

GRAPHS WITH PRESCRIBED SIZE AND VERTEX PARITIES

BY

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The *degree sequence* of a graph is defined as the sequence formed by the non-negative integers which are the degrees of the vertices of the graph. The question as to whether or not a graph exists with a given sequence as its degree sequence has been investigated; a constructive answer has been given independently by Havel [2] and Hakimi [1]. More recently this question has been generalized by investigating (see [3] and [4]) whether or not there is a graph with a given *degree set* (the set of integers in the degree sequence). In this paper we further generalize by investigating whether or not there is a graph with a prescribed number of even and odd vertices. With no further conditions imposed, the answer is trivial since the graph $\bar{K}_m \cup (n/2)K_2$ has m even and n odd vertices. Thus we impose restrictions on the size of the graph. For non-negative integers m and n , with n even, an $m:n$ graph is defined to be a graph with m even vertices and n odd vertices. The problem we investigate is: for which integers k is there an $m:n$ graph of size k , that is, with k edges?

THEOREM. *Let m and n be non-negative integers with n even. There is an $m:n$ graph of size k if and only if*

$$\frac{n}{2} \leq k \leq \binom{m+n}{2} - d, \quad \text{where } d = \begin{cases} m/2 & \text{if } m \text{ is even,} \\ n/2 & \text{if } m \text{ is odd,} \end{cases}$$

with the following exceptions:

if $n = 0$, there is no such graph of size 1 or 2;

if $n = 0$ and m is odd, there is no such graph of size

$$\binom{m}{2} - 2 \quad \text{and} \quad \binom{m}{2} - 1.$$

Proof. The proof will be divided into four cases, determined by the values of m and n .

Case 1. Let $m, n \geq 2$.

The graph $\bar{K}_m \cup (n/2)K_2$ has the minimum size, namely $n/2$. The maximum size,

$$\binom{m+n}{2} - d,$$

is obtained by deleting d mutually non-adjacent edges from K_{m+n} . We now show that for each integer k between

$$\frac{n}{2} \quad \text{and} \quad \binom{m+n}{2} - d$$

there is an $m:n$ graph of size k . Let k_0 be the smallest integer for which there is an $m:n$ graph of size k_0 but no such graph of size k_0+1 . Then $k_0 \geq n/2$. Let G be an $m:n$ graph of size k_0 , with A the set of all even vertices of G , and B the set of all odd vertices of G . We complete the proof in this case by showing that

$$k_0 = \binom{m+n}{2} - d.$$

Since there is no $m:n$ graph of size k_0+1 , each vertex in A is adjacent to each vertex in B . Furthermore, all the vertices in A are adjacent or all the vertices in B are adjacent, for otherwise, if $u, v \in A$ are non-adjacent and $w, x \in B$ are non-adjacent, then $G - uv + uv + wx$ is an $m:n$ graph of size k_0+1 . (In the resulting graph, $v \in B$ and $x \in A$.) Thus, exactly one of A and B has the property that the subgraph induced by it is the complete graph; suppose that A has this property. In B , no vertex has degree less than $(m+n-1)-1$, for suppose $v \in V(G)$ is such that $\deg v \leq m+n-3$. Then there are vertices u and w in B for which $uv, vw \notin E(G)$. If $uw \in E(G)$, then $G - uv + uv + vw$ is an $m:n$ graph of size k_0+1 . If $uw \notin E(G)$, let $r, s \in A$. Then $G - ur - ws + uv + uw + vw$ is an $m:n$ graph of size k_0+1 . Thus G is the graph \bar{K}_{m+n} with some non-adjacent edges removed. Since G is an $m:n$ graph, there must be d edges removed, so that G has size

$$\binom{m+n}{2} - d.$$

Thus

$$k_0 = \binom{m+n}{2} - d.$$

Case 2. Let $n = 0$.

In this case the graph G has all even vertices. Thus \bar{K}_m has the minimum size. Clearly, there is no $m:0$ graph of size 1 or 2. Also, if

m is odd, there is a graph of size $\binom{m}{2}$, namely K_m , but none of size

$$\binom{m}{2} - 1 \quad \text{or} \quad \binom{m}{2} - 2.$$

The graph $\overline{K_{m-3}} \cup K_3$ has the size 3. Let k_0 be the smallest positive integer for which there is an $m:0$ graph of size k_0 but no such graph of size k_0+1 . Then $k_0 \geq 3$. Let G be an $m:0$ graph of size k_0 . We complete the proof in this case by showing that

$$k_0 = \binom{m}{2} - c, \quad \text{where } c = \begin{cases} m/2 & \text{if } m \text{ is even,} \\ 3 & \text{if } m \text{ is odd.} \end{cases}$$

