

On the inner parts of certain analytic functions

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1. Introduction. It is a classical theorem that a bounded analytic function on the open unit disk has an essentially unique factorization into an inner part and an outer part ([3], p. 63). The inner part further factors into a Blaschke product and a singular function ([3], p. 66). More generally, if we consider bounded analytic functions on a finite open Riemann surface R , a similar factorization theorem is to be expected since the open unit disk is the universal covering space for R . But this procedure involves the use of the so-called multiple-valued functions [7]. We have recently proved in [4] the existence and the essential uniqueness of Blaschke products for R without using the above techniques. Our method was based on the H^p -space theory developed in [1]. If X is the boundary of R , let H^∞ denote the space of non-tangential boundary values on X of bounded analytic functions on R . We give in Section 2 an intrinsic factorization of functions in H^∞ .

If f is a function in H^∞ and a is a complex number, $f - a$ is in H^∞ and we have

$$(*) \quad f - a = B_a S_a F_a,$$

where B_a is a Blaschke product, S_a is a singular function and F_a is an outer function. If \hat{f} is the bounded analytic function on R whose non-tangential boundary values are equal to f , it is clear that the factor B_a appears in (*) if and only if a belongs to the range of \hat{f} . The main part of this paper, Section 3, deals with conditions on a under which the factor S_a is absent in (*). We show [Theorem 3.4] that if $f(x)$ misses, for almost every point x in X , a σ -compact set V in C , then for every point a in V , except for a subset of logarithmic capacity zero, the factor S_a is missing in (*). The method of proof is adopted from a similar classical result proved by Frostman in [2], p. 111-112.

Now, if f is bounded away from a point a_0 in C , there certainly exists a sequence $(a_n)_n$ converging to a_0 such that S_{a_n} is absent in (*). Thus, $f - a_n / F_{a_n}$ is a Blaschke product for each n . One would expect that the outer parts F_a would vary continuously with a , i.e. if a_n tends to a_0 ,

then \hat{B}_{a_n} would converge to \hat{B}_{a_0} uniformly on R . This, in particular, would generalize to the case of a finite open Riemann surface Frostman's theorem for the unit disk, stating that every inner function is a uniform limit of Blaschke products. Although we are unable to prove this, we can show that if f is any inner function, there exists a sequence $(B_n)_n$ of Blaschke products such that $(\hat{B}_n)_n$ converges to \hat{f} uniformly on compact subsets of R and $(|\hat{B}_n|)_n$ converges to $|\hat{f}|$ uniformly on R [Theorem 4.1].

2. The factorization theorem. Let δ be the first Betti number of the finite open Riemann surface R , and $\{\gamma_1, \dots, \gamma_\sigma\}$ a homology basis for the closed paths in R . Then there exist nowhere-vanishing functions ([8], Lemma 1) Z_1, \dots, Z_σ which are analytic on R and can be extended analytically across the boundary X of R such that

$$\frac{1}{2\pi} \int_{\gamma_j}^* d(\log |Z_k|) = \delta_{j,k} \quad \text{for } 1 \leq j, k \leq \sigma.$$

Fix a point z_0 in R and consider the harmonic measure m on X with respect to z_0 . Then a function f in $L^\infty(dm)$ belongs to H^∞ iff there exists a bounded analytic function \hat{f} on R whose non-tangential boundary values are equal to f . Following [1], we call a function f in H^∞ an inner function if there exist real numbers a_1, \dots, a_σ such that $|f| = |Z_1|^{a_1} \dots |Z_\sigma|^{a_\sigma}$, a.e. dm on X . In the classical case, where R is the open unit disk and $\sigma = 0$, this becomes $|f| = 1$ a.e. $d\theta$ on the unit circle.

