

MAPPING PROPERTIES OF c_0

BY

PAUL LEWIS (DENTON, TEX.)

Abstract. Bessaga and Pełczyński showed that if c_0 embeds in the dual X^* of a Banach space X , then ℓ^1 embeds as a complemented subspace of X . Pełczyński proved that every infinite-dimensional closed linear subspace of ℓ^1 contains a copy of ℓ^1 that is complemented in ℓ^1 . Later, Kadec and Pełczyński proved that every non-reflexive closed linear subspace of $L^1[0, 1]$ contains a copy of ℓ^1 that is complemented in $L^1[0, 1]$. In this note a traditional sliding hump argument is used to establish a simple mapping property of c_0 which simultaneously yields extensions of the preceding theorems as corollaries. Additional classical mapping properties of c_0 are briefly discussed and applications are given.

All Banach spaces in this note are defined over the real field. The canonical unit vector basis of c_0 will be denoted by (e_n) , the canonical unit vector basis of ℓ^1 will be denoted by (e_n^*) , and a continuous linear transformation will be referred to as an operator. The reader is referred to Diestel [3] or Lindenstrauss and Tzafriri [8] for undefined notation and terminology.

THEOREM 1. *If $T : c_0 \rightarrow X$ is an operator and (x_k^*) is any bounded sequence in X^* so that*

$$\sum_{k=1}^{\infty} |x_k^*(T(e_{n_k})) - 1| < \infty$$

for some subsequence $(T(e_{n_k}))$ of $(T(e_n))$, then there is a sequence (w_i^) in $\{x_k^* - x_j^* : k, j \in \mathbb{N}\}$ so that (w_i^*) is equivalent to (e_i^*) as a basic sequence and $[w_i^*]$ is complemented in X^* .*

PROOF. Let $(b_k) = T(e_{n_k})$ for $k \in \mathbb{N}$, let C be a positive number so that $C > 1$ and $\|T(x)\| \leq C\|x\|$ for all x , and choose $B > 1$ so that

$$2 \sup \|x_n^*\| < B.$$

Without loss of generality, suppose that

$$|x_n^*(b_n) - 1| < \frac{1}{BC \cdot 2^{n+4}}$$

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for each n . Further, since (b_n) is weakly null, suppose that

$$(1) \quad \sum_{i=1}^{n-1} |x_i^*(b_n)| < \frac{1}{BC \cdot 2^{n+5}}$$

for each n . Now let $r_1 = 1$, $r_2 = 2$, and choose r_3 and r_4 so that $r_2 < r_3 < r_4$ and

$$|(x_{r_4}^* - x_{r_3}^*)(b_{r_2})| < \frac{1}{BC \cdot 2^{1+5}}.$$

Next choose r_5 and r_6 so that $r_4 < r_5 < r_6$ and

$$(2) \quad \begin{aligned} |(x_{r_6}^* - x_{r_5}^*)(b_{r_2})| &< \frac{1}{BC \cdot 2^{2+5}}, \\ |(x_{r_6}^* - x_{r_5}^*)(b_{r_4})| &< \frac{1}{BC \cdot 2^{2+5}}. \end{aligned}$$

An additional step clarifies the induction process. Choose r_7 and r_8 so that $r_6 < r_7 < r_8$ and

$$(3) \quad \begin{aligned} |(x_{r_8}^* - x_{r_7}^*)(b_{r_2})| &< \frac{1}{BC \cdot 2^{3+5}}, \\ |(x_{r_8}^* - x_{r_7}^*)(b_{r_4})| &< \frac{1}{BC \cdot 2^{3+5}}, \\ |(x_{r_8}^* - x_{r_7}^*)(b_{r_6})| &< \frac{1}{BC \cdot 2^{3+5}}. \end{aligned}$$

Continue this construction inductively, and let $u_n = b_{r_{2^n}}$ and $z_n^* = x_{r_{2^n}}^*$ for each n . Note that

$$|z_i^*(u_n) - x_{r_{2^{i-1}}}^*(u_n)| < \frac{1}{BC \cdot 2^{i+4}}$$

for $n < i$. Further,

$$|z_n^*(u_n) - 1| < \frac{1}{BC \cdot 2^{n+4}} \quad \text{and} \quad \sum_{i=1}^n |z_i^*(u_{n+1})| < \frac{1}{BC \cdot 2^{(n+1)+5}}$$

for each n .

