

THE BOURBAKI INTEGRAL AS MAXIMAL INTEGRAL

BY

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1. Introduction. A theory of maximal embedded absolutely convergent integral was developed in [5]-[8] and [10]. The present paper, which is a continuation of [6], contains also chapter 2 of the unpublished paper [8] and gives the proof of theorem 4 of [11].

Let the pair $(\mathfrak{M}, \mathfrak{B})$ consist of a σ -vector-lattice \mathfrak{M} and a conditionally complete half-ordered vector space \mathfrak{B} , and let \mathfrak{B} be a subvector-lattice of \mathfrak{M} .

\mathfrak{B} is called *embedded* (= eingelagert [6]) in \mathfrak{M} iff for every $0 \leq f \in \mathfrak{M} - \mathfrak{B}$ there exists a sequence $0 \leq v_1 \leq v_2 \leq \dots \leq f$, $v_i \in \mathfrak{B}$ ($i = 1, 2, \dots$), such that $\sup_i v_i \in \mathfrak{M} - \mathfrak{B}$.

A linear, positive, continuous mapping $I: \mathfrak{B} \rightarrow \mathfrak{B}$ is called an *absolutely convergent integral* I/\mathfrak{B} *embedded in the pair* $(\mathfrak{M}, \mathfrak{B})$. Such an integral is said to be *maximal* iff there exists for any vector-space \mathfrak{R} with the property $\mathfrak{B} \subseteq \mathfrak{R} \subseteq \mathfrak{M}$ either no linear, positive and continuous extension of $I: \mathfrak{B} \rightarrow \mathfrak{B}$ to \mathfrak{R} or more than one such an extension. *Continuity* of I means that the implication $\mathfrak{B} \ni v_i \downarrow 0 \Rightarrow I(v_i) \downarrow 0$ is valid.

Now let Ω be a locally compact space, \mathfrak{E} the vector-lattice of real continuous functions $e: \Omega \rightarrow R$ with compact support. R is the set of real numbers (without $\pm \infty$). The *support* of a function e is the closure of the set $\{x; x \in \Omega \text{ and } e(x) \neq 0\}$. A linear and positive functional $E: \mathfrak{E} \rightarrow R$ is called a *Bourbaki elementary integral* E/\mathfrak{E} or a *positive Radon measure*. For every non-negative and lower-continuous function $s: \Omega \rightarrow R \cup \{+\infty, -\infty\}$ there is defined the number

$$E(s) := \sup\{E(e); 0 \leq e \leq s, e \in \mathfrak{E}\}.$$

For any numerical function $f: \Omega \rightarrow R \cup \{+\infty, -\infty\}$,

$$N_E(f) := \inf\{E(s); |f| \leq s \text{ and } s \text{ lower-continuous on } \Omega\}$$

is called the *semi-norm* of f . Let \mathfrak{F}_E be the set of numerical functions $f: \Omega \rightarrow R \cup \{+\infty, -\infty\}$ having a finite semi-norm $N_E(f)$. The closure $\tilde{\mathfrak{E}}_E$ of \mathfrak{E} in \mathfrak{F}_E with regard to N_E is called the *set of integrable numerical func-*

tions on Ω relative to E/\mathfrak{C} . The integral $I_E(f)$, where $f \in \tilde{\mathfrak{S}}_E$, is obtained by continuous extension of the mapping E . Let \mathfrak{S}_E denote the set of integrable real functions $f: \Omega \rightarrow R$. The pair I_E/\mathfrak{S}_E is called a *Bourbaki integral relative to E/\mathfrak{C}* . Finally, let \mathfrak{M}_E denote the σ -vector-lattice of measurable real functions on Ω relative to E/\mathfrak{C} , \mathfrak{A}_E the σ -field of measurable subsets of Ω relative to E/\mathfrak{C} , and m_E the measure belonging to E/\mathfrak{C} .

