

We add here a numerical example of the splitting formula in the simplest case where  $F = \mathbb{Q}$  is rational number field and  $p = 2$ . Let  $\mathbb{Q}_2^*$  be the multiplicative group of non-zero elements of the 2-adic number field  $\mathbb{Q}_2$ . Then, for every representative of  $\mathbb{Q}_2^*/\mathbb{Q}_2^{*2}$ , the value of  $w_2(a)$  is given by

$$\begin{aligned} a &= 1, 5, -1, -5, 2, 10, -2, -10 \\ w_2(a) &= 1, 1, \quad i, \quad i, 1, -1, \quad i, \quad -i. \end{aligned}$$

This gives, for example,

$$\left( \frac{10, -2}{2} \right) = \frac{-1 \cdot i}{i} = -1.$$

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## On the existence of primes in short arithmetical progressions

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**Introduction.** In 1944 Linnik (see [4]) proved the existence of an absolute constant  $c > 0$  such that the smallest prime in any arithmetical progression  $ku + l$ ,  $(k, l) = 1$ ,  $u = 0, 1, 2, \dots$  does not exceed  $k^c$ . In 1954 Rodoskiĭ (see [6]) gave a shorter proof in which a fundamental lemma of Linnik was replaced by a weaker result (see further (10)). Introducing a new parameter in Rodoskiĭ's proof in 1955 I proved (see [2]) the existence of an absolute constant  $c > 0$  such that there is at least one prime  $p \equiv l \pmod{k}$ ,  $(k, l) = 1$ , in the interval

$$(1) \quad (x, xk^c) \quad \text{for all} \quad x \geq 1$$

and I proved that there are other absolute constants  $c_1, c_2$  ( $c_2 > c_1 > 0$ ) such that

$$(2) \quad \pi(x; k, l) > xk^{-c_1} \quad \text{for all} \quad x \in (k^{c_2}, k^{k^2}),$$

if  $(k, l) = 1$  and  $\pi(x; k, l)$  denotes the number of primes  $p \equiv l \pmod{k}$  not exceeding  $x$ .

The estimates (1) and (2) are of some importance for  $x < \exp k^{\varepsilon_1}$ ,  $\varepsilon_1$  denoting (throughout this paper) an arbitrarily small positive constant. In this case the uncertainty about the existence or nonexistence of the real exceptional zero of Dirichlet's function  $L(s, \chi)$  with a real character  $\chi$  modulo  $k$  is the reason why the existing estimates of  $\pi(x; k, l)$  and estimates of the difference of consecutive primes  $\equiv l \pmod{k}$  fail to give us any positive information. For  $x \geq \exp k^{\varepsilon_1}$  and  $k > k_0(\varepsilon_1)$  according to Tehudakoff ([3]) there is at least one prime  $\equiv l \pmod{k}$  in the interval

$$(3) \quad (x, x(1 + x^{-1/4})),$$

and  $\pi(x; k, l) > c_3(\varepsilon_1)x/\varphi(k)\log x$ , where  $\varphi(k)$  is Euler's function denoting the number of natural numbers  $l \leq k$  with  $(l, k) = 1$  (1).

(1) For these results see, for example, K. Prachar [5], IX Satz 2.2, IV Satz 8.2; IX Satz 3.2, IX Satz 4.2. (Roman numbers denoting the chapters, A the appendix).

It is the aim of this paper to improve the estimates (1) and (2) in such a way that the increasing of  $x$  should diminish the length of the interval in which there is at least one prime  $p \equiv l \pmod{k}$  and it should possibly increase the ratio  $\varphi(k)\pi(x; k, l):x$ . The principal result of this paper may be formulated as the following

**THEOREM.** *There are absolute constants  $c > 0$ ,  $c' > 0$  such that for any positive  $\varepsilon \leq c$ , for all  $k \geq k_0(\varepsilon)$  and all*

$$x \geq k^{c \log(c/\varepsilon)}$$

*there is at least one prime  $p \equiv l \pmod{k}$ ,  $(k, l) = 1$  in the interval*

$$(x, xk^\varepsilon).$$

*Actually there are  $\geq x/\varphi(k)k^{2\varepsilon}$  primes  $\equiv l \pmod{k}$  for  $x < \exp k^\varepsilon$ ,  $k \geq k_1(\varepsilon)$ .*

By absolute constants we understand constants which are independent of  $k, l, \varepsilon$ .

The function  $k_0(\varepsilon)$  of the theorem depends on Siegel's constant  $c_s(\varepsilon)$  (see further (8)), no estimate of which is known at present.

**COROLLARY.** *We have*

$$(4) \quad \pi(x; k, l) > x/\varphi(k)k^{3\varepsilon}$$

*for all  $x \in (k^{c \log(c/\varepsilon)+\varepsilon}, \exp k^\varepsilon)$ ,  $k \geq k_2(\varepsilon)$ .*

Using  $\varepsilon = c$  in the theorem and the corollary we get (2) and the result concerning (1) as stated above.

For another consequence of the theorem see the note on functions of Liouville and Möbius at the end of this paper.

We shall prove the theorem by the method of Linnik-Rodosskii supplied with two more parameters and applied to other dissection of the critical strip in regions of summation. Using this method for  $x \geq \exp k^{\frac{1}{2}}$  we can prove the existence of a prime  $p \equiv l \pmod{k}$  in the interval

$$(x, x(1+c_4)),$$

where  $c_4$  is some absolute constant  $> 0$  (see further (67)). In proving this we use a rather weak estimate of the number of  $L$ -functions having zeros in the neighbourhood of  $s = 1$ . Therefore we cannot get as good an estimate as (3), the proof of which uses a more profound knowledge about the distribution of the zeros of  $L$ -functions; nevertheless it cannot be applied to the case  $x < \exp k^{\frac{1}{2}}$ .

A short note containing the main results of this paper has been sent to the Doklady Akad. Nauk SSSR.

**Preliminary theorems.** We take for granted the general properties of Dirichlet's functions  $L(s, \chi)$  with characters  $\chi$  modulo  $k > 1$  to such an extent as is given in Prachar's book [5] and, with a few exceptions, we use the same notation.

