

On the μ -observability of some non-linear difference equations

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The problem of observability and the problem of μ -observability of ordinary differential systems was considered by E. J. Roytenberg in [3] and [4]. We shall show that an analogical treatment may be applied to solutions of discrete difference systems of equations.

We introduce the notions of μ -observability and of asymptotical μ -observability of the solutions of some non-linear discrete systems of difference equations. Then we formulate some sufficient conditions for a solution of such a system to be asymptotically μ -observable and we construct a system of difference equations which is satisfied by a certain function realizing observability.

We take into consideration the system of discrete difference equations

$$(1) \quad x_i(n+1) = \sum_{k=1}^m a_{ik}(n) \cdot x_k(n) + \varphi_i(x_1(n), \dots, x_m(n)) + p_i(n)$$

for $n = 0, 1, 2, \dots$, with the following initial conditions

$$x_i(\tau) = \overset{\circ}{x}_i, \quad i = 1, 2, \dots, m,$$

where τ is natural number.

System (1) written in a matrix form is the following:

$$(2) \quad x(n+1) = A(n) \cdot x(n) + \varphi(x(n)) + p(n), \quad x(\tau) = \overset{\circ}{x},$$

where $A(n) = [a_{ik}(n)]_{m,m}$, $\varphi(t) = [\varphi_i(t)]_{m,1}$ and $p(n) = [p_i(n)]_{m,1}$ are given functions and

$$x(n) = [x_1(n), \dots, x_m(n)] = [x_i(n)]'_{m,1} \quad (1)$$

is an unknown function. We assume that the functions which appear in (2) satisfy the following conditions: the operator $A(n)$ is defined for $n \geq \tau$ and it is bounded, i.e. we have $\|A(n)\| < K = \text{const}$ for $n \geq \tau$; the vector function $p(n)$ is defined for $n \geq \tau$, and the function $\varphi(t)$ defined for $\tau \leq t < +\infty$ is of C^2 regularity class.

(1) $[x_i(n)]'_{m,1}$ denotes a matrix which is transposed with respect to $[x_i(n)]_{1,m}$.

We introduce the vector function

$$(3) \quad y(n) = C(n) \cdot x(n),$$

which is defined for $n \geq \tau$ and is called a *trace* of the solution $x(n)$ of (2). Here $C(n) = [c_{ik}(n)]_{m,m}$ is a given bounded operator, i.e. $\|C(n)\| < c = \text{const}$ for $n \geq \tau$ and $x(n)$ is an unknown function which has to satisfy equation (2).

DEFINITION 1. If the value $x(\tau_1)$ of a solution $x(n)$ can be determined from a trace $y(n)$ which is known in the interval $\langle \tau, \tau_1 \rangle$, where τ_1 is a natural number, then we say that the solution is observable.

DEFINITION 2. If there exists a function $\zeta(n)$ defined for $n \geq \tau$ and such that:

1° $\zeta(n)$ may be determined with the aid of a trace $y(n)$, which is defined on $\langle \tau, \tau_1 \rangle$,

2° we have

$$(4) \quad \|\zeta(\tau_1) - x(\tau_1)\| \leq \mu,$$

where μ is some positive number, then we say that $x(n)$ is μ -observable at the moment $n = \tau_1$.

DEFINITION 3. If we replace condition 2° in Definition 2 by the following one:

2' if for an arbitrary number $\mu > 0$ there exists a number $T(\mu)$ such that the inequality

$$(5) \quad \|\zeta(n) - x(n)\| \leq \mu \quad \text{holds for } n \geq T(\mu), \quad n \in (\tau, +\infty),$$

then we say that $x(n)$ is *asymptotically μ -observable*.

It is evident that if $\tau_1 > T(\mu)$, then inequality (5) implies (4). Thus μ -observability at the moment $n = \tau_1$ is a consequence of asymptotic μ -observability.

The function $\zeta(n) = [\zeta_i(n)]_{1,m}$ will be called a *function realizing the observability of $x(n)$* . The construction of the function $\zeta(n)$ will be given later (cf. Theorem 2).

