

*Z<sub>n</sub>-ACTIONS ON 3-MANIFOLDS*

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**1. Introduction.** The aim of this paper is to present a reduction of a given  $Z_n$ -action on a 3-manifold to simpler actions. The technique introduced here enables us to classify  $Z_n$ -actions on some manifolds, e.g., free  $Z_n$ -actions on handlebodies and compact surfaces. Tollefson [22] has constructed such a reduction for an involution of a 3-manifold  $M$ , provided no summand of  $M$  is a 2-sphere-bundle over  $S^1$ . Kim and Tollefson [8] have extended this result for involutions of an arbitrary closed 3-manifold.

We work in the PL-category. From now on, unless otherwise specified, manifolds are connected. We assume that if a manifold  $M$  is orientable, then it is oriented, and that if  $\partial_i M$  is an orientable component of  $\partial M$ , then it is oriented (the orientation of  $\partial M$  is induced from  $M$  if  $M$  is oriented).

In Section 2 we introduce some necessary definitions and lemmas concerning 3-manifolds. In Section 3 we define a connected sum and a multiple of  $Z_n$ -manifolds. In Section 4 we prove the main Theorem 4.1 describing the decomposition of a  $Z_n$ -action along a compact surface and apply it for  $F = S^2, D^2$ , and  $P^2$ . In Section 5 we prove some facts which allow us to apply the theorems from Section 4 to concrete calculations. In Section 6 we prove some reduction theorems. In Section 7 we discuss our results and add some special corollaries on  $Z_n$ -actions.

The author wishes to thank very sincerely Agnieszka Bojanowska for her invaluable assistance.

The author is grateful to Krzysiek Nowiński and Krzysiek Jaczewski for their help in the preparation of this paper.

**2. Preliminaries.** The terminology of our paper is based on that of Hempel's book [5]. Moreover, we introduce some other definitions and notation and formulate some "folklore" results on 3-manifolds.

**2.1. Definition.** A 3-manifold  $M$  is called *decomposable* if it can be expressed as a connected sum of a finite number of prime factors. We put

$i(M) = \infty$  if  $M$  is not decomposable, and for  $M$  decomposable we define  $i(M)$  to be the number of nontrivial ( $\neq S^2$ ) prime factors of the prime factorization of  $M$ . Moreover,  $i(S^3) = 0$ .

**2.2. Definition.** (a) If a manifold  $M$  is orientable, then we put  $\tilde{M} = M$ , and if  $M$  is not orientable, then we define  $\tilde{M}$  to be the orientable double cover of  $M$ .

(b)  $\hat{M}$  is the manifold obtained from the  $n$ -manifold  $M$  by capping off each  $(n-1)$ -sphere component of  $\partial M$  with an  $n$ -cell.

Let  $M$  be a 3-manifold with compact boundary; we define:

(c)  $k_{\partial M}$  to be the number of the boundary components of  $M$ .

(d)  $\text{gen}(M) = \frac{1}{2}(2k_{\partial M} - \chi(\partial M))$ .

**2.3. LEMMA.** *Let  $M$  be a compact 3-manifold. Then  $\pi_1(M) = 0$  iff  $M$  is a homotopy sphere with holes.*

**2.4. PROPOSITION.** *If a 3-manifold  $M$  is a connected sum  $M_1 \# M_2$ , where neither  $M_1$  nor  $M_2$  is a homotopy sphere, then a 2-sphere  $S^2$  separating two summands of  $M_1 \# M_2$  generates an element of  $\pi_2(M)$  which does not belong to the  $\pi_1(M)$ -invariant subgroup of  $\pi_2(M)$  generated by classes of maps  $P^2 \hookrightarrow \partial M$ , where  $P^2$  is a projective plane (if  $\partial M = \emptyset$ , then  $[S^2] \neq 0$ ).*

*Moreover:* (a) *if  $S^2 \hookrightarrow \text{int}(M)$  does not separate  $M$ , then it generates a nontrivial element of  $\pi_2(M)$ ;*

(b) *each  $P^2$  embedded as a 2-sided submanifold in  $\text{int}M$  generates a nontrivial element of  $\pi_2(M)$ .*

The proposition seems to be a well-known "folk" theorem (cf. [13]).

**2.5. Definition.** (a) A closed surface  $F \hookrightarrow \text{int}(M^3)$  is *parallel to the boundary* if  $F$  is parallel to some component of the boundary, i.e., if there is an embedding  $H: F \times I \hookrightarrow M^3$  such that

$$H(F \times \{1\}) = F \quad \text{and} \quad H(F \times I) \cap \partial M^3 = H(F \times \{0\}).$$

(b) A projective plane  $P_0^2 \hookrightarrow \text{int}(M^3)$  is *homotopy parallel to the boundary* if there is a 3-manifold  $(W, \partial_1 W, \partial_2 W)$ , embedded in  $M^3$  with two boundary components  $\partial_1 W = P_0^2$  and  $\partial_2 W = P_1^2 \hookrightarrow \partial M$ , such that  $W$  is obtained from a homotopy sphere  $\Sigma^3$  by factorization of  $\Sigma^3 - (\text{int}(D_1^3) \cup \text{int}(D_2^3))$  by an orientation-reversing involution with two fixed points  $x_1$  and  $x_2$  with invariant, regular neighborhoods  $D_1^3$  and  $D_2^3$ , respectively.

**2.6. Remark.** It follows from a theorem of Livesay [12] that if the Poincaré Conjecture is true, then  $P^2$  is homotopy parallel to the boundary iff  $P^2$  is parallel to the boundary.

**2.7. Definition.** Two 3-manifolds  $M_1$  and  $M_2$  are *congruent* if there exist two homotopy spheres  $\Sigma_1^3$  and  $\Sigma_2^3$  such that  $M_1 \# \Sigma_1^3 = M_2 \# \Sigma_2^3$ .

**2.8.** Definition (see [21]). A manifold  $M^3$  with  $\partial M^3 \neq \emptyset$  is called  *$\partial$ -irreducible* if for any proper embedding  $(D^2, \partial D^2) \hookrightarrow (M^3, \partial M^3)$  there exists a disk  $D^3$  embedded in  $M^3$  with  $D^3 \cap (\partial M^3 \cup D^2) = \partial D^3$  (proper means  $\partial M^3 \cap D^2 = \partial D^2$ ).

**2.9.** LEMMA. *If  $P^2$  is a 2-sided projective plane in a 3-manifold  $M$  and  $M$  is not irreducible (respectively,  $M$  is not congruent to an irreducible manifold), then there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2 \cap P^2 = \emptyset$  and  $S^2$  does not bound a 3-cell (respectively, a homotopy 3-cell) in  $M$ . Moreover, if  $S_1^2 \hookrightarrow \text{int}(M)$  does not bound a 3-cell (respectively, a homotopy 3-cell) in  $M$ , then we may require  $S^2 \subset V$  for any fixed regular neighborhood  $V$  of  $S_1^2 \cup P^2$ .*

Proof is similar to that of [13] and [23]. Let  $M$  be not an irreducible manifold. There exists an embedding  $S_1^2 \hookrightarrow \text{int}(M)$  not bounding a 3-cell. We may assume that  $S_1^2$  is in a general position with respect to  $P^2$ . Then  $S_1^2 \cap P^2$  is a collection of pairwise disjoint, simple, closed curves  $\gamma_1, \dots, \gamma_k$  in  $P^2$ . At least one of these curves (say,  $\gamma_k$ ) bounds a 2-cell  $D_1$  in  $P^2$  with  $D_1 \cap \bigcup_i \gamma_i = \gamma_k$ . To prove this we use the fact that  $\gamma_i$  is 2-sided in  $P^2$  for each  $i$ . Otherwise,  $\gamma_i$  changes the orientation in  $P^2$ , so  $\gamma_i$  changes the orientation in  $M$ , and this contradicts the fact that  $\gamma_i \subset S_1^2$  is contractible in  $M$ . Let  $\gamma_k$  separate  $S_1^2$  into disks  $E_1$  and  $E_2$ . Since  $S_1^2$  does not bound a 3-cell in  $M$ , either  $D_1 \cup E_1$  or  $D_1 \cup E_2$  does not bound a 3-cell in  $M$ . We move slightly this sphere and obtain a 2-sphere  $S_2^2$  which does not bound a 3-cell in  $M$  and  $S_2^2 \cap P^2$  consists of at most  $k-1$  simple closed curves. By repeating this process we obtain the desired embedding  $S^2 \hookrightarrow \text{int}(M)$ . Similarly we can prove Lemma 2.9 for  $M$  congruent to an irreducible manifold.

