

ON COMPACTIFICATION OF  $T_0$ -SPACES

BY

L. RUDOLF (WROCŁAW)

Wallman proved in [4] that the set of ultrafilters consisting of closed subsets of a  $T_1$ -space, with a topology introduced in the usual way, is a compact  $T_1$ -extension of this space. This method was used by Banaschewski in [1] to obtain compact  $T_2$ -extensions of spaces having the so called Wallman basis (the ultrafilters of the Wallman basis are the points of this compactifications). By a suitable choice of the Wallman basis, Banaschewski obtained compactifications for several classes of spaces such as the Čech-Stone compactification of normal spaces, the Alexandroff one-point compactification of locally compact  $T_2$ -spaces, the Banaschewski compactification of null-dimensional  $T_0$ -spaces and the Freudenthal compactification of rim-compact  $T_2$ -spaces. In this paper, a similar method is used to construct some compact extensions of  $T_0$ -spaces.

**1. The space  $\Omega$ .** Let  $\mathfrak{A}$  be a finitely multiplicative family of subsets of a set  $X$ ,  $\Omega'$  — the set of all ultrafilters of the family  $\mathfrak{A}$ ,  $\Omega''$  — the set of some filters of this family (not necessarily all of them; filters are well defined because of the multiplicativity of  $\mathfrak{A}$ ).

Let  $\Omega = \Omega' \cup \Omega''$  and  $\Omega_A = \{\xi \in \Omega : A \in \xi\}$ . The points of  $\Omega$  are filters, hence (for each  $\xi \in \Omega$ )  $A \cap B \in \xi$  iff  $A \in \xi$  and  $B \in \xi$ , i.e.

$$(1.1) \quad \Omega_{A \cap B} = \Omega_A \cap \Omega_B, \quad A, B \in \mathfrak{A}.$$

Moreover,

$$(1.2) \quad \Omega_A = \emptyset \text{ iff } A = \emptyset.$$

In fact, if  $A \neq \emptyset$ , the filter  $\{A\}$  may be extended to a maximal filter  $\xi$ , i.e. to an ultrafilter  $\xi \in \Omega$ ; clearly  $\xi \in \Omega_A$ . The converse implication is obvious, because  $\emptyset \notin \xi$ .

Taking the family  $\{\Omega_A\}_{A \in \mathfrak{A}}$  as a subbasis of the family of closed subsets, we introduce a topology in  $\Omega$ . The sets

$$\Omega_{A_1} \cup \dots \cup \Omega_{A_n}, \quad A_1, \dots, A_n \in \mathfrak{A},$$

form a basis  $\mathfrak{B}$  for the family of all closed sets in  $\Omega$ .

(1.3) *The basis  $\mathfrak{B}$  is finitely multiplicative.*

Proof. Let  $B_1, \dots, B_n \in \mathfrak{B}$ , i.e.  $B_k = \Omega_{A_1^k} \cup \dots \cup \Omega_{A_{m_k}^k}$ ,  $k = 1, 2, \dots, n$ .

According to (1.1) we have

$$\bigcap_{k=1}^n B_k = \bigcap_{k=1}^n \bigcup_{j=1}^{m_k} \Omega_{A_j^k} = \bigcup_{\substack{(j_1, \dots, j_n) \\ 1 \leq j_k \leq m_k}} \bigcap_{k=1}^n \Omega_{A_{j_k}^k} = \bigcup_{\substack{(j_1, \dots, j_n) \\ 1 \leq j_k \leq m_k}} \Omega_{\bigcap_{k=1}^n A_{j_k}^k} = \bigcup_{t \in T} \Omega_{A_t},$$

where  $T$  is a finite set and  $A_t \in \mathfrak{A}$ . Thus  $\bigcap_{k=1}^n B_k \in \mathfrak{B}$ .