Let us consider an auxiliary difference equation of the form

$$(6) \quad \zeta(n+1) = A(n) \cdot \zeta(n) + \varphi(\zeta(n)) + p(n) + u(n),$$

with the initial condition $\zeta(\tau) = \zeta^0$ such that its solution $\zeta(n)$ is known. We assume that $u(n)$ is some vector function, defined for $n \geq \tau$. We assume that ζ^0 is an element of the ball $S_\rho(\dot{x})$, i.e. $\zeta^0 \in S_\rho(\dot{x}) = \{x; \|x - \dot{x}\| < \rho\}$.

Let

$$(7) \quad \eta(n) = C(n) \cdot \zeta(n)$$

be a trace of the solution $\zeta(n)$ of (6).

We choose the vector $u(n)$ in such a way that for $n = T(\mu)$ the inequality $\|\zeta(n) - x(n)\| \leq \mu$ holds, where $\mu > 0$ is a given number.

Let us consider a vector function

$$(8) \quad z(n) = \zeta(n) - x(n).$$

It follows from (2) and (6) that $z(n)$ satisfies the difference equations

$$(9) \quad z(n+1) = A(n) \cdot z(n) + \varphi(\zeta(n)) - \varphi(x(n)) + u(n)$$

with the initial condition

$$\overset{\circ}{z} = z(\tau) = \zeta(\tau) - x(\tau) = \overset{\circ}{\zeta} - \overset{\circ}{x},$$

where $\|\overset{\circ}{z}\| = \|\overset{\circ}{\zeta} - \overset{\circ}{x}\| < \rho$.

We expand the function φ in a Taylor series. We obtain

$$\varphi(x+z) = \varphi(x) + \frac{\varphi'(x)}{1!} \cdot z + F(z, n), \quad \text{where } \|F(z, n)\| = o(\|z\|).$$

If we put $M(n) = \varphi'(x(n))$, then (9) may be rewritten as follows:

$$(10) \quad z(n+1) = A(n) \cdot z(n) + M(n) \cdot z(n) + u(n) + F(z, n).$$

The first approximation for (10) is the following:

$$(11) \quad z(n+1) = [A(n) + M(n)] \cdot z(n) + u(n).$$

We assume that $u(n)$ is of the form

$$(12) \quad u(n) = B(n) \cdot v(n),$$

where $v(n) = \eta(n) - y(n)$ and $B(n)$ is a certain bounded operator defined for $n \geq \tau$.

We obtain from (3), (7) and (8)

$$v(n) = \eta(n) - y(n) = C(n) \cdot \zeta(n) - C(n) \cdot x(n) = C(n) \cdot z(n).$$

Then the expression (12) obtains the form

$$(13) \quad u(n) = B(n) \cdot v(n) = B(n) \cdot C(n) \cdot z(n).$$

We write

$$(14) \quad A_1(n) = A(n) + M(n) + B(n) \cdot C(n).$$

If we use (13) and (14), then we may rewrite (11) in the form

$$(15) \quad z(n+1) = A_1(n) \cdot z(n).$$

Moreover, assume that the norm of the operator $M(n)$ satisfies the following conditions:

$$\beta \leq \|M(n)\| \leq \gamma \quad \text{for } n \geq \tau$$

on a set of all admissible solutions $x(n)$ of (2).

The sufficient conditions for the asymptotic μ -observability of solutions of (2) may be formulated in the following

THEOREM 1. *A sufficient condition for $x(n)$ to be an asymptotically μ -observable solution of (2) is that there exist a positive operator $V(n)$ such that*

$$(16) \quad 0 < \alpha_1 \cdot (z(n), z(n)) \leq (V(n) \cdot z(n), z(n)) \leq \alpha_2 \cdot (z(n), z(n))$$

and

$$(17) \quad (V(n+1) \cdot z(n+1), z(n+1)) \leq \beta_1 \cdot (z(n), z(n)),$$

where $z(n)$ is a solution of (15) and $\alpha_1, \alpha_2, \beta_1$ are some positive constants, $0 < \beta_1 < \alpha_1$.

In order to prove this we must give some preliminaries.

