\frac{k}{N_m^3}\right) = \frac{1}{N_m^2} + O(N_m^{-3+2/101})$$

and

$$\begin{aligned}
\frac{n}{N_m + k} &= \frac{n}{N_m} + O\left(\frac{nk}{N_m^2}\right) \\
&= \frac{n}{N_m} + O\left(\frac{M}{N_m^{2-2/101}}\right) = \frac{n}{N_m} + O(N_m^{-1+1/100+2/101})
\end{aligned}$$

so that for  $|n| \leq M \sim N_m^{101/100}$ ,  $k < N_m^{2/101}$ ,

$$\begin{aligned}
\widehat{f}\left(\frac{n}{N_m + k}\right) &= \widehat{f}\left(\frac{n}{N_m}\right) + O\left(\frac{M}{N_m^{2-2/101}}\right) \\
&= \widehat{f}\left(\frac{n}{N_m}\right) + O(N_m^{-1+1/100+2/101}).
\end{aligned}$$

Therefore

$$\begin{aligned}
& \frac{1}{(N_m + k)^2} \sum_{0 \neq |n| \leq M} \widehat{f}\left(\frac{n}{N_m + k}\right) s_{\text{off}}(n, N_m + k) \\
& \quad - \frac{1}{N_m^2} \sum_{0 \neq |n| \leq M} \widehat{f}\left(\frac{n}{N_m}\right) s_{\text{off}}(n, N_m + k) \\
&= \left( \frac{1}{N_m^2} + O\left(\frac{1}{N_m^{3-2/101}}\right) \right) \\
& \quad \times \sum_{0 \neq |n| \leq M} \left( \widehat{f}\left(\frac{n}{N_m}\right) + O(N_m^{-1+1/100+2/101}) \right) s_{\text{off}}(n, N_m + k) \\
& \quad - \frac{1}{N_m^2} \sum_{0 \neq |n| \leq M} \widehat{f}\left(\frac{n}{N_m}\right) s_{\text{off}}(n, N_m + k) \\
&\ll N_m^{-3+2/101} \sum_{0 \neq |n| \leq M} |s_{\text{off}}(n, N_m + k)| \\
&\ll N_m^{-3+2/101} \cdot MN_m^{2-1/4} \ll N_m^{-1/4+1/100+2/101} \quad \text{by (3.3)}
\end{aligned}$$

as required. This proves (3.5) and so (3.4).

As our last step we express the difference  $s_{\text{off}}(n, N_m + k) - s_{\text{off}}(n, N_m)$  in the form

$$\begin{aligned} s_{\text{off}}(n, N_m + k) - s_{\text{off}}(n, N_m) &= 2 \operatorname{Re} \sum_{y=N_m+1}^{N_m+k} e(-n\alpha a(y)) \sum_{1 \leq x \leq N_m} e(n\alpha a(x)) \\ &\quad + \sum_{N_m+1 \leq x \neq y \leq N_m+k} e(n\alpha(a(x) - a(y))). \end{aligned}$$

We estimate the second term trivially by  $k^2 \ll N_m^{4/101}$ :

$$|s_{\text{off}}(n, N_m + k) - s_{\text{off}}(n, N_m)| \leq k|S(n, N_m + k)| + k^2.$$

Then inserting this into (3.4) and using (3.3) we get

$$\begin{aligned} X_{N_m+k} - X_{N_m} &\ll \frac{1}{N_m^2} \sum_{0 < |n| \leq M} (k|S(n, N_m + k)| + k^2) + N_m^{-1/4+1/100+2/101} \\ &\ll \frac{k}{N_m^2} \sum_{0 < |n| \leq M} |S(n, N)| + \frac{Mk^2}{N_m^2} + N_m^{-1/4+1/100+2/101} \\ &\ll \frac{k}{N_m^2} MN_m^{7/8} + \frac{Mk^2}{N_m^2} + N_m^{-1/4+1/100+2/101} \quad \text{by (3.3)} \\ &\ll N_m^{-1/8+2/101+1/100} + N_m^{-1+1/100+2/101} + N_m^{-1/4+1/100+2/101} \\ &\ll N_m^{-1/8+2/101+1/100}. \end{aligned}$$