**2.10.** COROLLARY. *If  $\{P_i^2\}_{i=1}^n$  is a finite system of pairwise disjoint, 2-sided projective planes in  $M$  and  $M_1, \dots, M_s$  are connected 3-manifolds obtained by cutting  $M$  along  $\bigcup_i P_i^2$ , then*

- (a)  $M$  is an irreducible manifold iff each  $M_i$  is an irreducible manifold;
- (b)  $M$  is a manifold congruent to an irreducible manifold iff each  $M_i$  is congruent to an irreducible manifold.

Proof. One way is easy, and the other follows from Lemma 2.9.

**2.11.** LEMMA. *If  $P^2$  is 2-sided in a 3-manifold  $M$  and  $M$  is not  $\partial$ -irreducible (respectively,  $M$  is not congruent to a  $\partial$ -irreducible manifold), then there are two possibilities:*

- (a) there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2 \cap P^2 = \emptyset$  and  $S^2$  does not bound a 3-cell (respectively, homotopy 3-cell);
- (b) there is a proper embedding  $D^2 \hookrightarrow M$  such that  $D^2 \cap P^2 = \emptyset$  and  $\partial D^2$  does not bound a 2-cell in  $\partial M$ .

**Proof.** If (a) is not satisfied, then  $M$  is irreducible (respectively, congruent to an irreducible manifold) by Lemma 2.9. Thus there exists a proper embedding  $D_0^2 \hookrightarrow M$  such that  $\partial D_0^2$  does not bound a 2-cell in  $\partial M$ . Now we use the "cut and paste" technique (similarly as in Lemma 2.9) to obtain a disk  $D^2$  with  $\partial D^2 = \partial D_0^2$  which satisfies (b).

The next corollary follows similarly as 2.10.

**2.12. COROLLARY.** *If  $\{P_i^2\}_{i=1}^n$  is a finite system of pairwise disjoint, 2-sided projective planes in  $M$  and  $M_1, \dots, M_s$  are connected 3-manifolds obtained by cutting  $M$  along  $\bigcup_{i=1}^n P_i^2$ , then the following holds:*

(a)  $M$  is either an irreducible manifold without boundary or a  $\partial$ -irreducible, irreducible manifold iff each  $M_i$  is an irreducible,  $\partial$ -irreducible manifold;

(b)  $M$  is either congruent to an irreducible manifold without boundary or to a  $\partial$ -irreducible, irreducible manifold iff each  $M_i$  is congruent to an irreducible,  $\partial$ -irreducible manifold.

Conclusions analogous to Lemmas 2.9 and 2.11 hold also for  $S^2$  and  $D^2$ .

**2.13. LEMMA.** *If  $D^2$  is a proper disk embedded into a 3-manifold  $M$  and  $M$  is not irreducible (respectively, congruent to an irreducible manifold), then there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2 \cap D^2 = \emptyset$  and  $S^2$  does not bound a 3-cell (respectively, a homotopy 3-cell).*

**2.14. COROLLARY.** *If  $\{D_i^2\}_{i=1}^n$  is a finite system of pairwise disjoint disks properly embedded in  $M$  and  $M_1, \dots, M_s$  are connected manifolds obtained by cutting  $M$  along  $\bigcup_{i=1}^n D_i^2$ , then the following equivalences are satisfied:*

(a)  $M$  is irreducible iff each  $M_i$  is irreducible;

(b)  $M$  is congruent to an irreducible manifold iff each  $M_i$  is congruent to an irreducible manifold.

**3. Basic constructions.** We define first a connected sum of  $Z_n$ -manifolds as a generalization of an ordinary connected sum.

**3.1. Definition.** Let  $(M_i, Z_{n_i})$  be an  $n$ -dimensional manifold  $M_i$  with an action of  $Z_{n_i}$  generated by  $T_i$  ( $i = 1, 2$ ). Let  $F_i \subset \partial_{a_i} M_i$  be a compact manifold embedded in some component  $\partial_{a_i} M_i$  of  $\partial M_i$  such that  $T_i^j(F_i) \cap F_i = F_i$  or  $\emptyset$  for each  $j$  and that there exists a number  $j$  such that  $Z_j = \{g \in Z_{n_i} : g(F_i) = F_i\}$  ( $i = 1, 2$ ). Let  $f: F_1 \rightarrow F_2$  be a  $Z_j$ -equivariant homeomorphism (which reverses orientation if  $\partial_{a_1} M_1$  and  $\partial_{a_2} M_2$  are oriented). We define the *connected sum*

$$(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(F_1, F_2, f)} (M_2, Z_{n_2})$$

as follows: Let

$$j_0 = \frac{\text{g.c.d.}(n_1, n_2)}{j}, \quad s_1 = \frac{n_2}{jj_0}, \quad s_2 = \frac{n_1}{jj_0},$$

$$n = \text{l.c.m.}(n_1, n_2) = jj_0s_1s_2, \quad \text{and} \quad k = j_0s_1s_2.$$

Let

$$M_i \stackrel{h_{i,1}}{=} M_{i,2} \stackrel{h_{i,2}}{=} M_{i,3} \stackrel{h_{i,3}}{=} \dots \stackrel{h_{i,s_i-1}}{=} M_{i,s_i} \stackrel{h_{i,s_i}}{=} M_i,$$

where  $h_{i,s_i} \dots h_{i,1} = T_i$  ( $i = 1, 2$ ).

For each  $j$  we identify  $h_{1,j} \dots h_{1,1}(F_1)$  with  $h_{2,j} \dots h_{2,1}(F_2)$  using the homeomorphism  $h_{2,j} \dots h_{2,1}f h_{1,1}^{-1} \dots h_{1,j}^{-1}$ . The  $Z_n$ -action on the obtained manifold  $M$  is determined by the maps  $h_{1,1}, \dots, h_{1,s_1}, h_{2,1}, \dots, h_{2,s_2}$  (Fig. 1).

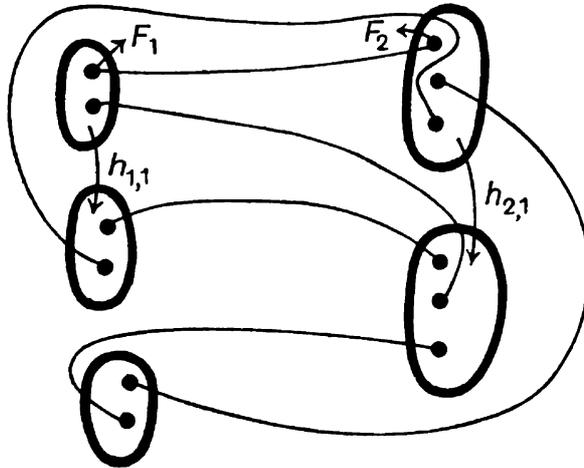


Fig. 1. Case  $j_0 = 1, s_1 = 3, s_2 = 2$

If  $j = 1$  in the connected sum of  $Z_n$ -manifolds, then we will write  $\overline{\#}$  instead of  $\#$ .

“Multiple” of  $Z_n$ -manifold is the second useful method of constructing new  $Z_n$ -actions.

**3.2. Definition.** Let  $(M_1, Z_{n_1})$  be an  $n$ -dimensional manifold  $M_1$  with an action of  $Z_{n_1}$  generated by  $g$ . Let  $F_i \subset \partial M_1$  ( $i = 1, 2$ ) be a compact manifold embedded in  $\partial M$  such that, for each  $j$ ,  $g^j(F_i) \cap F_i = F_i$  or  $\emptyset$  and  $g^j(F_1) \cap F_2 = \emptyset$ . Suppose there exists a number  $j$  such that  $Z_j = \{g \in Z_{n_1} : g(F_i) = F_i\}$ . Let  $f: F_1 \rightarrow F_2$  be a  $Z_j$ -equivariant homeomorphism. Let  $s$  and  $r$  be coprime natural numbers ( $(s, r) = 1$ ). We define the *multiple*

$$(M, Z_n) = (M_1, Z_{n_1})_{(F_1, F_2, f, r)}^s$$

as follows:

Let  $n = n_1s$  and  $j_0 = n_1/j$ . Let

$$M_1 \stackrel{h_1}{=} M_2 \stackrel{h_2}{=} \dots \stackrel{h_{s-1}}{=} M_s \stackrel{h_s}{=} M_1,$$

where  $h_s \dots h_1 = g$ . For each  $i$  we identify  $h_{r+i} \dots h_r \dots h_1(F_1)$  with  $h_i \dots h_1(F_2)$  using the homeomorphism  $h_i \dots h_1 f h_1^{-1} \dots h_{r+i}^{-1}$ . The  $Z_n$ -action on the obtained manifold  $M$  is determined by the maps  $h_1, \dots, h_s$  (Fig. 2).