LEMMA. *If a non-negative function $\chi(n)$ defined for $n \geq \tau$ satisfies the inequality*

$$(18) \quad \chi(n) < \delta + \sum_{i=\tau}^{n-1} (\eta + L \cdot \chi(i)), \quad \chi(\tau) < \delta,$$

where δ, η and L are positive constants, then we have

$$(19) \quad \chi(n) < (L+1)^{n-\tau} \cdot \delta + \frac{\eta}{L} [(L+1)^{n-\tau} - 1].$$

Proof. If we write $h(n) = \delta + \sum_{i=\tau}^{n-1} (\eta + L \cdot \chi(i))$, then we obtain

$$\begin{aligned} h(n+1) &= \delta + \sum_{i=\tau}^n (\eta + L \cdot \chi(i)) = \delta + \sum_{i=\tau}^{n-1} (\eta + L \cdot \chi(i)) + \eta + L \cdot \chi(n) \\ &= h(n) + L \cdot \chi(n) + \eta \leq (L+1) \cdot h(n) + \eta. \end{aligned}$$

The theorems on difference inequalities (cf. [5], Theorem 1) imply

$$h(n+1) < (L+1)^{n-\tau-1} \cdot h(\tau+1) + \sum_{r=1}^n (L+1)^{n-\tau-1-r} \cdot \eta.$$

We have from our assumptions

$$h(\tau+1) < \delta + \eta + L \cdot \chi(\tau) < (L+1) \cdot \delta + \eta.$$

Thus we obtain

$$\begin{aligned} h(n) &< (L+1)^{n-\tau} \cdot \delta + (L+1)^{n-\tau-1} \cdot \eta + \eta \cdot [(L+1)^{n-\tau-2} + \dots + 1] \\ &= (L+1)^{n-\tau} \cdot \delta + \eta \cdot \frac{(L+1)^{n-\tau-1} - 1}{L+1-1} \\ &= (L+1)^{n-\tau} \cdot \delta + \frac{\eta}{L} \cdot [(L+1)^{n-\tau} \cdot (L+1)^{-1} - 1] \\ &< (L+1)^{n-\tau} \cdot \delta + \frac{\eta}{L} \cdot [(L+1)^{n-\tau} - 1], \quad \text{because } L+1 > 1. \end{aligned}$$

Hence we obtain

$$\chi(n) < h(n) < (L+1)^{n-\tau} \cdot \delta + \frac{\eta}{L} [(L+1)^{n-\tau} - 1].$$

It should be noted that this lemma is a discrete analogy of a certain form of Gronwall's lemma (cf. [1], p. 12 or [6], p. 54).

Let us consider a difference equation of the form

$$(20) \quad s(n+1) = D(n) \cdot s(n) + g(n), \quad s(\tau) = \dot{s},$$

where the operator $D(n) = [d_{ik}(n)]_{m,m}$ and the vector function $g(n) = [g_i(n)]_{m,1}$ are given for $n \geq \tau$ and $s(n) = [s_i(n)]_{m,1}$ is an unknown function.

A solution of the homogeneous difference equation

$$(21) \quad s(n+1) = D(n) \cdot s(n), \quad s(\tau) = \dot{s},$$

is of the form

$$(22) \quad \begin{aligned} s(n) &= \prod_{i=\tau}^{n-1} D(n-1+\tau-i) \cdot s(\tau) \\ &= \prod_{i=0}^{n-1} D(n-1-i) \cdot \left[\sum_{i=0}^{\tau-1} D(\tau-1-i) \right]^{-1} \cdot s(\tau). \end{aligned}$$

If we write

$$(23) \quad S(n) = \prod_{i=0}^{n-1} D(n-1-i),$$

then we may write (22) in the form

$$(24) \quad s(n) = S(n) \cdot S^{-1}(\tau) \cdot s(\tau) = S(n, \tau) \cdot s(\tau),$$

where $S(n, \tau) = \dot{S}(n) \cdot S^{-1}(\tau)$.

We look for a solution of a non-homogeneous difference equation of the form

$$(25) \quad s(n) = S(n) \cdot S^{-1}(\tau) \cdot w(n).$$

Then we obtain from (20) and (25)

$$\begin{aligned} S(n+1) \cdot S^{-1}(\tau) \cdot w(n+1) &= D(n) \cdot S(n) \cdot S^{-1}(\tau) \cdot w(n) + g(n) \\ &= S(n+1) \cdot S^{-1}(\tau) \cdot w(n) + g(n). \end{aligned}$$

