

## Approximate fixed points for nonexpansive mappings in uniformly convex spaces

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*Zdzisław Opial in memoriam*

**Abstract.** Let  $X$  be a uniformly convex Banach space and  $K$  a nonempty bounded closed and convex subset of  $X$ . For  $\varepsilon > 0$ , let  $\mathfrak{T}_\varepsilon$  denote the family of all nonexpansive mappings defined on  $K$  and taking values in an  $\varepsilon$ -neighborhood of  $K$ , and let  $\mathfrak{T}$  denote the family of all nonexpansive self-mappings of  $K$ . It is shown that if  $T \in \mathfrak{T}_\varepsilon$  and if  $d = \text{diam}(K)$ , then

$$\inf \{ \|x - T(x)\| : x \in K \} \leq (d + 2\varepsilon)\delta^{-1}(2\varepsilon/(d + 2\varepsilon)) + \varepsilon,$$

where  $\delta$  denotes the modulus of convexity of  $X$ . It is also shown that if  $n \in \mathbb{N}$  is chosen so that  $(1 - \delta(2\varepsilon/d))^n \leq \varepsilon/d$ , then for each  $T \in \mathfrak{T}$  and  $x \in K$ ,  $\|S^n(x) - S^{n+1}(x)\| \leq \varepsilon$ , where  $S = (1/2)(I + T)$ .

**1. Notation and definitions.** Throughout the paper,  $X$  will denote a Banach space. For  $x \in X$  and  $r > 0$ , let  $B(x; r)$  denote the closed ball centered at  $x$  with radius  $r$ . The *modulus of convexity* of  $X$  is the function  $\delta: [0, 2] \rightarrow [0, 1]$  defined by

$$\delta(\varepsilon) = \inf \{ 1 - (1/2)\|x + y\| : x, y \in B(0; 1), \|x - y\| \geq \varepsilon \}.$$

The space  $X$  is said to be *uniformly convex* ([1]) if  $\delta(\varepsilon) > 0$  for each  $\varepsilon > 0$ . For such spaces it is known that the function  $\delta$  is continuous and strictly increasing on  $[0, 2]$  (see [4], [9]). Also:

(1.1)  $t\delta(\varepsilon/t)$  increases as  $t > 0$  decreases (with  $\varepsilon$  fixed; see [5]).

We shall use the following routine consequence of the definition of  $\delta$  (cf. [10]).

(1.2) If  $u, v \in X$  satisfy  $\|u\| \leq \varrho$ ,  $\|v\| \leq \varrho$  and  $\|u - v\| \geq \varepsilon$ , then

$$(1/2)\|u + v\| \leq \varrho(1 - \delta(\varepsilon/\varrho)).$$

For  $K \subset X$  and  $x \in X$ , let

$$\text{dist}(x, K) = \inf \{ \|x - u\| : u \in K \}; \quad \text{diam}(K) = \sup \{ \|u - v\| : u, v \in K \};$$

and for  $\varepsilon > 0$ , let  $N_\varepsilon(K)$  denote the closed  $\varepsilon$ -neighborhood of  $K$ :

$$N_\varepsilon(K) = \{ x \in X : \text{dist}(x, K) \leq \varepsilon \}.$$

Recall that a mapping  $T: K \rightarrow X$  is said to be *nonexpansive* if  $\|T(u) - T(v)\| \leq \|u - v\|$  for each  $u, v \in K$ . (Much of the extensive fixed point theory for nonexpansive mappings is summarized in [6], [7].)

