

ON THE SHARPNESS OF AN APPROXIMATION CRITERION OF SMOOTHNESS FOR FUNCTIONS ON A SEGMENT

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In this paper we consider some problems related to: the constructive characterization of the approximation of classes of continuous functions on a segment by algebraic polynomials, established by S. M. Nikol'skii, V. K. Dzyadyk, A. F. Timan and Yu. A. Brudnyi (see [3], [5], [6], [9], [12], [13]); the results of S. N. Bernstein [2] and, I. I. Ibragimov [8] on the best approximation of the functions x^a and $x^m \ln x$ by algebraic polynomials; the results of N. K. Bari, S. B. Stechkin and S. M. Lozinskii (see e.g. [1]) on the equivalence of the O - and \sim -relations in the constructive characterization of approximation by trigonometric polynomials.

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We introduce the usual notation:

$C^0 :=$ the space of continuous functions $f: [0, 1] \rightarrow \mathbf{R}$,
 $C^r := \{f: f^{(r)} \in C^0\}$, $r \in \mathbf{N}$,
 $\mathcal{P}_n :=$ the space of algebraic polynomials of degree $\leq n$, $n \in \mathbf{N}$,
 $\|f\| := \sup_{x \in [0,1]} |f(x)|$,
 $E_n(f) := \inf_{p \in \mathcal{P}_n} \|f - p\|$,
 $\omega_1(f, t) := \sup_{0 \leq x_1 < x_2 \leq 1, x_2 - x_1 \leq t} |f(x_2) - f(x_1)|$, the modulus of continuity
of $f \in C^0$,
 $\text{Lip } 1 := \{f: \omega_1(f, t) = O(t)\}$.
Let $\bar{\varepsilon} = \{\varepsilon_n\}$ be a decreasing sequence of positive numbers. Define

$$H[\bar{\varepsilon}] := \{f: E_n(f) \leq \varepsilon_n \quad \forall n \in \mathbf{N}\}.$$

E. P. Dolzhenko and E. A. Sevast'yanov ([4], Theorem 7) proved the following theorem.

THEOREM 1 ([4]). For every $\bar{\varepsilon}$ there is $f \in H[\bar{\varepsilon}]$ such that

$$(1.1) \quad \omega_1(f, n^{-2}) \geq cn^{-2} \sum_{i=1}^n i\varepsilon_i, \quad n \in \mathbb{N}, c = \text{const} > 0.$$

This implies Theorems 1' and 1'' below:

THEOREM 1' ([4]). If

$$\sum_{i=1}^{\infty} i\varepsilon_i = \infty,$$

then there exists $f \in H[\bar{\varepsilon}]$ such that $f \notin \text{Lip } 1$.

THEOREM 1'' ([4], Theorem 8). If

$$\sum_{i=1}^{\infty} i\varepsilon_i = \infty,$$

then there exists $f \in H[\bar{\varepsilon}]$ such that $f \notin C^1$.

Later, M. Hasson [7] proved Theorem 1'' under the additional assumption that $i^2\varepsilon_i$ is decreasing. We present an example to show that this is an essential restriction, in spite of the fact that $\sum_{i=1}^{\infty} i\varepsilon_i < \infty$ implies $i^2\varepsilon_i \rightarrow 0$.

EXAMPLE. Let $\mu(x) := -x \ln^{-1} x$, $x_k := (k!)^{-1}$, $k \in \mathbb{N}$. We define a non decreasing function α on $[0, 1]$ by $\alpha(x) = \mu(x_k)$ for $x \in (x_k, x_{k-1}]$ and k even, and $\alpha(x) = \mu(x)$ for $x \in (x_k, x_{k-1}]$ and k odd. Set $\varepsilon_n := \alpha(n^{-2})$, $n \in \mathbb{N}$. It is easy to see that

$$\sum_{i=1}^{\infty} i\varepsilon_i = \infty, \quad \lim_{i \rightarrow \infty} i^2\varepsilon_i = 0.$$