Let $(a_n)_n$ be a sequence of points in R . In [4], we defined a Blaschke product for R with respect to the sequence $(a_n)_n$ as an inner function B such that \hat{B} has zeros at $(a_n)_n$ and B is minimal in the following sense: if f is any function in H^∞ such that \hat{f} has zeros at $(a_n)_n$, then B divides f in H^∞ . Now, if f is a function in H^∞ , the zeros of \hat{f} on R can be factored out with the help of an essentially unique Blaschke product and we are left with a function g in H^∞ such that \hat{g} has no zeros on R . Since Z_1, \dots, Z_σ constitute a basis of the multiplicative group of the nowhere vanishing analytic functions on R modulo the subgroup of the exponentials, g differs from an exponential e^{-h} by a factor of $Z_1^{m_1} \dots Z_\sigma^{m_\sigma}$, where m_1, \dots, m_σ are integers. The real part u of the function h in the exponent can be taken to be non-negative and has an integral representation

$$u(z) = \int_{\bar{X}} P(x, z) d\mu(x) / P(x, z_0),$$

where $P(x, z)$ is the normal derivative at x of the Green function for R with singularity at z , and μ is a non-negative finite Baire measure on X determined by u . In order to represent the analytic function h , one needs an analog of the complex Poisson kernel. The difficulty lies in the fact

that, for a fixed point x in X , the harmonic function $P(x, z)$ may not have a (single-valued) conjugate on R . For this reason, let

$$\lambda_j(x) = \frac{1}{2\pi} \int_{\gamma_j}^* dP(x, \cdot) \quad \text{for } 1 \leq j \leq \sigma,$$

and consider, for a fixed x in X , the harmonic function

$$K(x, z) = P(x, z) - \sum_{j=1}^{\sigma} \lambda_j(x) \log |Z_j(z)|.$$

Then the analytic function $H(x, z)$ whose real part is $K(x, z)$ and which is real at z_0 is a suitable analog of the complex Poisson kernel. Thus we obtain

$$h(z) = \int_X H(x, z) d\mu(x) / P(x, z_0).$$

Let \mathfrak{M} denote the set of all finite signed Baire measures μ' on X such that

$$\int_X \lambda_j(x) d\mu'(x) / P(x, z_0) = 0 \quad \text{for } 1 \leq j \leq \sigma.$$

The above measure μ above belongs to \mathfrak{M} and has the Lebesgue decomposition into absolutely continuous and singular parts: $\mu = \mu_a + \mu_s$. We shall now give names to the analytic functions represented by μ_a and μ_s .

DEFINITION 2.1. (i) A function f in H^∞ is called an *outer function* if

$$\log |\hat{f}(z_0)| = \int_X \log |f| dm.$$

(ii) An inner function S is called a *singular function* if \hat{S} has no zeros on R .

It can be seen fairly easily that there exist real numbers $\beta_1, \dots, \beta_\sigma$ such that $d\mu_s + \left(\sum_{j=1}^{\sigma} \beta_j \log |Z_j|\right) dm$ belongs to \mathfrak{M} and represents a singular function and that μ_a represents an outer function. We thus get the following factorization theorem.

THEOREM 2.2. *Let f be a function in H^∞ . Then*

$$f = wF, \quad w = BS,$$

where w is an inner function;

B is a Blaschke product,

$$|B| = |Z_1|^{a_1} \dots |Z_\sigma|^{a_\sigma}, \quad \text{a.e. } dm \text{ on } X;$$

S is a singular function,

$$\hat{S}(z) = \exp - \int_X H(x, z) \left[\left(\sum_{j=1}^{\sigma} \beta_j \log |Z_j| \right) dm + d\mu_s \right] / P(x, z_0);$$

F is an outer function,

$$\hat{F}(z) = \exp \int_{\mathbb{X}} H(x, z) \left[\sum_{j=1}^{\sigma} (\beta_j - \alpha_j) \log |Z_j| + \log |f| \right] dm / P(x, z_0),$$

where $\mu_s \geq 0$,

$$\left(\sum_{j=1}^{\sigma} \beta_j \log |Z_j| \right) dm + d\mu_s \quad \text{and} \quad \left(\sum_{j=1}^{\sigma} (\beta_j - \alpha_j) \log |Z_j| + \log |f| \right) dm$$

belong to \mathfrak{M} and

$$\arg(\hat{f}/\hat{B}S(z_0)) = \arg(\hat{f}/\hat{B}(z_0)) = 0.$$

The singular measure μ_s and the real numbers α_j and β_j , $j = 1, \dots, \sigma$, are determined by f .