The main problem of our paper is to characterize those elementary Bourbaki integrals E/\mathfrak{C} for which the Bourbaki integral I_E/\mathfrak{S}_E is a maximal absolutely convergent integral embedded in the pair (\mathfrak{M}_E, R) . With such a characterisation in hand we are in a position to decide already at the level E/\mathfrak{C} of the extension-procedure $E/\mathfrak{C} \rightarrow I_E/\mathfrak{S}_E$ whether the result of this procedure will be maximal or not.

The problem is solved by theorems 1-4 asserting the equivalence of conditions III-VIII. Conditions I-IV are auxiliary ones and serve to get the equivalence of condition V and the maximality of I_E/\mathfrak{S}_E (condition VI). An essential part (conditions II and IV) lies in the use of the notion of a local m_E -nullset. We characterize, by means of E/\mathfrak{C} , those measures m_E for which every local m_E -nullset is an m_E -nullset (lemma 3). In the definition of local m_E -nullsets the compact subsets of Ω play a great role. In order to use only objects given directly by E/\mathfrak{C} we introduce the set $\Omega_{\mathfrak{C}}$ (definition 1). Then we get condition VII equivalent to the maximality of I_E/\mathfrak{S}_E . Thereby the constructively defined Bourbaki integral is arranged in a sufficiently general manner in the framework of maximal embedded absolutely convergent integral.

2. Characterisation of the Bourbaki integral I_E/\mathfrak{S}_E as a maximal embedded absolutely convergent integral. A set $A \subseteq \Omega$ is known to be a local m_E -nullset if for every point $x \in \Omega$ there exists a neighbourhood $U(x)$ of x such that $U(x) \cap A$ is of m_E -measure zero [1].

LEMMA 1. *The following conditions are equivalent:*

I. *Let $A \in \mathfrak{A}_E$ and $m_E(A) = +\infty$.*

Then $\mathfrak{A}_E \ni B \subseteq A$ implies $m_E(B) = 0$ or $m_E(B) = +\infty$.

II. *A is a local m_E -nullset but not a m_E -nullset.*

Proof. I \Rightarrow II. Let $x \in \Omega$. Then there exists a compact neighbourhood $U(x)$ of x . Since $m_E(U(x)) < +\infty$, there is $m_E(A \cap U(x)) < +\infty$ and condition I implies $m_E(A \cap U(x)) = 0$. So A is a local m_E -nullset. Because of $m_E(A) = +\infty$, A is not of m_E -measure zero.

II \Rightarrow I. Assume II. By virtue of [1] (cf. remark after definition 2 and Corollaire 1, p. 183), we have $A \in \mathfrak{A}_E$ and $m_E(A) = +\infty$. Let $\mathfrak{A}_E \ni B \subseteq A$. Since $m_E(B) = +\infty$ implies I immediately, consider the case $m_E(B) < +\infty$. We have to show that $m_E(B) = 0$. Since B is a subset of a local m_E -nullset, B also is a local m_E -nullset. Therefore, by [1] (cf. Corollaire 1, p. 183), B is an m_E -nullset.

LEMMA 2. *The following conditions are equivalent:*

III. *The measure m_E is weakly σ -finite, i.e. for every m_E -measurable set B with $m_E(B) = +\infty$ there exists a sequence $B_1 \subseteq B_2 \subseteq \dots \subseteq B$ of m_E -measurable sets B_i of finite measure such that $m_E(\bigcup_i B_i) = +\infty$.*

IV. *Every local m_E -nullset is an m_E -nullset.*

Proof. Use Lemma 1 and Theorem 1 of [9]. See also [12].