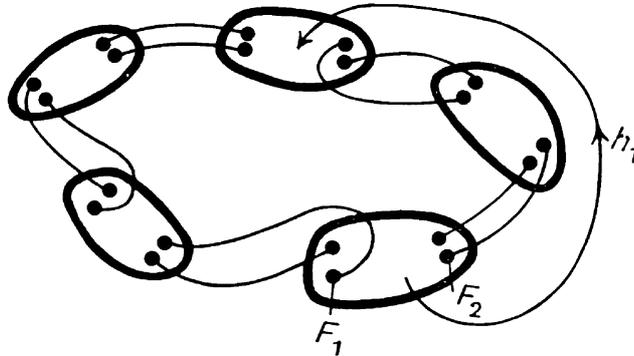


Fig. 2. Case  $s = 5, r = 3, j_0 = 2$

**3.3. Remarks.** (a) We define  $(M_1, Z_{n_1}) \hat{\#}_{(x_1, x_2, f)} (M_2, Z_{n_2})$ , where  $x_i \in \text{int}(M_i)$  ( $i = 1, 2$ ), isotropy groups of  $x_1$  and  $x_2$  are isomorphic to  $Z_j$ , and  $f$  maps  $Z_j$ -equivariantly some  $Z_j$ -invariant, regular neighborhoods of  $x_1$  and  $x_2$  ( $f(x_1) = x_2$ ), as

$$\overline{(M_1 - \bigcup_{i=1}^{n_1} T_1^i(D_{x_1}), Z_{n_1}) \hat{\#}_{(\partial D_{x_1}, \partial D_{x_2}, f|_{\partial D_{x_1}})} (M_2 - \bigcup_{i=1}^{n_2} T_2^i(D_{x_2}), Z_{n_2})}$$

where  $T_i$  is the generator of the  $Z_{n_i}$ -action and  $D_{x_i}$  is a sufficiently small,  $Z_j$ -invariant, regular neighborhood of  $x_i$  ( $i = 1, 2$ ).

Let  $\text{Iz}(M)$  denote the set of points with nontrivial isotropy group (for a given  $G$ -action on  $M$ ). If  $x_i \in \text{int}(M_i) - \text{Iz}(M_i)$  ( $i = 1, 2$ ), then we write  $(M_1, T_1) \hat{\#}_{(x_1, x_2, f)} (M_2, T_2)$  instead of  $(M_1, Z_{n_1}) \hat{\#}_{(x_1, x_2, f)} (M_2, Z_{n_2})$ . Moreover, if  $(M_i - \text{Iz}(M_i))$  is orientable, then we assume that it is oriented and  $f$  reverses orientation.

(b) We define  $(M_1, Z_{n_1})^s_{(x_1, x_2, f, r)}$  by a slight modification of Definition 3.2 (similarly as (a) is a modification of Definition 3.1).

From the definitions of  $Z_n$ -connected sum and multiple we obtain immediately the following facts:

**3.4. PROPOSITION. I.** Let  $(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(F_1, F_2, f)} (M_2, Z_{n_2})$ . Then:

(a) If the manifolds  $M_1$  and  $M_2$  are oriented and  $T_1, T_2$  preserve orientation, then  $Z_n$  acts on the oriented manifold  $M$  preserving orientation.

(b) If the manifolds  $M_1$  and  $M_2$  are oriented and  $T_1, T_2$  satisfy the condition:  $T_i$  reverses orientation iff  $s_i$  is odd, then  $Z_n$  acts on the oriented manifold  $M$  reversing orientation.

(c) If conditions (a) and (b) are not satisfied, then  $M$  is a nonorientable manifold.

II. Let  $(M, Z_n) = (M_1, Z_{n_1})^s_{(F_1, F_2, f, r)}$ . Then:

(a) If the manifold  $M_1$  is oriented,  $g$  preserves orientation, and  $f$  reverses orientation, then  $Z_n$  acts on the oriented manifold  $M$  preserving orientation.

(b) If the manifold  $M_1$  is oriented and  $g, f$  satisfy the conditions

(i)  $g$  preserves orientation iff  $s$  is even,

(ii)  $f$  reverses orientation iff  $r$  is even,

then  $Z_n$  acts on the oriented manifold  $M$  reversing orientation.

(c) If conditions (a) and (b) are not satisfied, then  $M$  is a nonorientable manifold.

**3.5. PROPOSITION. I<sub>1</sub>.** If  $(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(x_1, x_2, f)} (M_2, Z_{n_2})$ , then

$$M = \underbrace{M_1 \# \dots \# M_1}_{s_1 \text{ times}} \# \underbrace{M_2 \# \dots \# M_2}_{s_2 \text{ times}} \# \underbrace{S^1 \times S^2 \# \dots \# S^1 \times S^2}_{k-s_1-s_2+1 \text{ times}}$$

$$= (M_1)_{s_1} \# (M_2)_{s_2} \# (S^1 \times S^2)_{k-s_1-s_2+1}$$

if  $Z_n$  preserves orientation;

$$M = (M_1)_{[(s_1+1)/2]} \# (-M_1)_{[s_1/2]} \# (M_2)_{[(s_2+1)/2]} \# (-M_2)_{[s_2/2]} \# (S^1 \times S^2)_{k-s_1-s_2+1}$$

( $[x]$  denotes the integer part of  $x$ ) if  $Z_n$  reverses orientation;

$$M = (M_1)_{s_1} \# (M_2)_{s_2} \# (N)_{k-s_1-s_2+1}$$

( $N$  denotes the nonorientable  $S^2$ -bundle over  $S^1$ ) if  $M$  is nonorientable.

**I<sub>2</sub>.** If  $(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(P_1^2, P_2^2, f)} (M_2, Z_{n_2})$ , then

$$\tilde{M} = (\tilde{M}_1^d)_{s_1} \# (\tilde{M}_2^d)_{s_2} \# (S^1 \times S^2)_{k-s_1-s_2+1},$$

where  $\tilde{M}_i^d$  is obtained from  $\tilde{M}_i$  by capping off each 2-sphere component of  $\partial\tilde{M}_i$  which covers the projective plane from the construction.

**II<sub>1</sub>.** If  $(M, Z_n) = (M_1, Z_{n_1})^s_{(x_1, x_2, f, r)}$ , then

$$M = \begin{cases} (M_1)_s \# (S^1 \times S^2)_{(j_0-1)s+1} & \text{if } Z_n \text{ preserves orientation,} \\ (M_1)_{[(s+1)/2]} \# (-M_1)_{[s/2]} \# (S^1 \times S^2)_{(j_0-1)s+1} & \text{if } Z_n \text{ reverses orientation,} \\ (M_1)_s \# (N)_{(j_0-1)s+1} & \text{if } M \text{ is not orientable.} \end{cases}$$

**II<sub>2</sub>.** If  $(M, Z_n) = (M_1, Z_{n_1})^s_{(P_1^2, P_2^2, f, r)}$ , then

$$\tilde{M} = (\tilde{M}_1^d)_s \# (S^1 \times S^2)_{(j_0-1)s+1}.$$

The following easy proposition allows us to decrease the number of constructions.

**3.6. PROPOSITION.** *Assume that  $(M', Z_n)$  and  $(M'', Z_n)$  are defined as*

$$(a) \quad (M', Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(F'_1, F'_2, f)} (M_2, Z_{n_2}),$$

$$(M'', Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(F''_1, F''_2, f'')} (M_2, Z_{n_2})$$

*and that there exists a  $T_i$ -equivariant homeomorphism  $h_i: M_i \rightarrow M_i$  with  $h_i(F'_i) = F''_i$  ( $i = 1, 2$ ) and  $(h_2|F'_2)f' = f''(h_1|F'_1)$ , or as*

$$(b) \quad (M', Z_n) = (M_1, Z_{n_1})^s_{(F'_1, F'_2, f, r)}, \quad (M'', Z_n) = (M_1, Z_{n_1})^s_{(F''_1, F''_2, f'', r)}$$

*and that there exists a  $Z_{n_1}$ -equivariant homeomorphism  $h: M_1 \rightarrow M_1$  with  $h(F'_i) = F''_i$  ( $i = 1, 2$ ) and  $(h|F'_2)f' = f''(h|F'_1)$ .*

*Then the following conditions hold:*

(1) *if  $M'$  is nonorientable, then there exists a  $Z_n$ -equivariant homeomorphism  $M' \rightarrow M''$ ;*

(2) *if  $M'$  is orientable and  $h$  (respectively,  $h_1$  and  $h_2$ ) preserves orientation, then there exists a  $Z_n$ -equivariant, orientation-preserving homeomorphism  $M' \rightarrow M''$ ;*

(3) *if  $M'$  is orientable and  $h$  (respectively,  $h_1$  and  $h_2$ ) reverses orientation, then there is a  $Z_n$ -equivariant, orientation-reversing homeomorphism  $M' \rightarrow M''$ .*

Proposition 3.6 enables us to establish the following

**3.7. PROPOSITION.** (a) *Let  $(M, Z_n) = (M_1, Z_{n_1})^s_{(x_1, x_2, f, r)}$ , where  $\dim M_1 \geq 2$ ,  $M_1 - \text{Iz}(M_1)$  is connected, and  $x_1, x_2 \in \text{int}(M_1) - \text{Iz}(M_1)$ . Then  $(M, Z_n)$  is independent of the choice of  $x_1, x_2 \in \text{int}(M_1) - \text{Iz}(M_1)$ . Moreover, if  $M_1 - \text{Iz}(M_1)$  is nonorientable, then  $(M, Z_n)$  is independent of the choice of  $f$ , and if  $M_1 - \text{Iz}(M_1)$  is orientable, then  $(M, Z_n)$  possibly depends on whether or not  $f$  reverses orientation.*

(b) *Let  $(M, Z_n) = (M_1, Z_{n_1}) \overline{\#}_{(x_1, x_2, f)} (M_2, Z_{n_2})$ , where  $M_i - \text{Iz}(M_i)$  is connected ( $i = 1, 2$ ). Then  $(M, Z_n)$  does not depend on the choice of the points  $x_1, x_2$  and the homeomorphism  $f$ .*

From now on, to avoid excessive notation, we use the simplified notation (e.g.,  $\overline{\#}$  or  $\overline{\#}_f$  instead of  $\overline{\#}_{(x_1, x_2, f)}$ ) if it does not lead to misunderstanding.