**THEOREM 1.**  $\Omega$  is a compact  $T_0$ -space.

Proof. To show the compactness, we must prove that each maximal centered family  $\mathbf{B} = \{B_t\}_{t \in T}$  of closed subsets from the basis  $\mathfrak{B}$  has a non-void intersection.

First we prove that

(1) for each  $B_t = \Omega_{A_1^t} \cup \dots \cup \Omega_{A_{n_t}^t}$  there exists an integer  $k = k(t)$ ,  $1 \leq k \leq n_t$ , such that  $\Omega_{A_k^t} \in \mathbf{B}$ .

Suppose, for some  $B_{t_0} \in \mathbf{B}$  and each  $k$ ,  $1 \leq k \leq n_{t_0}$ ,  $\Omega_{A_k^{t_0}} \notin \mathbf{B}$ . The set  $\Omega_{A_k^{t_0}}$  belongs to the basis  $\mathfrak{B}$  and  $\mathbf{B} \subset \mathfrak{B}$  is a maximal centered family, thus for each  $k$  there exists a  $B_{t_k} \in \mathbf{B}$  such that  $\Omega_{A_k^{t_0}} \cap B_{t_k} = \emptyset$ . Hence, for each  $k$ ,  $1 \leq k \leq n_{t_0}$ ,  $\Omega_{A_k^{t_0}} \cap \bigcap_{k=1}^{n_{t_0}} B_{t_k} = \emptyset$ . Thus, by  $\bigcup_{k=1}^{n_{t_0}} \Omega_{A_k^{t_0}} = B_{t_0}$ , we have  $B_{t_0} \cap \bigcap_{k=1}^{n_{t_0}} B_{t_k} = \emptyset$ . By (1.3),  $\bigcap_{k=1}^{n_{t_0}} B_{t_k}$  belongs to  $\mathfrak{B}$ . But  $B_{t_k} \in \mathbf{B}$ , and  $\mathbf{B} \subset \mathfrak{B}$  is a maximal centered family, therefore  $\bigcap_{k=1}^{n_{t_0}} B_{t_k} \in \mathbf{B}$ . By  $B_{t_0} \cap \bigcap_{k=1}^{n_{t_0}} B_{t_k} = \emptyset$ , we have  $B_{t_0} \notin \mathbf{B}$ , contrary to the assumption.

Consider the set  $\xi = \{A \in \mathfrak{A} : \Omega_A \in \mathbf{B}\}$ . We shall prove, that

(2)  $\xi$  is an ultrafilter of the family  $\mathfrak{A}$ .

First, let us see that

(a)  $\xi$  is a filter of the family  $\mathfrak{A}$ .

Since  $\Omega_A \neq \emptyset$  for each  $\Omega_A \in \mathbf{B}$ , we have  $A \neq \emptyset$  by (1.2). Thus, by definition of  $\xi$ ,  $\emptyset \notin \xi$ . Let  $A, B \in \xi$  (i.e.  $\Omega_A, \Omega_B \in \mathbf{B}$ ); then  $\Omega_{A \cap B} = \Omega_A \cap \Omega_B \in \mathbf{B}$  (for  $\mathbf{B}$  is a maximal centered family of sets belonging to the multiplicative basis  $\mathfrak{B}$ ). Thus  $A \cap B \in \xi$ . Finally, let  $A \in \xi$  (i.e.  $\Omega_A \in \mathfrak{B}$ ) and  $A \subset B$ ,  $B \in \mathfrak{A}$ . The  $\Omega_A \subset \Omega_B$  and hence, by the maximality of  $\mathbf{B}$ ,  $\Omega_B \in \mathbf{B}$ , i.e.  $B \in \xi$ . Thus  $\xi$  is a filter.

(b)  $\xi$  is maximal.