**2. Approximate fixed points for approximate self-maps.** Let  $K$  be a fixed bounded closed and convex subset of a Banach space ( $K \neq \emptyset$ ) and for  $\varepsilon > 0$  let  $\mathfrak{T}_\varepsilon$  denote the family of all nonexpansive mappings  $T$  for which  $T: K \rightarrow N_\varepsilon(K)$ . Let

$$\varrho(\varepsilon) = \sup_{T \in \mathfrak{T}_\varepsilon} \{ \inf \{ \|x - T(x)\| : x \in K \} \}.$$

In [8] it is shown that if  $K$  has a nonempty interior and if  $\bar{r} = \sup \{ r > 0 : B(x; r) \subset K \text{ for some } x \in K \}$ , then

$$(1) \quad \varrho(\varepsilon) \leq \left[ \frac{\text{diam}(K) - \bar{r} + \varepsilon}{\bar{r} + \varepsilon} \right] \varepsilon.$$

(Note that this implies  $\varrho(\varepsilon) = \varepsilon$  if  $K$  itself is a ball.) For arbitrary  $K$ , the following qualitative result is also proved in [8].

$$(2) \quad \varrho(\varepsilon) \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0^+.$$

In this section we show that the above results may be further refined if  $X$  is assumed to be uniformly convex. Specifically, we have:

**THEOREM 1.** *Let  $X$  be a uniformly convex Banach space with modulus of convexity  $\delta$ , let  $K$  a nonempty bounded closed and convex subset of  $X$  with  $\text{diam}(K) = d$ , and let  $\mathfrak{T}_\varepsilon$  denote the family of all nonexpansive mappings  $T$  for which  $T: K \rightarrow N_\varepsilon(K)$ . Then for  $T \in \mathfrak{T}_\varepsilon$ ,*

$$\inf \{ \|x - T(x)\| : x \in K \} \leq (d + 2\varepsilon) \delta^{-1} \left[ \frac{2\varepsilon}{d + 2\varepsilon} \right] + \varepsilon.$$

**Proof.** Let  $\mathfrak{R}$  denote the family of all nonempty closed and convex subsets of  $K$  with the property  $C \in \mathfrak{R}$  if and only if  $T(C) \subset N_\varepsilon(C)$ . Partially order  $\mathfrak{R}$  by set inclusion and let  $\mathfrak{C} = \{C_\alpha : \alpha \in A\}$  be any descending chain in  $\mathfrak{R}$ . The set  $C_0 = \bigcap_{\alpha} C_\alpha$  is closed convex and nonempty (by weak compactness).

Also, if  $x \in C_0$ , then for each  $\alpha$  there exists  $x_\alpha \in C_\alpha$  such that  $\|x_\alpha - T(x)\| \leq \varepsilon$ . Since  $K$  is weakly compact, the net  $\{x_\alpha : \alpha \in A\}$  has a subnet which converges weakly, say to  $w$ , with  $w \in C_0$ . Since  $\|w - T(x)\| \leq \sup_{\alpha} \|x_\alpha - T(x)\| \leq \varepsilon$ , it follows that  $T(C_0) \subset N_\varepsilon(C_0)$ , i.e.,  $C_0 \in \mathfrak{R}$ . This proves that every chain in  $\mathfrak{R}$  has a lower bound, so by Zorn's Lemma  $\mathfrak{R}$  has a minimal element  $K_0$ . Also, since

$$\inf \{ \|x - T(x)\| : x \in K \} \leq \inf \{ \|x - T(x)\| : x \in K_0 \},$$

we may assume at the outset that  $K$  itself is minimal (with  $\text{diam}(K) = d' \leq d$ ).

Now let  $z$  and  $r$  denote, respectively, the Chebyshev center and radius of  $K$ . (Thus  $z \in K$  and  $B(z; r)$  is the smallest ball centered at any point of  $K$  which contains  $K$ .) Since  $X$  is uniformly convex,  $d/2 \leq r < d$ . Set

$$H = B(T(z); r + \varepsilon) \cap K.$$

We claim  $H = K$ . To see this it suffices, by minimality of  $K$ , to prove  $T(H) \subset N_\varepsilon(H)$ . Let  $x \in H$ . By assumption there exists  $y \in K$  such that  $\|T(x) - y\| \leq \varepsilon$ . Thus

$$\|T(z) - y\| \leq \|T(z) - T(x)\| + \|T(x) - y\| \leq \|z - x\| + \|T(x) - y\| \leq r + \varepsilon,$$

proving  $y \in H$ . Hence  $T(x) \in N_\varepsilon(H)$ , establishing the claim.