Proposition 3.7 follows from Proposition 3.6 and Lemma 3.8.

**3.8. LEMMA.** *Let  $(M, T)$  be a  $Z_n$ -manifold and  $x_1, y_1 \in \text{int}(M) - \text{Iz}(M)$ . If there exists an arc  $\gamma$  joining  $x_1$  and  $y_1$  and disjoint with  $\text{Iz}(M)$ , then there*

exists a  $Z_n$ -equivariant homeomorphism  $h: M \rightarrow M$  with  $h(x_1) = y_1$ , where  $h$  preserves orientation if  $M - \text{Iz}(M)$  is orientable.

The proof is fairly similar to that for the nonequivariant case.

**3.9. PROPOSITION.** *If  $\{M_\alpha\}_{\alpha \in A}$  are manifolds such that  $M_\alpha - \text{Iz}(M_\alpha)$  is connected, then the operation  $\#$  is associative and commutative.*

The proof is easy (cf. Proposition 3.7 and Lemma 3.8).

**3.10. Definition.** An action of  $Z_n$  on  $S^1 \hat{\times} S^2$  is said to be *standard* if one of the following conditions is satisfied:

(a)  $Z_n$  preserves orientation of  $S^1 \times S^2$  and the action is given by

$$(z, y) \rightarrow (e^{2\pi ir/n} z, y), \quad \text{where } (r, n) = 1;$$

(b)  $Z_n$  reverses orientation of  $S^1 \times S^2$  and the action is given by

$$(z, y) \rightarrow (e^{2\pi ir/n} z, -y), \quad \text{where } (r, n) = 1 \text{ and } n \text{ is even};$$

(c)  $Z_n$  acts on  $S^1 \hat{\times} S^2 = N = R \times S^2 / \sim$ ,  $(t, y) \sim (t+1, -y)$ , and the action is given by

$$(t, y) \rightarrow (1 + r/n, (-1)^r y), \quad \text{where } (r, n) = 1 \text{ and } n \text{ is odd.}$$

$S^1 \hat{\times} F$  denotes an  $F$ -bundle over  $S^1$ .

**3.11. PROPOSITION** (multiple as a special case of a connected sum). *If  $(M, T) = (M_1, g)_{(x_1, x_2, f, r)}^s$ , where  $g$  generates a  $Z_{n_1}$ -action on a 3-manifold  $M_1$ ,  $M_1 - \text{Iz}(M_1)$  is connected, and  $x_1, x_2 \in \text{int}(M_1) - \text{Iz}(M_1)$ , then*

$$(M, T) = (M_1, g) \#_{(f)} (S^1 \hat{\times} S^2, T_2),$$

where  $T_2$  generates a standard action of  $Z_{n_2}$  on  $S^1 \hat{\times} S^2$ . Moreover,  $n_2$  and  $s_2$  are any natural numbers which satisfy  $s_2 n_2 = s n_1 = n$  and  $(s_2, s) = 1$ .

In order to prove Proposition 3.11 we use the following fact which can be easily shown:

**3.12. FACT.** *If  $(s, r) = 1$ , then for each  $n_1$  the sequence*

$$\text{g.c.d.}(r, n_1), \text{ g.c.d.}(s+r, n_1), \text{ g.c.d.}(2s+r, n_1), \dots$$

*contains each natural number  $a$  such that  $a$  divides  $n_1$  and  $(a, s) = 1$ .*

**Proof of Proposition 3.11.** We use the notation of 3.1-3.3. We may join  $\partial D_{x_1}$  with  $g^i(\partial D_{x_2})$  (for each  $i$ ) using an arc  $\gamma$  such that  $\gamma$  is properly embedded in

$$M_{1,0} = M_1 - \bigcup_{j=1}^n g^j(\overset{\circ}{D}_{x_1} \cup \overset{\circ}{D}_{x_2})$$

and  $\gamma, g(\gamma), \dots, g^{n_1-1}(\gamma)$  are pairwise disjoint. Let  $V_\gamma$  be a small regular neighborhood of  $\partial D_{x_1} \cup \gamma \cup g^i(\partial D_{x_2})$  in  $M_{1,0}$  and  $\partial V_\gamma$  be its boundary in  $\text{int}(M_{1,0})$ . Then  $\partial V_\gamma$  is a 2-sphere in  $M$  and  $\bigcup_{j=1}^n T^j(\partial V_\gamma)$  defines some splitting of  $M$ . It is easy to see that  $(M, Z_n)$  is obtained as a connected sum  $(M_1, g)$  and  $M_2 = S^1 \hat{\times} S^2$  with a standard action.  $s_2$  is the number of connected components of the manifold  $\bigcup_{j=1}^n T^j(V_\gamma)$ . Since the component containing  $V_\gamma$  is equal to

$$V_\gamma \cup T^{si+r}(V_\gamma) \cup T^{2(si+r)}(V_\gamma) \cup \dots = \bigcup_{j=0}^{s-1} T^{j(si+r)}(V_\gamma \cup g^{si+r}(V_\gamma) \cup \dots),$$

we have  $s_2 = \text{g.c.d.}(si+r, n_1)$ . Now, we obtain Proposition 3.11 from Fact 3.12.

Propositions similar to those of 3.7-3.11 are also true for multiple and connected sum along a disk.

**3.13. PROPOSITION.** *Let*

$$(M, Z_n) = (M_1, Z_{n_1})_{(D_{x_1}, D_{x_2}, f, r)}^s \text{ or } (M, Z_n) = (M_1, Z_{n_1}) \#_{(D_{x_1}, D_{x_2}, f)} (M_2, Z_{n_2}),$$

where  $x_1 \in \partial_j M_1 - \text{Iz}(M_1)$  for some component  $\partial_j M_1$  of  $\partial M_1$ , and  $D_{x_i}$  is a sufficiently small, regular neighborhood of  $x_i$  in  $\partial M_i$  ( $i = 1, 2$ ). If  $\dim M_1 \geq 3$  and  $\partial_j M_1 - \text{Iz}(M_1)$  is connected, then  $(M, Z_n)$  does not depend on the choice of the point  $x_1 \in \partial_j M_1$ .

The proof is very similar to that of Proposition 3.7.

**3.14. Definition.** An action of  $Z_n$  on  $S^1 \hat{\times} D^2$  is said to be *standard* if one of the following conditions is satisfied:

(a)  $Z_n$  preserves orientation of  $S^1 \times D^2$  and the action is given by

$$(z_1, z_2) \rightarrow (e^{2\pi ir/n} z_1, z_2), \quad \text{where } (r, n) = 1;$$

(b)  $Z_n$  reverses orientation of  $S^1 \times D^2$  and the action is given by

$$(z_1, z_2) \rightarrow (e^{2\pi ir/n} z_1, \bar{z}_2), \quad \text{where } (r, n) = 1 \text{ and } n \text{ is even};$$

(c)  $Z_n$  acts on  $S^1 \hat{\times} D^2 = R \times D^2 / \sim, (t, z) \sim (t+1, \bar{z})$ , and the action is given by

$$(t, z) \rightarrow (t+r/n, \text{Re}(z) + (-1)^r i \text{Im}(z)), \quad \text{where } (r, n) = 1 \text{ and } n \text{ is odd.}$$

**3.15. PROPOSITION.** *Let  $(M, T) = (M_1, g)_{(D_{x_1}, D_{x_2}, f, r)}^s$ , where  $g$  generates a  $Z_{n_1}$ -action on a 3-manifold  $M_1$ , and  $x_1, T^i(x_2)$  lie in the same component  $\partial_j M_1$  of  $\partial M_1$ . Moreover,  $x_i \in M_i - \text{Iz}(M_i)$  and  $D_{x_i}$  is a sufficiently small,*

regular neighborhood of  $x_i$  in  $\partial M_1$  ( $i = 1, 2$ ). If  $\partial_j M_1 - \text{Iz}(M_1)$  is connected, then

$$(M, T) = (M_1, g) \#_{(D_{v_1}, D_{v_2}, f_1)}^{\bar{\cdot}} (S^1 \hat{\times} D^2, T_2),$$

where  $T_2$  generates a standard action of  $Z_{n_2}$  on  $S^1 \hat{\times} D^2$ . Moreover,

$$n_2 = \frac{sn_1}{\text{g.c.d.}(si + r, n_1)}.$$

The proof is similar to that of Proposition 3.11.