In fact, let  $B \in \mathfrak{A}$  and  $B \cap A \neq \emptyset$  for each  $A \in \xi$ . Then  $\Omega_B \cap \Omega_A = \Omega_{B \cap A} \neq \emptyset$  for each  $\Omega_A \in \mathbf{B}$ . Thus, by (1),  $\Omega_B$  has a non-void intersec-

tion with all  $B_t \in \mathbf{B}$ . Since  $\mathbf{B}$  is maximal,  $\Omega_B \in \mathbf{B}$ , i.e.  $B \in \xi$ . Thus  $\xi$  is a maximal filter, belonging to  $\Omega$ .

The definition of  $\xi$  implies  $\xi \in \Omega_A$  for each  $\Omega_A \in \mathbf{B}$ . Thus, from (1) it follows that  $\xi \in B_t$  for each  $B_t \in \mathbf{B}$  and hence  $\bigcap_{B_t \in \mathbf{B}} B_t \supset \{\xi\}$ . The family  $\mathbf{B}$  has a non-void intersection, which is nothing else as the compactness of  $\Omega$ .

$\Omega$  is a  $T_0$ -space, for if  $\xi', \xi'' \in \Omega$  and  $\xi' \neq \xi''$ , then there exists an  $A \in \mathfrak{A}$  such that  $A \in \xi'$  and  $A \notin \xi''$ , i.e.  $\xi' \in \Omega_A$  and  $\xi'' \notin \Omega_A$ ; thus the points  $\xi', \xi''$  may be  $T_0$ -separated.

(1.4)  $\Omega$  is a  $T_1$ -space iff  $\Omega = \Omega'$ .

Proof. A filter  $\xi \in \Omega \setminus \Omega'$  may be extended to an ultrafilter  $\xi^* \in \Omega$ . If  $\xi \in \Omega_A$ , i.e.  $A \in \xi$ , then  $A \in \xi^*$  and  $\xi^* \in \Omega_A$ . Thus the point  $\xi$  cannot be  $T_1$ -separated from  $\xi^*$ , hence  $\Omega$  is not a  $T_1$ -space.

Let  $\xi', \xi''$  be two-different ultrafilters from  $\Omega = \Omega'$ . Then there exist  $\xi'_t \in \xi'$  and  $\xi''_\tau \in \xi''$  such that  $\xi'_t \cap \xi''_\tau = \emptyset$ , i.e.  $\xi''_\tau \notin \xi'$  and  $\xi'_t \notin \xi''$ . Hence  $\xi' \in \Omega_{\xi'_t}$  and  $\xi'' \notin \Omega_{\xi'_t}$ ,  $\xi' \notin \Omega_{\xi''_\tau}$  and  $\xi'' \in \Omega_{\xi''_\tau}$ . Thus  $\Omega$  is a  $T_1$ -space.

In the sequel we shall assume that the (finitely multiplicative) family  $\mathfrak{A}$  covers the set  $X$ . Then, for each point  $x \in X$ , the non-void family  $\xi_x = \{A \in \mathfrak{A} : x \in A\}$  (consisting of all  $\mathfrak{A}$ -neighbourhoods of  $x$ ) is a filter from  $\Omega$ .

Assume that  $\Omega'' = \{\xi_x\}_{x \in X}$  (i.e.  $\Omega = \Omega' \cup \Omega''$  consists only of all ultrafilters of  $\mathfrak{A}$  and filters of the form  $\xi_x, x \in X$ ).

(1.5)  $A_1 \cup \dots \cup A_n = X$  iff  $\Omega_{A_1} \cup \dots \cup \Omega_{A_n} = \Omega$ .

Proof. Let  $A_1 \cup \dots \cup A_n = X$  and  $\xi \in \Omega$ .

Let  $\xi = \xi_x$  (i.e.  $\xi$  is the filter of neighbourhoods of  $x$ ). There exists an integer  $k$  such, that  $x \in A_k$ , thus  $\xi_x \in \Omega_{A_k} \subset \Omega_{A_1} \cup \dots \cup \Omega_{A_n}$ .