Next let $w_n^* = z_n^* - x_{r_{2^{n-1}}}^* = x_{r_{2^n}}^* - x_{r_{2^{n-1}}}^*$ for $n \in \mathbb{N}$. Then

$$\begin{aligned} |w_n^*(u_n) - 1| &\leq |z_n^*(u_n) - 1| + |x_{r_{2^{n-1}}}^*(u_n)| \\ &< \frac{1}{BC \cdot 2^{n+4}} + \frac{1}{BC \cdot 2^{n+5}} = \frac{3}{BC} \cdot \frac{1}{2^{n+1}} \cdot \frac{1}{2^4}. \end{aligned}$$

Also, $\|x_n^*\| \leq B$ for each n .

Now suppose that $q \in \mathbb{N}$ and t_i is a non-zero real number for $1 \leq i \leq q$. If $\varepsilon_i = \text{sgn}(t_i w_i^*(u_i))$, then

$$\begin{aligned} \sum_{i=1}^q t_i w_i^*(\varepsilon_i u_1) &\geq |t_1 w_1^*(u_1)| - \sum_{i=2}^q |w_i^* t_i(u_1)| \\ &\geq |t_1| \left(1 - \frac{3}{BC} \cdot \frac{1}{2^2} \cdot \frac{1}{2^4} \right) \\ &\quad - \left(\frac{|t_2|}{BC \cdot 2^{1+5}} + \dots + \frac{|t_q|}{BC \cdot 2^{(q-1)+5}} \right). \end{aligned}$$

Further,

$$\begin{aligned} &\sum_{i=1}^q t_i w_i^*(\varepsilon_2 u_2) \\ &= |t_2 w_2^*(u_2)| - \sum_{i=1, i \neq 2}^q t_i w_i^*(\varepsilon_2 u_2) \\ &\geq |t_2| \left(1 - \frac{3}{BC} \cdot \frac{1}{2^3} \cdot \frac{1}{2^4} \right) \\ &\quad - \left(|t_1| \frac{1}{BC} \cdot \frac{1}{2^{2+5}} + |t_3| \frac{1}{BC} \cdot \frac{1}{2^{2+5}} + \dots + |t_q| \frac{1}{BC} \cdot \frac{1}{2^{(q-1)+5}} \right). \end{aligned}$$

(Observe that

$$\begin{aligned} |w_1^*(u_2)| &= |(x_2^* - x_1^*)(b_{r_4})| \leq |x_2^*(b_{r_4})| + |x_1^*(b_{r_4})| \\ &< \frac{1}{BC \cdot 2^{r_4+5}} + \frac{1}{BC \cdot 2^{r_4+5}} < \frac{1}{BC \cdot 2^{2+5}} \end{aligned}$$

from (1). Also,

$$|w_3^*(u_2)| = |(x_{r_6}^* - x_{r_5}^*)(b_{r_4})| \leq \frac{1}{BC \cdot 2^{2+5}}$$

from (2), and

$$|(x_{r_8}^* - x_{r_7}^*)(b_{r_4})| < \frac{1}{BC \cdot 2^{3+5}}$$

from (3).)

In general,

$$\begin{aligned} &\left\langle \sum_{i=1}^q \varepsilon_i u_i, \sum_{n=1}^q t_n w_n^* \right\rangle \\ &\geq |t_1| \left(1 - \frac{3}{BC} \cdot \frac{1}{2^2} \cdot \frac{1}{2^4} \right) \\ &\quad - |t_1| \left(\frac{1}{BC \cdot 2^{r_4+5}} + \frac{1}{BC \cdot 2^{r_6+5}} + \dots + \frac{1}{BC \cdot 2^{r_{2q}+5}} \right) \\ &\quad + |t_2| \left(1 - \frac{3}{BC} \cdot \frac{1}{2^3} \cdot \frac{1}{2^4} \right) \end{aligned}$$

$$U(x^*) = \sum_n x^*(u_n)w_n^*.$$

Since (u_n) is a subsequence of (b_k) and $\sum b_k$ is weakly unconditionally convergent, it is clear that U is well defined, continuous, and linear. Now observe that