**4. Splitting  $Z_n$ -action along a compact hypersurface.** In this section we prove Theorem 4.1 which is a main tool in reduction of  $Z_n$ -actions on manifolds.

**4.1. THEOREM.** *Let  $T$  be a generator of an effective  $Z_n$ -action on a  $k$ -manifold  $M$ . Let  $F$  be a compact, connected, 2-sided  $(k - 1)$ -manifold, properly embedded into  $M$  and such that for each  $j$  either  $T^j(F) \cap F = \emptyset$  or  $T^j(F) = F$ . Then either*

$$(M, Z_n) = (M_1, Z_{n_1}) \#_{(F_1, F_2, f)}^{\hat{\cdot}} (M_2, Z_{n_2})$$

or

$$(M, Z_n) = (M_1, Z_{n_1})_{(F_1, F_2, f, r)}^s,$$

where  $n_i$  ( $i = 1, 2$ ) divides  $n$ ,  $M_1$  is one of the connected manifolds obtained by cutting  $M$  along  $\bigcup_{j=1}^n T^j(F)$ , and  $M_2$  is either  $F \times [-1, 1]$  with action  $(x, t) \rightarrow (h(x), -t)$  ( $h$  generates a cyclic action on  $F$ ) or one of the connected manifolds obtained by cutting  $M$  along  $\bigcup_{j=1}^n T^j(F)$ .

First we prove the following

**4.2. LEMMA.** *Let  $M_1$  be one of the connected manifolds obtained by cutting  $M$  along  $\bigcup_{i=1}^n T^i(F)$  with  $F \subset M_1$ . Then one of the following conditions holds:*

- (a)  $\{T^i(M_1)\}_{i=1}^n$  contains each component obtained by cutting  $M$ ;
- (b) there exists a second component  $M_2$  such that  $M_1 \cap M_2 \supset F$ ,  $M_2 \neq T^i(M_1)$  for each  $i$ , and  $\{T^i(M_1)\}_{i=1}^n \cup \{T^i(M_2)\}_{i=1}^n$  is the set of all components obtained by cutting  $M$  along  $\bigcup_{i=1}^n T^i(F)$ .

Proof of Lemma 4.2. Suppose (a) is not satisfied. Then there exists a component  $M_0$  such that  $M_0 \neq T^i(M_1)$  for each  $i$ . Since  $M$  is connected and we cut  $M$  only along  $\bigcup_i T^i(F)$ , we have  $M_0 \supset T^i(F)$  for some  $i$ . Thus

$T^{-i}(M_0) \cap M_1 \supset F$ . We put  $M_2 = T^{-1}(M_0)$ . It remains to verify that  $\{T^i(M_1)\}_{i=1}^n \cup \{T^i(M_2)\}_{i=1}^n$  is the set of all components. Suppose  $P$  is not of the form  $T^i(M_1)$  ( $i = 1, \dots, n$ ). Then  $P \supset T^j(F)$  for some  $j$ , and so  $(P \cap T^j(M_1)) \supset T^j(F)$ . Since  $T^j(M_2) \cap T^j(M_1) \supset T^j(F)$ , we have  $P = T^j(M_2)$ .

**Proof of Theorem 4.1.** First we consider the possibility (b) from Lemma 4.2. Let  $s_i$  be the smallest natural number which satisfies  $T^{s_i}(M_i) = M_i$  ( $i = 1, 2$ ). Since  $M$  is connected,  $(s_1, s_2) = 1$ . Let  $n_i = n/s_i$ . Then  $T_i = T^{s_i}$  generates a  $Z_{n_i}$ -action on  $M_i$  ( $i = 1, 2$ ). Let  $k$  be the smallest natural number which satisfies  $T^k(F) = F$ . In our case,  $k$  is a multiple of  $s_1$  and  $s_2$  ( $T^k$  preserves locally the sides of  $F$ ). Thus  $k = j_0 s_1 s_2$  for some  $j_0$ . Let  $j = n/k$ . Then  $n_1 = j j_0 s_2$  and  $n_2 = j j_0 s_1$ . The surfaces  $F, T(F), \dots, T^{k-1}(F)$  cut  $M$  into  $s_1 + s_2$  components

$$M_1, M_{1,2} = T(M_1), \dots, M_{1,s_1} = T^{s_1-1}(M_1), M_2, M_{2,2} = T(M_2), \dots \\ \dots, M_{2,s_2} = T^{s_2-1}(M_2).$$

Let

$$h_{1,1} = T|_{M_1}, h_{1,2} = T|_{M_{1,2}}, \dots, h_{1,s_1} = T|_{M_{1,s_1}}, h_{2,1} = T|_{M_2}, \dots \\ \dots, h_{2,s_2} = T|_{M_{2,s_2}}.$$

We may describe this as follows:

$$M_i \xrightarrow{h_{i,1}} M_{i,2} \xrightarrow{h_{i,2}} M_{i,3} \xrightarrow{h_{i,3}} \dots \xrightarrow{h_{i,s_i-1}} M_{i,s_i} \xrightarrow{h_{i,s_i}} M_i,$$

where  $h_{i,s_i} \dots h_{i,1} = T_i$  ( $i = 1, 2$ ). Moreover, let

$$F \xrightarrow{i_1} F_1 \hookrightarrow M_1, \quad F \xrightarrow{i_2} F_2 \hookrightarrow M_2, \quad \text{and} \quad f = i_2 i_1^{-1}: F_1 \rightarrow F_2.$$

Then  $f$  is  $T^{j_0 s_1 s_2}$ -equivariant.

Consequently, we obtain  $(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(F_1, F_2, f)} (M_2, Z_{n_2})$ .

Now we consider the possibility (a) of Lemma 4.2. The manifold  $M$  splits into  $s$  connected components  $M_1, T(M_1) = M_2, \dots, T^{s-1}(M_1) = M_s$ , so  $T^s(M_1) = M_1$ . Let  $k$  be the smallest natural number such that  $T^k(F) = F$ . We have two possibilities:

(a)  $T^k$  changes locally the sides of  $F$ . Let  $V_F$  be a small, regular,  $T^k$ -invariant neighborhood of  $F$  in  $M$ . We may assume that  $V_F = F \times [-1, 1]$ ,  $F = F \times \{0\}$ , and on  $V_F$  we have  $T^k(x, t) = (T^k(x), -t)$ . Let  $F' = F \times \{-1\}$ . Then  $M$  splits along  $\bigcup_{i=1}^n T^i(F')$  into  $k$  manifolds  $Z_{n/k}$ -homeomorphic to  $(F \times [-1, 1], T^k)$  and  $s$  manifolds  $Z_{n/s}$ -homeomorphic to manifolds obtained in the last decomposition. We have reduced the problem to the case (b) of Lemma 4.2.

(b)  $T^k$  preserves locally the sides of  $F$ . Thus  $k = j_0 s$  for some  $j_0$ . Let  $j = n/k$ . We put

$$h_1 = T|M_1, h_2 = T|M_2, \dots, h_{s-1} = T|M_{s-1}, h_s = T|M_s.$$

Let  $g = h_s \dots h_1 = T^s|M_1$ ,  $F_2 = F \hookrightarrow M_1$ , and  $F_1 = T^{-r}(F) \hookrightarrow M_1$ , where  $F \subset M_1 \cap T^r(M_1)$  ( $(r, s) = 1$  because  $M$  is connected). Let

$$\begin{array}{ccc} f: F_1 & \longrightarrow & F_2 \\ \parallel & & \parallel \\ T^{-r}(F) & \xleftarrow{\approx} & F = F \subset M_1 \\ & & \cap \\ & & M_r \end{array}$$

Now we obtain  $(M, Z_n) = (M, Z_{jj_0})^s_{(F_1, F_2, f, r)}$ . This completes the proof of Theorem 4.1.

**4.3. COROLLARY.** *Let  $T$  be a generator of an effective action of  $Z_n$  on a decomposable 3-manifold  $M$ . If there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2$  does not bound a 3-cell in  $M$  and  $T^i(S^2) \cap S^2 = \emptyset$  or  $S^2$  for each  $i$ , then either*

$$(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(x_1, x_2, f)}(M_2, Z_{n_2})$$

or

$$(M, Z_n) = (M_1, Z_{n_1})^s_{(x_1, x_2, f, r)},$$

where  $n_j$  divides  $n$  and  $i(M_j) < i(M)$  ( $j = 1, 2$ ).