Let  $\xi$  be an ultrafilter. Then there exists an integer  $k$  such that  $A_k \in \xi$  (for if we have  $A_k \notin \xi$  for each  $k$ , then taking  $\xi_{t_k} \in \xi$  with the property  $A_k \cap \xi_{t_k} = \emptyset$ , we have  $\bigcup_{k=1}^n A_k \cap \bigcap_{k=1}^n \xi_{t_k} = \emptyset$ , i.e.  $X \cap \bigcap_{k=1}^n \xi_{t_k} = \emptyset$ , contrary to  $\bigcap_{k=1}^n \xi_{t_k} \neq \emptyset$ ). Thus  $\xi \in \Omega_{A_k}$ , and, in consequence,  $\Omega = \Omega_{A_1} \cup \dots \cup \Omega_{A_n}$ . Conversely, let  $x \in X$ . Then  $\xi_x \in \Omega = \Omega_{A_1} \cup \dots \cup \Omega_{A_n}$ . Thus  $\xi_x \in \Omega_{A_k}$ , i.e.  $x \in A_k$ . This implies  $X = A_1 \cup \dots \cup A_n$ .

(1.6)  $\Omega$  is a  $T_1$ -space iff

(i) for each  $x \in X$  and each  $A \in \mathfrak{A}$ , if  $x \notin A$ , then there exists a  $B \in \mathfrak{A}$  such that  $x \in B$  and  $A \cap B = \emptyset$ .

Proof. Due to (1.4),  $\Omega$  is a  $T_1$ -space iff  $\xi_x$  is an ultrafilter for each  $x \in X$ .

Now, let  $\xi_x$  be an ultrafilter. If  $x \notin A$ , then  $A \notin \xi_x$ . Hence there exists a  $B \in \xi_x$  (thus  $x \in B$ ) such that  $A \cap B = \emptyset$ .

Conversely, we must prove that  $\xi_x$  is an ultrafilter for each  $x \in X$ . Let  $A \in \xi_x \neq \emptyset$  for each  $\mathcal{A}$ -neighbourhood  $\xi_x^t$  of  $x$ . Now, in virtue of (i),  $x \in A$ , i.e.  $A \in \xi_x$ , whence  $\xi_x$  is an ultrafilter.

(1.7)  $\Omega$  is a  $T_2$ -space iff  $\Omega$  is a  $T_1$ -space and

(ii) for each  $A, B \in \mathcal{A}$ , if  $A \cap B = \emptyset$ , then there exists a collection  $\{A_1, \dots, A_m, B_1, \dots, B_n\}, A_1, \dots, A_m, B_1, \dots, B_n \in \mathcal{A}$  such that

$$A \cap \bigcup_{k=1}^m A_k = \emptyset, \quad B \cap \bigcup_{l=1}^n B_l = \emptyset \quad \text{and} \quad \bigcup_{k=1}^m A_k \cup \bigcup_{l=1}^n B_l = X$$

(i.e.  $\Omega$  is a  $T_2$ -space iff  $\Omega$  is a  $T_1$ -space and  $\mathcal{A}$  is "structurally" normal).

Proof. Let  $A, B \in \mathcal{A}$  be disjoint sets. Then  $\Omega_A \cap \Omega_B = \Omega_{A \cap B} = \emptyset$ , whence, in consequence of normality of the compact  $T_2$ -space  $\Omega$ , there exist disjoint open sets

$$U = \bigcup_{t \in T} (\Omega \setminus B_t), \quad V = \bigcup_{\tau \in T} (\Omega \setminus B_\tau).$$