3. Absence of the singular factor. Let f be a function in H^∞ and a a complex number. Let $f - a = B_a S_a F_a$, as in Theorem 2.2. We would like to find conditions on a which imply the absence of the factor S_a . Clearly, if $f - a$ were an outer function, S_a would be equal to 1. Let W be the range of f in \mathbb{C} , and ∂W its boundary. If a were not in $W \cup \partial W$, $f - a$ would be an invertible function in H^∞ and, since we can use Jensen's inequality both ways, this would imply that $f - a$ is outer. If a belongs to W , certainly $f - a$ would not be outer. Thus, it is interesting to find points a in ∂W for which $f - a$ is outer.

DEFINITION 3.1. Let E be a subset of \mathbb{C} and a a point in \mathbb{C} . Then E is said to omit a half-plane near a if there exist a disk D with centre at a and a diameter of D such that $E \cap D$ lies on only one side of the diameter.

PROPOSITION 3.2. Let f be a function in H^∞ , W the range of \hat{f} and a a point in ∂W . If W omits a half-plane near a , then $f - a$ is outer, and hence S_a is absent.

Proof. We can assume without loss of generality that $a = 0$ and that there exists a $\delta > 0$ such that $W \cap \{z \in \mathbb{C}, |z| < \delta, \operatorname{Re} z < 0\} = \emptyset$, because a translation and a suitable rotation would give the required result.

Now, for each ε such that $0 < \varepsilon < \delta/2$, $f + \varepsilon$ is invertible in H^∞ and is, therefore, an outer function, i.e.

$$\log |\hat{f}(z_0) + \varepsilon| = \int_{\mathbb{X}} \log |f + \varepsilon| dm.$$

As ε tends to zero, $\hat{f}(z_0) + \varepsilon$ tends to $\hat{f}(z_0) \neq 0$, and since

$$\min(\log \delta/2, \log |f|) \leq \log |f + \varepsilon| \leq M,$$

for some constant M , $\int_{\mathbb{X}} \log |f + \varepsilon| dm$ tends to $\int_{\mathbb{X}} \log |f| dm$, and this shows that f is an outer function.

We now investigate the absence of the factor S_a when $f - a$ is not necessarily an outer function. Certainly, $f - a/F_a$ is an inner function and we would like to know when, in fact, it is a Blaschke product. In order to generalize the classical criterion for this to the case of a finite open Riemann surface R , we introduce the following subregions of R . Let $G(\cdot, z_0)$ be the Green function for R with singularity at z_0 , and

$$R_n = \left\{ z \in R, G(z, z_0) > \frac{1}{n} \right\}, \quad X_n = \left\{ z \in R, G(z, z_0) = \frac{1}{n} \right\}.$$

For all sufficiently large n , R_n is a finite open Riemann surface with boundary X_n , $\bar{R}_n \subset R_{n+1}$ and $R = \bigcup R_n$. Let m_n be the harmonic measure on X_n with respect to z_0 .

LEMMA 3.3. *Let f be an inner function, $|f| = |Z_1|^{\delta_1} \dots |Z_\sigma|^{\delta_\sigma}$, a.e. dm on X . Then f is a Blaschke product if and only if*

$$\lim_{n \rightarrow \infty} \int_{X_n} \log \{ |f| |Z_1|^{-\delta_1} \dots |Z_\sigma|^{-\delta_\sigma} \} dm_n = 0.$$

Proof. Let $f = BS$, as in Theorem 2.2. Note that here $\alpha_j - \beta_j = \delta_j$, for $1 \leq j \leq \sigma$.

If a is a point in R and h is a Blaschke factor for R with respect to a with $h = |Z_1|^{\epsilon_1} \dots |Z_\sigma|^{\epsilon_\sigma}$ a.e. dm on X , it has been shown in Section 2 of [4] that for z in R ,

$$\log |\hat{h}(z)| = \sum_{j=1}^{\sigma} \epsilon_j \log |Z_j(z)| - G(z, a).$$

Now let $(a_k)_k$ be the sequence of the zeros of \hat{B} on R , so that $\sum_{k=1}^{\infty} G(z, a_k) < \infty$.