Hence

$$\Delta w(n) = w(n+1) - w(n) = S(\tau) \cdot S^{-1}(n+1) \cdot g(n).$$

Then (cf. [2], p. 19-22)

$$(26) \quad \begin{aligned} w(n) &= \Delta^{-1} S(\tau) \cdot S^{-1}(n+1) \cdot g(n) \\ &= \sum_{j=\tau}^{n-1} S(\tau) \cdot S^{-1}(j+1) \cdot g(j) + s(\tau). \end{aligned}$$

In view of (25) and (26) we obtain the following solution of difference equation (20):

$$(27) \quad s(n) = S(n) \cdot S^{-1}(\tau) \cdot s(\tau) + \sum_{j=\tau}^{n-1} S(n) \cdot S^{-1}(j+1) \cdot g(j).$$

Proof of Theorem 1. We consider equation (15) and write

$$(28) \quad \psi(n) = (V(n) \cdot z(n), z(n)) = (V(n) \cdot S(n, \tau) \cdot z(\tau), S(n, \tau) \cdot z(\tau)),$$

where $S(n, \tau)$ (cf. (24)) is a solution of equation (15). Thus we have

$$\begin{aligned} \psi(n+1) &= (V(n+1) \cdot z(n+1), z(n+1)) \leq \beta_1 \cdot (z(n), z(n)) \\ &\leq \frac{\beta_1}{\alpha_1} (V(n) \cdot z(n), z(n)) = \frac{\beta_1}{\alpha_1} \psi(n), \end{aligned}$$

and, moreover,

$$\psi(\tau) = (V(\tau) \cdot z(\tau), z(\tau)) \leq \alpha_2 \cdot (z(\tau), z(\tau)) = \alpha_2 \cdot \|z(\tau)\|^2.$$

From the theorems on difference inequalities (cf. [5]) we obtain

$$(29) \quad \psi(n) \leq \left(\frac{\beta_1}{\alpha_1}\right)^{n-\tau} \cdot \alpha_2 \|z(\tau)\|^2.$$

Thus we have

$$\begin{aligned} \|z(n)\|^2 &= (z(n), z(n)) \leq \frac{1}{\alpha_1} (V(n) \cdot z(n), z(n)) = \frac{1}{\alpha_1} \psi(n) \\ &\leq \frac{\alpha_2}{\alpha_1} \cdot \left(\frac{\beta_1}{\alpha_1}\right)^{n-\tau} \cdot \|z(\tau)\|^2. \end{aligned}$$

If we write

$$N = \sqrt{\frac{\alpha_2}{\alpha_1}}, \quad \gamma = \sqrt{\frac{\beta_1}{\alpha_1}},$$

then

$$(30) \quad \|z(n)\| \leq N \cdot \gamma^{n-\tau} \|z(\tau)\|,$$

where $z(n) = S(n) \cdot S^{-1}(\tau) \cdot z(\tau)$.

Because of

$$\frac{1}{\|z(\tau)\|} \|z(n)\| = \left\| \frac{z(n)}{\|z(\tau)\|} \right\| \leq N \cdot \gamma^{n-\tau}$$

we see that

$$(31) \quad \|S(n) \cdot S^{-1}(\tau)\| \leq N \cdot \gamma^{n-\tau}.$$

Then we obtain from (10) and (15)

$$(32) \quad z(n+1) = A_1(n) \cdot z(n) + F(z(n), n),$$

where

$$\|F(z(n), n)\| \leq q \cdot \|z(n)\| \quad \text{for } \|z(n)\| < \varrho \text{ and } q > 0.$$

(27) and (32) imply

$$z(n) = S(n) \cdot S^{-1}(\tau) \cdot z(\tau) + \sum_{j=\tau}^{n-1} S(n) \cdot S^{-1}(j+1) \cdot F(z(j), j).$$

Thus we have

$$\|z(n)\| \leq N \cdot \nu^{n-\tau} \|z(\tau)\| + \sum_{j=\tau}^{n-1} N \cdot \nu^{n-j-1} \cdot q \cdot \|z(j)\|$$

or

$$(33) \quad \frac{\|z(n)\|}{\nu^n} \leq N \cdot \nu^{-\tau} \|z(\tau)\| + \frac{N \cdot q}{\nu} \sum_{j=\tau}^{n-1} \nu^{-j} \|z(j)\|.$$

