

HOMOTOPY GROUPS OF ARC COMPLEMENTS IN S^n OR Q

BY

S. SINGH (SAN MARCOS, TEXAS)

1. Introduction and terminology. The main concern of this note is the homotopy groups of open n -manifolds obtained by removing wild arcs from the n -sphere S^n . In order to fully motivate our results, we discuss these matters and related historical details as follows.

The classic work of Fox and Artin [8] initiates the study of wildly embedded arcs in S^3 (an *arc* is a space which is homeomorphic to the closed interval $[0, 1]$). They exhibit an arc A in S^3 (see Example (1.1) of [8]) which is demonstrated to be wild by showing that $\pi_1(S^3 - A)$ is non-trivial, i.e., $\pi_1(S^3 - A)$ detects the wildness of A . Subsequently, there are numerous examples of wild arcs in S^n , $n > 2$, whose wildness is detected by (the non-vanishing of) the fundamental groups of their complements (see, e.g., [1], [2], [6]). Similar results are also known when S^n is replaced by the Hilbert cube Q (see [4], [6], [15]).

Throughout this section let α be an arc in S^n , $n > 2$. It is a general fact that the reduced integral homology groups of $S^n - \alpha$ vanish (use the Alexander Duality [14]), i.e., $S^n - \alpha$ is an *acyclic* n -manifold. It is reasonable to ask whether $S^n - \alpha$ is also *aspherical for all* $n > 2$ (i.e., $\pi_i(S^n - \alpha)$ vanishes for all $i > 1$) since this is at least the case for $S^3 - \alpha$ (use the Sphere Theorem). For any $n > 3$, there is no known example of an arc α in S^n such that $\pi_i(S^n - \alpha)$ is non-trivial for some or, more significantly, for all $i > 1$; the same applies to arcs in Q . If such an arc α exists in S^n or Q , it must *geometrically link* suitable (singular) spheres (in S^n or Q).

The purpose of this note is to present a method of constructing (wild) arcs in S^n with $n > 4$ whose complements have infinitely many non-trivial homotopy groups, and thus fail to be aspherical in a drastic manner. As an application of this method, we produce, for each $n > 4$, an infinite family of arcs α 's in S^n such that *every* homotopy group of $S^n - \alpha$ is non-vanishing for each arc α . Furthermore, the algebraic structure of these groups is explicitly determined. An added advantage of our method is that we have analogous results when S^n is replaced by the Hilbert cube Q . Precise statements can be

found in Theorems (3.4) and (4.3) (see also (4.1.1)). In this way, we construct for each $n > 4$ an infinite family of smooth, acyclic, and open n -manifolds (as arc complements in S^n) whose every homotopy group is non-trivial. Since the complement of an arc in Q is a Q -manifold, many interesting examples of Q -manifolds also result. We do not pursue applications of these results to the theory of generalized n -manifolds, cell-like decompositions of S^n or Q , topological embeddings of spheres in S^n or Q , etc. (see [6] for related discussions).

This note is a continuation of our earlier work [6] with R. J. Daverman; we wish to thank him for his help and encouragement.

2. Homology spheres and arcs in S^n or Q . We refer to [3] and [5] for matters concerning homology spheres and crumpled cubes, respectively. The following is intended as a brief review of some results proved in [6].

(2.1) PROPOSITION. *For each homology n -sphere H^n with $\pi_1 H^n \neq \{1\}$ (of course, $n > 2$) and each integer $k > 1$, there exist a crumpled m -cube C ($m = n+k$) contained in S^m and an arc α tamely embedded in the boundary ∂C of C such that:*

- (a) *the closure $S^m - C$ is an m -cell B ;*
- (b) *∂C is locally flat modulo α ;*
- (c) *$\pi_1(S^m - \alpha) \approx \pi_1 H^n$;*
- (d) *$S^m - \alpha$, $C - \alpha$, and $H^n - \{x\}$ are homotopy equivalent.*

This proposition appears in [6], however, (d) is not explicitly stated there; it is clear from the context (see [6]). The following is a consequence of the technique of "infinite inflations" given in [4].

