

FACTORIZATION OF NATURAL NUMBERS IN SOME  
QUADRATIC NUMBER FIELDS

BY

W. NARKIEWICZ (WROCLAW)

1. Let  $K$  be a quadratic extension of the rationals with class-number  $h \neq 1$ . For any natural number  $n$  let  $f(n)$  denote the number of essentially different factorizations of  $n$  into integers irreducible in  $K$ , and let  $g(n)$  denote the number of different lengths of such factorizations. (The length of a factorization  $n = r_1 \dots r_k$ , where the  $r_i$ 's are irreducible, is equal to  $k$ .)

It was proved in [5] that for arbitrarily given  $M$ , for almost all natural numbers  $f(n) \geq M$ , and, provided  $h \neq 1, 2$ ,  $g(n) \geq M$ . (If  $h = 2$ , then  $g(n) = 1$  for all  $n$  [1].) Professor P. Turán asked whether it is possible to find a normal order for  $f(n)$ , i.e. such a function  $F(n)$  that for every positive  $\varepsilon$  and almost all  $n$  the inequality

$$|f(n) - F(n)| < \varepsilon F(n)$$

holds (cf. [3], Chap. XXII, § 11). Of course,  $F(n)$  should be wellbehaved in some sense, as otherwise one could simply put  $F(n) = f(n)$ .

In this note, we show that there is no increasing normal order  $F(n)$  already for the field  $Q((-5)^{1/2})$  (and, more generally, for any quadratic field with  $h = 2$ ). Possibly one could prove this for arbitrary fields, but we did not succeed in doing this.

Moreover, we shall prove that for quadratic fields with  $h = 2$  the function  $F(n) = \frac{1}{4} \log \log n \log \log \log n$  is a normal order of  $\log f(n)$ . Finally, we determine a normal order of  $g(n)$  for quadratic fields with  $h = 3$  or with  $h = 4$ , and with a noncyclic class-group. In the first case it is equal to  $\frac{1}{9} \log \log n$ , and in the second to  $\frac{1}{8} \log \log n$ . The method used here works for every quadratic field with a given class-group, but the necessary computations are rather involved.

2. THEOREM I. *Let  $K$  be a quadratic extension of the rationals with the class-number  $h = 2$ . Then there exists no non-decreasing function  $F(n)$*

such that for every positive  $\varepsilon$  and almost all  $n$  the inequality  $|f(n) - F(n)| < \varepsilon F(n)$  holds, i.e.  $f(n)$  does not possess a non-decreasing normal order.

To the proof we need a lemma, which we state in a slightly more general form than we need to our purpose:

LEMMA 1. Let  $\psi(n)$  be a strongly additive function, i.e.  $\psi(m+n) = \psi(m) + \psi(n)$  if  $(m, n) = 1$  and  $\psi(p^k) = \psi(p)$  for all primes  $p$  and  $k = 1, 2, \dots$ . Moreover, let

$$A_n = \sum_{p < n} \psi(p) p^{-1}, \quad B_n = \sum_{p < n} \psi(p)^2 p^{-1},$$

and let us assume that the following conditions are satisfied:

- (i)  $\psi(p)$  is bounded independently of  $p$ ,
- (ii)  $\lim_{n \rightarrow \infty} B_n = \infty$ ,
- (iii) There exists a positive constant  $\beta$  such that  $A_n = \beta B_n + o(B_n)$ ,
- (iv) For every bounded function  $\varrho(n) \geq 1$ , and for every fixed positive  $C$  one has

$$A_{n \cdot \varrho(n)} - A_n + C(B_{n \cdot \varrho(n)}^{1/2} - B_n^{1/2}) = o(B_n^{1/2}).$$

If now  $H(x)$  is an increasing function, which is positive and for every positive  $B$  satisfies the relation

$$\liminf_{x \rightarrow \infty} H(x + Bx^{1/2}) / H(x) > 1,$$

and  $R(n)$  is a function which for square-free  $n$  satisfies with some fixed  $k$  the inequalities  $H(\psi(n)) \leq R(n) \leq H(\psi(n) + k)$ , then  $R(n)$  does not possess a non-decreasing normal order.

Proof of the lemma. Choose  $t_1 < 0 < t_2$  in such a way that

$$\lambda_1 = (2\pi)^{-1/2} \int_{-\infty}^{t_1} \exp(-u^2/2) du > 1 - 6/\pi^2,$$

$$\lambda_2 = (2\pi)^{-1/2} \int_{t_2}^{\infty} \exp(-u^2/2) du > 1 - 6/\pi^2.$$

(This is possible, as  $2(1 - 6/\pi^2) < 1$ .)