Since  $K = H = B(T(z); r + \varepsilon) \cap K$ , we conclude  $K \subset B(T(z); r + \varepsilon)$ . Also, by assumption, there exists  $p \in K$  such that  $\|p - T(z)\| \leq \varepsilon$ , so it follows that  $K \subset B(p; r + 2\varepsilon)$ . Also, since  $r$  is the Chebyshev radius of  $K$  there exists  $x \in K$  such that

$$r \leq \left\| \frac{p+z}{2} - x \right\|.$$

We now have  $\|x - p\| \leq r + 2\varepsilon$  and  $\|x - z\| \leq r + 2\varepsilon$ . By (1.2),

$$\left\| \frac{p+z}{2} - x \right\| \leq \left[ 1 - \delta \left[ \frac{\|p-z\|}{r+2\varepsilon} \right] \right] (r+2\varepsilon).$$

Therefore (assuming  $\|z - T(z)\| \geq \varepsilon$ ),

$$\delta \left[ \frac{\|z - T(z)\| - \varepsilon}{r+2\varepsilon} \right] \leq \delta \left[ \frac{\|p-z\|}{r+2\varepsilon} \right] \leq 1 - \frac{r}{r+2\varepsilon} = \frac{2\varepsilon}{r+2\varepsilon}.$$

Consequently,

$$(3) \quad (d+2\varepsilon) \delta \left[ \frac{\|z - T(z)\| - \varepsilon}{d+2\varepsilon} \right] \leq (r+2\varepsilon) \delta \left[ \frac{\|z - T(z)\| - \varepsilon}{r+2\varepsilon} \right] \leq 2\varepsilon.$$

It follows that

$$\|z - T(z)\| \leq (d+2\varepsilon) \delta^{-1} \left[ \frac{2\varepsilon}{d+2\varepsilon} \right] + \varepsilon.$$

**3. Uniform iteration.** It is shown in [5] (cf. also [2]) that if  $K$  is any nonempty bounded closed and convex subset of a Banach space and if  $\varepsilon > 0$ , then there exists an integer  $N$  such that if  $T: K \rightarrow K$  is nonexpansive, if  $x_0 \in K$ , and if  $n \geq N$ , then  $\|S^n(x_0) - S^{n+1}(x_0)\| \leq \varepsilon$ , where  $S$  denotes the mapping  $\frac{1}{2}(I + T)$ . The proof given in [5] is purely an existence proof offering no estimate on the magnitude of  $N$ . Indeed, it seems unlikely that such estimates would be easy to obtain in general settings. Although the problem appears to have received little attention, it would appear to be a tractable one in special settings.

(In a conversation with the first author, J. Alexander observed that it suffices to take  $N \geq \varepsilon^{-1} - 2$  if  $K$  is the interval  $[0, 1]$  in  $\mathbf{R}^1$ .)

Here we give an estimate for  $N$  in terms of the modulus of convexity of a uniformly convex space.

**THEOREM 2.** *Let  $X$  be a uniformly convex Banach space with modulus of convexity  $\delta$ , let  $K$  be a nonempty bounded close and convex subset of  $X$  with  $\text{diam}(K) = d$ , and let  $\varepsilon > 0$  ( $\varepsilon \leq d/2$ ). If  $T: K \rightarrow K$  is nonexpansive and if  $S = (1/2)(I + T)$ , then for any  $x \in K$ ,  $\|S^n(x) - S^{n+1}(x)\| \leq \varepsilon$  for all  $n \in \mathbf{N}$  satisfying*

$$(4) \quad (1 - \delta(2\varepsilon/d))^n \leq \varepsilon/d.$$

**Proof.** Under the assumptions of the theorem it is well known that  $T$  (hence  $S$ ) has at least one fixed point  $p \in K$ . Suppose  $n$  satisfies (4). Since  $\{\|S^k(x) - S^{k+1}(x)\|\}$  is monotone decreasing, if  $\|S^k(x) - S^{k+1}(x)\| \leq \varepsilon$  holds for some  $k < n$  there is nothing to prove. So we may assume

$$(5) \quad \varepsilon < \|S^{n-1}(x) - S^n(x)\| \leq \|S^{n-2}(x) - S^{n-1}(x)\| \leq \dots \leq \|x - S(x)\| \leq d.$$