$A, B, C, c_0, c_5, c_6, \dots, k_3, k_4, \dots, a, \eta_0, \varepsilon, \varepsilon', a, b$  denote positive constants which may depend on each other but not on  $k, l$  (and not on any of the parameters  $t, T, \lambda, \dots$ , which are used further on). The dependence on  $\varepsilon, \varepsilon'$  or  $\varepsilon_1$  is always marked in the usual way.

$n$  denotes natural numbers,  $p$ —primes. The natural numbers  $k$  and  $l$  are always supposed to have the highest common divisor  $(k, l) = 1$ . (By  $(a, b)$  for real  $a, b$  we denote the interval  $a < x < b$  as well, but there is no danger of a confusion arising from this ambiguity.)

$y \ll x$  or  $y = O(x)$  for positive  $x$  has the meaning of the inequality  $|y|:x < c_n$  for some  $n$  ( $n > 5$ ).

The complex variable is generally denoted by  $s = \sigma + it$  ( $\sigma = \text{res}$ ,  $t = \text{ims}$ ); sometimes we use  $w$  or  $z$  as well.

We use  $\exp z$  for the exponential function  $e^z$ , whenever it is more convenient for the print.

Further we shall use the following properties 1-9 of  $L(s, \chi)$  or other functions.

#### 1. In the region

$$(5) \quad \sigma \geq 1 - c_0/\log k(|t| + 2) \geq \frac{3}{4}$$

*for all characters  $\chi$  modulo  $k$  we have  $L(s, \chi) \neq 0$ , with at most one exception corresponding to a function  $L(s, \chi_1)$  with a real non-principal character  $\chi_1$ ; this function  $L(s, \chi_1)$  may have in (5) a single real zero  $\beta_1 < 1$  ([5], IV Satz 6.9).*

#### 2. There is an $A$ such that for $\delta_1 = 1 - \beta_1$ ,

$$(6) \quad \delta_0 = \begin{cases} \delta_1 & \text{if } \delta_1 \leq A/\log k, \\ A/\log k & \text{otherwise,} \end{cases}$$

$$(7) \quad \lambda_0 = A \log \frac{eA}{\delta_0 \log k} \in [A, \frac{1}{2} \log k],$$

*the rectangle  $(1 - \lambda_0/\log k \leq \sigma \leq 1, |t| \leq k)$  contains no zeros of any function  $L(s, \chi)$  with a character  $\chi$  modulo  $k$  with probably one exception  $\varrho = \beta_1$  ([5], X (4.8), (4.9), (4.10)).*

3. (Siegel's theorem). *For any  $\varepsilon > 0$  and any real character  $\chi$  modulo  $k$  we have  $L(\sigma, \chi) \neq 0$  in the region*

$$(8) \quad \sigma \geq 1 - c_5(\varepsilon)k^{-\varepsilon}$$

([5], IV Satz 8.2).

4. Let  $N_x(T)$  denote the number of zeros of  $L(s, \chi)$  in the rectangle  $(0 \leq \sigma \leq 1, |t| \leq T)$ . Then for any  $T \geq 2$  we have

$$(9) \quad N_x(T) = \frac{1}{\pi} T \log T + a(k)T + O(\log kT),$$

where  $a(k)$  is a real function  $\ll \log 2k$ , which does not depend on  $T$  ([5], VII Satz 3.4).

5. Let  $N(\delta, T) = N(\delta, T, k)$  denote the number of zeros of all functions  $L(s, \chi)$  with characters modulo  $k$  in the rectangle  $(\sigma \geq 1 - \delta, |t| \leq T)$ . Then there is a  $C$  such that for all  $\lambda \in [0, \log k]$  we have

$$(10) \quad N(\lambda/\log k, e^\lambda/\log k) < e^{C\lambda}.$$

(See [5], X Satz 2.2. This is a simple consequence of Rodosskii's substitute for Linnik's fundamental lemma. The latter gives a similar estimate for the number of the functions  $L(s, \chi)$  which have at least one zero in the rectangle  $\sigma > 1 - \lambda/\log k, |t| \leq \min(\lambda^{100}, \log^3 k)$ .)

6. Let  $\lambda_1, \lambda_2, \dots$  be a sequence of non-decreasing real numbers with  $\lim \lambda_n = \infty$ , and let  $a_n$  ( $n = 1, 2, \dots$ ) denote arbitrary real or complex numbers. Then for any real or complex function  $g(\xi)$  having a continuous derivative in the segment  $\lambda_1 \leq \xi \leq x$  we have

$$(11) \quad \sum_{\lambda_1 \leq \lambda_n \leq x} a_n g(\lambda_n) = A(x)g(x) - \int_{\lambda_1}^x A(\xi)g'(\xi) d\xi,$$

where

$$A(\xi) = \sum_{\lambda_1 \leq \lambda_n \leq \xi} a_n$$

([5], A, Satz 1.4.).

7. Let  $A(n)$  be  $\log p$  if  $n$  is a positive power of the prime  $p$  and 0 otherwise. Then we have for all  $x \geq k^2$

$$(12) \quad \psi(x; k, l) = \sum_{\substack{n \leq x \\ n \equiv l \pmod{k}}} A(n) \ll x/\varphi(k)$$

(See [5], VII, proof of Lemma 7.1).

8. For any positive numbers  $m, y$  and any real number  $a$  we have

$$(13) \quad \int_{a-i\infty}^{a+i\infty} m^{-z} e^{s^2 y} dz = i \sqrt{\frac{\pi}{y}} e^{-(\log m)^2 / 4y}$$

([5], A, Lemma 3.3).