Let  $S_1 = \{N \mid \psi(N) \leq A_N + t_1 B_N^{1/2}\}$  and  $S_2 = \{N \mid \psi(N) \geq A_N + t_2 B_N^{1/2}\}$ .

For any set  $W$  of natural numbers we shall denote by  $W(x)$  the number of elements of the set  $W \cap [0, x]$ .

By a theorem of P. Erdős and M. Kac (see [2]) we have

$$\lim_{x \rightarrow \infty} S_1(x) x^{-1} = \lambda_1 \quad \text{and} \quad \lim_{x \rightarrow \infty} S_2(x) x^{-1} = \lambda_2.$$

Let us now assume that there exists a non-decreasing normal order for  $R(n)$ , say  $F(n)$ . Let us fix a positive number  $\varepsilon$  and define the set  $Z = \{N \mid |R(N) - F(N)| < \varepsilon F(N)\}$ . By our assumption

$$\lim_{x \rightarrow \infty} Z(x)x^{-1} = 1.$$

Let  $Q$  be the set of all square-free natural numbers and let  $X_1 = Z \cap Q \cap S_1$ ,  $X_2 = Z \cap Q \cap S_2$ . The sets  $X_1$  and  $X_2$  have both positive lower asymptotic density. Indeed,  $(Z \cap Q)(x) \geq Q(x) - Z'(x) = (6/\pi^2)x + o(x)$  and  $X_i(x) \geq (Z \cap Q)(x) - S'_i(x) \geq (6/\pi^2)x + o(x) + (\lambda_i - 1)x + o(x)$  and  $6/\pi^2 + \lambda_i - 1$  is positive.

Observe now that there exists a constant  $B > 0$  and infinitely many pairs  $n_1, n_2$  such that

$$(a) \quad n_2 < n_1 < n_2 + Bn_2,$$

$$(b) \quad n_1 \in X_1, n_2 \in X_2.$$

Indeed, otherwise for every  $M > 0$  and  $N > \delta(M)$ ,  $N \in X_2$  there would be no elements from  $X_1$  in the interval  $[N, N + MN]$ , hence  $X_1(N + MN) - X_1(N) = 0$ , but for sufficiently large  $v$  we have  $v \geq X_1(v) \geq cv$  with some positive  $c$ , and so

$$0 = X_1(N + NM) - X_1(N) \geq cN(1 + M) - N,$$

which is false for  $M > e^{-1}$ .

For  $n_1$  and  $n_2$  satisfying (a) and (b) we have

$$(1 + \varepsilon)F(n_2) \geq R(n_2) \geq H(\psi(n_2)) \geq H(A_{n_2} + t_2 B_{n_2}^{1/2})$$

and

$$(1 - \varepsilon)F(n_1) \leq R(n_1) \leq H(\psi(n_1) + k) \leq H(A_{n_1} + t_1 B_{n_1}^{1/2} + k),$$

thus

$$(1) \quad \frac{H(A_{n_2} + t_2 B_{n_2}^{1/2})}{H(A_{n_1} + t_1 B_{n_1}^{1/2} + k)} \leq \frac{1 + \varepsilon}{1 - \varepsilon} \quad \text{as} \quad F(n_1) \geq F(n_2).$$

But in view of (iii) and (iv) we have

$$A_{n_1} + t_1 B_{n_1}^{1/2} + k = \beta B_{n_2} + t_1 B_{n_2}^{1/2} + o(B_{n_2}^{1/2})$$

and

$$A_{n_2} + t_2 B_{n_2}^{1/2} = \beta B_{n_2} + t_2 B_{n_2}^{1/2} + o(B_{n_2}^{1/2}).$$

Hence (1) implies

$$\liminf_{x \rightarrow \infty} H(x + (t_2 - t_1)\beta^{-1/2}x^{1/2})/H(x) \leq 1,$$

contrary to our assumption. The lemma is thus proved.

**Proof of Theorem I.** Let  $X$  be the non-principal class of ideals in  $K$ . Let  $P_1$  be the set of all rational primes which are norms of prime ideals from  $X$  and are not ramified, and let  $P_2$  be the set of all ramified rational primes which are norms of prime ideals from  $X$ . The set  $P_2$  is finite, possibly void.