( $B_t, B_\tau$  are closed sets from the basis in  $\Omega$ ) covering the sets  $\Omega_A$  and  $\Omega_B$  respectively, i.e.  $\Omega_A \subset U$  and  $\Omega_B \subset V$ . But the sets  $\Omega_A$  and  $\Omega_B$ , being closed subsets of a compact space, are compact, and therefore we can choose finite subcoverings of them:

$$\Omega_A \subset \bigcup_{i=1}^m (\Omega \setminus B_{t_i}) = U_0, \quad \Omega_B \subset \bigcup_{j=1}^n (\Omega \setminus B_{\tau_j}) = V_0 \quad (U_0 \cap V_0 = \emptyset),$$

of course). The first inclusion implies, in virtue of (1.3), that

$$\emptyset = \Omega_A \cap \bigcap_{i=1}^m B_{t_i} = \Omega_A \cap \left( \bigcup_{k \in K} \Omega_{A_k} \right) = \bigcup_{k \in K} \Omega_A \cap \Omega_{A_k},$$

where  $K$  is a finite set. Hence  $\Omega_A \cap \Omega_{A_k} = \emptyset$  for each  $k \in K$ , thus  $\Omega_{A \cap A_k} = \emptyset$  and therefore  $A \cap A_k = \emptyset$ . Finally,  $A \cap \left( \bigcup_{k \in K} A_k \right) = \emptyset$ . Similarly, the second inclusion implies  $B \cap \left( \bigcup_{l \in L} B_l \right) = \emptyset$ , where  $L$  is a finite set.

Moreover,

$$\begin{aligned} \emptyset &= U_0 \cap V_0 = \bigcup_{i=1}^m (\Omega \setminus B_{t_i}) \cap \bigcup_{j=1}^n (\Omega \setminus B_{\tau_j}) = (\Omega \setminus \bigcap_{i=1}^m B_{t_i}) \cap (\Omega \setminus \bigcap_{j=1}^n B_{\tau_j}) \\ &= \Omega \setminus \left( \bigcap_{i=1}^m B_{t_i} \cup \bigcap_{j=1}^n B_{\tau_j} \right). \end{aligned}$$

Hence

$$\Omega = \bigcap_{i=1}^m B_{t_i} \cup \bigcap_{j=1}^n B_{\tau_j} = \bigcup_{k \in K} \Omega_{A_k} \cup \bigcup_{l \in L} \Omega_{B_l}.$$

Thus, according to (1.5),  $\bigcup_{k \in K} A_k \cup \bigcup_{l \in L} B_l = X$ .

Conversely, let  $\xi', \xi'' \in \Omega$  and  $\xi' \neq \xi''$ ; thus there exists a  $\xi'_t \in \xi'$  and a  $\xi''_t \in \xi''$  such that  $\xi'_t \cap \xi''_t = \emptyset$  ( $\xi', \xi''$  being ultrafilters). The last equality implies the existence of a covering consisting of the sets  $A_1, \dots, A_m, B_1, \dots, B_n$  such that  $\xi'_t \cap A_k \subset \xi'_t \cap (\bigcup_{k \in K} A_k) = \emptyset$ ,  $k = 1, 2, \dots, m$  and  $\xi''_t \cap B_l = \emptyset$ ,  $l = 1, 2, \dots, n$  which means that  $A_k \notin \xi', B_l \notin \xi''$ ,  $k = 1, 2, \dots, m$ ,  $l = 1, 2, \dots, n$ . Now,  $\xi' \in \Omega \setminus (\Omega_{A_1} \cup \dots \cup \Omega_{A_m})$  and  $\xi'' \in \Omega \setminus (\Omega_{B_1} \cup \dots \cup \Omega_{B_n})$ . But  $\{A_1, \dots, A_m, B_1, \dots, B_n\}$  covers the set  $X$ , thus, by (1.5),  $\Omega_{A_1} \cup \dots \cup \Omega_{A_m} \cup \Omega_{B_1} \cup \dots \cup \Omega_{B_n} = \Omega$ .