(2.2) PROPOSITION. *For each crumpled n -cube C such that the identity sewing (the space obtained by identifying C with itself by the identity along ∂C) is homeomorphic to S^n , there exists an embedding $e: C \rightarrow Q$ into the Hilbert cube Q such that $C - A$ has the homotopy type of $Q - \hat{A}$, $\hat{A} = e(A)$, where A is any (compact) subset of ∂C .*

Since the crumpled m -cube C ($m = n+k$) satisfying the conclusions of Proposition (2.1) is a suspension (cf. [6]), it follows from Theorems (8B.6) and (8B.7) of [5] that the identity sewing of C is homeomorphic to S^m . Thus, the hypotheses of Proposition (2.2) are satisfied. Let $e: C \rightarrow Q$ denote the embedding given by Proposition (2.2). In this setting we have the following

(2.3) PROPOSITION. *The spaces $Q - \hat{\alpha}$, $S^m - \alpha$, $C - \alpha$, and $H^n - \{x\}$ are homotopy equivalent, where $\hat{\alpha} = e(\alpha)$ (H^n , C , S^m , α , and $m = n+k$ as in Proposition (2.1)).*

3. The method and the first example.

(3.1) *The method.* Our method is based on the following key result of Section 2:

For each homology n -sphere with $\pi_1 H^n \neq \{1\}$ and each integer $k > 1$, the arc α constructed in S^m ($m = n+k$) and the corresponding arc $\hat{\alpha}$ constructed in Q by applying Propositions (2.1) and (2.3) have the property that $S^m - \alpha$, $Q - \hat{\alpha}$, and $H^n - \{x\}$ are homotopy equivalent. Therefore, the computation of $\pi_i(S^m - \alpha)$ or $\pi_i(Q - \hat{\alpha})$, $i > 0$, is reduced to the computation of $\pi_i(H^n - \{x\})$; this can be often done for some carefully chosen H^n . Here, the basic idea is that if one has a good understanding of the universal cover \tilde{H}^n of H^n , then one is bound to succeed in computing $\pi_i(H^n - \{x\})$ for some (all) $i > 1$ (assuming $\pi_1 H^n \approx \pi_1(H^n - \{x\})$ is already known). The following examples demonstrate this technique.

(3.2) *The first example.* Let H denote the Poincaré homology 3-sphere [13]. It is well known that $\pi_1 H$ has order 120 and a presentation

$$\langle x, y: x^3 = y^5 = (yx)^2 \rangle;$$

this group is known as the binary icosahedral group. The universal cover \tilde{H} of H is S^3 . For each $m > 4$, we apply Propositions (2.1) and (2.3) to obtain an arc α in S^m and the corresponding arc $\hat{\alpha}$ in Q satisfying the following:

$$(3.2.1) \pi_1(S^m - \alpha) \approx \pi_1(Q - \hat{\alpha}) \approx \pi_1(H - \{x\}) \approx \pi_1 H;$$

$$(3.2.2) \pi_i(S^3 - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \pi_i(H - \{x\}) \text{ for } i > 1;$$

(3.2.3) $\pi_i(H - \{x\}) \approx \pi_i(S^3 - p^{-1}(x))$ for $i > 1$, where $p: \tilde{H} \rightarrow H$ denotes the covering projection.

In this setting, observe that $p^{-1}(x)$ is a set consisting of 120 points. Therefore, $\tilde{H} - p^{-1}(x)$ is up to homotopy a wedge B of 119 copies of the 2-sphere. Observe that:

$$(3.2.4) \pi_i B \approx \pi_i(H - \{x\}) \text{ for } i > 1;$$

$$(3.2.5) \pi_i B \approx \pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \text{ for } i > 1.$$

The group $\pi_i B$ ($i > 1$) can be expressed as a direct sum of the homotopy groups of spheres of suitable dimensions. This is a well-known result of Hilton [9].

(3.3) *The first example: computations.* The following is intended as a brief introduction to "the method of Hilton" (see Corollary (4.10) of [9]); consequently, we compute a few groups $\pi_i B$ as a sample.