Consider an arbitrary square-free natural number  $n$  with the following factorization in rational primes:

$n = p_1 \dots p_r p_{r+1} \dots p_s R$ , where  $p_1, \dots, p_r$  belong to  $P_1$ ,  $p_{r+1}, \dots, p_s$  belong to  $P_2$ , and  $R$  is a natural number having no prime divisors from  $P_1$  and  $P_2$ . Let  $p_i = \mathfrak{p}_{2i-1} \mathfrak{p}_{2i}$  ( $i = 1, 2, \dots, r$ ;  $\mathfrak{p}_i \in X$ ). Every factorization of the number  $p_1 \dots p_r$  into integers irreducible in  $K$  has the form

$$p_1 \dots p_r = \prod_{k=1}^r (\mathfrak{p}_{i_k} \mathfrak{p}_{j_k})$$

and there are evidently  $(2r)!/r!2^r$  such factorizations. Consequently  $f(n) \geq f(p_1 \dots p_r) = (2r)!/r!2^r$ . For the same reason the number  $p_1 \dots p_s$  can have at most  $(2s)!/s!2^s$  factorizations, consequently we have  $f(n) \leq f(p_1 \dots p_s) = (2s)!/s!2^s$ , as the number  $R$  has no influence on  $f(n)$ .

Let us denote by  $\omega_{P_1}(n)$  the number of primes from  $P_1$  dividing  $n$ , and let  $H(x) = (2x)!/x!2^x$  for natural  $x$ . Then the above inequalities imply that for square-free  $n$  we have

$$H(\omega_{P_1}(n)) \leq f(n) \leq H(\omega_{P_1}(n) + k),$$

where  $k$  is the number of rational primes ramified in  $K$ .

To check that  $\omega_{P_1}(n)$  satisfies the assumptions of Lemma 1 we use the following result, which follows by partial summation from Satz 85 of [4]:

*If  $Y$  is an ideal class, then*

$$\sum_{\mathfrak{p} \in Y, N\mathfrak{p} \leq x} N(\mathfrak{p})^{-1} = h^{-1} \log \log x + a + O((\log x)^{-1}).$$

From this the following evaluation follows immediately:

*If  $Y$  is an ideal class in a quadratic number field, and  $P$  is the set of all rational primes which are norms of prime ideals from  $Y$ , then*

$$(2) \quad \sum_{p \leq x, p \in P} p^{-1} = \varepsilon h^{-1} \log \log x + a + O((\log x)^{-1}),$$

where  $\varepsilon = \frac{1}{2}$  if  $Y^2$  is the principal class, and  $\varepsilon = 1$  otherwise.

The function  $\omega_{P_1}(n)$  is evidently strongly additive and  $A_n = B_n = \frac{1}{4} \log \log x + a + O((\log x)^{-1})$  by (2). (Actually  $P_1$  is not the set of all rational primes which are norms of prime ideals from a fixed ideal class, but

differs from such a set only by a finite number of primes, which does not affect (2) in principle (only the constant  $a$  will change.)

Now it is easy to see that (i)-(iv) are satisfied and, because the ratio  $H(x+1)/H(x)$  tends to infinity, we can apply Lemma 1 to get the wanted result.

**3.** Now we consider  $\log f(n)$  and prove

**THEOREM II.** *Let  $K$  be a quadratic extension of the rationals with the class-number  $h = 2$ . Then*

(i)  $\sum_{n \leq x} \log f(n) = \frac{1}{4}x(\log \log x \log \log \log x) + O(x \log \log x)$  and

(ii) *For every function  $r(x)$  tending to infinity with  $x$ , the number of natural numbers less than  $x$ , for which*

$$|\log f(n) - \frac{1}{4}(\log \log x)(\log \log \log x)| \geq r(x) \log \log x (\log \log \log x)^{1/2}$$

*holds is  $o(x)$ .*

(This clearly implies that  $\log f(n)$  has the normal order  $\frac{1}{4}(\log \log n) \times (\log \log \log n)$ .)

The proof of (ii) as well as of the corresponding part of Theorem III below is based on the method used by Turán in [6] to give a simple proof of the Hardy-Ramanujan theorem.