*Proof.* We may obtain Corollary 4.3 similarly as Theorem 4.1. The decrease of  $i(\cdot)$  follows from the form of  $M$  in multiple and connected sum (Proposition 3.5).

**4.4. COROLLARY.** *Let  $T$  be a generator of an effective action of  $Z_n$  on a 3-manifold  $M$  with  $i(\hat{M}) < \infty$ . If  $M$  contains a 2-sided projective plane  $P^2 \hookrightarrow \text{int}(M)$ , not parallel to the boundary, such that  $T^j(P^2) \cap P^2 = \emptyset$  or  $P^2$  for each  $j$ , then either*

$$(M, Z_n) = (M_1, Z_{n_1}) \hat{\#}_{(P_1^2, P_2^2, f)}(M_2, Z_{n_2})$$

or

$$(M, Z_n) = (M_1, Z_{n_1})^s_{(P_1^2, P_2^2, f, r)},$$

where  $n_j$  divides  $n$  and  $i(\hat{M}_j) < i(\hat{M})$  ( $j = 1, 2$ ).

*Proof.* It remains to verify  $i(\hat{M}_j) < i(\hat{M})$  ( $j = 1, 2$ ) and this follows from the form of  $\hat{M}$  in multiple and connected sum (Proposition 3.5) and from the theorem of Livesay [12].

**4.5. COROLLARY.** *Let  $T$  be a generator of an effective action of  $Z_n$  on a decomposable 3-manifold  $M$  with a compact boundary. If there is a proper*

embedding  $(D^2, \partial D^2) \hookrightarrow (M, \partial M)$  such that  $D^2$  does not cut out a 3-cell from  $M$  and  $T^j(D^2) \cap D^2 = \emptyset$  or  $D^2$  for each  $j$ , then either

$$(M, Z_n) = (M_1, Z_{n_1}) \#_{(D_1^2, D_2^2, f)} (M_2, Z_{n_2})$$

or

$$(M, Z_n) = (M_1, Z_{n_1})^s_{(D_1^2, D_2^2, f, r)},$$

where  $n_j$  divides  $n$  and one of the following conditions is satisfied ( $j = 1, 2$ ):

- (a)  $\text{gen}(M_j) < \text{gen}(M)$ ,
- (b)  $\text{gen}(M_j) = \text{gen}(M)$  and  $i(M_j) < i(M)$ ,
- (c)  $\text{gen}(M_j) = \text{gen}(M)$ ,  $i(M_j) = i(M)$ , and  $k_{\partial M_j} > k_{\partial M}$ .

Proof. It remains to verify that one of the conditions (a), (b) or (c) is satisfied. If  $\partial D^2$  does not disconnect  $\partial M$ , then (a) is satisfied. If  $D^2$  disconnects  $M$  and (a) is not satisfied, then (b) must be satisfied. If  $D^2$  does not disconnect  $M$  and  $\partial D^2$  disconnects some component  $\partial_i M$  of  $\partial M$  and (a) and (b) are not satisfied, then (c) is satisfied.

### 5. Possibilities of reducing down a $Z_n$ -action along $S^2$ , $P^2$ , and $D^2$ .

The authors of the papers [22], [23], [8], [6] have studied a possibility of reducing involution of a compact 3-manifold along a 2-sphere. Tollefson and Kim studied in [8] the possibility of reducing involution of a compact 3-manifold along  $D^2$ . We study here the possibility of reducing a  $Z_n$ -action along  $S^2$ ,  $P^2$ , and  $D^2$ . Lemma 1 from [8] has the following slight generalization:

**5.1. THEOREM.** *Let  $T$  be an involution on a 3-manifold  $M$ . Suppose that  $M$  is not irreducible. Then there exists a 2-sphere  $S^2$  in  $M$  not bounding a 3-cell and such that either  $T(S^2) \cap S^2 = \emptyset$  or  $T(S^2) = S^2$ , and  $S^2$  is in a general position with respect to  $\text{Fix}(T)$ .*

**5.2. THEOREM.** *Let  $M$  be a 3-manifold admitting an effective  $Z_3$ -action. Let  $T$  be a homeomorphism on  $M$  generating the given  $Z_3$ -action. If  $\text{int}(M)$  contains a 2-sphere disjoint from  $\text{Fix}(T)$  bounding no 3-cell, then there exists a 2-sphere  $S^2$  in  $\text{int}(M)$  bounding no 3-cell such that  $S^2 \cap \text{Fix}(T) = \emptyset$  and  $T(S^2) \cap S^2 = \emptyset$ .*

We will prove similar results for a  $Z_n$ -action with  $\dim \text{Iz}(\cdot) \leq 0$ .

**5.3. THEOREM.** *Assume that a finite group  $G$  acts on a 3-manifold  $M$  which satisfies the following condition: there is an embedding  $i: S_0^2 \hookrightarrow \text{int}(M)$  such that  $S_0^2$  does not bound a homotopy 3-cell in  $M$  and  $S_0^2 \cap \text{Iz}(M) = \emptyset$ . Then there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2 \cap \text{Iz}(M) = \emptyset$ ,  $S^2$  does not bound any 3-cell in  $M$ , and  $g(S^2) \cap S^2 = \emptyset$  or  $S^2$  for each  $g \in G$ .*

First we prove some lemmas.

**5.4. LEMMA.** *Assume that a finite group  $G$  acts freely on a 3-manifold  $M$ . Let  $N_0$  be a  $\pi_1(M)$ - and  $G$ -invariant subgroup of  $\pi_2(M)$  and let  $\pi_2(M) - N_0 \neq \emptyset$  ( $G$  acts on  $\pi_2(M)$  up to the action of  $\pi_1(M)$  on  $\pi_2(M)$ ). Then one of the following possibilities holds:*

(a) *there is an embedding  $i: S^2 \hookrightarrow \text{int}(M)$  such that  $[i] \notin N_0$  and  $g(S^2) \cap S^2 = \emptyset$  or  $S^2$  for each  $g \in G$ ;*

(b) *there is a 2-sided embedding  $i: P^2 \hookrightarrow \text{int}(M)$  such that  $i_*(\pi_2(P^2)) \notin N_0$  and  $g(P^2) \cap P^2 = \emptyset$  for each  $g \in G, g \neq 1$ .*

*Proof.* Consider the projection  $p: M \rightarrow M^* = M/G$ . We have  $\pi_2(M^*) - p_*(N_0) \neq \emptyset$  and  $p_*(N_0)$  is a  $\pi_1(M^*)$ -invariant subgroup of  $\pi_2(M^*)$ . Now, by the projective plane theorem, there are two possibilities:

(a) *there is an embedding  $i: S^2 \hookrightarrow \text{int}(M^*)$  with  $[i] \in \pi_2(M^*) - p_*(N_0)$ ; thus a lifting of  $i$  to  $M$  satisfies condition (a) of Lemma 5.4;*

(b) *there exists a 2-sided embedding  $i: P^2 \hookrightarrow \text{int}(M^*)$  such that*

$$i_*(\pi_2(P^2)) \in (\pi_2(M^*) - p_*(N_0));$$

thus a lifting of  $P^2$  to  $M$  satisfies (a) or (b) of Lemma 5.4.

**5.5. LEMMA.** *Let  $N_0$  be a  $\pi_1(M^3)$ -invariant subgroup of  $\pi_2(M^3)$  generated by projective planes embedded into  $M^3$ . Then an embedding  $i: S^2 \hookrightarrow \text{int}(M^3)$  such that either  $S^2$  does not disconnect  $M^3$  or  $S^2$  generates the connected sum  $M = M_1 \# M_2$ , where  $M_j (j = 1, 2)$  is not a closed manifold, satisfies  $[i] \notin N_0$ .*

*Proof.* Let  $h: \pi_2(M^3) \rightarrow H_2(M^3)$  be the Hurewicz homomorphism. Lemma 5.5 follows from the observation that  $h[i] \neq 0$  and  $h(N_0) = 0$ .