(3.3.1) The *Möbius function* μ is defined as follows: $\mu(1) = 1$, $\mu(n) = 0$ unless n is square free, and $\mu(n) = (-1)^k$ when n is the product of k distinct primes (of course, n is a positive integer).

(3.3.2) For each integer $k > 0$, a *basic product function* f is defined by the rule

$$f(w) = \frac{1}{w} \sum_{d|w} \mu(d)(k)^{w/d}.$$

(3.3.3) Suppose T is a wedge of k two-spheres. A formula of Hilton [9] can now be stated as follows:

$$\pi_i T \approx \left(\bigoplus_{f(1)} \pi_i S^2\right) \oplus \left(\bigoplus_{f(2)} \pi_i S^3\right) \oplus \dots \oplus \left(\bigoplus_{f(i-1)} \pi_i S^i\right),$$

where $\bigoplus_{f(1)} \pi_i S^2$ means the direct sum of $f(1)$ copies of $\pi_i S^2$ and similarly for others.

(3.3.4) A table for values of f with $k = 119$:

w	1	2	3	4	...
$f(w)$	119	7021	561680	50129940	...

(3.3.5) Each of the groups $\pi_4 S^2$, $\pi_5 S^2$, $\pi_4 S^3$, $\pi_5 S^3$, or $\pi_5 S^4$ is isomorphic to the cyclic group Z_2 of order 2.

By careful combining the discussions given above, we have proved the following

(3.4) THEOREM. For each $m > 4$, there exist an arc α in S^m and an arc $\hat{\alpha}$ in Q such that

$$\begin{aligned} \pi_1(S^m - \alpha) &\approx \pi_1(Q - \hat{\alpha}) \approx \pi_1 H, \\ \pi_i(S^m - \alpha) &\approx \pi_i(Q - \hat{\alpha}) \approx \pi_i B \quad \text{for } i > 1. \end{aligned}$$

For instance, we have the following specific calculations:

- (a) $\pi_2(S^m - \alpha) \approx \pi_2(Q - \hat{\alpha}) \approx \pi_2 B \approx \bigoplus Z$;
- (b) $\pi_3(S^m - \alpha) \approx \pi_3(Q - \hat{\alpha}) \approx \pi_3 B \approx \bigoplus_{119} Z$;
- (c) $\pi_4(S^m - \alpha) \approx \pi_4(Q - \hat{\alpha}) \approx \pi_4 B \approx \left(\bigoplus_{7140} Z_2\right) \oplus \left(\bigoplus Z\right)$;
- (d) $\pi_5(S^m - \alpha) \approx \pi_5(Q - \hat{\alpha}) \approx \pi_5 B \approx \left(\bigoplus_{568810} Z_2\right) \oplus \left(\bigoplus_{50129940} Z\right)$.

Here, Z denotes the infinite cyclic group (recall: B is a wedge of 119 copies of S^2 , H is the Poincaré homology 3-sphere, and Z_2 is the cyclic group of order 2).

4. Additional examples.

(4.1) Arcs in S^m or Q by aspherical homology spheres. Suppose $H = H^n$ is an aspherical homology n -sphere with $\pi_1 H \neq \{1\}$. Since H is a $K(\pi, 1)$, its universal cover \tilde{H} is contractible. Consider $H - \{x\}$ and $\tilde{H} - p^{-1}(x)$, where $p: \tilde{H} \rightarrow H$ denotes the covering projection. Note that $p^{-1}(x)$ is a discrete subset of \tilde{H} having the cardinality of $\pi_1 H$ (which is countable infinite). Let x_1, x_2, \dots be an enumeration of points in $p^{-1}(x)$ without repetitions. Choose a closed n -cell C in H containing x in its interior such that $p^{-1}(C)$ is a