In the sequel, let  $Q$  be the set of all rational primes which are norms of prime ideals from a fixed ideal class, and let  $\beta$  be the Dirichlet density of  $Q$ . As usual,

$$\omega_Q(n) = \sum_{\substack{q|n \\ q \in Q}} 1 \quad \text{and} \quad \Omega_Q(n) = \sum_{\substack{q \in Q \\ q^m | n}} m.$$

**LEMMA 2.** *Let  $h(n)$  be one of the functions  $\omega_Q(n), \Omega_Q(n)$ . Then*

(a)  $\sum_{\substack{n \leq x \\ d|n}} h(n) = \beta d^{-1} x \log \log (x d^{-1}) + O\left((1+h(d))x d^{-1}\right)$

and

(b)  $\sum_{\substack{n \leq x \\ d|n}} h^2(n) = \beta d^{-1} x (\log \log (x d^{-1}))^2 + O\left((1+h^2(d))x d^{-1} \log \log (x d^{-1})\right).$

**Proof.** Clearly

$$\sum_{\substack{d|n \\ n \leq x}} \Omega_Q(n) = \sum_{m \leq x/d} \Omega_Q(m) + [x d^{-1}] \Omega_Q(d).$$

But

$$\begin{aligned} \sum_{m \leq x/d} \Omega_Q(m) &= \sum_{m \leq x/d} \sum_{\substack{q \in Q \\ q^r | m}} 1 = \sum_{\substack{q \in Q \\ q \leq x/d}} \sum_{\substack{r \\ q^r \leq x/d}} \sum_{\substack{m \leq x/d \\ q^r | m}} 1 \\ &= \sum_{\substack{q \in Q \\ q \leq x/d}} \sum_{\substack{r \\ q^r \leq x/d}} [xd^{-1}q^{-r}] = xd^{-1} \sum_{\substack{q \in Q \\ q^r \leq x/d}} q^{-r} + O\left(\sum_{\substack{q \in Q \\ q^r \leq x/d}} 1\right) \\ &= \beta xd^{-1} \log \log(xd^{-1}) + O(xd^{-1}), \end{aligned}$$

whence

$$\sum_{\substack{d|n \\ n \leq x}} \Omega_Q(n) = \beta xd^{-1} \log \log(xd^{-1}) + O\left((1 + \Omega_Q(d))xd^{-1}\right).$$

Further,

$$\begin{aligned} \sum_{\substack{d|n \\ n \leq x}} \omega_Q(n) &= \sum_{\substack{d|n \\ n \leq x}} \sum_{\substack{q \in Q \\ q|n}} 1 = \sum_{\substack{q \leq x \\ q \in Q}} \sum_{\substack{n \leq x \\ d|n \\ q|n}} 1 = \sum_{\substack{dq \leq x, q \nmid d \\ q \in Q}} [x/dq] + \sum_{\substack{q \leq x, q|d \\ q \in Q}} [x/d] \\ &= \frac{x}{d} \sum_{\substack{qd \leq x \\ q \in Q, q \nmid d}} 1/q + O\left((1 + \omega_Q(d))xd^{-1}\right) \\ &= \beta xd^{-1} \log \log(x/d) + O\left((1 + \omega_Q(d))xd^{-1}\right) \end{aligned}$$

and

$$\sum_{\substack{n \leq x \\ d|n}} \Omega_Q^2(n) = \sum_{m \leq x/d} \Omega_Q^2(m) + 2\Omega_Q(d) \sum_{m \in x/d} \Omega_Q(m) + [x/d] \Omega_Q^2(d).$$

But

$$\begin{aligned} \sum_{m \leq x/d} \Omega_Q^2(m) &= \sum_{m \leq x/d} \sum_{\substack{q^r | n \\ q \in Q}} \sum_{\substack{q_1^{r_1} | n \\ q_1 \in Q}} 1 = \sum_{\substack{q^r \leq x/d \\ q \in Q}} \sum_{\substack{q_1^{r_1} \leq x/d \\ q_1 \in Q}} \sum_{\substack{m \leq x/d \\ q^r | m \\ q_1^{r_1} | m}} 1 \\ &= \sum_{\substack{q^r \leq x/d \\ q \in Q}} \sum_{\substack{q_1^{r_1} \leq x/d \\ q_1 \in Q, q_1 \neq q}} [x/dq^r q_1^{r_1}] + O\left(\sum_{\substack{q \in Q \\ q^r \leq x/d}} \sum_{r_1} x/dq^{\max(r, r_1)}\right) \\ &= xd^{-1} \sum_{\substack{q^r \leq x/d \\ q \in Q}} q^{-r} \sum_{\substack{q_1^{r_1} \leq x/d \\ q_1 \in Q, q_1 \neq q}} q_1^{-r_1} + O\left(\sum_{\substack{q^r \leq x/d \\ q \in Q}} \sum_{\substack{q_1^{r_1} \leq x/d \\ q_1 \in Q \\ q_1 \neq q}} 1\right) + \\ &\quad + O(xd^{-1} \log \log(xd^{-1})) \\ &= \beta^2 xd^{-1} (\log \log(xd^{-1}))^2 + O(xd^{-1} \log \log(xd^{-1})). \end{aligned}$$