$$\begin{aligned} & \|v^* - U(v^*)\| \\ &= \left\| \sum t_n w_n^* - \sum t_n U(w_n^*) \right\| \\ &= \left\| \sum_{n=1}^{\infty} t_n w_n^* - \sum_{n=1}^{\infty} t_n \left(\sum_{k=1}^{\infty} w_n^*(u_k) w_k^* \right) \right\| \\ &= \left\| \sum_{n=1}^{\infty} t_n w_n^* - \sum_{n=1}^{\infty} t_n w_n^*(u_n) w_n^* - \sum_{n=1}^{\infty} t_n \left(\sum_{k=1, k \neq n}^{\infty} w_n^*(u_k) w_k^* \right) \right\| \\ &\leq \sum_{n=1}^{\infty} |t_n| \cdot |1 - w_n^*(u_n)| \cdot \|w_n^*\| + \sum_{n=1}^{\infty} |t_n| \left(\sum_{k=1, k \neq n}^{\infty} |w_n^*(u_k)| B \right) \\ &\leq \sum_{n=1}^{\infty} |t_n| \left(\sup_k \left\{ |1 - w_k^*(u_k)| + \sum_{i=1, i \neq k}^{\infty} |w_k^*(u_i)| \right\} \right) B. \end{aligned}$$

Also,

$$\sum_{n=1}^{\infty} |t_n| \leq \frac{c}{1 - \frac{1}{BC \cdot 2^3}} \|v^*\|.$$

Further,

$$\sup_k |1 - w_k^*(u_k)| \leq \frac{3}{BC \cdot 2^2 \cdot 2^4},$$

and $\|w_k^*\| \leq B$ for each k .

Next note that

$$\begin{aligned} \sum_{k=2}^{\infty} |w_1^*(u_k)| &= \sum_{k=2}^{\infty} |(x_2^* - x_1^*)(u_k)| \\ &= |(x_2^* - x_1^*)(T(e_{r_4}))| + |(x_2^* - x_1^*)(T(e_{r_6}))| + \dots \\ &\leq (|x_2^* T(e_{r_4})| + |x_1^* T(e_{r_4})|) + (|x_2^* T(e_{r_6})| + |x_1^* T(e_{r_6})|) + \dots \\ &< \frac{1}{BC \cdot 2^{r_4+5}} + \frac{1}{BC \cdot 2^{r_6+5}} + \dots < \frac{1}{BC \cdot 2^{r_4+4}} < \frac{1}{BC \cdot 2^4}. \end{aligned}$$

A similar argument shows that

$$\sum_{i=1, i \neq k}^{\infty} |w_k^*(u_i)| < \frac{1}{BC \cdot 2^4}$$

for each k . Thus

$$\|v^* - U(v^*)\| \leq \frac{c}{1 - \frac{1}{BC \cdot 2^3}} \|v^*\| \left(\frac{3}{BC \cdot 2^2 2^4} + \frac{1}{BC \cdot 2^4} \right) B < \frac{1}{7} \|v^*\|.$$

If $U_1 = U_{|[w_i^*]}$, then $\|\text{Identity} - U_1\|_{|[w_i^*]} < 1$, and U_1 is invertible on $[w_i^*]$. It is easy to see that $U_1^{-1}U$ is a projection from X^* onto $[w_i^*]$. ■

REMARK. (a) The operator $T : c_0 \rightarrow X$ satisfies the hypotheses of Theorem 1 if and only if $\liminf \|T(e_n)\| > 0$. H. Rosenthal [11] has given a penetrating study of the situation in which $T : \ell^\infty(\Gamma) \rightarrow X$ is an operator so that $\inf_{\gamma \in \Gamma} \|T(e_\gamma)\| > 0$.

(b) If (x_k^*) is w^* -null, the proof of Theorem 1 makes it clear that we may choose the sequence (w_i^*) in the conclusion of the theorem to be w^* -null.

As the following corollaries indicate, Theorem 1 unifies and extends several classical results.