disjoint union of n -cells C_1, C_2, \dots with x_i in $\text{Int } C_i$ for $i \geq 1$. Let A_1 denote a polyhedral arc which meets $p^{-1}(C)$ in its end-points and joins a point in ∂C_1 to a point in ∂C_2 . Suppose the arcs A_1, \dots, A_{k-1} have been defined. Define a polyhedral arc A_k which meets $p^{-1}(C)$ in its end-points and joins a point in ∂C_k to a point in ∂C_{k+1} such that A_k lies in the complement of an open set containing the union of arcs A_1, \dots, A_{k-1} . Let N denote the union of $C_1, A_1, C_2, A_2, \dots$. Clearly, N is a strong deformation retract of \tilde{H} (observe that the inclusion $N \rightarrow \tilde{H}$ is a homotopy equivalence). Thus, $N - p^{-1}(x)$ is a strong deformation retract of $\tilde{H} - p^{-1}(x)$. For each $i \geq 1$, deform $C_i - \{x_i\}$ into ∂C_i . This means that $N - p^{-1}(x)$ has the homotopy type of an infinite wedge W of $(n-1)$ -spheres S_1, S_2, \dots ; consider W as the direct limit of the system

$$S: S_1 \rightarrow S_2 \vee S_2 \rightarrow (S_1 \vee S_2) \vee S_3 \rightarrow \dots$$

whose bonds are the obvious inclusions. For each $i > 1$, we compute $\pi_i W$ as the direct limit $\varinjlim \pi_i S$. The computations of $\varinjlim \pi_i S$ ($i > 1$) can be completed by using the results of Hilton [9] and the fact that each bond in the direct system $\pi_i S$ embeds its domain in its range as a direct summand (see [9]). Observe that

$$\pi_2 W \approx \pi_3 W \approx \dots \approx \pi_{n-2} W \approx \{1\}$$

and

$$\pi_{n-1} W \approx \bigoplus_{\infty} Z$$

(this denotes the direct sum of countable infinite copies of Z), since each S_i ($i > 0$) is an $(n-1)$ -sphere. An enterprising reader may compute $\pi_n W, \pi_{n+1} W, \dots$

The following theorem summarizes our discussions given above:

(4.1.1) THEOREM. *For each aspherical homology n -sphere H^n with $\pi_1 H^n \neq \{1\}$ and an integer $k > 1$, there exist an arc α in S^m ($m = n+k$) and the corresponding arc $\hat{\alpha}$ in Q such that:*

- (a) $\pi_1(S^m - \alpha) \approx \pi_1(Q - \hat{\alpha}) \approx \pi_1 H^n$;
- (b) $\pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \{1\}$ when $1 < i < n-1$;
- (c) $\pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \bigoplus_{\infty} Z$ when $i = n-1$;
- (d) $\pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \pi_i W$ when $i > n-1$.

(Recall: W denotes the wedge of $(n-1)$ -spheres S_1, S_2, \dots)

We now provide examples of homology spheres which are $K(\pi, 1)$ spaces.

(4.2) *Brieskorn homology 3-spheres.* Suppose $p, q,$ and r are integers greater than 1. Let C^3 denote the 3-fold product of the complex plane C .

A Brieskorn manifold $M = M(p, q, r)$ is the set of all points (z_1, z_2, z_3) of \mathbb{C}^3 satisfying

$$z_1^p + z_2^q + z_3^r = 0 \quad \text{and} \quad |z_1|^2 + |z_2|^2 + |z_3|^2 = 1.$$

The following is a well-known result of Brieskorn (cf. [10]):

(4.2.1) *If $p, q,$ and r are pairwise relatively prime, then M is a homology 3-sphere called a Brieskorn homology 3-sphere.*

The following results are also well known:

(4.2.2) *$M(2, 3, 5)$ is homeomorphic to the Poincaré homology 3-sphere; this is the only Brieskorn homology 3-sphere with finite fundamental group.*

(4.2.3) *A Brieskorn homology 3-sphere with infinite fundamental group is a $K(\pi, 1)$.*

(4.2.4) *Two Brieskorn homology 3-spheres with infinite fundamental groups are homeomorphic if and only if their fundamental groups are isomorphic.*

A presentation of the fundamental group of a Brieskorn (homology 3-sphere) manifold is given in [11].

Our main reference for these results is [11]; see also [10] and [12] where additional references may also be found.