*Proof of Theorem 5.3.* Assume first that  $M$  is compact. Thus  $\tilde{M}$  is compact and decomposable. Let  $N_1 \subset \pi_2(M)$  be the  $\pi_1(M)$ -invariant subgroup of  $\pi_2(M)$  generated by all projective planes embedded into  $\partial M$ . By Lemma 5.5 and Proposition 2.4,  $[i] \notin N_1$ . Let  $N_0 = \varphi_*^{-1}(N_1)$ , where  $\varphi: M - \text{Iz}(M) \hookrightarrow M$ . Thus  $[i] \notin N_0$ . On the other hand,  $G$  acts freely on  $M - \text{Iz}(M)$ . Now we use Lemma 5.4. If (a) of 5.4 is satisfied, Theorem 5.3 is proved. If (b) of 5.4 is satisfied, then let  $i_0: P^2 \hookrightarrow \text{int}(M) - \text{Iz}(M)$  be the projective plane obtained in (b). Since  $i_{0*}(\pi_2(P^2)) \notin N_0$ ,  $P^2$  is not parallel to the boundary. It follows from Lemma 2.9 that there is an embedding  $S_1^2 \hookrightarrow M - \text{Iz}(M)$  such that  $S_1^2$  does not bound any homotopy 3-cell and

$$S_1^2 \cap \bigcup_{g \in G} g(P^2) = \emptyset.$$

We cut out  $M$  along  $\bigcup_{g \in G} g(P^2)$  and repeat the procedure. Since  $\tilde{M}$  is decomposable, the decomposing process terminates in finitely many steps (we use Lemma 5.4 and the fact that each step decreases  $i(\hat{M})$ ).

Now assume that  $M$  is not compact. If  $S_0^2$  does not disconnect  $M$  or disconnects  $M$  into  $M_1 \# M_2$ , where  $M_1$  and  $M_2$  are not closed, then Theorem 5.3 follows from Lemmas 5.4 and 5.5. Let  $S_0^2$  disconnect  $M$  into  $M_1 \# M_2$ , where  $M_1$  is not compact and  $M_2$  is closed. We may assume that for each  $g_1, g_2 \in G$  either  $g_1(S_0^2) = g_2(S_0^2)$  or  $g_1(S_0^2)$  is in a general position with respect to  $g_2(S_0^2)$ . Let  $V_G$  be an equivariant regular neighborhood of  $\bigcup_{g \in G} g(S_0^2)$ . Thus  $V_G$  cuts  $M$  into a finite number of components.

Let  $E_1$  be a sum of compact components and  $V_G$ . Then  $S_0^2$  does not cut off a homotopy 3-cell from  $E_1$ . Since  $E_1$  is compact, we can use the just proved part of Theorem 5.3. Let  $S_1^2 \hookrightarrow \text{int}(E_1)$  be a 2-sphere as in the conclusion of Theorem 5.3. If  $S_1^2$  does not disconnect  $E_1$ , then  $S_1^2$  is the desired sphere. Otherwise,  $S_1^2$  generates the decomposition  $E_1 = E_{11} \# E_{12}$ , where  $E_{11}$  and  $E_{12}$  are not homotopy spheres. Thus, after glueing cutted off parts of  $M$ ,  $E_{1i}$  ( $i = 1, 2$ ) is either not modified or is not compact. So  $S_1^2$  is the desired 2-sphere in  $M$ .

From Lemma 5.4 we obtain

**5.6. COROLLARY.** *Assume that a finite group  $G$  acts on a 3-manifold  $M$  which satisfies the following conditions:*

(i) *there is a 2-sided embedding  $P_0^2 \hookrightarrow \text{int}(M) - \text{Iz}(M)$  such that  $P_0^2$  is not homotopy parallel to the boundary;*

(ii) *the assumption of Theorem 5.3 is not satisfied.*

*Then there is a 2-sided embedding  $P^2 \hookrightarrow \text{int}(M) - \text{Iz}(M)$  such that  $P^2$  is not homotopy parallel to the boundary and  $g(P^2) \cap P^2 = \emptyset$  for each  $g \in G$ ,  $g \neq 1$ .*

**Proof.** We use Lemma 5.4 and the fact that if  $P_0^2$  is not homotopy parallel to the boundary, then  $P_0^2$  does not belong to the  $\pi_1(M)$ -invariant subgroup of  $\pi_2(M)$  generated by projective planes embedded into  $\partial M$ . We also use the fact that each self-homeomorphism of  $P^2$  has a fixed point.

Kim and Tollefson studied [8] the problem of a possibility of reducing down an action along  $D^2$ , solving this problem for involutions.

We shall now prove Theorem 5.7 which is a partial generalization of Lemma 2 from [8] to actions of a finite group.

**5.7. THEOREM.** *Let a finite group  $G$  act on a 3-manifold  $M$ . Suppose that there is a disk  $D^2$  embedded in  $M$  such that  $\partial D^2$  lies in a preferred component  $\partial_i M$  of  $\partial M$ ,  $D^2 \cap \text{Iz}(M) = \emptyset$ , and  $\partial D^2$  does not bound a disk in  $\partial_i M$ . Then there exists a disk  $D_1^2$  properly embedded in  $M$  with the following properties:*

- (i)  $\partial D_1^2 \subset \partial_i M$ ,
- (ii)  $\partial D_1^2$  does not bound a disk in  $\partial_i M$ ,
- (iii)  $g(D_1^2) \cap D_1^2 = \emptyset$  for each  $g \in G$ ,  $g \neq 1$ ,
- (iv)  $D_1^2 \cap \text{Iz}(M) = \emptyset$ .

**Proof.** Assume that  $N_0$  is a normal subgroup of  $\pi_1(\partial_i M - \text{Iz}(M))$  equal to  $\ker(\pi_1(\partial_i M - \text{Iz}(M)) \rightarrow \pi_1(\partial_i M))$ . Of course,  $[\partial D^2] \notin N_0$ . Consider the covering

$$p: \tilde{M} \rightarrow (M - \text{Iz}(M))|G.$$

Since  $N_0$  is a  $G$ -invariant normal subgroup of  $\pi_1(\partial_i M - \text{Iz}(M))$ ,  $p_*(N_0)$  is a normal subgroup of  $\pi_1(M_0^*)$ . We use the loop theorem for  $[p(\partial D^2)] \notin p_*(N_0)$  to obtain a proper embedding

$$(D_0^2, \partial D_0^2) \hookrightarrow (M_0^*, p(\partial_i M - \text{Iz}(M)))$$

with  $[\partial D_0^2] \notin p_*(N_0)$ . A lifting  $D_1^2$  of  $(D_0^2, \partial D_0^2)$  to  $(M - \text{Iz}(M), \partial_i M - \text{Iz}(M))$  satisfies  $[\partial D_1^2] \notin N_0$ . Thus we have  $0 \neq [\partial D_1^2] \in \pi_1(\partial_i M)$ , and so  $\partial D_1^2$  bounds no disk in  $\partial_i M$ . Of course,  $D_1^2$  satisfies (iii) and (iv) of Theorem 5.7.

**5.8. COROLLARY.** *Let a finite group  $G$  act on a 3-manifold  $M$  with nonempty boundary. Suppose that  $M$  is congruent to an irreducible, not  $\partial$ -irreducible manifold. If  $\dim \text{Iz}(M) \leq 0$ , then there exists a disk  $D^2$  properly embedded in  $M$  with the following properties:*

- (i)  $\partial D^2$  does not bound a disk in  $\partial M$ ,
- (ii)  $g(D^2) \cap D^2 = \emptyset$  for each  $g \in G$ ,  $g \neq 1$ ,
- (iii)  $D^2 \cap \text{Iz}(M) = \emptyset$ .

For the proof it is easy to see that the assumptions of Theorem 5.7 are satisfied.

**6. Reduction theorems.** Kim and Tollefson [8] proved the theorem describing the decomposition of a closed manifold with involution into a  $Z_2$ -connected sum. This result is also true for decomposable manifolds. In this section we prove the theorem of this type for  $Z_n$ -manifolds.

**6.1. Definition.** A  $Z_n$ -action described in Definition 3.10 (x) is called a *standard action of type  $((\mathbf{x}); n, r, 0)$* . A *standard action of type  $((\mathbf{x}); n, r, k)$*  on  $\#_{i=1}^{1+kn} (S^1 \hat{\times} S^2)_i$  is defined as follows:

$$((\mathbf{a}); n, r, k) = ((\mathbf{a}); n, r, 0) \bar{\#}_{j=1}^k (S^1 \times S^2)_j, Z_1,$$

where  $Z_1$  denotes a one-element group;

$$((\mathbf{b}); n, r, k) = ((\mathbf{b}); n, r, 0) \bar{\#}_{j=1}^k (S^1 \times S^2)_j, Z_1, \quad \text{where } n \text{ is even;}$$

$$((\mathbf{c}); n, r, k) = ((\mathbf{a}); n, r, 0) \bar{\#}_{j=1}^k (N)_j, Z_1, \quad \text{where } k > 0.$$

**6.2. THEOREM.** *Let  $T$  be a generator of a  $Z_n$ -action on a decomposable 3-manifold  $M$  with  $\dim \text{Iz}(M) \leq 0$ . Moreover, each 2-sphere  $S^2$  embedded in*

$\text{int}(M)$ , such that  $S^2 \cap T^i(S^2) = \emptyset$  for each  $0 < i < n/2$ ,  $T^{n/2}(S^2) = S^2$ , and  $T^{n/2}$  preserves locally the sides of  $S^2$ , bounds a 3-cell in  $M$ . Then

$$(M, T) = (M_1, T(M_1)) \bar{\#} \dots \bar{\#} (M_k, T(M_k)),$$

where each  $T(M_i)$  is a generator of a  $Z_{n_i}$ -action on  $M_i$ ,  $n_i$  divides  $n$ , and

(a) if  $n \leq 3$ , then  $M_i$  ( $i > 1$ ) is irreducible and  $M_1$  is either irreducible or equal to  $\#S^1 \hat{\times} S^2$  with a standard  $Z_n$ -action,

(b) if  $n > 3$ , then  $M_i$  ( $i > 1$ ) is congruent to an irreducible manifold and  $M_1$  is either congruent to an irreducible manifold or is equal to  $\#S^1 \hat{\times} S^2$  with a standard  $Z_n$ -action.