COROLLARY 2 ([1, Thm. 4], [3, p. 48]). *If c_0 embeds isomorphically in the dual X^* of the Banach space X , then X contains a copy of ℓ^1 which is complemented (in X^{**} and thus) in X .*

PROOF. If $T : c_0 \rightarrow X^*$ is an isomorphism, then let (x_n) be a bounded sequence in X ($\subseteq X^{**}$) so that $\sum_{n=1}^\infty |x_n(T(e_n)) - 1| = 0$. Apply Theorem 1 to the sequence (x_n) . ■

COROLLARY 3 ([10], [3, p. 72]). *If ℓ^1 is a quotient of X , then X contains a copy of ℓ^1 which is complemented in X^{**} .*

PROOF. If $T : X \rightarrow \ell^1$ is a surjective operator, then $T^* : \ell^\infty \rightarrow X^*$ is an isomorphism. Hence $T_{|c_0}^*$ is an isomorphism. ■

If Σ is a σ -algebra, (μ_n) is a bounded sequence in $\text{cabv}(\Sigma, X)$, and $0 < \varepsilon < \delta$, then (μ_n) is said to be (δ, ε) -relatively disjoint [11] if there is a pairwise disjoint sequence (A_n) in Σ so that

$$|\mu_n|(A_n) > \delta \quad \text{and} \quad \sum_{m=1, m \neq n}^\infty |\mu_n|(A_m) < \varepsilon$$

for each n . Further, (μ_n) is said to be *relatively disjoint* if it is (δ, ε) -relatively disjoint for some pair (δ, ε) . Rosenthal [11] and Kadec and Pełczyński [7] showed that if (μ_n) is a relatively disjoint sequence in $\text{cabv}(\Sigma, X)$, then $(\mu_n) \sim (e_n^*)$ and $[\mu_n]$ is complemented in $\text{cabv}(\Sigma, X)$.

If \mathcal{A} is an algebra of subsets of Ω , then $\text{fabv}(\mathcal{A}, X)$ denotes the Banach space (total variation norm) of all finitely additive set functions $m : \mathcal{A} \rightarrow X$ which have finite variation. Both [4] and [6] contain an extensive discussion of spaces of measures. In addition, we note that [4] includes a detailed presentation of results related to the Radon–Nikodym property. Note that

part (i) of Corollary 4 below contains an extension of Proposition 3.1 of [11] to the setting of finitely additive set functions defined on an algebra of sets. Further, we remark that in a classic paper Kadec and Pełczyński [7, Theorem 6] showed that if Y is any non-reflexive closed linear subspace of $L^1[0, 1]$, then Y contains a copy of ℓ^1 which is complemented in $L^1[0, 1]$. Part (v) of the next corollary shows that if X and X^* have the Radon–Nikodym property, then any non-reflexive closed linear subspace of $L^1(\mu, X)$ contains a copy of ℓ^1 which is complemented in $L^1(\mu, X)$.

COROLLARY 4. (i) *If (μ_n) is any bounded sequence in $\text{fabv}(\mathcal{A}, X)$ for which there is a pairwise disjoint sequence (A_n) in \mathcal{A} and an $\varepsilon > 0$ so that*

$$|\mu_n|(A_n) > \varepsilon$$

for each n , then there is a sequence (ν_i) in $\{\mu_n - \mu_k : k, n \in \mathbb{N}\}$ so that $(\nu_i) \sim (e_i^)$ and $[\nu_i]$ is complemented in $\text{fabv}(\mathcal{A}, X)$.*

(ii) *If K is a relatively weakly compact subset of $\text{fabv}(\mathcal{A}, X)$ and (A_i) is a pairwise disjoint sequence of members of \mathcal{A} , then $\lim_i |\mu|(A_i) = 0$ uniformly for $\mu \in K$.*

(iii) *If K is a relatively weakly compact subset of $\text{cabv}(\Sigma, X)$, then $\{|\mu| : \mu \in K\}$ is uniformly countably additive.*

(iv) *If μ is a finite positive measure on Σ and K is a relatively weakly compact subset of the space $L^1(\mu, X)$ of Bochner integrable functions, then K is uniformly integrable.*

(v) *If Y is a closed linear subspace of $\text{fabv}(\mathcal{A}, X)$, Y is not reflexive, and X and X^* have the Radon–Nikodym property, then Y contains a copy of ℓ^1 which is complemented in $\text{fabv}(\mathcal{A}, X)$.*