Let now $\bar{\beta} = \{\beta_n\}$ be any sequence satisfying (a) $i^2\beta_i$ is decreasing, (b) $0 \leq \beta_i \leq \varepsilon_i$. Then it is not difficult to check that

$$\sum_{i=1}^{\infty} i\beta_i < \infty.$$

From A. A. Markov's inequality we deduce in the usual way (see e.g. [4], [7]) that if $\sum_{i=1}^{\infty} i^{2r-1}\varepsilon_i < \infty$ ($r \in \mathbb{N}$), then $f \in H[\bar{\varepsilon}] \Rightarrow f \in C^r$. Thus the condition $\sum_{i=1}^{\infty} i\varepsilon_i < \infty$ is necessary and sufficient for the inclusion $H[\bar{\varepsilon}] \subset C^1$ to hold ([4]). M. Hasson [7] assumed the following theorem to be true:

THEOREM 2. Suppose $i^{2r}\varepsilon_i$ is a decreasing sequence, $r \in \mathbb{N}$, $r > 1$. If $\sum_{i=1}^{\infty} i^{2r-1}\varepsilon_i = \infty$, then there exists $f \in H[\bar{\varepsilon}]$ such that $f \notin C^r$.

Theorem 2 was proved by T. Xie [14] and independently by the author [11]. T. Xie [14] observed that the proof of Theorem 2 yields the following theorem:

THEOREM 2'. *Suppose $i^{2r} \varepsilon_i$ is a decreasing sequence, $r \in \mathbb{N}$, $r > 1$. If $\sum_{i=1}^{\infty} i^{2r-1} \varepsilon_i = \infty$, then there exists $f \in H[\bar{\varepsilon}]$ such that $f^{(r-1)} \notin \text{Lip } 1$.*

If $i^{2r} \varepsilon_i > c > 0$, then the assertions of Theorems 1', 1'', 2 and 2' follow from I. I. Ibragimov's results [8] (for details, see Section 3).

In Section 2 we prove Theorem 3 which eliminates the additional assumption of Theorems 2 and 2'. Moreover, Theorem 3 (more precisely: its corollary, Theorem 4) gives a necessary and sufficient condition for the inclusion $H[\bar{\varepsilon}] \subset W^r H_k^\varphi$ to hold, where $W^r H_k^\varphi$ is the class of functions for which the k th modulus of continuity of the r th derivative, $\omega_k(f^{(r)}, t)$, is bounded by the increasing function $\varphi = \varphi(t)$.

Recall that the k th modulus of continuity of $f \in C^0$ is the function

$$\omega_k(f, t) = \sup_{h \in [0, t]} \sup_{x \in [0, 1 - kh]} |\Delta_h^k(f, x)|,$$

where

$$\Delta_h^k(f, x) = \sum_{i=0}^k (-1)^{k-i} \binom{k}{i} f(x + ih)$$

is the k th order finite difference of f at x with step h .

THEOREM 3. *Let $k \in \mathbb{N}$ and $r + 1 \in \mathbb{N}$.*

(a) *If*

$$\sum_{i=1}^{\infty} r i^{2r-1} \varepsilon_i = \infty,$$

then there exists $f \in H[\bar{\varepsilon}]$ such that $f \notin C^r$.

(b) *If*

$$\sum_{i=1}^{\infty} r i^{2r-1} \varepsilon_i < \infty,$$

then there exists $f \in H[\bar{\varepsilon}]$ such that $f \in C^r$ but for all $n \in \mathbb{N}$

$$\omega_k(f, n^{-2}) \geq c \left(\sum_{i=n+1}^{\infty} r i^{2r-1} \varepsilon_i + n^{-2k} \sum_{i=1}^n i^{2(r+k)-1} \varepsilon_i \right),$$

$$c = c(r, k, \bar{\varepsilon}) = \text{const} > 0.$$

As noted earlier, by using Markov's inequality we deduce in the standard way that Theorem 3 is equivalent to Theorem 4 below. Let us first introduce some notation.