This proves our lemma. ■

**4. Proof of Proposition 2.** We assume that  $a(x) > 0$  is an increasing sequence of positive integers so that there is some  $c > 1$  for which

$$(4.1) \quad a(x + 1) \geq ca(x),$$

and we will show that the equation

$$(4.2) \quad n_1(a(x_1) - a(y_1)) = n_2(a(x_2) - a(y_2)),$$

has at most  $O(MN^2 \log^2 N)$  solutions in  $0 < |n_i| \leq M$ ,  $1 \leq x_i \neq y_i \leq N$ , where  $M \ll N^R$  for some  $R > 0$ .

By changing the sign of  $n_i$  and exchanging the roles of  $x_1$  and  $y_1$  and of  $x_2$  and  $y_2$  as needed, we may assume that

$$(4.3) \quad x_1 > y_1, \quad x_2 > y_2, \quad n_1, n_2 > 0.$$

Moreover, by changing the roles of the right- and left-hand sides of (4.2), we may further assume

$$(4.4) \quad x_1 \geq x_2.$$

We begin by observing that for solutions of (4.2) satisfying the above normalization conditions (4.3), (4.4), we must have

$$(4.5) \quad x_1 - x_2 \ll \log_c M.$$

Indeed, the LHS of (4.2) is by (4.1) at least

$$(4.6) \quad n_1(a(x_1) - a(y_1)) \geq 1 \cdot (a(x_1) - a(y_1)) \geq a(x_1) - a(x_1 - 1) \geq a(x_1)(1 - 1/c).$$

The RHS of (4.2) is at most

$$n_2(a(x_2) - a(y_2)) \leq Ma(x_2).$$

From (4.1) we have

$$a(x_1) \geq c^{x_1 - x_2} a(x_2)$$

so that the RHS of (4.2) is at most

$$(4.7) \quad \text{RHS} \leq \frac{Ma(x_1)}{c^{x_1 - x_2}}.$$

Combining (4.6) and (4.7) gives

$$a(x_1) \left(1 - \frac{1}{c}\right) \leq \frac{Ma(x_1)}{c^{x_1 - x_2}}$$

so that

$$x_1 - x_2 \leq \log_c M.$$

Now fix  $n_1, x_1, y_1$ . We need to show that the number of triples  $(n_2, x_2, y_2)$  solving (4.2) and the normalization conditions (4.3), (4.4) is at most  $O(\log^2 M)$ . Since  $x_1 - x_2 \leq \log_c M$  we may also fix  $x_2$  and show that the number of pairs  $(n_2, y_2)$  solving (4.2) and the normalization conditions (4.3), (4.4) is at most  $O(\log M)$ . Since  $y_2$  will now determine  $n_2$ , it suffices to determine  $y_2$ . For this, it suffices to show that there is at most *one* solution with  $x_2 - y_2 > 2 \log_c M$ .

Indeed, if  $(n_2, y_2)$  is a solution with  $x_2 - y_2 > 2 \log_c M$  then

$$a(y_2) \leq \frac{a(x_2)}{c^{x_2 - y_2}} < \frac{a(x_2)}{M^2}.$$

Thus the LHS of (4.2) equals

$$n_2(a(x_2) - a(y_2)) = n_2 a(x_2) \left(1 - \frac{a(y_2)}{a(x_2)}\right) = n_2 a(x_2) \left(1 + O\left(\frac{1}{M^2}\right)\right).$$

If  $(n'_2, y'_2)$  is another such solution then

$$n_2(a(x_2) - a(y_2)) = n'_2(a(x_2) - a(y'_2))$$

so that we find

$$\frac{n'_2}{n_2} = \frac{1 + O(1/M^2)}{1 + O(1/M^2)} = 1 + O\left(\frac{1}{M^2}\right).$$

However, since  $n_2, n'_2 \leq M$  this forces  $n_2 = n'_2$ . Thus there are at most  $1 + 2 \log_c M$  solutions of (4.2) with  $n_1, x_1, y_1, x_2$  fixed (and satisfying the normalization conditions). This shows that the total number of solutions of (4.2) is  $O(MN^2 \log^2 N)$ .

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