PROOF. (i) For each n let $(A_{n_i})_{i=1}^{k_n}$ be a partition of A_n and $(x_{n_i}^*)_{i=1}^{k_n}$ be points in the unit ball of X^* so that

$$\sum_{i=1}^{k_n} x_{n_i}^* \mu_n(A_{n_i}) > \varepsilon.$$

Now define the X^* -valued simple function s_n by

$$s_n = \sum_{i=1}^{k_n} \chi_{A_{n_i}} x_{n_i}^*,$$

and observe that $\int s_n d\mu_n > \varepsilon$. Define $T : c_0 \rightarrow \text{fabv}(\mathcal{A}, X)^*$ by

$$T((\gamma_n)) = \sum_n \gamma_n s_n.$$

Then T is an operator. Normalize and use Theorem 1 to conclude that some sequence (ν_i) in $\{\mu_n - \mu_k : n, k \in \mathbb{N}\}$ is equivalent to (e_n^*) and that $[\nu_n]$ is complemented in $\text{fabv}(\mathcal{A}, X)$.

(ii) Suppose that $\varepsilon > 0$ and (μ_i) is a sequence in K so that $|\mu_i|(A_i) > \varepsilon$ for each i . Part (i) ensures that (e_n^*) is equivalent to some sequence in $K - K$. However, this is impossible since $K - K$ is relatively weakly compact.

(iii) Since each member of K is a countably additive measure on a σ -algebra, $|K| = \{|\mu| : \mu \in K\}$ is uniformly countably additive if and only if $\lim_i |\mu|(A_i) = 0$ uniformly for $\mu \in K$ whenever (A_i) is a pairwise disjoint sequence from Σ . Deny the uniform countable additivity of $|K|$, repeat the same construction as in (i), and obtain the same contradiction as in (ii).

(iv) If $f \in L^1(\mu, X)$ and $A \in \Sigma$, put

$$\nu_f(A) = \int_A f d\mu.$$

It is well known that $\lim_{\mu(A) \rightarrow 0} |\nu_f|(A) = 0$ uniformly for $f \in K$ (i.e., K is uniformly integrable) if and only if $\{|\nu_f| : f \in K\}$ is uniformly countably additive. Appeal to (iii).

(v) If Y is not reflexive, then B_Y is not relatively weakly compact in $\text{fabv}(\mathcal{A}, X)$. By Theorem 4.1 of Brooks and Dinculeanu [2], there is a pairwise disjoint sequence (A_i) in \mathcal{A} , an $\varepsilon > 0$, and a sequence (μ_i) in B_Y so that $|\mu_i|(A_i) > \varepsilon$ for each i . The construction in (i) above shows that Y contains a copy of ℓ^1 which is complemented in $\text{fabv}(\mathcal{A}, X)$. ■

In the following corollary, \mathcal{P} denotes the σ -algebra of all subsets of \mathbb{N} .

COROLLARY 5 ([9, Lemma 2], [3, p. 74]). *Every infinite-dimensional closed linear subspace of ℓ^1 contains a copy of ℓ^1 which is complemented in $\text{fabv}(\mathcal{P})$ and thus in ℓ^1 .*

PROOF. Every infinite-dimensional subspace of ℓ^1 is non-reflexive. ■

COROLLARY 6 ([4, p. 149]). *If (Ω, Σ, μ) is a finite measure space and X^* is a quotient of $L^\infty(\mu)$, then either X is reflexive or X contains a copy of ℓ^1 which is complemented in X^{**} . Consequently, if X^{**} is contained in $L^1(\mu)$, then X is reflexive or ℓ^1 is a complemented subspace of X .*

PROOF. If $T : L^\infty(\mu) \rightarrow X^*$ is a surjection and X is not reflexive, then T is not weakly compact. Hence T is not unconditionally converging and is an isomorphism on a copy of c_0 . Thus X contains a copy of ℓ^1 which is complemented in X^{**} .

If $L : X^{**} \rightarrow L^1(\mu)$ is an isomorphism, then $L^* : L^\infty(\mu) \rightarrow X^{***}$ is a surjection, X^* is a quotient of $L^\infty(\mu)$, and X is reflexive or X contains a complemented copy of ℓ^1 . ■

If $T : c_0 \rightarrow X$ is an isomorphism, classical techniques of Singer [13] can be used to easily produce complemented copies of both c_0 and ℓ^1 .