(4.3) THEOREM. *For each Brieskorn homology 3-sphere H with infinite $\pi_1 H$ and integer $k > 1$, there exist arcs α in S^m ($m = 3 + k$) and $\hat{\alpha}$ in Q such that*

$$\pi_1(S^m - \alpha) \approx \pi_1(Q - \hat{\alpha}) \approx \pi_1 H,$$

$$\pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \pi_i W \quad \text{for all } i > 1.$$

For instance, we have the following specific calculations:

$$(a) \pi_2(S^m - \alpha) \approx \pi_2(Q - \hat{\alpha}) \approx \pi_2 W \approx \bigoplus Z;$$

$$(b) \pi_3(S^m - \alpha) \approx \pi_3(Q - \hat{\alpha}) \approx \pi_3 W \approx \bigoplus_{\infty} Z;$$

$$(c) \pi_4(S^m - \alpha) \approx \pi_4(Q - \hat{\alpha}) \approx \pi_4 W \approx \left(\bigoplus_{\infty} Z_2 \right) \oplus \left(\bigoplus_{\infty} Z \right);$$

$$(d) \pi_5(S^m - \alpha) \approx \pi_5(Q - \hat{\alpha}) \approx \pi_5 W \approx \left(\bigoplus_{\infty} Z_2 \right) \oplus \left(\bigoplus_{\infty} Z \right).$$

The space W is a wedge of countable infinite copies of the 2-sphere; this is discussed in (4.1).

Proof. Compute $\pi_i T$ ($i > 1$) for a wedge T of n two-spheres; use (3.3.3). Our proof is completed by observing that as n goes to infinity (i.e., if we take the direct limit), the values of $f(w)$ as in (3.3.4) also go to infinity.

(4.4) Remarks. Theorems (3.4) and (4.3) exhaust all the Brieskorn homology 3-spheres. Recall that the Poincaré homology 3-sphere is the Brieskorn manifold $M(2, 3, 5)$; this is used in Theorem (3.4). The remaining

Brieskorn homology 3-spheres have infinite fundamental groups; these are used in Theorem (4.3). It is easy to see that there are countable infinite topologically distinct Brieskorn homology 3-spheres (see [10]–[12]). Observe that if one suitably varies m or α in Theorem (4.3), the groups $\pi_i(S^m - \alpha)$ or $\pi_i(Q - \hat{\alpha})$ do not change up to isomorphism for $i > 1$.

(4.5) *Additional results.* Here is another method of producing homology 3-spheres which are $K(\pi, 1)$ spaces. Let M_1 and M_2 denote complements of *non-trivial smooth knots* in S^3 . Construct a 3-manifold M from M_1 and M_2 by identifying their boundaries by a diffeomorphism which takes the longitude of one to the meridian of the other (see [13], p. 251). The following facts are easy to prove:

- (a) M is a homology 3-sphere;
- (b) M is a $K(\pi, 1)$;
- (c) $\pi_1 M$ is an amalgamated free product of $\pi_1 M_1$ and $\pi_1 M_2$ along $Z \oplus Z$.

Clearly, if α or $\hat{\alpha}$ are arcs constructed in S^m with $m > 4$ or Q , respectively, by utilizing M , then

$$\pi_1(S^m - \alpha) \approx \pi_1(Q - \hat{\alpha}) \approx \pi_1 M$$

and

$$\pi_i(S^m - \alpha) \approx \pi_i(Q - \hat{\alpha}) \approx \pi_i W \quad \text{for } i > 1$$

(W is the wedge of countable infinite 2-spheres as above).

(4.6) *Remark.* For each $m > 2$, there exist arcs α in S^m and the corresponding arcs $\hat{\alpha}$ in Q such that $S^m - \alpha$ and $Q - \hat{\alpha}$ are $K(\pi, 1)$ spaces with

$$\pi_1(S^m - \alpha) \approx \pi_1(Q - \hat{\alpha}) \not\approx \{1\}.$$

This follows easily by suitably applying a “suspension method” to a suitable arc in S^3 ; see [2] and [6] for details.

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DEPT. OF MATH.
SOUTHWEST TEXAS STATE UNIVERSITY
SAN MARCOS, TEXAS 78666

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