9. For any positive numbers  $x, y$  we have

$$(14) \quad \sum_{n=2}^{\infty} \chi(n) A(n) n^{-s} \exp\left(-\frac{\log^2 n/x}{4y}\right) \\ = i \sqrt{\frac{y}{\pi}} \int_{2-i\infty}^{2+i\infty} \frac{L'}{L}(w, \chi) x^{w-s} e^{(w-s)^2 y} dw.$$

To prove this we use in (13)  $m = n/x, a = 2 - \sigma$ , multiply through by  $\chi(n) A(n) n^{-s}$  and sum over all  $n \geq 2$ . Since

$$\sum_n \chi(n) A(n) n^{-s-s} = -\frac{L'}{L}(s+s, \chi),$$

we get (14) putting  $z+s = w$ . (See [5], A Satz 3.3.)

**Proof of the auxiliary inequality (46).** We use in (14)  $s = -\frac{1}{2}$ , multiply through by  $\bar{\chi}(l)$  (the complex conjugate of  $\chi(l)$ ) and sum over all  $\varphi(k)$  characters modulo  $k$  taking into account that

$$\frac{1}{\varphi(k)} \sum_{\chi} \chi(n) \bar{\chi}(l) = \begin{cases} 1 & \text{if } n \equiv l \pmod{k}, \\ 0 & \text{otherwise} \end{cases}$$

([5], IV (2.11)). Moving the path of integration to the line  $\text{Re } w = -\frac{1}{2}$  (which is legitimate, since the integrals and sum of residues are absolutely convergent) we get the identity

$$(15) \quad \Phi(x, y; k, l) = \varphi(k) \sum_{\substack{n=2 \\ n \equiv l \pmod{k}}}^{\infty} A(n) \sqrt{n} \exp\left(-\frac{\log^2 n/x}{4y}\right) \\ = 2\sqrt{\pi y} x^{3/2} \exp\left(\frac{y}{4}\right) - 2\sqrt{\pi y} \sum_{\chi, \ell_{\chi}} \bar{\chi}(l) \text{Res}_{w=\ell_{\chi}} \frac{L'}{L}(w, \chi) x^{w+1/2} \exp\{(w+\frac{1}{2})^2 y\} + \\ + \sum_{\chi} \bar{\chi}(l) i \sqrt{y/\pi} \int_{-1/2-i\infty}^{-1/2+i\infty} \frac{L'}{L}(w, \chi) x^{w+1/2} \exp\{(w+\frac{1}{2})^2 y\} dw,$$

where  $\Phi(x, y; k, l)$  denotes the function defined by the left side of (15). On the right side  $\ell_{\chi}$  runs over all zeros of  $L(s, \chi)$  having the real parts  $\geq 0$ . Since

$$\frac{L'}{L}\left(-\frac{1}{2} + it, \chi\right) \ll \log k(|t| + 2)$$

([5], VII Satz 4.3) and  $k > 1$ , the integral in (15) is  $\ll y^{-1/2} \log 2k$  (cf. [5], VII, proof of Satz 6.1). Hence, if  $\beta_1$  denotes the probably existing real zero of  $L(s, \chi_1)$  with the real exceptional character  $\chi_1$  and if we put

$$(16) \quad \delta_1 = 1 - \beta_1, \quad \varrho = 1 - \delta + i\tau,$$

we have, by (15),

$$(17) \quad \Phi(x, y; k, l) = 2\sqrt{\pi y} x^{3/2} \exp\left(\frac{\varrho}{4}y\right) \{1 - E_1 x^{-\delta_1} \chi_1(l) \exp[-\delta_1(3 - \delta_1)y] - S\} + O(\varphi(k) \log k),$$

where

$$E_1 = \begin{cases} 1 & \text{if } \beta_1 \text{ exists,} \\ 0 & \text{otherwise,} \end{cases}$$

and

$$(18) \quad S = \sum_{\chi} \bar{\chi}(l) \sum_{\substack{\alpha \\ \alpha \chi \neq \beta_1}} x^{-\alpha} \exp\{[-\delta(3 - \delta) - \tau^2 + i\tau(3 - 2\delta)]y + i\tau \log x\}.$$

Let  $f(\eta)$  be a real or complex function of the real variable  $\eta$  and  $B$  any natural number. By  $I_B f(\eta)$  we denote the integration  $B$  times repeated, of the function  $f(\eta)$ , the range of integration being  $(\eta, \eta + 1)$ . For any constant  $a$  and any continuous functions  $f(\eta), g(\eta)$  we have

$$(19) \quad I_B 1 = 1, \quad I_B af = aI_B f, \quad I_B(f + g) = I_B f + I_B g,$$

and

$$(20) \quad I_B e^{a\eta} = \left(\frac{e^a - 1}{a}\right)^B e^{a\eta}.$$

Let  $f(\eta), f_1(\eta)$  be real continuous functions and let  $f \leq f_1$  for all  $\eta$ . Then we have

$$(21) \quad I_B f \leq I_B f_1,$$

$$(22) \quad \min_{\eta \leq t \leq \eta+B} f(t) \leq I_B f(\eta) \leq \max_{\eta \leq t \leq \eta+B} f(t).$$

The properties (19)–(22) may be proved by induction with respect to  $B$ .

Further we will use a fixed  $B$  satisfying the inequality

$$(23) \quad B \geq \max(2, C + 1),$$

where  $C$  is defined by (10), and a constant  $\eta_0 \ll 1$ ,

$$(24) \quad \eta_0 \geq \max(4, C),$$

other restrictions on which will follow. Suppose  $\eta_0$  to be the initial value of  $\eta$ ; the operation  $I_B$  increases it by  $B$  and hence we have the restriction on  $\eta$ :

$$(25) \quad \eta_0 \leq \eta \leq \eta_0 + B.$$

We shall use in (17)

$$(26) \quad x = k^\xi, \quad \xi \geq 0,$$

$$(27) \quad y = \frac{\eta}{\nu} \log k,$$

with  $\eta$  satisfying (25) and

$$(28) \quad 1 \leq \nu \leq \log k, \quad \nu \leq e^{a\xi}$$

for all  $\xi \geq 0$ ,  $a$  satisfying the inequalities

$$(29) \quad 0 < a \leq \min\left(\frac{1}{10}, \frac{1}{3}B^{-1}, A/3B\right),$$

where  $A$  and  $B$  are defined by (6), (7), (23). Let us divide (17) through by  $2\sqrt{\pi y} x^{3/2} \exp\left(\frac{\varrho}{4}y\right)$  and effect the operation  $I_B$ . Writing

$$(30) \quad I_B \frac{\Phi(x, y; k, l)}{2\sqrt{\pi y} x^{3/2} \exp\left(\frac{\varrho}{4}y\right)} = U,$$

we get, by (17), (19),

$$(31) \quad U = 1 - E_1 \chi_1(l) x^{-\delta_1} I_B e^{-\delta_1(3 - \delta_1)y} - I_B S + I_B y^{-1/2} \exp\left(-\frac{\varrho}{4}y\right) O(x^{-3/2} \varphi(k) \log k).$$