THEOREM 7. *If $T : c_0 \rightarrow X$ is an isomorphism, (f_n^*) is any bounded sequence in X^* so that*

$$f_n^*(T(e_m)) = \delta_{nm},$$

and (h_k^*) is any subsequence of (f_n^*) , then $[h_k^*]$ is complemented in X^* . Further, if (h_k^*) is w^* -null in X^* and (y_k) is the corresponding subsequence of $(T(e_n))$, then $[y_k]$ is complemented in X .

PROOF. Suppose that $T, (f_n^*)$, and (h_k^*) are as in the first statement in the theorem. Let C be a bound for $(\|f_n^*\|)$, let (y_k^*) be the sequence of coefficient functionals for the basic sequence (y_k) (which is equivalent to (e_k)), and choose positive numbers A and B so that

$$A \sum |\alpha_i| \leq \left\| \sum \alpha_i y_i^* \right\| \leq B \sum |\alpha_i|$$

for each finite sequence $(\alpha_1, \dots, \alpha_m)$ of real numbers. Therefore

$$A \sum |\alpha_i| \leq \left\| \sum \alpha_i h_{i|[y_n]}^* \right\| \leq \left\| \sum \alpha_i h_i^* \right\| \leq C \sum |\alpha_i|.$$

As noted on p. 91 of Singer [13],

$$\left\{ f^* \in X^* : \sum_{k=1}^{\infty} f^*(y_k) h_k^* \text{ converges} \right\} = [y_k]^\perp + [h_k^*].$$

Since $(y_k) \sim (e_k)$ and $(h_k^*) \sim (e_k^*)$, we have $[y_k]^\perp + [h_k^*] = X^*$. Further, if (h_k^*) is w^* -null, then

$$\left\{ x \in X : \sum_{k=1}^{\infty} h_k^*(x) y_k \text{ converges} \right\} = [y_k] + [h_k^*]_\perp = X.$$

Consequently, each of these direct sums is closed. Straightforward closed graph arguments show that these direct sums are also topological. ■

We remark that if X is separable (and T and (f_n^*) have the same meaning as in the statement of Theorem 7), then Vech's proof [15] of Sobczyk's theorem [14], [3, p. 71] simply shows that there is a bounded sequence (g_n^*) in $[T(e_n)]^\perp$ so that $(f_n^* - g_n^*)$ is w^* -null. Certainly $(T(e_n), f_n^* - g_n^*)$ is biorthogonal in this case.

The next corollary shows that a result of Saab and Saab [12] dealing with complemented copies of c_0 in injective tensor products is an immediate consequence of Theorem 7. Chapter 8 of [4] contains an excellent discussion of the least crossnorm tensor product completion of Banach spaces.

COROLLARY 8 ([12]). *If X contains a copy of c_0 , Y is an infinite-dimensional Banach space and $Z = X \otimes_\lambda Y$ is the least crossnorm tensor product completion of X and Y , then Z contains a complemented copy of c_0 .*

PROOF. Let (x_n) be a sequence in X so that $(x_n) \sim (e_n)$, let (x_n^*) be a bounded sequence in X^* so that $x^*(x_m) = \delta_{nm}$, and let (y_n^*) be a w^* -null sequence in Y^* so that $\|y_n^*\| = 1$ for each n . (The Josefson-Nissenzweig Theorem [3] guarantees the existence of (y_n^*) .) Choose a sequence (y_n) in Y

so that $\|y_n\| \leq 3/2$ and $y_n(y_n^*) = 1$ for each n . Then $(x_n^* \otimes y_n^*)$ is a w^* -null sequence in Z^* , $(x_n \otimes y_n) \sim (e_n)$, and $x_n^* \otimes y_n^*(x_m \otimes y_m) = x_n^*(x_n)y_n^*(y_m) = \delta_{nm}$. Now appeal to Theorem 7. ■

We note that precisely the same argument yields the next result.

COROLLARY 9. *If the Banach space X contains a copy of c_0 and Y is an infinite-dimensional space, then the Banach space $K(X^*, Y)$ of compact operators from X^* to Y contains a complemented copy of c_0 .*

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University of North Texas
Denton, Texas
E-mail: lewis@unt.edu

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