It is clear from the construction of a Blaschke product as given in Theorem 2.5 of [4] and the essential uniqueness of a Blaschke product as proved in Theorem 3.1 of [4] that

$$\log |\hat{B}(z)| = \sum_{j=1}^{\sigma} a_j \log |Z_j(z)| - \sum_{k=1}^{\infty} G(z, a_k).$$

Also,

$$\log |\hat{S}(z)| = - \int_X P(x, z) \left[\left(\sum_{j=1}^{\sigma} \beta_j \log |Z_j| \right) dm + d\mu_s \right] / P(x, z_0).$$

Hence,

$$\log |\hat{f}(z)| = \sum_{j=1}^{\sigma} (a_j - \beta_j) \log |Z_j(z)| - \sum_{k=1}^{\infty} G(z, a_k) - \int_X P(x, z) d\mu_s / P(x, z_0).$$

Since $a_j - \beta_j = \delta_j$, this gives

$$\begin{aligned} & \int_{X_n} \log \{ |\hat{f}| |Z_1|^{-\delta_1} \dots |Z_n|^{-\delta_n} \} dm_n \\ &= - \int_{X_n} \left[\sum_{k=1}^{\infty} G(z, a_k) \right] dm_n - \int_{X_n} \left[\int_X P(x, z) d\mu_s(x) / P(x, z_0) \right] dm_n(z). \end{aligned}$$

If we interchange the order of integration in the second term, we readily find that it is equal to $\int_X d\mu_s$. Since f is a Blaschke product if and only if $S \equiv 1$, i.e. $\mu_s \equiv 0$, to conclude the proof of Lemma 3.3, it is enough to show that

$$\lim_{n \rightarrow \infty} \int_{X_n} \left[\sum_{k=1}^{\infty} G(z, a_k) \right] dm_n = 0.$$

Let $u(z) = \sum_{k=1}^{\infty} G(z, a_k)$ and, for each natural number N , $u_N(z) = \sum_{k=N}^{\infty} G(z, a_k)$. Now, $u - u_N$ is continuous on a neighbourhood of X and is zero on X . Hence, for all sufficiently large n and each N ,

$$\int_{X_n} u(z) dm_n = \int_{X_n} u_N(z) dm_n.$$

Fix N for the time being. Only a finite number of a_k 's, say $k = N, \dots, N_1$, lie in $R_n \cup X_n$. If a_k is in R_n and if $G_n(\cdot, z_0)$ is the Green function for R_n with singularity at z_0 , we have

$$\begin{aligned} \int_{X_n} G(z, a_k) dm_n &= \int_{X_n} [G(z, a_k) - G_n(z, a_k)] dm_n \\ &= G(z_0, a_k) - G_n(z_0, a_k) \\ &\leq G(z_0, a_k). \end{aligned}$$

If a_k is in X_n , we still get

$$\int_{X_n} G(z, a_k) dm_n \leq G(z_0, a_k),$$

by approximating a_k by a sequence of points in R_n .

For points a_k outside $R_n \cup X_n$, we have

$$\int_{X_n} \left[\sum_{k=N_1+1}^{\infty} G(z, a_k) \right] dm_n = \sum_{k=N_1+1}^{\infty} G(z_0, a_k).$$

Thus,

$$\int_{X_n} u_N(z) dm_n \leq u_N(z_0) \quad \text{for each } N.$$

But $u_N(z_0)$ tends to zero as N tends to infinity. This completes the proof.

We shall now apply the criterion in Lemma 3.3 to find sets of points a for which the singular factor S_a is missing. We have already noted that the Blaschke factor B_a is missing if and only if a lies off the range of \hat{f} . Curiously enough, the condition we give for the absence of S_a requires that in some sense a lie off "the range of f ".

THEOREM 3.4. *Let f be a function in H^∞ . If V is any σ -compact subset of \mathbb{C} such that*

$$m(\{x \in X, f(x) \in V\}) = 0,$$

then for every point a in V , except for a subset of logarithmic capacity zero, the singular factor S_a in $f - a = B_a S_a F_a$ is absent.

Proof. Since the logarithmic capacity of the union of a countable number of sets of logarithmic capacity zero is zero, we can assume without loss of generality that V is compact.

Let $f - a = w_a F_a$ as in Theorem 2.2, where $|w_a| = |Z_1|^{\delta_1(a)} \dots |Z_\sigma|^{\delta_\sigma(a)}$, a.e. dm on X . According to Lemma 3.3, the inner function $w_a = f - a / F_a$ is a Blaschke product if and only if

$$\lim_{n \rightarrow \infty} L(n, a) = 0,$$

where

$$L(n, a) = \int_{X_n} K(z, a) dm_n,$$

where

$$K(z, a) = \log \{ |\hat{F}_a(z) / \hat{f}(z) - a| |Z_1(z)|^{\delta_1(a)} \dots |Z_\sigma(z)|^{\delta_\sigma(a)} \}.$$

Let $l(a) = \overline{\lim}_{n \rightarrow \infty} L(n, a)$, and

$$E = \{a \in V, l(a) > 0\}.$$

We wish to show that the logarithmic capacity of E is zero. If it were not, let μ be its equilibrium distribution. We shall show that $\int_E l(a) d\mu(a) = 0$ and get a contradiction.