Let $k \in \mathbb{N}$, $r + 1 \in \mathbb{N}$, and let $\varphi = \varphi(t)$ be continuous and nondecreasing on $[0, 1]$ with $\varphi(0) = 0$ and $\varphi(t) > 0$ for $t > 0$. Define

$$W^r H_k^\varphi := \{f: \omega_k(f^{(r)}, t) = O(\varphi(t))\},$$

and for $r \neq 0$

$$W^r := W^{r-1} H_1^r \quad (= W^{r-2} H_2^2 = \dots = W^0 H_r^r).$$

THEOREM 4. $H[\bar{\varepsilon}] \subset W^r H_k^r$ if and only if

$$\sum_{i=n+1}^{\infty} r i^{2r-1} \varepsilon_i + n^{-2k} \sum_{i=1}^n i^{2(r+k)-1} \varepsilon_i = O(\varphi(n^{-2})).$$

Theorem 4 has the following corollaries:

THEOREM 4'. $H[\bar{\varepsilon}] \subset C^r$, $r \in \mathbb{N}$, if and only if

$$(1.2) \quad \sum_{i=1}^{\infty} i^{2r-1} \varepsilon_i < \infty.$$

THEOREM 4''. Let $r \in \mathbb{N}$. Condition (1.2) is necessary and sufficient for $H[\bar{\varepsilon}] \subset W^r$.

Remark 1. "Decreasing" may be replaced by "nonincreasing" everywhere in this paper.

Remark 2. Under the additional assumptions that $\varepsilon_n = n^{-2r} \varphi(n^{-2})$ and φ is a function of the type of the k th modulus of continuity, Theorem 4 was proved in [11].

2. Proof of Theorem 3

In the sequel always $x \in [0, 1]$.

Fix $m \in \mathbb{N}$. We will denote by c various positive constants depending on m only.

Observing that for $l \in \mathbb{N}$ the function $\sin^2(l \arcsin \sqrt{x})$ is an algebraic polynomial of degree l , we define, for $n \in \mathbb{N}$, the algebraic polynomials

$$(2.1) \quad T_n(x) := \sin^{2(m+2)} \left(\left[\frac{n}{m+2} \right] \arcsin \sqrt{x} \right),$$

where $[a]$ is the integer part of a .

Note the following properties of these polynomials:

(a) $\deg T_n \leq n$.

(b) For all x

$$(2.2) \quad 0 \leq T_n(x) \leq 1.$$

(c) For $n \leq 1/\sqrt{x}$

$$(2.3) \quad T_n(x) \leq c x^{m+2} n^{2(m+2)}.$$

(d) For $m+2 \leq n \leq 1/\sqrt{x}$

$$(2.4) \quad T_n(x) \geq cx^{m+2} n^{2(m+2)}.$$

(e) For $j = 0, 1, \dots, m+1$

$$(2.5) \quad T_n^{(j)}(0) = 0.$$

Let $\alpha = \alpha(x)$ be a continuous increasing function on $[0, 1]$ such that $\alpha(n^{-2}) = \varepsilon_n$ for all $n \in N$. In the sequel, we denote by a various positive constants which depend on α and m only (unlike the constants c , which depend only on m).

We define the function

$$(2.6) \quad \beta(x) := \sum_{i=1}^{\infty} i^{-3} \varepsilon_i T_i(x) \equiv \sum_{i=m+2}^{\infty} i^{-3} \varepsilon_i T_i(x)$$