Since  $[\Omega \setminus (\Omega_{A_1} \cup \dots \cup \Omega_{A_m})] \cap [\Omega \setminus (\Omega_{B_1} \cup \dots \cup \Omega_{B_n})] = \Omega \setminus (\Omega_{A_1} \cup \dots \cup \Omega_{A_m} \cup \Omega_{B_1} \cup \dots \cup \Omega_{B_n}) = \emptyset$ , the points  $\xi', \xi''$  may be separated by open disjoint sets. Thus  $\Omega$  is a  $T_2$ -space.

In [1] there was given a similar necessary and sufficient condition for the space  $\Omega$  to be a  $T_2$ -space.

**2. The mapping  $\Phi$  and the extension  $\mathfrak{A}_X$ .** Let us define a mapping  $\Phi: X \rightarrow \Omega$  by  $\Phi(x) = \xi_x$ .

We introduce in  $X$  a topology taking as a subbasis of the family of closed sets the family  $\mathfrak{A}$ .

It is easy to check that

(2.1)  $\Phi$  is one-to-one iff  $X$  is a  $T_0$ -space.

(2.2)  $\Phi^{-1}(\Omega_A) = A$  for each  $A \in \mathfrak{A}$ .

Proof.  $\Phi^{-1}(\Omega_A) \subset A$ , for if  $x \in \Phi^{-1}(\Omega_A)$ , then  $\Phi(x) = \xi_x \in \Omega_A$ , hence  $A \in \xi_x$  and, finally,  $x \in A$ .

Now, let  $x \in A$ . Then  $A \in \xi_x = \Phi(x)$ , which means that  $\Phi(x) \in \Omega_A$ . Thus  $x \in \Phi^{-1}(\Omega_A)$  — the converse inclusion.

For each  $B$  belonging to the basis of  $X$ , i.e. for each set of the form  $B = A_1 \cup \dots \cup A_n$ , where  $A_1, \dots, A_n \in \mathfrak{A}$  let  $B^\Omega = \Omega_{A_1} \cup \dots \cup \Omega_{A_n}$ .

(2.3)  $X_0 \subset B = A_1 \cup \dots \cup A_n$  iff  $\Phi(X_0) \subset B^\Omega$ .

Proof. Let  $X_0 \subset B$  and let  $\xi \in \Phi(X_0)$ . Thus  $\xi = \xi_x$  for some  $x \in X_0$ . Then  $x \in A_k$ , for some integer  $k$ , hence  $\xi_x \in \Omega_{A_k} \subset B^\Omega$ .

Conversely, let  $\Phi(X_0) \subset B^\Omega$  and  $x \in X_0$ . Thus  $\Phi(x) = \xi_x \in B^\Omega$ , i.e.  $\xi_x \in \Omega_{A_k}$  for some  $k$ . Hence  $x \in A_k \subset B$ .

(2.4) If  $B_t = A_1^t \cup \dots \cup A_{n_t}^t$ , where  $A_k^t \in \mathfrak{A}$ ,  $k = 1, 2, \dots, n_t$ , then

$$\Phi(\bigcap_{t \in T} B_t) = \bigcap_{t \in T} B_t^\Omega \cap \Phi(X).$$

Proof. According to (2.3) we have

$$\Phi(\bigcap_{t \in T} B_t) = \Phi(\bigcap_{t \in T} B_t) \cap \Phi(X) \subset \bigcap_{t \in T} \Phi(B_t) \cap \Phi(X) \subset \bigcap_{t \in T} B_t^\Omega \cap \Phi(X).$$

Conversely, let  $\xi \in \bigcap_{t \in T} B_t^\Omega \cap \Phi(X)$ , i.e.  $\xi = \xi_x$  and  $\xi \in B_t^\Omega$  for each  $t$ . Thus for each  $t$  there exists an integer  $k = k(t)$  such that  $\xi = \xi_x \in \Omega_{A_k^t}$ .

This implies that  $x \in A_k^t \subset B_t$ . Hence  $x \in \bigcap_{t \in T} B_t$ , and, finally,  $\xi \in \Phi(\bigcap_{t \in T} B_t)$ .