LEMMA 3. *Condition IV is equivalent to the condition:*

V. *Let $A \subseteq \Omega$. If for every compact set $K \subseteq \Omega$ and every number $\varepsilon > 0$ there exists a sequence $0 \leq e_1^{(K)} \leq e_2^{(K)} \leq \dots, e_i^{(K)} \in \mathfrak{E}$, ($i = 1, 2, \dots$), such that $\chi_{A \cap K} \leq \sup_i e_i^{(K)}$ and $\sup_i E(e_i^{(K)}) < \varepsilon$, then there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$, ($i = 1, 2, \dots$), such that $\chi_A \leq \sup_i e_i$, where χ_A is the characteristic function of the set A .*

Proof. V \Rightarrow IV. Let $A \subseteq \Omega$ be a local m_E -nullset. Then, because of [1] (proposition 5, p. 183), $m_E^*(A \cap K) = 0$ for every compact subset K of Ω . Since m_E^* is the outer measure belonging to m_E , the first part of condition V is evidently valid. Hence there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$ ($i = 1, 2, \dots$) such that $\chi_A \leq \sup_i e_i$. As a local m_A -nullset, A is m_E -measurable. Therefore also the functions $s_i := \chi_A \cdot e_i$ are m_E -measurable and we have $0 \leq s_1 \leq s_2 \leq \dots \uparrow \chi_A$.

Now define the sets

$$S_i := \{x; x \in \Omega \text{ and } s_i(x) > 0\} \quad i = 1, 2, \dots$$

We have $m_E(S_i) < +\infty$. Because of $S_1 \subseteq S_2 \subseteq \dots \subseteq A$ and $s_i \uparrow \chi_A$, we get $\bigcup_i S_i = A$. Hence

$$\sup_i m_E(S_i) = m_E(A).$$

In the case of $m_E(A) < +\infty$ we conclude, using [1] (Corollaire 1, p. 183), that A is an m_E -nullset. Now let $m_E(A) = +\infty$. Then there exists an integer n such that $0 < m_E(S_n) < +\infty$, where S_n is an open set. We have $\chi_{S_n} \in \mathfrak{S}_E$ and χ_{S_n} is lower-continuous. Therefore

$$0 < m_E(S_n) = \sup \{E(e); 0 \leq e \leq \chi_{S_n}, e \in \mathfrak{E}\}.$$

In any case there exists a function $e \in \mathfrak{E}$ with the properties $0 \leq e \leq \chi_{S_n}$ and $E(e) > 0$. Consider the sets $A_k := \{x; e(x) \geq 1/k\}$, $k = 1, 2, \dots$. There is $A_k \subseteq S_n \subseteq T(e_n)$, where $T(e_n)$ is the support of the function e_n . A_k is closed, therefore compact. Evidently, there is an integer k such that $m_E(A_k) > 0$. Since A is a local m_E -nullset by hypothesis, we have a contradiction (cf. [1], proposition 5, p. 183).

IV \Rightarrow V. If the first part of condition V holds for the set $A \subseteq \Omega$, then, by virtue of [1] (proposition 5, p. 183), we infer that A is a local m_E -nullset. Therefore, by IV, $m_E(A) = 0$. Consequently, there exists

a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{C}$ ($i = 1, 2, \dots$) with the property $\chi_A \leq \sup_i e_i$.

THEOREM 1. (*First characterization of the Bourbaki integral as a maximal integral.*)

Let E/\mathfrak{C} be a Bourbaki elementary integral on the locally compact space Ω . Then condition V is equivalent to the condition

VI. The Bourbaki integral I_E/\mathfrak{S}_E belonging to E/\mathfrak{C} is a maximal absolutely convergent integral embedded in (\mathfrak{M}_E, R) .

Proof. $V \Rightarrow VI$. Assuming condition V, we have, in view of lemma 3, condition IV and, by lemma 2, condition III. Now condition VI follows by virtue of theorem 6 of [9] and the fact that $I_E/\mathfrak{S}_E \equiv I_{m_E}/\mathfrak{S}_{m_E}$, where $I_{m_E}/\mathfrak{S}_{m_E}$ is the integral belonging to the measure m_E in the sense of [4].

$VI \rightarrow V$. Assuming condition VI we infer, by condition III, and, by virtue of lemma 2, condition IV, whence condition V follows by lemma 3.

The following Corollary shows that condition V is satisfied in some important cases.

COROLLARY. *Condition V holds in the following cases:*

1. Ω is compact.
2. Ω is countable at infinity, i.e. there exists a sequence (K_i) ($i = 1, 2, \dots$) of compact subsets K_i such that $\Omega = \bigcup_i K_i$.