and the polynomials

$$(2.7) \quad P_n(x) := \sum_{i=1}^n i^{-3} \varepsilon_i T_i(x).$$

LEMMA 1. (a) For all x

$$(2.8) \quad 0 \leq \beta(x) - P_n(x) \leq cx\varepsilon_n, \quad n \in N.$$

(b) For all x

$$(2.9) \quad \beta(x) \geq ax\alpha(x),$$

and for $x \leq (m+2)^{-2}$

$$(2.10) \quad \beta(x) \geq cx\alpha(x).$$

Proof. (a) Let first $x > (n+1)^{-2}$. Then

$$\begin{aligned} \beta(x) - P_n(x) &= \sum_{i=n+1}^{\infty} i^{-3} \varepsilon_i T_i(x) \leq \varepsilon_{n+1} \sum_{i=n+1}^{\infty} i^{-3} \\ &< \frac{1}{2} \varepsilon_{n+1} n^{-2} \leq \frac{1}{2} \varepsilon_n n^{-2} < 2cx\varepsilon_n. \end{aligned}$$

Let now $x \leq (n+1)^{-2}$. Choose $n_0 \in N$ satisfying $(n_0+1)^{-2} < x \leq n_0^{-2}$ and note that by (2.3)

$$\sum_{i=n+1}^{n_0} i^{-3} T_i(x) \leq cx^{m+2} \sum_{i=n+1}^{n_0} i^{2m+1} \leq cx^{m+2} n_0^{2(m+1)} \leq cx.$$

Further,

$$\begin{aligned} \beta(x) - P_n(x) &\leq \varepsilon_n \sum_{i=n+1}^{n_0} i^{-3} T_i(x) + \varepsilon_n \sum_{i=n_0+1}^{\infty} i^{-3} T_i(x) \\ &\leq c\varepsilon_n x + \frac{1}{2} \varepsilon_n n_0^{-2} \leq c\varepsilon_n x. \end{aligned}$$

The inequality $\beta(x) - P_n(x) \geq 0$ being obvious, the proof of (a) is complete.

(b) We first prove (2.10). Let therefore $x \leq (m+2)^{-2}$. We choose $n_0 \in N$ satisfying $(n_0+1)^{-2} < x \leq n_0^{-2}$ and obtain, by (2.4),

$$\beta(x) \geq \varepsilon_{n_0} x^{m+2} \sum_{i=m+2}^{n_0} i^{2m+1} \geq c\varepsilon_{n_0} x^{m+2} n_0^{2m+2} \geq c\varepsilon_{n_0} x \geq cx\alpha(x).$$

This completes the proof of (2.10), and also of (2.9) for $x \leq (m+2)^{-2}$. On the other hand, if $x > (m+2)^{-2}$, then

$$(m+2)^3 \beta(x) \geq \varepsilon_{m+2} T_{m+2}(x) = \varepsilon_{m+2} x^{m+2} \geq cx\varepsilon_{m+2} \geq ax\alpha(x),$$

which completes the proof of Lemma 1.

Set ([10], [6], p. 168)

$$(2.11) \quad F(x) := \frac{1}{(m-1)!} \int_x^1 u^{-m-2} \beta(u) x(x-u)^{m-1} du.$$

LEMMA 2. For $n \in N$

$$(2.12) \quad E_n(F) \leq c\varepsilon_n.$$

Proof. Define

$$Q_n(x) := \frac{1}{(m-1)!} \int_x^1 u^{-m-2} P_n(u) x(x-u)^{m-1} du.$$

By (2.5), Q_n is an algebraic polynomial of degree $\leq n-1$ with $Q_n(0) = 0$. Now, for $x = 0$ we have $|F(0) - Q_n(0)| = 0$, and for $x \neq 0$, by (2.8),

$$|F(x) - Q_n(x)| \leq c \int_x^1 u^{-m-2} u\varepsilon_n x(u-x)^{m-1} du \leq cx\varepsilon_n \int_x^1 u^{-2} du \leq c\varepsilon_n,$$

which finishes the proof.

LEMMA 3. Let $k \in N$, $k \leq m$. Write $r := m - k$.