Using (27), (21), (22), (23), (24), (29) we get the estimate

$$I_B y^{-1/2} \exp\left(-\frac{\varrho}{4}y\right) \leq \exp\left(-\frac{\varrho}{4} \frac{\eta_0}{\nu} \log k\right) \leq \begin{cases} 1 & \text{if } \xi > 10, \\ \exp\left(-\frac{\varrho}{4} \eta_0 e^{-a\xi} \log k\right) \leq k^{-9e^{-a\xi}} \leq k^{-9/e} < k^{-3,2} & \text{if } 0 \leq \xi \leq 10. \end{cases}$$

Hence for all  $x \geq 1$  and  $k > k_3$  we get the estimate

$$(32) \quad I_B y^{-1/2} \exp\left(-\frac{\varrho}{4}y\right) O(x^{-3/2} \varphi(k) \log k) < k^{-2}.$$

By (27), (21), (22) we have

$$(33) \quad I_B e^{-\delta_1(3 - \delta_1)y} < \exp\left(-2 \frac{\eta_0}{\nu} \delta_1 \log k\right).$$

Using (18), (20), (27) we get the expression

$$(34) \quad |I_B S| \leq (2\nu)^B \sum_{\varrho} x^{-\delta} \frac{\exp\left\{-\frac{\eta_0}{\nu}(2\delta + \tau^2)\log k\right\}}{|(2\delta + \tau^2 + i\tau)\log k + i\tau\log x|^B} = T_1 + T_2 + T_3.$$

The summation is extended over all zeros  $\varrho = 1 - \delta + i\tau \neq \beta_1$  with  $\delta \leq 1$  of all the functions  $L(s, \chi)$  with characters  $\chi$  modulo  $k$ . The critical strip  $0 \leq \sigma \leq 1$  is cut in three regions  $G_1, G_2, G_3$  as defined below, and  $T_1, T_2, T_3$  denote the corresponding parts of the sum in (34).

Let  $G_1$  be the region ( $0 \leq \sigma \leq 1, |\tau| \geq \log k$ ). Then we have

$$\begin{aligned} T_1 &\leq \sum_{|\tau| \geq \log k} \exp\left(-\frac{\eta_0}{\nu} \tau^2 \log k\right) \\ &\ll \varphi(k) \int_{\log k}^{\infty} \exp\left(-\frac{\eta_0}{\nu} t^2 \log k\right) \cdot t^2 \log k \cdot \log kt \, dt \\ &< k \log^2 k \int_{\log k}^{\infty} \exp\left(-\frac{\eta_0}{\nu} t^2 \log k\right) \cdot t^2 \log t \, dt \\ &< k \log^2 k \int_{\log k}^{\infty} \exp\left(-\frac{\eta_0}{\nu} t^2 \log k + 3 \log t\right) dt \\ &< k \log^2 k \int_{\log k}^{\infty} \exp(-c_5 t^2) dt \\ &< k \log^2 k \int_{\log k}^{\infty} \exp(-c_6 t \log k) dt = \frac{k \log^2 k}{c_6 \log k} k^{-c_6 \log k}, \end{aligned}$$

by (34), (28), (9), (11). Hence for all  $k > k_4 \geq k_3$  we have

$$(35) \quad T_1 < k^{-2}.$$

Let  $G_2$  be the region of the points  $s = 1 - \lambda/\log k + i\gamma/\log k$  with

$$(36) \quad \lambda_0 \leq \lambda \leq \log k, \quad |\gamma| \leq \gamma_1 = \gamma_1(\lambda) = \min(e^2, \log^2 k),$$

$\lambda_0$  being defined by (7), and let us write the zeros  $\varrho \notin G_1$  as follows:

$$(37) \quad \varrho = 1 - \lambda/\log k + i\gamma/\log k, \quad \lambda = \lambda_0, \quad \gamma = \gamma_0.$$

Using (34), (26), (37), (7), (11), (10), (29), we get the estimates

$$\begin{aligned} T_2 &< (2\nu)^B \sum_{\varrho \in G_2} e^{-\lambda \xi} \frac{\exp(-2\lambda\eta_0/\nu)}{(2\lambda)^B} \leq \left(\frac{\nu}{A}\right)^B \sum_{\lambda_0 \leq \lambda \leq \log k} \exp\{-(\xi + 2\eta_0/\nu)\lambda\} \\ &\ll \nu^B \left\{ \int_{\lambda_0}^{\log k} (\xi + 2\eta_0/\nu) \exp[-(\xi + 2\eta_0/\nu)\lambda] e^{C\lambda} d\lambda + \right. \\ &\quad \left. + \exp[-(\xi + 2\eta_0/\nu - C)\log k] \right\} \\ &\ll \nu^B \exp\{-(\xi + 2\eta_0/\nu - C)\lambda_0\} < \exp\{-(\frac{2}{3}\xi + 2\eta_0/\nu - C)\lambda_0\} \\ &< \begin{cases} \exp[-(\frac{1}{3}\xi + 2\eta_0/\nu)\lambda_0] & \text{if } \xi \geq 3C, \\ \exp[-(\frac{2}{3}\xi + \eta_0/\nu)\lambda_0] & \text{if } \xi < 3C, \eta_0 \geq Ce^{3aC}. \end{cases} \end{aligned}$$