Finally,

$$\sum_{\substack{n \leq x \\ d|n}} \Omega_Q^2(n) = \beta^2 x d^{-1} (\log \log (x d^{-1}))^2 + O\left((1 + \Omega_Q^2(d)) x d^{-1} \log \log (x d^{-1})\right),$$

and

$$\begin{aligned} \sum_{\substack{n \leq x \\ d|n}} \omega_Q^2(n) &= \sum_{\substack{n \leq x \\ d|n}} \sum_{\substack{q \in Q \\ q|n}} \sum_{\substack{q_1 \in Q \\ q_1|n}} 1 = \sum_{\substack{q, q_1 \in Q \\ qq_1 \leq x}} \sum_{\substack{n \leq x \\ d|n \\ q_1, q|n}} 1 \\ &= \sum_{\substack{q \in Q \\ q \leq x, q \nmid d}} \sum_{\substack{q_1 \in Q \\ dq_1 \leq x \\ (q_1, qd) = 1}} [x/dqq_1] + \\ &\quad + O\left(\sum_{\substack{q \in Q \\ dq \leq x \\ q \nmid d}} x/qd + \sum_{\substack{q \in Q \\ q \leq x, q \nmid d}} \sum_{\substack{q_1 \in Q \\ q_1 \leq x \\ q_1|d}} x/qd + \sum_{\substack{q \in Q \\ q \leq x, q|d}} \sum_{\substack{q_1 \in Q, q_1 \leq x \\ q_1 \neq q, q_1 \nmid d}} x/dq_1 + \sum_{\substack{q \in Q \\ q|d}} \sum_{\substack{q \leq x \\ q_1 \leq x, q_1 \in Q}} x/d\right) \\ &= \beta^2 x d^{-1} (\log \log (x d^{-1}))^2 + O\left((1 + \omega_Q^2(d)) x/d^{-1} \log \log x/d\right). \end{aligned}$$

The lemma is thus proved in all cases.

The following corollary will be useful:

For  $d \leq x^{1/2}$  we have

$$(3) \quad \sum_{n \leq x, d|n} (h(n) - \beta \log \log x)^2 = O\left((1 + h^2(d)) x d^{-1} \log \log x\right),$$

where  $h(n)$  is one of the functions  $\omega_Q(n)$ ,  $\Omega_Q(n)$ .

LEMMA 3. Let  $h(n)$  be one of the functions  $\omega_Q(n)$ ,  $\Omega_Q(n)$ . Then

$$\begin{aligned} (a) \quad \sum_{n \leq x} h(n) \log h(n) &= \beta x \log \log x \log \log \log x + O(x \log \log x), \\ (b) \quad \sum_{n \leq x} h^2(n) \log^2 h(n) &= \beta^2 x (\log \log x \log \log \log x)^2 + O(x (\log \log x)^2 \log \log \log x). \end{aligned}$$

(Here  $h(n) \log h(n)$  should be treated as zero for  $h(n) = 0$ ).

Proof of the lemma. Split the rational integers less than  $x$  into three classes:

$$\begin{aligned} Z_1 &= \{n \leq x \mid |h(n) - \beta \log \log x| \geq \log \log x\}, \\ Z_2 &= \{n \leq x \mid |h(n) - \beta \log \log x| \leq (\log \log x)^{2/3}\}, \\ Z_3 &= \{n \leq x \mid (\log \log x)^{2/3} < |h(n) - \beta \log \log x| < \log \log x\}. \end{aligned}$$

It follows from (3) that for  $d \leq x^{1/2}$  the number  $N_1(d)$  of elements of  $Z_1$  divisible by  $d$  is  $O\left((1 + h^2(d)) d^{-1} x (\log \log x)^{-1}\right)$ , and, similarly, the

number  $N_3(d)$  of elements of the set  $Z_3$  divisible by the number  $d$  is  $O\left((1+h^2(d))d^{-1}x(\log\log x)^{-1/3}\right)$ . Moreover, the number  $N_2$  of elements of  $Z_2$  is equal to  $x+O(x(\log\log x)^{-1/3})$ .