(a) If $\int_0^1 ru^{-r-2} \beta(u) du < \infty$, then $F \in C^r$, but for $h \in (0, 1/k]$

$$(2.13) \quad \begin{aligned} \Delta_h^k(F^{(r)}, 0) &= mh \int_0^h \dots \int_0^h \int_0^{kh} \beta(u_k) u_k^{-m-2} du_k du_{k-1} \dots du_1 \\ &\quad + rh \int_0^h \dots \int_0^h \int_h^{h+u_1+\dots+u_{k-1}} (h+u_1+\dots+u_{k-1})^{-k} \\ &\quad \times \beta(u_k) u_k^{-r-2} du_k du_{k-1} \dots du_1 \end{aligned}$$

$$\begin{aligned}
 &+ mh^k \int_{kh}^1 \beta(u) u^{-m-2} du + \frac{1}{k!} \int_0^h r\beta(u) u^{-r-2} du \\
 &\geq mh^k \int_{kh}^1 \beta(u) u^{-m-2} du + \frac{1}{k!} \int_0^h r\beta(u) u^{-r-2} du.
 \end{aligned}$$

(b) If

$$(2.14) \quad \int_0^1 r\beta(u) u^{-r-2} du = \infty,$$

then $F \notin C^r$.

Proof. (a) Let

$$(2.15) \quad \int_0^1 r\beta(u) u^{-r-2} du < \infty.$$

If $r = 0$, then $F \in C^0$ by Lemma 2. If $r \neq 0$, then

$$(2.16) \quad \lim_{x \rightarrow 0} F^{(r)}(x) = \frac{(-1)^k}{k!} \int_0^1 r\beta(u) u^{-r-2} du = F^{(r)}(0).$$

To see this, note first that

$$(2.17) \quad \lim_{x \rightarrow 0} x \int_x^1 \beta(u) u^{-r-3} du = 0.$$

Indeed, for any $\varepsilon > 0$ choose $\delta_1 > 0$ so that $\int_0^{\delta_1} \beta(u) u^{-r-2} du < \varepsilon$. Now choose $\delta \in (0, \delta_1]$ so that $\delta \int_{\delta_1}^1 \beta(u) u^{-r-3} du < \varepsilon/2$. This gives for $x \in (0, \delta)$

$$\begin{aligned}
 x \int_x^1 \beta(u) u^{-r-3} du &= x \int_x^{\delta_1} \frac{1}{u} \beta(u) u^{-r-2} du + x \int_{\delta_1}^1 \beta(u) u^{-r-3} du \\
 &\leq \frac{x}{x} \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.
 \end{aligned}$$

Now (2.16) follows from (2.17), the inequality $x \leq u$ and the identity

$$(2.18) \quad F^{(r)}(x) = \frac{1}{k!} \int_x^1 (x-u)^{k-1} (mx - ru) \beta(u) u^{-m-2} du, \quad x \neq 0.$$

We now prove (2.13). To do this, we introduce the functions

$$\begin{aligned}
 F_1(x) &:= \frac{m}{k!} \int_x^{kh} x(x-u)^{k-1} \beta(u) u^{-m-2} du, & F_2(x) &:= x^{-1} F_1(x), \\
 F_3(x) &:= -\frac{r}{k!} \int_x^h (x-u)^{k-1} \beta(u) u^{-m-1} du, & F_4(x) &:= x^{-1} F_3(x), \quad x \neq 0.
 \end{aligned}$$

We extend F_1 and F_2 by continuity to $x = 0$ and note that $F_1(0) = 0$, $F_3(0) = F^{(r)}(0)$. Now, since $\Delta_h^k(x^j, 0) = 0$ for $j = 1, \dots, k-1$ and $\Delta_h^k(x^k, 0) = k! h^k$, we obtain

$$\Delta_h^k(F^{(r)}, 0) = \Delta_h^k(F_1, 0) + mh^k \int_{kh}^1 \beta(u) u^{-m-2} du + \Delta_h^k(F_3, 0).$$