Now, by Jensen's inequality,

$$\begin{aligned} K(z, a) &= \log \{ |\hat{F}_a(z) / \hat{f}(z) - a| |Z_1(z)|^{\delta_1(a)} \dots |Z_\sigma(z)|^{\delta_\sigma(a)} \} \\ &= \log \left\{ \exp \frac{1}{2\pi} \int_X P(x, z) \left[\log |f - a| - \sum_{j=1}^\sigma \delta_j(a) \log |Z_j| \right] ds_x \right\} - \\ &\quad - \log |\hat{f}(z) - a| + \sum_{j=1}^\sigma \delta_j(a) \log |Z_j(z)| \\ &= \frac{1}{2\pi} \int_X P(x, z) \log |f(x) - a| ds_x - \log |\hat{f}(z) - a| \geq 0. \end{aligned}$$

Hence it is enough to show that

$$\lim_{n \rightarrow \infty} \int_E L(n, a) d\mu(a) = 0.$$

But, $\int_E L(n, a) d\mu(a) = \int_{X_n} v(z) dm_n(z)$, where

$$v(z) = \int_{a \in E} K(z, a) d\mu(a), \quad z \text{ in } R.$$

Since μ is the equilibrium distribution of E , there exists a constant M_1 such that, for every complex number b ,

$$-\int_E \log |b - a| d\mu(a) < M_1.$$

Also, since $E \subset V$ and V is compact, there exists a constant M_2 such that, for a in E , $|a| < M_2$. If M is the bound for f , we thus get

$$\begin{aligned} 0 \leq v(z) &= \int_E \left[\frac{1}{2\pi} \int_X P(x, z) \log |f(x) - a| ds_x \right] d\mu(a) - \\ &\quad - \int_E \log |\hat{f}(z) - a| d\mu(a) \\ &\leq \log(M + M_2) + M_1. \end{aligned}$$

Now, for almost all (dm) x in X , if $(z_k)_k$ is a sequence in R tending non-tangentially to x , $v(z_k)$ tends to zero. This can be seen as follows. For almost all x and $(z_k)_k$ tending non-tangentially to x , the harmonic function

$$\begin{aligned} u(z) &= \int_E \left[\frac{1}{2\pi} \int_X P(x, z) \log |f(x) - a| ds_x \right] d\mu(a) \\ &= \frac{1}{2\pi} \int_X P(x, z) \left[\int_E \log |f(x) - a| d\mu(a) \right] ds_x \end{aligned}$$

tends to $\int_E \log |f(x) - a| d\mu(a)$. Similarly, $\hat{f}(z_k)$ tends to $f(x)$, and since $\int_E \log |b - a| d\mu(a)$ is harmonic outside cl. $E \subset V$ and $f(x)$ lies off V for almost all x ,

$$\int_E \log |\hat{f}(z_k) - a| d\mu(a)$$

also tends to $\int_E \log |f(x) - a| d\mu(a)$.

Since v is non-negative and bounded above, it only remains to consider boundary strips around the boundary components of X (cf., section 4. b of [6]) to conclude that

$$\lim_{n \rightarrow \infty} \int_{X_n} v(z) dm_n = 0.$$

COROLLARY 3.5. *Let S be a singular function which is not trivial, i.e. not of the form $cZ_1^{m_1} \dots Z_\sigma^{m_\sigma}$, where c is a complex constant of absolute value 1 and m_1, \dots, m_σ are integers. Then \hat{S} omits only a set of logarithmic capacity zero near 0. If F is any outer function, $\hat{S}\hat{F}$ cannot omit a half-plane near 0.*