Hence we have

$$(38) \quad T_2 < c_7 \exp[-\lambda_0(\frac{1}{3}\xi + \eta_0/\nu)] \quad \text{for } \eta_0 > Ce^{3aC}.$$

Let  $G_3$  be the remaining part of the rectangle ( $0 \leq \sigma \leq 1 - \lambda_0/\log k, |\tau| \leq \log k$ ). Supposing  $\lambda_0 \leq 2\log\log k$ , we have

$$\begin{aligned} T_3 &\ll \nu^B \sum_{\varrho \in G_3} \exp(-\xi\lambda_0 - 2\lambda_0\eta_0/\nu) |\gamma|^{-B} = \nu^B \exp\{-\lambda_0(\xi + 2\eta_0/\nu)\} \sum_{\varrho \in G_3} |\gamma|^{-B} \\ &\ll \nu^B \exp\{-\lambda_0(\xi + 2\eta_0/\nu)\} \left\{ \int_{\lambda_0}^{2\log\log k} e^{-B\lambda} e^{C\lambda} d\lambda + e^{-(B-C)2\log\log k} \right\} \\ &\ll \nu^B \exp\{-\lambda_0(\xi + 2\eta_0/\nu + B - C)\}, \end{aligned}$$

by (34), (37), (26), (7), (11), (10), (36), (23); for  $\lambda_0 > 2\log\log k$  there is no  $G_3$  and consequently  $T_3 = 0$ . Hence in the same manner as in (38) we get the estimate

$$(39) \quad T_3 < c_8 \exp\{-\lambda_0(\frac{1}{3}\xi + \eta_0/\nu)\}.$$

Now we have, by (31), (32), (34), (35), (38), (39),

$$(40) \quad U \geq 1 - x^{-\delta_1} \exp[-(2\eta_0/\nu)\delta_1 \log k] - 2k^{-2} - c_9 \exp\{-\lambda_0(\frac{1}{3}\xi + \eta_0/\nu)\}.$$

Increasing  $\eta_0$ , if necessary, we may suppose the inequality

$$(41) \quad 2\eta_0 A \geq 1$$

holds. Since we have  $x \geq 1$ , and  $\delta_1 \geq \delta_0$ , by (6), and  $1 - e^{-t} \geq \frac{1}{2}t$  for  $0 \leq t \leq 1$ , using (41) we get the estimate

$$(42) \quad 1 - x^{-\delta_1} \exp[-(2\eta_0/\nu)\delta_1 \log k] \geq 1 - \exp[-(2\eta_0/\nu)\delta_0 \log k] \\ \geq 1 - \exp\{(A\nu)^{-1}\delta_0 \log k\} > \frac{\delta_0 \log k}{2A\nu}.$$

By (29), (23) we have  $\alpha < \frac{1}{3}A$ . Therefore there are non-negative solutions of the system of inequalities

$$(43) \quad A\xi/3 > \alpha\xi + \log 4c_9, \quad A\xi/3 \geq 1.$$

Let us denote by  $\xi_1$  the least non-negative solution of (43) ( $\xi_1 > 0$ ). Then for all  $\xi \geq \xi_1$  we have

$$e^{-A\xi/3} < \frac{1}{4c_9} e^{-\alpha\xi}$$

and consequently

$$c_9 e^{-A\xi/3} < 1/4\nu,$$

by (28). Using this inequality and (7), (6), (43) we get the estimate

$$(44) \quad c_9 \exp\{-\lambda_0(\frac{1}{3}\xi + \eta_0/\nu)\} < c_9 \exp(-\frac{1}{3}\xi\lambda_0) \\ = c_9 \exp\left\{-\frac{1}{3}\xi \left(A \log \frac{eA}{\delta_0 \log k}\right)\right\} \\ = c_9 \left(\frac{\delta_0 \log k}{eA}\right)^{A\xi/3} = \left(\frac{\delta_0 \log k}{A}\right)^{A\xi/3} \cdot c_9 e^{-A\xi/3} \\ < \frac{\delta_0 \log k}{4A\nu}$$

for  $\xi \geq \xi_1$ .

Increasing  $\eta_0$ , if necessary, we may suppose that the inequalities

$$(45) \quad \eta_0 A e^{-\alpha\xi_1} > \alpha\xi_1 + \log 4c_9, \quad \eta_0 A e^{-\alpha\xi_1} \geq 1$$

are satisfied. Then we have, by (28),

$$\exp\left(-\frac{\eta_0}{\nu} A\right) < \frac{1}{4c_9} e^{-\alpha\xi_1}, \quad \frac{\eta_0}{\nu} A \geq 1$$

for  $0 \leq \xi < \xi_1$ , and consequently

$$c_9 e^{-A\eta_0/\nu} < 1/4\nu, \quad A\eta_0/\nu \geq 1.$$

Using these inequalities and (7), (6) we get the estimate

$$c_9 \exp\left\{-\lambda_0\left(\frac{1}{3}\xi + \frac{\eta_0}{\nu}\right)\right\} \leq c_9 \exp\left(-\frac{\eta_0}{\nu} \lambda_0\right) \\ = \left(\frac{\delta_0 \log k}{A}\right)^{A\eta_0/\nu} \cdot c_9 e^{-A\eta_0/\nu} \leq \frac{\delta_0 \log k}{4A\nu}$$

(cf. (44)). This proves (44) for all  $\xi \geq 0$ .

For all  $k > k_5 \geq k_4$  we have

$$2k^{-2} < \frac{\delta_0 \log k}{8A\nu},$$

by (6), (8), (28). Using this inequality and (40), (42), (44) we get the estimate

$$(46) \quad U > \frac{c_{10}}{\nu} \delta_0 \log k$$

for  $c_{10} \geq 1/8A$  and some  $\eta_0 \leq 1$  satisfying (24), (38), (41), (45). This is the required auxiliary inequality.