Furthermore,

$$\begin{aligned} \Delta_h^k(F_1, 0) &= (-1)^k F_1(0) + kh \Delta_h^{k-1}(F_2, h) \\ &= kh \Delta_h^{k-1}(F_2, h) \\ &= kh \int_0^h \dots \int_0^h F_2^{(k-1)}(h + u_1 + \dots + u_{k-1}) du_{k-1} \dots du_1 \\ &= mh \int_0^h \dots \int_0^h \int_{h+u_1+\dots+u_{k-1}}^{kh} \beta(u_k) u_k^{-m-2} du_k du_{k-1} \dots du_1 \geq 0. \end{aligned}$$

Analogously,

$$\begin{aligned} \Delta_h^k(F_3, 0) &= (-1)^k F_3(0) + kh \Delta_h^{k-1}(F_4, h) \\ &= \frac{1}{k!} \int_0^h r \beta(u) u^{-r-2} du \\ &\quad + rh \int_0^h \dots \int_0^h \int_h^{h+u_1+\dots+u_{k-1}} (h + u_1 + \dots + u_{k-1})^{-k} \\ &\quad \quad \quad \times \beta(u_k) u_k^{-r-2} du_k \dots du_1, \end{aligned}$$

which completes the proof of (a).

(b) Assume (2.14) holds (of course $r \neq 0$). Suppose to the contrary that $F \in \mathcal{C}^r$. Then for $h \in (0, 1/2r]$

$$|\Delta_h^r(F, 0)| \leq ah^r,$$

but

$$\begin{aligned} |\Delta_h^r(F, 0)| &= rh \int_0^h \dots \int_0^h \int_{h+u_1+\dots+u_{r-1}}^1 \frac{(u_r - h - u_1 - \dots - u_{r-1})^{m-r} \beta(u_r)}{(m-r)! u_r^{m+2}} du_r \dots du_1 \\ &\geq ch^r \int_{2rh}^1 \beta(u) u^{-r-2} du. \end{aligned}$$

This completes the proof of Lemma 3.

Finally, Theorem 3 follows from Lemmas 2 and 3, from the estimate (2.9) and from the equiconvergence of the integrals

$$\int_0^1 r \beta(u) u^{-r-2} du \quad \text{and} \quad \int_0^1 r \alpha(u) u^{-r-1} du.$$

3

We will denote by c_i positive constants independent of n and t . We write $A(n, t) \sim B(n, t)$ if $c_1 A(n, t) \leq B(n, t) \leq c_2 A(n, t)$. Set

$$\tilde{W}^r H_k^\varphi := \{f: \omega_k(f^{(r)}, t) \sim \varphi(t)\}, \quad k \in N, r+1 \in N.$$

Apart from the monotonicity and continuity of the function φ , we clearly have to assume here that $t^{-k} \varphi(t)$ is nonincreasing for $t > 0$.

Let $\alpha = r + \beta$, where $r+1 \in N$, $\beta \in (0, 1)$. S. N. Bernstein [2] proved that

$$(3.1) \quad E_n(x^\alpha) \sim (1/n^2)^\alpha.$$

Note that $x^\alpha \in \tilde{W}^r H_1^\beta = \dots = \tilde{W}^0 H_{r+1}^\alpha$.

I. I. Ibragimov [8] proved that

$$(3.2) \quad E_n(x^m \ln x) \sim (1/n^2)^m, \quad m \in N.$$

Note that $x^m \ln x \in \tilde{W}^{m-1} H_2^1 = \dots = \tilde{W}^0 H_{m+1}^m$. Ibragimov [8] also obtained such relations for functions of a more general type, belonging to other classes $\tilde{W}^r H_k^\varphi$.

We will write $\varphi \in S(r, k)$ (see e.g. [1]) if

$$(3.3) \quad \int_0^t r u^{-1} \varphi(u) du + t^k \int_t^1 u^{-k-1} \varphi(u) du \leq c_3 \varphi(t), \quad t \in (0, \frac{1}{2}].$$

The following result generalizes (3.1) and (3.2).

THEOREM 5. For every $k \in N$, every r with $r+1 \in N$ and every $\varphi \in S(r, k)$ there exists $f \in \tilde{W}^r H_k^\varphi$ such that $E_n(f) \sim n^{-2r} \varphi(n^{-2})$.