Proof for  $n > 3$ . If  $M$  is not congruent to an irreducible manifold, then using Corollary 4.3, Propositions 3.11, 3.7, and Theorem 5.3 we obtain

$$(M, T) = (M'_1, T(M'_1)) \bar{\#} (M'_2, T(M'_2)),$$

where  $i(M'_j) < i(M)$  ( $j = 1, 2$ ).

Repeating the procedure (using the commutativity and associativity of  $\bar{\#}$  (Propositions 3.9 and 3.11)), we obtain

$$(M, T) = (M_1, T(M_1)) \bar{\#} \dots \bar{\#} (M_{k_1}, T(M_{k_1})) \bar{\#} (M_{k_1+1}, T(M_{k_1+1})) \bar{\#} \dots$$

$$\dots \bar{\#} (M_{k_2}, T(M_{k_2})),$$

where the first  $k_1$  manifolds are homeomorphic to  $S^1 \hat{\times} S^2$  with standard action and other manifolds are congruent to irreducible ones with  $Z_{n_i}$ -actions ( $n_i$  divides  $n$ ).

Now consider

$$(M', T') = \bar{\#}_{i=1}^{k_1} (M_i, T(M_i)).$$

We show that  $(M', T')$  is a standard action.

We know that

- (1)  $T'$  generates a free action of  $Z_{n'}$  on  $M'$ , where  $n'$  divides  $n$ ;
- (2)  $M'/Z_{n'}$  is homeomorphic to  $\bar{\#}_{i=1}^{k_1} (S^1 \hat{\times} S^2)_i$ .

We must classify actions on  $\bar{\#}S^1 \hat{\times} S^2$  which satisfy (1) and (2). The classification of  $Z_n$ -actions on  $\bar{\#}S^1 \hat{\times} S^2$  with  $\dim \text{Iz}(\cdot) \leq 0$  will be done in [16]. We give only the outline of the proof of our special case. The proof (with assumptions (1) and (2)) that the action is standard is similar to that for free actions on handlebodies [17]. That is, we show that we may change a connected sum by multiple and multiple leads from standard

actions to standard ones. To prove our theorem it is sufficient to show that we can obtain  $n' = n$ . Up to now, we have proved that

$$(M, T) = ((x); n', r_1, k_1) \# \bar{\#}(M'', T''),$$

where  $(M'', T'')$  can be described as a connected sum of manifolds congruent to irreducible manifolds. To complete the proof of Theorem 6.2, we must show that if

$$(M, T) = ((x); n_1, r_1, 0) \# \bar{\#}(M_0, T(M_0)),$$

where  $T$  generates a  $Z_n$ -action on  $M$ , then

$$(M, T) = ((y); n, r_2, 0) \# \bar{\#}(M_0, T(M_0)).$$

Indeed, there is a 2-sphere  $S^2 \hookrightarrow \text{int}(M)$  such that  $T^i(S^2) \cap S^2 = \emptyset$  for each  $i$  ( $0 < i < n$ ),  $S^2$  lies in the summand  $S^1 \hat{\times} S^2$  (i.e.,  $((x); n_1, r_1, 0)$ ), and  $S^2$  does not disconnect  $S^1 \hat{\times} S^2$ . Such an  $S^2$  yields the expression for  $(M, T)$  as a multiple of  $(M_0, T(M_0))$ . Thus we use Proposition 3.11 to obtain the desired decomposition.

This completes the proof of Theorem 6.2 (b) (we use Proposition 3.9). Since the proof of Theorem 6.2 (a) is very similar to that of 6.2 (b) (using Theorems 5.1 and 5.2 in place of Theorem 5.3), we omit it.

We summarize the results of the paper as follows.

**6.3. THEOREM.** *Let  $T$  be a generator of a  $Z_n$ -action on a 3-manifold  $M$  with compact boundary (possibly empty). We assume that  $\dim \text{Iz}(M) \leq 0$  and  $i(\tilde{M}) < \infty$ . Then we can obtain  $(M, T)$  using multiple and connected sum from  $Z_{n_i}$ -actions on manifolds  $M_i$ , where  $n_i$  divides  $n$  and one of the following possibilities holds:*

(a)  $n \leq 3$  and (i)  $\dim \text{Iz}(M_i) \leq 0$  for each  $i$ , (ii)  $M_i$  is irreducible, (iii) each projective plane, 2-sided in  $\text{int} M_i$ , is homotopy parallel to the boundary, and (iv) if  $\partial M_i \neq \emptyset$ , then  $M_i$  is  $\partial$ -irreducible;

(b)  $n > 3$  and (i)  $\dim \text{Iz}(M_i) \leq 0$  for each  $i$ , (ii)  $M_i$  is congruent to an irreducible manifold, (iii) each projective plane, 2-sided in  $\text{int} M_i$ , is homotopy parallel to the boundary, and (iv) if  $\partial M_i \neq \emptyset$ , then  $M_i$  is congruent to a  $\partial$ -irreducible manifold.

First we note that  $M$  is decomposable.

**6.4. PROPOSITION.** *If  $M$  is a nonorientable 3-manifold, then  $i(\tilde{M}) < \infty$  implies  $i(M) < \infty$  or, more exactly,  $i(\tilde{M}) \geq 2i(M) - 1$ .*

The proof is easy and we omit it.

Proof of Theorem 6.3 (a). (1) We may reduce our action down to actions on irreducible manifolds using Theorems 5.1 and 5.2, Corollary 4.3, and Proposition 6.4.

(2) We may reduce an action on a manifold satisfying the conditions (i) and (ii) of Theorem 6.3 (a) down to actions on manifolds satisfying the conditions (i), (ii), and (iv) of Theorem 6.3 (a) using Corollaries 5.8, 4.5, and 2.14.

(3) We may reduce an action on a manifold satisfying the conditions (i), (ii), and (iv) of Theorem 6.3 (a) down to actions on manifolds satisfying the conditions (i)-(iv) of Theorem 6.3 (a) using Corollaries 5.6, 4.4, and 2.12.

Theorem 6.3 (a) follows immediately from (1)-(3).

Since the proof of Theorem 6.3 (b) is very similar to that of 6.3 (a) (using Theorem 5.3 in place of Theorems 5.1 and 5.2), we omit it.

**7. Corollaries and remarks.** We may use the results of this paper to classify  $Z_n$ -actions with  $\dim \text{Iz}(\cdot) \leq 0$  on handlebodies, compact surfaces, and  $\#S^1 \hat{\times} S^2$  (up to free actions of  $Z_n$  on  $S^3$ ). The author presents this classification in [17] and [16]. Most of the results contained in this paper can be probably extended to actions of finite groups on 3-manifolds. We could improve Theorems 6.2 and 6.3 if the following conjecture is true:

**7.1. CONJECTURE.** Let a finite group  $G$  act effectively on a 3-manifold  $M$ . Suppose that  $M$  is not irreducible. Then there is an embedding  $S^2 \hookrightarrow \text{int}(M)$  such that  $S^2$  does not bound a 3-cell in  $M$ ,  $g(S^2) \cap S^2 = \emptyset$  or  $S^2$  for each  $g \in G$ , and  $S^2$  is in a general position with respect to  $\text{Iz}(M)$ . (**P 1270**)

**7.2. Remark.** Conjecture 7.1 for  $\dim \text{Iz}(M) \leq 0$  follows from the Poincaré Conjecture.

**7.3. Remark.** Conjecture 7.1 for  $\dim \text{Iz}(M) \leq 0$  is true for any  $(Z_2, Z_3)$ -solvable group  $G$ . This follows from Theorems 5.1 and 5.2.  $G$  is  $(Z_2, Z_3)$ -solvable iff there is a sequence  $1 = G_0 \subset G_1 \subset \dots \subset G_n = G$  such that  $G_i$  is normal in  $G_{i+1}$  and  $G_{i+1}/G_i = Z_2$  or  $Z_3$ .

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Reçu par la Rédaction le 15. 7. 1979