Now,  $\|S^{n-1}(x) - S^n(x)\| > \varepsilon$  implies  $\|S^{n-1}(x) - T(S^{n-1}(x))\| > 2\varepsilon$ . Also, we have  $\|T(S^{n-1}(x)) - p\| \leq \|S^{n-1}(x) - p\|$ . Thus, since  $S^n(x) = (1/2)(S^{n-1}(x) + T(S^{n-1}(x)))$ ,

$$(6) \quad \|S^n(x) - p\| \leq \left[ 1 - \delta \left[ \frac{2\varepsilon}{\|S^{n-1}(x) - p\|} \right] \right] \|S^{n-1}(x) - p\|.$$

In view of (6),

$$\|S^n(x) - p\| \leq \prod_{j=1}^n \left[ 1 - \delta \left[ \frac{2\varepsilon}{\|S^{n-j}(x) - p\|} \right] \right] \|x - p\|,$$

and by monotonicity of  $\delta$ ,

$$\|S^n(x) - p\| \leq [1 - \delta[2\varepsilon/d]]^n d \leq (\varepsilon/d)d = \varepsilon.$$

Since  $p$  is a fixed point of  $T$  with  $T$  nonexpansive,  $\|T(S^n(x)) - p\| \leq \varepsilon$ ; hence  $\|S^n(x) - T(S^n(x))\| \leq 2\varepsilon$  yielding

$$\|S^n(x) - S^{n+1}(x)\| = (1/2)\|S^n(x) - T(S^n(x))\| \leq \varepsilon.$$

#### 4. Remarks.

(4.1) Since  $r \leq d(1 - \delta(1))$ , implicit in (3) is a sharper estimate for Theorem 1, namely:

$$\inf\{\|x - T(x)\|: x \in K\} \leq [d(1 - \delta(1)) + 2\varepsilon] \delta^{-1} \left[ \frac{2\varepsilon}{d(1 - \delta(1)) + 2\varepsilon} \right] + \varepsilon.$$

However, even this estimate is not precise since it does not yield the known (see [8]) fact that if  $X$  is a Hilbert space,

$$\inf\{\|x - T(x)\|: x \in K\} \leq \varepsilon.$$

(4.2) In cases where the modulus of convexity is explicitly known, the estimate of Theorem 2 can be improved. For example, if  $X = l^p$ ,  $2 \leq p < \infty$ ,

then  $\delta(\varepsilon) = 1 - (1 - (\varepsilon/2)^p)^{1/p}$ . Computing directly from (6):

$$\begin{aligned}\|S(x) - p\| &\leq (1 - \delta(2\varepsilon/d))d = (1 - (\varepsilon/d)^p)^{1/p}d = (d^p - \varepsilon^p)^{1/p}; \\ \|S^2(x) - p\| &\leq \left[ 1 - \delta\left[\frac{2\varepsilon}{(d^p - \varepsilon^p)^{1/p}}\right] \right] (d^p - \varepsilon^p)^{1/p} = (d^p - 2\varepsilon^p)^{1/p};\end{aligned}$$

and continuing:

$$\|S^n(x) - p\| \leq (d^p - n\varepsilon^p)^{1/p}, \quad n = 1, 2, \dots$$

Also,  $(d^p - n\varepsilon^p)^{1/p} \leq \varepsilon$  if and only if  $n \geq (d/\varepsilon)^p - 1$ . We note that this estimate for  $n$  is better than that given by (4).

Also, we note that for  $X = \mathbf{R}^1$  and  $K = [0, 1]$ ,  $\delta(\varepsilon) = \varepsilon/2$ , and a repetition of the above argument yields  $|S^n(x) - p| \leq 1 - n\varepsilon$ ; hence  $|S^n(x) - p| \leq \varepsilon$  provided  $n \geq \varepsilon^{-1} - 1$ .

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