Because of $\alpha_1 < \alpha_2$ we have $N = \sqrt{\alpha_2/\alpha_1} > 1$. But we have $0 < \beta_1 < \alpha_1$ and $\beta_1^r < \alpha_1^r$; therefore, we obtain $N \cdot \nu^{-\tau} > 1$ for $\nu = \sqrt{\beta_1/\alpha_1}$. Hence

$$(34) \quad \|z(\tau)\| \leq N \cdot \nu^{-\tau} \|z(\tau)\|.$$

Formulas (33) and (34) and our lemma imply

$$\frac{\|z(n)\|}{\nu^n} \leq \left(\frac{N \cdot q}{\nu} + 1 \right)^{n-\tau} \cdot N \nu^{-\tau} \|z(\tau)\|$$

or

$$(35) \quad \|z(n)\| \leq N \cdot (N \cdot q + \nu)^{n-\tau} \cdot \|z(\tau)\|.$$

If we assume

$$q < \frac{1-\nu}{N} = \frac{\sqrt{\alpha_1} - \sqrt{\beta_1}}{\sqrt{\alpha_2}},$$

then we obtain $q > 0$ and $N \cdot q + \nu < 1$.

Then $\|z\| < \varrho$ for each $z \in S_\varrho(\hat{x})$ and if $\mu > 0$, then we have

$$\|z(n)\| \leq N \cdot (N \cdot q + \nu)^{n-\tau} \cdot \varrho < \mu.$$

Hence we obtain

$$(36) \quad n > \tau + \frac{\ln \mu - \ln \varrho - \ln N}{\ln(N \cdot q + \nu)} \stackrel{\text{def}}{=} T(\mu).$$

Then we shall have the inequality $\|z(n)\| < \mu$ for $n > T(\mu)$. Thus condition (5) is satisfied, and the solution $x(n)$ of (2) is asymptotically μ -observable (cf. Definition (8)).

If the conditions of Theorem 1 are satisfied, then the function $\zeta(n)$ realizing observability may be denitermed from the following theorem:

THEOREM 2. *A vector function $\zeta(n)$ which realizes an asymptotic μ -observability of the solution $x(n)$ of (2) is a solution of a difference equation of the form*

$$(37) \quad \zeta(n+1) = A(n) \cdot \zeta(n) + \varphi(\zeta(n)) + p(n) + B_V(n) \cdot C(n) \cdot (\zeta(n) - x(n))$$

with the initial condition $\zeta(\tau) = \zeta^0$. B_V is here an operator which depends on the operator V (cf. Theorem 1).

It follows from (30) that μ , q and ϱ are given scalar quantities. We choose α_1 , α_2 and β_1 in such a way that the following inequality holds:

$$(38) \quad T(\mu) < \tau_1.$$

Then the constants α_1 , α_2 and β_1 which realize inequality (38) will be denoted by α_1^1 , α_2^1 , β_1^1 .

Then there holds a theorem which yields sufficient conditions for the μ -observability of solutions $x(n)$ of (2) at the moment τ_1 .

THEOREM 3. *The μ -observability of the solution $x(n)$ of (2) at $n = \tau_1$ holds if we choose $B(n) = B_{V_1}(n)$ in such a way that there exists an operator $V(n) = V_1(n)$ which satisfies (16) and (17) for some constants $\alpha_1 = \alpha_1^1$, $\alpha_2 = \alpha_2^1$, $\beta_1 = \beta_1^1$.*

If the conditions of Theorem 3 are satisfied, then there holds a theorem analogous to Theorem 2.

THEOREM 4. *A vector function $\zeta(n)$ which realizes the μ -observability at the moment $n = \tau_1$ of the solution $x(n)$ of (2) is a solution of a difference equation of the form*

$$(37') \quad \zeta(n+1) = A(n) \cdot \zeta(n) + \varphi(\zeta(n)) + p(n) + B_{V_1}(n) \cdot C(n) \cdot (\zeta(n) - x(n))$$

with the initial condition $\zeta(\tau) = \zeta^0$.

COROLLARY 1. *Assume that difference equation (2) is of the form*

$$(39) \quad x(n+1) = A(n) \cdot x(n) + \varphi(x(n)) + p(n) + p_1(n), \quad x(\tau) = \dot{x},$$

where the unknown perturbation $p_1(n)$ is a vector function defined for $n \geq \tau$ and bounded (i.e. $\|p_1(n)\| \leq k_1 = \text{const}$).