(2.5) For any closed sets  $B_1, B_2$  from the basis, if  $B_1 \subset B_2$ , then  $B_1^\Omega \subset B_2^\Omega$ .

Proof. If  $\xi \in B_1^\Omega$ , then  $\xi \in \Omega_{A_k^1}$  for some  $k$ .

In the case  $\xi = \xi_x$  we have  $x \in A_k^1 \subset B_1 \subset B_2$ . Hence there exists an integer  $l$  such that  $x \in A_l^2$ . Then  $\xi = \xi_x \in \Omega_{A_l^2} \subset B_2^\Omega$ .

In the case when  $\xi$  is an ultrafilter, taking  $k$  such that  $\xi \in \Omega_{A_k^1}$ , we have  $A_k^1 \in \xi$ . Now there exists an  $A_l^2$  such that  $A_l^2 \in \xi$ . To show this, suppose on the contrary that for each  $l$  there exists an element  $\xi_{t_l} \in \xi$  disjoint with  $A_l^2$ . We get

$$\bigcup_{l=1}^m A_l^2 \cap \bigcap_{l=1}^m \xi_{t_l} = \emptyset,$$

whence  $B_2 \cap \xi_{t_0} = \emptyset$ , where  $\xi_{t_0} = \bigcap_{l=1}^m \xi_{t_l} \in \xi$ . This leads to a contradiction, for  $A_k^1 \cap \xi_{t_0} \subset B_1 \cap \xi_{t_0} \subset B_2 \cap \xi_{t_0} = \emptyset$  and simultaneously  $A_k^1 \cap \xi_{t_0} \neq \emptyset$ , because of  $A_k^1 \in \xi$  and  $\xi_{t_0} \in \xi$ . We conclude that  $\xi \in B_1^\Omega$  implies  $\xi \in B_2^\Omega$ .

(2.6)  $\overline{\Phi(B)}^\Omega = B^\Omega$  for each closed set  $B$  from the basis.

Proof. We have  $\Phi(B) \subset B^\Omega$ , by (2.3).

On the other hand,  $B^\Omega$  is the minimal closed basic set in  $\Omega$  containing the set  $\Phi(B)$ . To show this, suppose  $\Phi(B) \subset B_*^\Omega$ . Then (2.3) implies  $B \subset B_*$  and hence, according to (2.5),  $B^\Omega \subset B_*^\Omega$ . Thus  $B$  is contained in each closed set containing  $\Phi(B)$ . Hence  $\overline{\Phi(B)}^\Omega = B^\Omega$ .

(2.7)  $\overline{\Phi(B_1 \cap \dots \cap B_n)}^\Omega = \overline{\Phi(B_1)}^\Omega \cap \dots \cap \overline{\Phi(B_n)}^\Omega$  for any basic closed sets  $B_1, \dots, B_n$ .

Proof. Clearly  $\Phi(B_1 \cap \dots \cap B_n) \subset \Phi(B_1) \cap \dots \cap \Phi(B_n) \subset \overline{\Phi(B_1)}^\Omega \cap \dots \cap \overline{\Phi(B_n)}^\Omega$ .

Besides,  $\overline{\Phi(B_1)}^\Omega \cap \dots \cap \overline{\Phi(B_n)}^\Omega$  is the minimal closed set  $F$  in  $\Omega$  with the property  $\Phi(B_1 \cap \dots \cap B_n) \subset F$ . In fact, if  $\Phi(B_1 \cap \dots \cap B_n) \subset \Omega_{A_1} \cup \dots \cup \Omega_{A_m}$ , then, in view of (2.3),  $B_1 \cap \dots \cap B_n \subset A_1 \cup \dots \cup A_m$ . Hence (see also (1.3))

$$\bigcap_{k=1}^n A_{j_k}^k \subset \bigcup_{\substack{(j_1, \dots, j_n) \\ 1 \leq j_k \leq n_k}} \bigcap_{k=1}^n A_{j_k}^k = B_1 \cap \dots \cap B_n \subset A_1 \cup \dots \cup A_m$$