**Proof of the main inequality (59).** We introduce the number

$$(47) \quad z = k^{\xi + 4\eta/\nu} = x e^{4y}$$

and divide the sum (15) up into the partial sums

$$(48) \quad \Phi(x, y; k, l) = S_0 + \varphi(k) \sum_{\substack{x < p < z \\ p \equiv l \pmod{k}}} \exp\left(-\frac{\log^2 p/x}{4y}\right) \cdot \sqrt{p} \log p + \\ + S_1 + \varphi(k) S_2,$$

where

$$S_0 = \varphi(k) \sum_{\substack{p \leq x \\ p \equiv l \pmod{k}}} \exp\left(-\frac{\log^2 p/x}{4y}\right) \cdot \sqrt{p} \log p, \\ (49) \quad S_1 = \varphi(k) \sum_{\substack{n \geq x \\ n \equiv l \pmod{k}}} \Lambda(n) \sqrt{n} \exp\left(-\frac{\log^2 n/x}{4y}\right), \\ S_2 = \sum_{\substack{n = p^\alpha \leq z \\ \alpha \geq 2, n \equiv l \pmod{k}}} \Lambda(n) \sqrt{n} \exp\left(-\frac{\log^2 n/x}{4y}\right).$$

Using (49), (11), (12), (47), we get

$$\begin{aligned}
 (50) \quad S_1 &< c_{11} \int_x^\infty \left( \frac{\log t/x}{2y} - \frac{1}{2} \right) \exp \left( \frac{1}{2} \log t - \frac{\log^2 t/x}{4y} \right) dt \\
 &= c_{11} \int_{\log z/y}^\infty \left( \frac{u}{2y} - \frac{1}{2} \right) \exp \left( \frac{3u + \log x}{2} - \frac{u^2}{4y} \right) du \\
 &= c_{11} x^{3/2} \int_{\log z/y}^\infty \left( \frac{u}{2y} - \frac{1}{2} \right) \exp \left( \frac{3u}{2} - \frac{u^2}{4y} \right) du \\
 &< 3c_{11} x^{3/2} \int_{\log z/y}^\infty \left( \frac{u}{2y} - \frac{3}{2} \right) \exp \left( \frac{3u}{2} - \frac{u^2}{4y} \right) du \\
 &= 3c_{11} x^{3/2} \exp \left( \frac{3}{2} \log z/x - \frac{\log^2 z/x}{4y} \right) = 3c_{11} z^{3/2} e^{-4y} = 3c_{11} x^{3/2} e^{2y}
 \end{aligned}$$

(since  $u/2y \geq (\log z/x)/2y = 2$ , by (47)). In the same manner using (11), (12) (with  $k=1$ ) we get for a fixed  $a \geq 2$

$$\begin{aligned}
 (51) \quad \sum_{p^a \leq x} \exp \left( -\frac{a^2 \log^2 p/x^{1/a}}{4y} \right) p^{a/2} \log p \\
 < c_{11} \int_2^{x^{1/a}} \left( -\frac{a}{2} + \frac{a^2 \log t/x^{1/a}}{2y} \right) \exp \left( \frac{a}{2} \log t - \frac{a^2 \log^2 t/x^{1/a}}{4y} \right) dt + \\
 &+ c_{11} \exp \left( \frac{1}{2} \log z - \frac{\log^2 z/x}{4y} + \log z^{1/a} \right) \\
 &< c_{11} x^{1/a+1/2} \int_{y/a}^{(\log z/x)/a} \left( -\frac{a}{2} + \frac{a^2 u}{2y} \right) \exp \left\{ -\frac{a^2 u^2}{4y} + \left( 1 + \frac{a}{2} \right) u \right\} du + \\
 &+ c_{11} \exp \left( \log z - \frac{\log^2 z/x}{4y} \right).
 \end{aligned}$$

(The lower limit of the integral is obtained by taking  $a^2 u/2y - a/2 \geq 0$ .)  
Since

$$y/a < (3a^{-2} + a^{-1})y < 4y/a = (\log z/x)/a,$$

by (47), we have

$$\begin{aligned}
 (52) \quad \int_{y/a}^{(\log z/x)/a} \left( \frac{a^2 u}{2y} - \frac{a}{2} \right) \exp \left\{ \left( \frac{a}{2} + 1 \right) u - \frac{a^2 u^2}{4y} \right\} du \\
 \leq \exp \left\{ \left( \frac{3}{a^2} + \frac{1}{a} \right) y \right\} \int_{y/a}^{(3a^{-2} + a^{-1})y} \left( \frac{a^2 u}{2y} - \frac{a}{2} \right) \exp \left( \frac{a}{2} u - \frac{a^2 u^2}{4y} \right) du + \\
 + 3 \int_{(3a^{-2} + a^{-1})y}^{(\log z/x)/a} \left( \frac{a^2 u}{2y} - \frac{a}{2} - 1 \right) \exp \left\{ \left( \frac{a}{2} + 1 \right) u - \frac{a^2 u^2}{4y} \right\} du < 4 \exp \left( \frac{3}{2} y \right).
 \end{aligned}$$

In the sum  $S_2$  we have  $a < 2 \log z$ , by (49). Hence, by (51), (52), (47), (26), (27),

$$\begin{aligned}
 (53) \quad S_2 &\leq \sum_{2 \leq a < 2 \log z} \sum_{p^a \leq x} \exp \left( -\frac{\log^2 p/x}{4y} \right) p^{a/2} \log p \\
 &< c_{12} \left\{ x \exp \left( \frac{3}{2} y \right) + \exp \left( \log z - \frac{\log^2 z/x}{4y} \right) \right\} \log z \\
 &< c_{13} (4 + v\xi/\eta_0) xy \exp \left( \frac{3}{2} y \right).
 \end{aligned}$$

Using (49), (12), we get the estimate

$$(54) \quad S_0 \leq \begin{cases} k \sum_{p \leq k^4} \sqrt{p} \log p + \varphi(k) \sqrt{x} \sum_{\substack{k^4 < p \leq x \\ p \equiv 1 \pmod{k}}} \log p \ll k^7 + x^{3/2} \ll x^{3/2} & \text{if } \xi \geq 5, \\ k \sum_{p \leq x} \sqrt{p} \log p \ll kx^{3/2} \leq x^{3/2} e^{2y} & \text{if } 0 \leq \xi < 5. \end{cases}$$