Proof. In [11] we constructed a function $f \in \tilde{W}^r H_k^\varphi$ such that

$$E_n(f) \leq n^{-2r} \varphi(n^{-2}) \quad \text{for all } n \in N.$$

Therefore it suffices to show that if $\varphi \in S(r, k)$, then

$$(3.4) \quad E_n(f) \geq c_4 n^{-2r} \varphi(n^{-2}).$$

Let $\alpha(t)$ denote a nondecreasing continuous function on $[0, 1]$ such that $\alpha(n^{-2}) = E_n(f)$. Obviously, $\alpha(t) \leq c_5 t^r \varphi(t)$; to simplify the writing we assume $c_5 = 1$. It follows from Markov's inequality that

$$\varphi(t) \leq c_6 \left(\int_0^t \frac{r \alpha(u)}{u^{r+1}} du + t^k \int_t^1 \frac{\alpha(u)}{u^{r+k+1}} du \right), \quad t \in (0, \frac{1}{2}].$$

Reasoning as N. K. Bari and S. B. Stechkin [1] we will show that if $\alpha(t) = a^{r+k} t^r \varphi(t)$ at some point $t \in (0, \frac{1}{2}]$ (where $a = \text{const}$, $0 < a < 1$), then for

$t_* := \sqrt{at}$ we have

$$(3.5) \quad \begin{aligned} \varphi(t_*) &\leq c_6 \left(\int_0^{t_*} ru^{-r-1} \alpha(u) du + t_*^k \int_{t_*}^1 u^{-r-k-1} \alpha(u) du \right) \\ &\leq 8c_6 c_3^2 \varphi(t_*) \ln^{-1}(1/a). \end{aligned}$$

Indeed, by (3.3),

$$\begin{aligned} r\varphi(\sqrt{at_*}) \ln \frac{1}{\sqrt{a}} &\leq \int_{\sqrt{at_*}}^{t_*} ru^{-1} \varphi(u) du \leq c_3 \varphi(t_*), \\ (t_*/t)^k \varphi(t) \ln \frac{1}{\sqrt{a}} &\leq t_*^k \int_{t_*}^t u^{-k-1} \varphi(u) du \leq c_3 \varphi(t_*), \end{aligned}$$

whence

$$r\varphi(\sqrt{at_*}) \leq 2c_3 \varphi(t_*) \ln^{-1}(1/a), \quad \varphi(t) \leq 2c_3 \varphi(t_*) a^{-k/2} \ln^{-1}(1/a).$$

Therefore

$$\begin{aligned} &\int_0^{t_*} ru^{-r-1} \alpha(u) du + t_*^k \int_{t_*}^1 u^{-r-k-1} \alpha(u) du \\ &\leq \int_0^{\sqrt{at_*}} ru^{-1} \varphi(u) du + \int_{\sqrt{at_*}}^{t_*} ru^{-r-1} a^{r+k} t^r \varphi(t) du \\ &\quad + t_*^k \int_{t_*}^t u^{-r-k-1} a^{r+k} t^r \varphi(t) du + t_*^k \int_t^1 u^{-k-1} \varphi(u) du \\ &\leq rc_3 \varphi(\sqrt{at_*}) + (t/t_*)^r a^{-r/2} a^{r+k} \varphi(t) + (t/t_*)^r a^{r+k} \varphi(t) \\ &\quad + c_3 (t/t_*)^k \varphi(t) \\ &\leq 8c_3^2 \varphi(t_*) \ln^{-1}(1/a). \end{aligned}$$

We have thus proved (3.5), and hence also (3.4), with the constant $c_4 = \exp(-8(r+k)c_3^2 c_6)$. This completes the proof of Theorem 5.

Remark. For $k=1$ and $r=0$, Theorem 5 was obtained by E. P. Dolzhenko and E. A. Sevast'yanov [4].

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