First note that each vertex in G must be adjacent to at least one incident vertex of each edge of G , for if $u, v, w \in V(G)$ and $uw \in E(G)$ but $uv, vw \notin E(G)$, then $G - uw + uv + vw$ is an $m:0$ graph of size k_0+1 . Thus, for any three vertices of G , if two pairs of these vertices are non-adjacent, so is the third pair. Furthermore, since $k_0 \geq 3$, no vertex is isolated. If G contains a triple of mutually non-adjacent vertices, then all other vertices of G are adjacent to each vertex in this triple; suppose not. Then there are vertices v, u, w, x of G for which $uv, ux, wx \notin E(G)$ and v is not adjacent either to u, w or to x . But v is not isolated, so there is a vertex t of G for which $vt \in E(G)$. Thus $ut, wt, xt \in E(G)$, so $G - ut - wt + vw + vx + ux$ is an $m:0$ graph of size k_0+1 . Thus v is adjacent to at least one of u, w , and x , so, as above, v is adjacent to each of u, w , and x , as claimed. Finally, if G contains three mutually non-adjacent vertices, then each other pair of vertices in G must be adjacent. If not, let u, v , and w be mutually non-adjacent vertices in G and let $s, t \in V(G)$. Since each of u, v, w is adjacent to s and t , if $st \notin E(G)$, then $G - su - tv + st + uv + vw$ is an $m:0$ graph of size k_0+1 . Thus $st \in E(G)$.

In summary, there are three possibilities for G , namely, $K_m, K_m - K_3$, or K_m , with some non-adjacent edges removed. If m is even, the $m:0$ graph G must be K_m with exactly $m/2$ edges removed; that is,

$$k_0 = \binom{m}{2} - \frac{m}{2}.$$

If m is odd, then $G = K_m$ or $G = K_m - K_3$. By the definition of k_0 , we have

$$k_0 = \binom{m}{2} - 3.$$

In either case,

$$k_0 = \binom{m}{2} - c.$$

Case 3. Let $m = 0$.

Let G be a $0:n$ graph of size k , where n is an even integer. Then \bar{G} is an $n:0$ graph of size

$$\binom{n}{2} - k.$$

By Case 2, this is possible if and only if

$$k = \frac{n}{2}, \frac{n}{2} + 1, \dots, \binom{n}{2} - 4, \binom{n}{2} - 3, \binom{n}{2}.$$

Case 4. Let $m = 1$.

A $1:n$ graph has $n+1$ vertices exactly one of which has even degree, where n is an even integer. Thus $K_1 \cup (n/2)K_2$ is a $1:n$ graph of smallest size, namely $n/2$. The maximum size,

$$\binom{n}{2} - \frac{n}{2},$$

is obtained by deleting $n/2$ mutually non-adjacent edges from K_{1+n} . For $n = 2$, a graph may have the size 1 or 2. Let $n \geq 4$ and let k be an integer, where $n/2 \leq k \leq n^2/2$. Since $n \geq 4$,

$$\frac{n+2}{2} \leq \binom{n}{2} - 3 \quad \text{and} \quad \binom{n}{2} + 1 \geq n+3,$$

so that k has to satisfy at least one of the following conditions:

$$(1) \quad \frac{n}{2} \leq k \leq \binom{n}{2} - 3,$$

$$(2) \quad \frac{n+2}{2} \leq k \leq \binom{n}{2} + 1,$$

$$(3) \quad n+3 \leq k \leq \frac{n^2}{2} = \binom{n}{2} + \frac{n}{2}.$$

If k satisfies (1), there is, by Case 3, a $0:n$ graph G' of size k . Addition of a vertex of degree 0 to G' produces a $1:n$ graph G of size k . If k satisfies (2), then

$$\frac{n-2}{2} \leq k-2 \leq \binom{n}{2} - 1,$$

so, by Case 1, there is a $2:n-2$ graph G' of size $k-2$. Let $u, v \in V(G')$ be the even vertices and let $w \notin V(G')$. Let G be the graph for which

$$V(G) = V(G') \cup \{w\} \quad \text{and} \quad E(G) = E(G') \cup \{uw, vw\}.$$

The graph G is a $1:n$ graph of size k . If k satisfies (3), then

$$3 \leq k - n \leq \binom{n}{2} - \frac{n}{2},$$

so there is an $n:0$ graph G' of size $k - n$. Then $G = G' + K_1$ is a $1:n$ graph of size k .

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