Proof. Since S is an inner function, there exists a $\delta > 0$ such that $|S| \geq \delta$ a.e. dm on X . Then the inner part w_a of $S - a$ is a Blaschke product for each a satisfying $|a| < \delta$ and not belonging to a fixed set of logarithmic capacity zero. Moreover, for any a such that $|a| < \delta/3$, w_a cannot be trivial, since $S - a$ cannot be an outer function. Indeed in that case, for z in R ,

$$\log\{|\hat{S}(z)| + |a|\} \geq \log|\hat{S}(z) - a| = \frac{1}{2\pi} \int_X \log|S - a| P(x, z) ds_x \geq \log(\delta - |a|),$$

and hence, $|\hat{S}(z)| \geq \delta/3$ for z in R . This would imply that S is trivial. Thus, \hat{S} takes on every value a in $|a| < \delta/3$ for which w_a is a Blaschke product.

The second statement in the corollary follows immediately from Proposition 3.2.

4. Frostman's theorem. Let us consider the classical case where R is the open unit disk, X the unit circle, $z_0 = 0$ and $dm = d\theta$. Suppose that f is an inner function, i.e. f is in H^∞ and $|f| = 1$ a.e. $d\theta$ on the unit circle. Then it follows that if $|a| \geq 1$, then $f - a$ is outer and if $|a| < 1$ and a does not belong to a fixed set of logarithmic capacity zero, then $f - a/F_a$ is a Blaschke product. In this case, F_a has a neat expression, viz. $F_a = c(1 - \bar{a}f)$, where c is a complex constant of absolute value one. Frostman proved this result in this form in [2], p. 111. A weaker result was proved by Newman [5]. Since, as a tends to 0, $\hat{f}(z) - a/1 - \bar{a}\hat{f}(z)$ tends to $\hat{f}(z)$ uniformly on the open unit disk, this shows that Blaschke products are norm-dense in the set of all inner functions. Since no such neat expression is available for F_a in the general case, we are unable to generalize Frostman's theorem. But we can still prove a slightly weaker result.

THEOREM 4.1. *Let f be an inner function, $|f| = |Z_1|^{\delta_1} \dots |Z_\sigma|^{\delta_\sigma}$, a.e. dm on X . Then there exists a sequence $(B_n)_n$ of Blaschke products such that $(\hat{B}_n)_n$ converges to \hat{f} uniformly on compact subsets of R and $(|B_n|)_n$ converges to $|f|$ uniformly on R .*

Proof. There exists an $\epsilon > 0$ such that $|f| \geq \epsilon$ a.e. dm on X . Hence, by Theorem 3.4, there exists a sequence $(a_n)_n$ tending to 0 such that $w_{a_n} = f - a_n/F_{a_n}$ is a Blaschke product.

Now, for z in R ,

$$\hat{F}_{a_n}(z) = \exp \int_X H(x, z) \left[\log|f - a_n| - \sum_{j=1}^\sigma \delta_j(a_n) \log|Z_j| \right] ds_x / 2\pi.$$

A discerning look at Theorem 2.2 shows that, for $1 \leq j \leq \sigma$,

$$2\pi\delta_j(a_n) = \int_X \lambda_j(x) \log |f(x) - a_n| ds_x,$$

whereas

$$\begin{aligned} 2\pi\delta_j &= \int_X \lambda_j(x) \left(\sum_{k=1}^{\sigma} \delta_k \log |Z_k(x)| \right) ds_x \\ &= \int_X \lambda_j(x) \log |f(x)| ds_x. \end{aligned}$$

This shows that $\delta_j(a_n)$ tends to δ_j , $1 \leq j \leq \sigma$, as a_n tends to 0. Thus, since f is bounded away from 0,

$$g_n = \log |f - a_n| - \sum_{j=1}^{\sigma} \delta_j(a_n) \log |Z_j|$$

tends to 0 uniformly on X . Since the kernel $H(x, z)$ is uniformly bounded for x in X and z in a compact subset of R and since

$$\operatorname{Re} \int_X H(x, z) g_n(x) ds_x = \int_X P(x, z) g_n(x) ds_x,$$

where $P(x, z) \geq 0$ for x in X and z in R , it follows that $(\hat{F}_{a_n})_n$ converges to 1 uniformly on compact subsets of R and $(|\tilde{F}_{a_n}|)_n$ converges to 1 uniformly on R . If we let $B_n = f - a_n/F_{a_n}$, this proves the theorem.

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Reçu par la Rédaction le 4. 3. 1971