By (48), (54), (50), (53), we have

$$\begin{aligned}
 (55) \quad \varphi(k) \sum_{x < p \leq x} \exp \left( -\frac{\log^2 p/x}{4y} \right) \sqrt{p} \log p \\
 \geq \Phi(x, y; k, l) - c_{14} x^{3/2} e^{2y} - c_{15} \varphi(k) (4 + v\xi/\eta_0) xy \exp \left( \frac{3}{2} y \right) \\
 \geq \Phi(x, y; k, l) - \mu 2\sqrt{\pi y} x^{3/2} e^{2y}
 \end{aligned}$$

where

$$(56) \quad 0 < \mu < c_{15} \{y^{-1/2} + \varphi(k) (4 + v\xi/\eta_0) \sqrt{y/x} e^{-y/2}\}.$$



For  $\xi < 3$  and  $k > k_6 \geq k_5$  we have

$$k(4+\nu\xi)\sqrt{y} \leq k\sqrt{\log k} < k^{1.4} < k^{4/20.3} \leq k^{\nu/2\nu} \leq x^{1/2}e^{y/2},$$

whereas for  $\xi \geq 3$  and all  $k > k_7 \geq k_6$

$$k(4+\nu\xi)\sqrt{y} \leq k\sqrt{\log k}e^{a\xi} < k^{1.2+0.1\xi} \leq k^{\xi/2} < x^{1/2}e^{y/2}.$$

Using this we get, by (56),

$$\mu < c_{16}$$

since  $y^{-1/2} < 1$ . Hence, by (21), (22), (19), (27), (56), (25),

$$(57) \quad I_B \mu e^{-y/4} < c_{16} e^{-(\eta_0/4\nu)\log k}.$$

Now let us divide (55) through by  $2\sqrt{\pi y}x^{3/2}\exp(\frac{9}{4}y)$  and effect the operation  $I_B$ . Writing

$$(58) \quad I_B[2\sqrt{\pi y}x^{3/2}\exp(\frac{9}{4}y)]^{-1}\varphi(k) \sum_{\substack{x < p < y \\ p \equiv l \pmod{k}}} \exp\left(-\frac{\log^2 p/x}{4y}\right) \sqrt{p} \log p = V,$$

we have, by (19), (30), (55), (57), (58), (46),

$$(59) \quad V \geq U - c_{16} e^{-(\eta_0/4\nu)\log k} > c_{10} \nu^{-1} \delta_0 \log k - c_{16} e^{-(\eta_0/4\nu)\log k}.$$

This is the required inequality.

**Proof of the theorem.** Suppose that under some circumstances

$$(60) \quad c_{16} e^{-(\eta_0/4\nu)\log k} \leq (c_{10}/2\nu) \delta_0 \log k.$$

Then we have, by (59), (6), (8),

$$(61) \quad V > (c_{10}/2\nu) \delta_0 \log k > c_{17}(\varepsilon') k^{-\varepsilon'}$$

for all  $\varepsilon' > 0$  and  $k > k_8(\varepsilon') \geq k_7$ . If there is a  $\nu$  satisfying (28) and (60), then, by (61), (58), (47), (25), there is at least one prime  $p \equiv l \pmod{k}$  in the interval

$$(62) \quad (x, xe^{4y}) = (x, xk^{4\nu}) \subset (x, xk^{4(\eta_0+B)/\nu}) = (x, xk^a),$$

where we put  $4(\eta_0+B)/\nu = \varepsilon$  or

$$(63) \quad \nu = 4(\eta_0+B)/\varepsilon.$$

Take

$$(64) \quad \varepsilon' = \varepsilon/16(\eta_0+B).$$

By (6), (8), we have  $\delta_0 > c_{18}(\varepsilon') k^{-\varepsilon'/2}$  for all  $k > k_9(\varepsilon') = k_{10}(\varepsilon) \geq k_8$ .

Therefore (60) would follow from the inequality

$$c_{16} e^{-(\eta_0/4\nu)\log k} < \frac{c_{10}}{2\nu} c_{18} k^{-\varepsilon'/2} \log k = c_{16} k^{\varepsilon'/2} \frac{c_{10} c_{18} \log k}{2c_{16}\nu} k^{-\varepsilon'}.$$

Since

$$k^{\varepsilon'/2} \frac{c_{10} c_{18} \log k}{2c_{16}\nu} > 1$$

(supposing that  $k_9$  is large enough), it is sufficient to get the inequality

$$(65) \quad e^{-(\eta_0/4\nu)\log k} \leq k^{-\varepsilon'},$$

whence (60) would follow as well. And we have (65) in consequence of (63), (64), (24).

It remains to prove that  $\nu$ , as given by (63), satisfies the two conditions of (28):

$$1 \leq \nu \leq \log k, \quad \nu \leq e^{a\xi}.$$

The inequality  $\nu \geq 1$  is a consequence of the restriction  $\varepsilon \leq 4(\eta_0+B) = c$ . And  $\nu \leq \log k$  for all  $k \geq k_{11}(\varepsilon) \geq k_{10}$ , by (63). From the second condition of (28) and from (26), (63) we get the restriction

$$\frac{4(\eta_0+B)}{\varepsilon} \leq e^{a \log x / \log k}$$

or

$$\frac{\log x}{\log k} \geq \alpha^{-1} \log \frac{4(\eta_0+B)}{\varepsilon},$$

whence

$$x \geq k^{c \log(a/a)}, \quad \varepsilon' = 1/a, \quad c = 4(\eta_0+B).$$

This proves the main part of the theorem.