Proof. Ad 1. If Ω is compact, then $\chi_\Omega \equiv 1 \in \mathfrak{C}$. Therefore $\chi_A \leq \sup_i e_i$, where $e_i = 1$ ($i = 1, 2, \dots$) for every subset A of Ω .

Ad 2. If Ω is countable at infinity, then there exists a sequence (U_i) of relatively compact sets U_i ($i = 1, 2, \dots$) such that $\Omega = \bigcup_i U_i$, $U_1 \subseteq U_2 \subseteq \dots$, $U_i \subseteq U_{i+1}$ ($i = 1, 2, \dots$). Since Ω is locally compact, we can find a continuous function e_1 defined on Ω with $e_1 \geq 0$, $e_1(x) = 1$ for $x \in \bar{U}_1$, and $e_1(x) = 0$ for $x \notin U_2$. The compactness of \bar{U}_1 implies $e_1 \in \mathfrak{C}$. In the same manner we can find a continuous function e_2 defined on Ω with the properties $e_2 \geq 0$, $e_2(x) = 1$ for $x \in \bar{U}_2$, and $e_2(x) = 0$ for $x \notin U_3$. Again $e_2 \in \mathfrak{C}$. Proceeding in this way we get a sequence (e_i) of functions $e_i \in \mathfrak{C}$ such that $e_1 \leq e_2 \leq \dots$ and, evidently, $\sup_i e_i \geq \chi_A$ for every subset $A \subseteq \Omega$. Condition V holds.

Remark. In the case of our corollary the only reason for maximality of the Bourbaki integral I_E/\mathfrak{S}_E is the space Ω with its topology and not the functional E .

Now we want to have a condition equivalent to the maximality of I_E/\mathfrak{S}_E which uses sets given directly by \mathfrak{C} only.

Definition 1. Let \mathfrak{C} be the vector-lattice of continuous functions with compact support on the locally compact space Ω . We define the set $\mathfrak{Q}_{\mathfrak{C}}$ as follows:

A subset A of Ω belongs to Ω_E iff there is a function $e \in \mathfrak{E}$, $e \geq 0$, and there are real numbers $c_1 > c_2 > 0$ such that

$$A = \{x; x \in \Omega \text{ and } c_1 \geq e(x) \geq c_2\}.$$

THEOREM 2. *Condition V is equivalent to the condition*

VII. *Let $A \subseteq \Omega$. If there exists a sequence $0 \leq e_1^{(L)} \leq e_2^{(L)} \leq \dots, e_i^{(L)} \in \mathfrak{E}$ ($i = 1, 2, \dots$) for every set $L \in \Omega_{\mathfrak{E}}$ and every number $\varepsilon > 0$ such that*

$$\chi_{A \cap L} \leq \sup_i e_i^{(L)} \quad \text{and} \quad \sup_i E(e_i^{(L)}) < \varepsilon,$$

then there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$ ($i = 1, 2, \dots$) such that

$$\chi_A \leq \sup_i e_i.$$

Proof. $V \Rightarrow VII$. Let $A \subseteq \Omega$ be arbitrary and let the first part of condition VII hold. We have to show that the first part of condition V holds. Let $K \subseteq \Omega$ be a compact set and $\varepsilon > 0$. If $K = \Omega$, then $\chi_{\Omega} \in \mathfrak{E}$ and $\chi_{\Omega} \geq \chi_A$. So let $\Omega - K \neq \emptyset$. There exists a compact neighbourhood U_K of K , $K \subseteq U_K$; for the interior U_K^0 of U_K we have $K \subseteq U_K^0$. Now there exists a continuous function e on Ω having the following properties: $e(x) \geq 0$ for all $x \in \Omega$, $e(x) = 1$ for all $x \in K$, and $e(x) = 0$ for all $x \notin U_K^0$. Hence $e \in \mathfrak{E}$. Putting

$$L_i := \{x; i \geq e(x) \geq 1/i\}, \quad i = 1, 2, \dots,$$

we have

$$K \subseteq \bigcup_i L_i.$$

Since the first part of VII holds for the set A , for every set L_i and every number $\varepsilon/2^i$ ($\varepsilon > 0$) there exists a sequence $0 \leq e_1^{(L_i)} \leq e_2^{(L_i)} \leq \dots, e_i^{(L_i)} \in \mathfrak{E}$, such that

$$\chi_{A \cap L_i} \leq \sup_{\varrho} e_{\varrho}^{(L_i)} \quad \text{and} \quad \sup_{\varrho} E(e_{\varrho}^{(L_i)}) < \varepsilon/2^i.$$