Now

$$\sum_{n \leq x} h(n) \log h(n) = \sum_{n \in Z_1} + \sum_{n \in Z_2} + \sum_{n \in Z_3}.$$

As for every  $n \leq x$ ,  $h(n) = O(\log x)$ , thus we have

$$\begin{aligned} \sum_{n \in Z_1} h(n) \log h(n) &= O\left(\log\log x \sum_{n \in Z_1} h(n)\right) = O\left(\log\log x \sum_{p \leq x} \sum_{\substack{p|n \\ n \in Z_1}} 1\right) + O(x \log\log x) \\ &= O\left(\log\log x \sum_{p \leq x^{1/2}} N_1(p)\right) + O\left(\log\log x \sum_{x^{1/2} < p \leq x} N_1(p)\right) + O(x \log\log x) \\ &= O(x \log\log x), \end{aligned}$$

because

$$\sum_{n^{1/2} < p \leq x} N_1(p) = O\left(\sum_{x^{1/2} < p \leq x} \frac{x}{p}\right) = O(x).$$

Similarly, for every  $n \in Z_3$  we have  $h(n) = O(\log\log x)$ , thus

$$\begin{aligned} \sum_{n \in Z_3} h(n) \log h(n) &= O\left(\log\log\log x \sum_{n \in Z_3} h(n)\right) \\ &= O\left(\log\log\log x \sum_{p \leq x} \sum_{\substack{p|n \\ n \in Z_3}} 1\right) + O(x \log\log\log x) \\ &= O\left(\log\log\log x \sum_{p \leq x^{1/2}} N_3(p)\right) + O\left(\log\log\log x \sum_{x^{1/2} < p \leq x} N_3(p)\right) + O(x \log\log\log x) \\ &= O(x(\log\log\log x)(\log\log x)^{2/3}) = O(x \log\log x). \end{aligned}$$

For  $n \in Z_2$  we have  $h(n) = \beta \log\log x + O((\log\log x)^{2/3})$  and  $\log h(n) = \log\log\log x + O(1)$ , thus

$$\begin{aligned} \sum_{n \in Z_2} h(n) \log h(n) &= \sum_{n \in Z_2} (\beta \log\log x + O((\log\log x)^{2/3})) (\log\log\log x + O(1)) \\ &= N_2 \beta \log\log x \log\log\log x + O(x \log\log x) \\ &= \beta x \log\log x \log\log\log x + O(x \log\log x) \end{aligned}$$

and so (a) is proved.

The proof of (b) follows the same line and so we leave it to the reader.

Proof of Theorem II. Let  $P_1$  be the set of rational primes, defined in § 2. The argument used in the proof of Theorem I shows that  $f(n) \geq H(\omega_{P_1}(n))$  for all  $n$ , and not only for square-free  $n$ . On the other hand,

a slight modification of the argument used there shows that, for all  $n$ ,  $f(n) \leq H(\Omega_{P_1}(n) + k)$ . Taking into account the evaluation  $\log H(n) = n \log n + O(n)$  we see that for all  $n$

$$\omega_{P_1}(n) \log \omega_{P_1}(n) + O(\omega_{P_1}(n)) \leq \log f(n) \leq \Omega_{P_1}(n) \log \Omega_{P_1}(n) + O(\Omega_{P_1}(n))$$

and so part (i) of Theorem II follows from (1) and Lemma 3, as the Dirichlet density of  $P_1$  is equal to  $\frac{1}{4}$ .

To establish part (ii) one should note only that Lemma 3 implies

$$\sum_{n \leq x} (\log f(n) - \frac{1}{4} \log \log x \log \log \log x)^2 = O(x (\log \log x)^2 (\log \log \log x))$$

and so the inequality

$$|\log f(n) - \frac{1}{4} \log \log x \log \log \log x| \geq r(x) \log \log x (\log \log \log x)^{1/2}$$

can hold for  $O(x/r^2(x)) = o(x)$  numbers  $n \leq x$ .

4. Finally we consider the function  $g(n)$ . We shall prove the following

**THEOREM III.** *Let  $K$  be a quadratic field with class-number  $h = 3$ , or with  $h = 4$ , and non-cyclic class-group. Then*

(i) *we have*

$$\sum_{n \leq x} g(n) = \frac{1}{9} x \log \log x + O(x) \quad (h = 3),$$

$$\sum_{n \leq x} g(n) = \frac{1}{8} x (\log \log x) + O(x (\log \log x)^{2/3}) \quad (h = 4);$$

(ii) *For every function  $r(x)$  tending to infinity with  $x$ , the number of natural numbers  $n \leq x$  for which the inequality  $|g(n) - C \log \log x| \geq r(x) (\log \log x)^a$  holds is  $o(x)$ . Here  $C = 1/9$  if  $h = 3$ ,  $C = 1/8$  if  $h = 4$ ,  $a = 1/2$  if  $h = 3$ , and  $a = 5/6$  if  $h = 4$ .*

At first we need some lemmas.