Then equation (32) assumes the form

$$(40) \quad z(n+1) = A_1(n) \cdot z(n) + F(z(n), n) - p_1(n).$$

The following estimation is valid for the solution $z(n)$ of (40):

$$\begin{aligned} \|z(n)\| &\leq N \cdot v^{n-\tau} \|z(\tau)\| + \sum_{j=\tau}^{n-1} N \cdot v^{n-j-1} [q \cdot \|z(j)\| + k_1] \\ &= N \cdot v^{n-\tau} \|z(\tau)\| + \frac{N \cdot q}{v} \sum_{j=\tau}^{n-1} v^{n-j} \|z(j)\| + \frac{N \cdot k_1}{v} \sum_{j=\tau}^{n-1} v^{n-j}. \end{aligned}$$

We have

$$\begin{aligned} \sum_{j=\tau}^{n-1} \nu^{-j} &= \nu^{-\tau} + \nu^{-\tau-1} + \dots + \nu^{-n+1} \\ &= \nu^{-\tau} \cdot \frac{1 - (1/\nu)^{n-\tau}}{1 - (1/\nu)} = \frac{\nu}{\nu - 1} \cdot \left(\nu^{-\tau} - \frac{1}{\nu^n} \right) < \frac{\nu}{\nu - 1} \cdot \nu^{-\tau} \\ &= \left(1 + \frac{1}{\nu - 1} \right) \cdot \nu^{-\tau} = \left(1 - \frac{1}{1 - \nu} \right) \cdot \nu^{-\tau} < \nu^{-\tau}. \end{aligned}$$

It follows that

$$\frac{\|z(n)\|}{\nu^n} \leq N \cdot \nu^{-\tau} \|z(\tau)\| + \frac{N \cdot k_1}{\nu} \nu^{-\tau} + \frac{N \cdot q}{\nu} \sum_{j=\tau}^{n-1} \nu^{-j} \|z(j)\|.$$

Hence we obtain in view of the lemma

$$(41) \quad \|z(n)\| \leq N \cdot (N \cdot q + \nu)^{n-\tau} \|z(\tau)\| + \frac{N \cdot k_1}{\nu} \cdot (N \cdot q + \nu)^{n-\tau}.$$

The last inequality implies that the problem of μ -observability for the solution $x(n)$ of (39) can be solved for fixed perturbations.

COROLLARY 2. *If the trace $y(n)$ (cf. (3)) of the solution $x(n)$ of (2) is of the form $y(n) = C(n) \cdot x(n) + p_2(n)$, where $p_2(n)$ is some bounded vector function, i.e. $\|p_2(n)\| \leq k_2 = \text{const}$ for $n \geq \tau$, then equation (32) assumes the form*

$$(42) \quad z(n+1) = A_1(n) \cdot z(n) + F(z(n), n) + B_\nu(n) \cdot p_2(n).$$

If we write $p_1(n) = B_\nu(n) \cdot p_2(n)$, then we obtain

$$\|p_1(n)\| = \|B_\nu(n) \cdot p_2(n)\| \leq \|B_\nu(n)\| \cdot \|p_2(n)\| \leq b \cdot k_2,$$

because $B_\nu(n)$ is a bounded operator, i.e. $\|B_\nu(n)\| \leq b$ for $n \geq \tau$.

Thus we may apply Corollary 1.

COROLLARY 3. *We assume that (3) is of the form*

$$(43) \quad y(n) = C(n) \cdot x(n) + p_3(n),$$

where $p_3(n)$ is a certain vector function such that $\|p_3(n)\| \leq k_3 = \text{const}$ for $n \geq \tau$. If we write $p_2(n) = C(n) \cdot p_3(n)$ and make use of the fact that $C(n)$ is bounded operator, then we obtain

$$\|p_2(n)\| = \|C(n) \cdot p_3(n)\| \leq \|C(n)\| \cdot \|p_3(n)\| \leq c \cdot k_3 \stackrel{\text{def}}{=} k_2.$$

Thus (43) assumes the form $y(n) = C(n) \cdot x(n) + p_2(n)$ and Corollary 2 may be applied.

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