Defining the functions $e_{\varrho}^{(K)}$ by the equality

$$e_{\varrho}^{(K)} := \sum_{i=1}^{\varrho} e_{\varrho}^{(L_i)},$$

we have $e_{\varrho}^{(K)} \in \mathfrak{E}$ for all ϱ and $0 \leq e_1^{(K)} \leq e_2^{(K)} \leq \dots$. Since $K \subseteq \bigcup_i L_i$,

$$\sup_{\varrho} e_{\varrho}^{(K)} \geq \chi_{A \cap K}.$$

Moreover,

$$E(e_{\varrho}^{(K)}) = \sum_{i=1}^{\varrho} E(e_{\varrho}^{(L_i)}) < \sum_{i=1}^{\varrho} \varepsilon/2^i$$

and

$$\sup_e E(e_e^{(K)}) < \varepsilon,$$

whence the first part of condition V follows. On account of V there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$, such that

$$\sup_i e_i \geq \chi_A.$$

VII \Rightarrow V. Let $A \subseteq \Omega$ and assume that the first part of condition V is valid. We have to show the first part of condition VII. Let $L \in \mathfrak{Q}_{\mathfrak{E}}$ and let $\varepsilon > 0$ be arbitrary. Since the support of the function e is compact, the set L is compact. Then there exists a sequence $e_1^{(L)} \leq e_2^{(L)} \leq \dots, 0 \leq e_i^{(L)} \in \mathfrak{E}$ ($i = 1, 2, \dots$) such that

$$\sup_i e_i^{(L)} \geq \chi_{A \cap L} \quad \text{and} \quad \sup_i E(e_i^{(L)}) < \varepsilon.$$

Condition VII implies now the existence of a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$ ($i = 1, 2, \dots$) with the required property.

THEOREM 3 (Second characterisation of the Bourbaki integral as a maximal integral). *Conditions VI and VII are equivalent.*

Proof is a consequence of theorems 1 and 2.

THEOREM 4 (Third characterisation of the Bourbaki integral as a maximal integral). *Condition VI is equivalent to the condition*

VIII. *If I/\mathfrak{B} is a maximal absolutely convergent integral embedded in the pair (\mathfrak{M}_E, R) and having the property $E/\mathfrak{E} \subseteq I/\mathfrak{B}$, i.e. $\mathfrak{E} \subseteq \mathfrak{B}$ and $E(e) = I(e)$ for every $e \in \mathfrak{E}$, then $I/\mathfrak{B} \equiv I_E/\mathfrak{S}_E$.*

Proof. VI \Rightarrow VIII. Let I/\mathfrak{B} be a maximal absolutely convergent integral embedded in (\mathfrak{M}_E, R) and such that $E/\mathfrak{E} \subseteq I/\mathfrak{B}$. It follows from the main criterion on maximal embedded absolutely convergent integrals [6] that the theorem of B. Levi is true for I/\mathfrak{B} . In particular, since $E/\mathfrak{E} \subseteq I/\mathfrak{B}$, we have the implication

$$0 \leq e_1 \leq e_2 \leq \dots \uparrow f \in \mathfrak{M}_E, \quad e_i \in \mathfrak{E} \quad (i = 1, 2, \dots),$$

and the existence of $\sup_i E(e_i) < +\infty$ imply

$$f \in \mathfrak{B} \quad \text{and} \quad \sup_i E(e_i) = I(f).$$

We know that for $f \in \mathfrak{M}_E$ there is $f \in \mathfrak{S}_E$ iff $N_E(f) < +\infty$. Let $0 \leq f \in \mathfrak{S}_E$. Hence we have $N_E(f) < +\infty$ and therefore there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}$ ($i = 1, 2, \dots$), such that

$$\sup_i e_i \geq f \quad \text{and} \quad \sup_i E(e_i) = I(\sup_i e_i) \geq N_E(f) \geq 0.$$