**LEMMA 4.** *If  $K$  is a quadratic field with  $h = 3$ , and  $X$  is one of the non-principal ideal classes, then  $g(n) = 1 + [\Omega_P(n)/3]$ , where  $P$  is the set of all primes which are norms of prime ideals from  $X$ .*

**Proof.** Let  $\Omega_P(n) = r$ . The possible irreducible factors of  $n$  have one of the forms  $\mathfrak{p}_i \mathfrak{q}_j$ ,  $\mathfrak{p}_i \mathfrak{p}_j \mathfrak{p}_k$  and  $\mathfrak{q}_i \mathfrak{q}_j \mathfrak{q}_k$  with  $\mathfrak{p}_i \in X$ ,  $\mathfrak{q}_i \in X^2$ , and so every factorization of  $n$  must be of the form

$$n = \left( \prod_{j=1}^{\lambda} (\mathfrak{p}_{i_j} \mathfrak{q}_{k_j}) \right) \left( \prod_{j=1}^{\mu} (\mathfrak{p}_{r_j} \mathfrak{p}_{s_j} \mathfrak{p}_{t_j}) \right) \left( \prod_{j=1}^{\nu} \mathfrak{q}_{a_j} \mathfrak{q}_{b_j} \mathfrak{q}_{c_j} \right)$$

with some non-negative  $\lambda, \mu, \nu$ . (We consider only the case, when  $n$  has no factor  $R$  not divisible by any prime from  $P$ , as this restriction does not affect  $g(n)$ . Indeed,  $g(n) = g(n/R)$ .)

The length of this factorization is equal to  $\lambda + \mu + \nu$  and  $\lambda, \mu, \nu$  are related to  $r$  by means of the equations

$$\lambda + 3\mu = r, \quad \lambda + 3\nu = r,$$

whence  $\lambda + \mu + \nu = r - \mu$ . If now  $\mu = \nu = 0, 1, 2, \dots, [r/3]$ ,  $\lambda = r - 3\mu$ , then we get exactly  $1 + [r/3]$  factorizations of  $n$  with different lengths. (Other values of  $\mu$  are clearly inadmissible).

LEMMA 5. *If  $K$  is a quadratic field with class-number  $h = 4$  and non-cyclic class-group  $H = (E, X, Y, XY)$ , then*

$$g(n) = 1 + \min(\Omega_{P_1}(n), \Omega_{P_2}(n), \Omega_{P_3}(n)),$$

where

$$P_1 = \{p \mid p = N\mathfrak{p}, \mathfrak{p} \in X\}, \quad P_2 = \{p \mid p = N\mathfrak{p}, \mathfrak{p} \in Y\},$$

$$P_3 = \{p \mid p = N\mathfrak{p}, \mathfrak{p} \in XY\}.$$

Proof. Let  $n = (p_1^{a_1} \dots p_s^{a_s})(q_1^{b_1} \dots q_t^{b_t})(r_1^{c_1} \dots r_u^{c_u})R$  with  $p_i \in P_1, q_i \in P_2, r_i \in P_3$  and  $p \nmid R$  for  $p \in P_1 \cup P_2 \cup P_3$ . As obviously  $g(n) = g(n/R)$ , we may assume that  $R = 1$ .

The irreducible integers in  $K$  have one of the forms  $\mathfrak{p}_1 \mathfrak{p}_2, \mathfrak{q}_1 \mathfrak{q}_2, \mathfrak{r}_1 \mathfrak{r}_2, \mathfrak{p}_1 \mathfrak{q}_1 \mathfrak{r}_1$  with  $\mathfrak{p}_i \in X, \mathfrak{q}_i \in Y$  and  $\mathfrak{r}_i \in XY$ . Thus every factorization of  $n$  has the form

$$n = \prod_{j=1}^{\lambda} (\mathfrak{p}_j \mathfrak{p}'_j) \cdot \prod_{j=1}^{\mu} (\mathfrak{q}_j \mathfrak{q}'_j) \cdot \prod_{j=1}^{\nu} (\mathfrak{r}_j \mathfrak{r}'_j) \cdot \prod_{j=1}^{\varrho} (\bar{\mathfrak{p}}_j \bar{\mathfrak{q}}_j \bar{\mathfrak{r}}_j),$$

where  $\mathfrak{p}_i, \mathfrak{p}'_i, \bar{\mathfrak{p}}_i$  are prime ideal divisors of  $p_1 \dots p_s$ , and, similarly,  $\mathfrak{q}_i, \mathfrak{q}'_i, \bar{\mathfrak{q}}_i$  are prime ideal divisors of  $q_1 \dots q_t$ , and  $\mathfrak{r}_i, \mathfrak{r}'_i, \bar{\mathfrak{r}}_i$  are prime ideal divisors of  $r_1 \dots r_u$ .