Using (58), (61), (27), we get

$$I_B \sum_{\substack{x < p < y \\ p \equiv l \pmod{k}}} \sqrt{\frac{\nu}{\eta \log k}} \exp\left(-\frac{\log^2 p/x}{4y} - \frac{9\eta}{4\nu} \log k\right) \sqrt{p} \log p > c_{17}(\varepsilon') x^{3/2} / \varphi(k) k^{\varepsilon'},$$

whence, by (26), (21), (62),

$$(66) \quad \sum_{\substack{k\xi < p < k\xi + \varepsilon \\ p \equiv l \pmod{k}}} \sqrt{p} \log p > c_{19}(\varepsilon') k^{3\xi/2} / \varphi(k) k^{\varepsilon'}.$$



Therefore the number of primes  $p \equiv l \pmod{k}$  in the interval (62) is at least

$$c_{19}(\varepsilon') k^{3\xi/2} / \varphi(k) k^{\varepsilon' + (\xi + \varepsilon)/2} \log k^{\xi + \varepsilon} > x / \varphi(k) k^{\varepsilon' + 7\varepsilon/4}$$

for  $x < \exp k^{\varepsilon}$ ,  $k > k_{12}(\varepsilon) \geq k_{11}$ . From this and (64), (24) we get the remaining part of the theorem.

Replacing  $\xi$  by  $\xi - \varepsilon$  in (66), we get the inequality

$$\sum_{\substack{k^{\xi - \varepsilon} < p < k^{\xi} \\ p \equiv l \pmod{k}}} \sqrt{p} \log p > c_{20}(\varepsilon') k^{3(\xi - \varepsilon)/2 - \varepsilon'} / \varphi(k) \quad (\xi > \varepsilon),$$

whence

$$\pi(k^{\xi}; k, l) k^{\xi/2} \log k^{\xi} > c_{20}(\varepsilon') k^{3\xi/2 - 3\varepsilon/2 - \varepsilon'} / \varphi(k)$$

or

$$\pi(x; k, l) > c_{20} x / \varphi(k) k^{5\varepsilon/2 + \varepsilon'} \quad \text{for } x = k^{\xi} < \exp k^{\varepsilon}.$$

From this and (64), (24) we get (4).

**Note.** Now suppose  $x \geq \exp k^{\varepsilon_1}$ . Then we have, by (8),

$$x^{-\varepsilon_1} \leq \exp\{k^{\varepsilon_1} [-c_{18}(\varepsilon_1) k^{-\varepsilon_1/2}]\} = \exp\{-c_{18}(\varepsilon_1) k^{\varepsilon_1/2}\} < \frac{1}{8}$$

for all  $k > k_{13}(\varepsilon_1) \geq k_8$ . Therefore, by (40),

$$U > \frac{7}{8} - 2k^{-2} - c_9 e^{-\lambda_0(\xi/3 + \eta_0/\nu)}.$$

Hence, by (59),

$$V \geq \frac{7}{8} - 2k^{-2} - c_9 e^{-\lambda_0(\xi/3 + \eta_0/\nu)} - c_{16} e^{-(\eta_0/4\nu) \log k} > \frac{1}{2}$$

for  $\nu = c_{21}^{-1} \log k$  with appropriate  $c_{21} > 2$  (such that  $c_{16} e^{-c_{21}} < \frac{1}{8}$ ), and for all  $k > k_{14}(\varepsilon_1) \geq k_{13}$ . From this and (58) we deduce that there is at least one prime  $p \equiv l \pmod{k}$  in the interval

$$(67) \quad (x, x k^{4(\eta_0 + B)/\nu}) = (x, x c_{22})$$

(cf. (62)), where

$$c_{22} = e^{4c_{21}(\eta_0 + B)} > 1.$$

**Note on the functions of Liouville and Möbius.** Let  $\lambda(n)$  and  $\mu(n)$  be the functions of Liouville and Möbius, defined as follows:  $\lambda(n) = (-1)^v$ ,  $v$  being the total number of prime factors of  $n$ , where multiple factors are counted a multiple number of times;  $\mu(n) = \lambda(n)$ , if  $n$  contains no square factor  $> 1$ , and  $= 0$  otherwise.

As a simple consequence of the theorem we can prove that there are absolute constants  $a > 0$ ,  $b > 0$  such that for any positive  $\varepsilon \leq b$ , for all

$k > k_{15}(\varepsilon)$  and for all

$$(68) \quad x \geq k^{a \log(b/\varepsilon)}$$

the functions  $\lambda(m)$ ,  $\mu(m)$  change their signs at least once if  $m$  runs through the integers  $\equiv l \pmod{k}$  of the interval

$$(69) \quad (x, x k^{\varepsilon}).$$

To prove this, we take in (68)  $a = 2c'$ ,  $b = 2c$ . Then, by the theorem, there are primes  $p, p', p''$  such that

$$p \equiv l \pmod{k}, \quad x < p < x k^{\varepsilon}$$

and

$$p' \equiv l \pmod{k}, \quad \sqrt{x} < p' < \sqrt{x} k^{\varepsilon/2};$$

$$p'' \equiv 1 \pmod{k}, \quad \sqrt{x} < p'' < \sqrt{x} k^{\varepsilon/2}, \quad p'' \neq p',$$

whence  $p' p'' \equiv l \pmod{k}$ ,  $x < p' p'' < x k^{\varepsilon}$ ; and we have  $\lambda(p) = \mu(p) = -1$ ,  $\lambda(p' p'') = \mu(p' p'') = 1$ .

In 1948 I proved ([1]) that the functions  $\lambda(m)$ ,  $\lambda(m)$ ,  $\mu(m)$  ( $m \equiv l \pmod{k}$ ) keep their average values in the interval  $(x, x+h)$  for some positive  $h < x^{2/3}$  and  $x \geq \exp k^{\varepsilon_1}$ . In the present paper we have proved a weak analogy for  $x < \exp k^{\varepsilon_1}$ , namely the existence of the constants  $a, b$  such that for all  $x$  satisfying (68), in the interval (69) there is at least one prime  $\equiv l \pmod{k}$  and for  $m$  running through the numbers  $\equiv l \pmod{k}$  of (69) the functions  $\lambda(m)$ ,  $\mu(m)$  change their signs at least once.

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