We have

$$\sup_i e_i \in \mathfrak{B}$$

and because of [6], theorem 3, \mathfrak{B} is an ideal in \mathfrak{M}_E . Hence $f \in \mathfrak{B}$. Hence and from the properties of a vector-lattice we infer $\mathfrak{S}_E \subseteq \mathfrak{B}$. By the definition,

$$N_E(f) := \inf \{ \sup E(e_i); 0 \leq e_1 \leq e_2 \leq \dots, e_i \in \mathfrak{E}, \sup_i e_i \geq f \}.$$

Hence and from the theorem of B. Levi we easily conclude that $I(f) \leq I_E(f)$ for every $0 \leq f \in \mathfrak{S}_E$. On the other hand, however, it is impossible that $I(f) < I_E(f)$ for a certain $0 \leq f \in \mathfrak{S}_E$. In fact, for if there is $0 \leq f \in \mathfrak{S}_E$ with $I(f) < I_E(f)$ we define $F(g) := I_E(g) - I(g)$, $g \in \mathfrak{S}_E$. Then F is linear, positive and continuous on \mathfrak{S}_E and F is not identically zero. Moreover, we have $F(e) = 0$ for every $e \in \mathfrak{E}$. But for every $0 \leq g \in \mathfrak{S}_E$ there exists a sequence $0 \leq e_1 \leq e_2 \leq \dots$, $e_i \in \mathfrak{E}$ ($i = 1, 2, \dots$), such that $g \leq \sup_i e_i$. Hence $0 \leq F(g) \leq \sup_i F(e_i) = 0$ for every $g \in \mathfrak{S}_E$. Therefore the inequality $I(f) < I_E(f)$ for a $0 \leq f \in \mathfrak{S}_E$ is impossible.

Till now we have proved the following facts: $\mathfrak{S}_E \subseteq \mathfrak{B}$ and $I_E(s) = I(s)$ for every $s \in \mathfrak{S}_E$. Assuming $\mathfrak{B} - \mathfrak{S}_E \neq \emptyset$ we can find a $v \in \mathfrak{B}$ with $v \notin \mathfrak{S}_E$. Then we have $v^+ \notin \mathfrak{S}_E$ or $v^- \notin \mathfrak{S}_E$, where $v^+ := \sup(v, 0)$ and $v^- := \sup(-v, 0)$. Without loss of generality we may assume that $0 \leq v \in \mathfrak{B} - \mathfrak{S}_E$. Since \mathfrak{S}_E is embedded in \mathfrak{M}_E , there exists a sequence $0 \leq s_1 \leq s_2 \leq \dots \leq v$, $s_i \in \mathfrak{S}_E$, such that $\sup_i s_i \in \mathfrak{M}_E - \mathfrak{S}_E$. By virtue of theorem 3 of [6], \mathfrak{B} is an ideal and, therefore, $\sup_i s_i \in \mathfrak{B}$. But the theorem of B. Levi implies

$$\sup_i I_E(s_i) = +\infty.$$

Hence

$$\sup_i I(s_i) = +\infty.$$

On the other hand, we infer from $s_i \leq v$ ($i = 1, 2, \dots$) and $v \in \mathfrak{B}$ that $I(s_i) \leq I(v) < +\infty$ for every i , whence

$$\sup_i I(s_i) < +\infty.$$

But this is a contradiction. Hence $\mathfrak{B} = \mathfrak{S}_E$ is true and we have $I/\mathfrak{B} \equiv I_E/\mathfrak{S}_E$.

VIII \Rightarrow VI. Take into account theorem 3 of [9] and the remark after theorem 3.

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