Clearly  $2\lambda + \varrho = 2\Omega_{P_1}(n), 2\mu + \varrho = 2\Omega_{P_2}(n)$  and  $2\nu + \varrho = 2\Omega_{P_3}(n)$ . The length of such a factorization is equal to

$$\lambda + \mu + \nu + \varrho = \sum_{i=1}^3 \Omega_{P_i}(n) - \varrho/2.$$

As  $\varrho$  can assume the values  $0, 2, 4, \dots, \min(2\Omega_{P_1}(n), 2\Omega_{P_2}(n), 2\Omega_{P_3}(n))$  only, it follows that

$$g(n) = 1 + \min(\Omega_{P_1}(n), \Omega_{P_2}(n), \Omega_{P_3}(n)),$$

as asserted.

LEMMA 6. Suppose that  $Q_1, Q_2, Q_3$  are sets of rational primes such that each  $Q_i$  is the set of all rational primes from a fixed ideal class and assume that they all have the same Dirichlet density  $\varrho$ . Let  $T(n) = \min_i \Omega_{Q_i}(n)$ .

Then

$$\sum_{n \leq x} T(n) = \beta x \log \log x + O(x(\log \log x)^{2/3})$$

and

$$\sum_{n \leq x} T^2(n) = \beta^2 x (\log \log x)^2 + O(x(\log \log x)^{5/3}).$$

Proof. Observe first that by (3) we have for  $d \leq x^{1/2}$  the relation

$$\begin{aligned} \sum_{n \leq x, d|n} (\Omega_{Q_i}(n) - \Omega_{Q_j}(n))^2 &= \sum_{\substack{n \leq x \\ d|n}} (\Omega_{Q_i}(n) - \beta \log \log x + \beta \log \log x - \Omega_{Q_j}(n))^2 \\ &\leq 2 \sum_{\substack{n \leq x \\ d|n}} (\Omega_{Q_i}(n) - \beta \log \log x)^2 + 2 \sum_{\substack{n \leq x \\ d|n}} (\Omega_{Q_j}(n) - \beta \log \log x)^2 \\ &= O\left(\left(1 + \max(\Omega_{Q_i}^2(d), \Omega_{Q_j}^2(d))\right) x d^{-1} \log \log x\right). \end{aligned}$$

Now let  $Z_1$  be the set of all natural numbers  $n$  less than or equal to  $x$  for which  $|\Omega_{Q_1}(n) - \Omega_{Q_2}(n)| \geq (\log \log x)^{2/3}$  or  $|\Omega_{Q_1}(n) - \Omega_{Q_3}(n)| \geq (\log \log x)^{2/3}$  holds, and let  $Z_2 = [1, x] \setminus Z_1$ . The estimation just proved shows that for  $d \leq x^{1/2}$  the set  $\{n | n \in Z_1, d|n\}$  has at most

$$O\left(\left(1 + \max(\Omega_{Q_1}^2(n), \Omega_{Q_2}^2(n), \Omega_{Q_3}^2(n))\right) x d^{-1} (\log \log x)^{-1/3}\right)$$

elements. Thus in the same way as in the proof of Lemma 3 the estimation

$$\sum_{n \in Z_1} T(n) \leq \sum_{n \in Z_1} \Omega_{Q_1}(n) = O(x)$$

results and by Lemma 2 we have

$$\begin{aligned} \sum_{n \leq x} T(n) &= \sum_{n \in Z_2} T(n) + O(x) \\ &= \sum_{n \leq x} \Omega_{Q_1}(n) + O\left(\sum_{n \in Z_1} \Omega_{Q_1}(n)\right) + O(x) + O((x \log \log x)^{2/3}). \end{aligned}$$

The proof of the second part of our lemma follows the same line.

Now we can prove Theorem III. In the case of  $h = 3$  it follows immediately from Lemma 4, Lemma 2 and (3). In the case of  $h = 4$  it follows from Lemma 5 and Lemma 6.

Note that Lemma 6 implies that

$$\sum_{n \leq x} (T(n) - \beta \log \log x)^2 = O(x(\log \log x)^{5/3}).$$

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INSTITUTE OF MATHEMATICS OF THE WROCLAW UNIVERSITY

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