for each collection  $(j_1, \dots, j_n)$ . Then, by (2.5), we have  $\Omega_{\bigcap_{k=1}^n A_{j_k}^k} \subset \Omega_{A_1} \cup \dots \cup \Omega_{A_m}$  for each collection  $(j_1, \dots, j_n)$ . Thus, according to (2.6),

$$\overline{\Phi(B_1)}^\Omega \cap \dots \cap \overline{\Phi(B_n)}^\Omega = B_1^\Omega \cap \dots \cap B_n^\Omega = \bigcap_{k=1}^n \bigcup_{j=1}^{n_k} \Omega_{A_j^k}$$

$$= \bigcup_{\substack{(j_1, \dots, j_n) \\ 1 \leq j_k \leq n_k}} \bigcap_{k=1}^n \Omega_{A_{j_k}^k} \subset \bigcup_{\substack{(j_1, \dots, j_n) \\ 1 \leq j_k \leq n_k}} \Omega_{\bigcap_{k=1}^n A_{j_k}^k} \subset \Omega_{A_1} \cup \dots \cup \Omega_{A_m}.$$

This proves formula (2.7).

$$(2.8) \quad \overline{\Phi(X)}^\Omega = \Omega.$$

**Proof.** It is sufficient to show that any non-void open basic set  $U = \Omega \setminus (\Omega_{A_1} \cup \dots \cup \Omega_{A_n})$  has a non-void intersection with  $\Phi(X)$ . From  $U \neq \emptyset$ , i.e. from  $\Omega_{A_1} \cup \dots \cup \Omega_{A_n} \neq \Omega$ , we obtain by (1.5)  $A_1 \cup \dots \cup A_n \neq X$ . Thus there exists a point  $x \in X$  such that  $x \notin A_k$  for each  $k$ . Then  $A_k \notin \xi_x = \Phi(x)$  for each  $k$ , which means that  $\Phi(x) \notin \Omega_{A_k}$ . Thus  $\Phi(x) \in U$ , i.e.  $\Phi(X) \cap U \neq \emptyset$ .

Formula (2.2) means that  $\Phi$  is continuous. Formula (2.4) means that  $\Phi: X \rightarrow \Phi(X)$  is closed. Thus, if the topology generated by the family  $\mathfrak{A}$  in  $X$  is  $T_0$ , then, by (2.1), the mapping  $\Phi$  imbeds the space  $X$  into the space  $\Omega$ . By (2.8),  $\Phi$  is an imbedding onto a dense subset.

In other words,  $(\Omega, \Phi)$  is a compact  $T_0$ -extension of the space  $X$ . We denote it by  $\mathfrak{A}X$ . This compactification has the properties (2.6) and (2.7).

**Remarks.** 1. The family  $\mathfrak{A}$  of all closed subsets of a  $T_1$ -space is multiplicative, covers  $X$  and has the property (i) from (1.5) (because  $\{x\} = \overline{\{x\}}$  for each  $x \in X$ ). The extension  $\mathfrak{A}X$ , corresponding to  $\mathfrak{A}$ , is simply the Wallman extension, described in [3].

2. Let  $X$  be a locally compact (but not compact)  $T_0$ -space,  $\mathfrak{A}'$  — the family of all closed compact sets,  $\mathfrak{A}''$  — the family of complements of open sets with compact closure. The family  $\mathfrak{A} = \mathfrak{A}' \cup \mathfrak{A}''$  is multiplicative. Indeed, if  $A \in \mathfrak{A}'$ ,  $B \in \mathfrak{A}$ , then  $A \cap B$  is a closed subset of the compact set  $A$ , thus  $A \cap B \in \mathfrak{A}'$ ; if  $A \in \mathfrak{A}''$ ,  $B \in \mathfrak{A}''$  (i.e. the sets  $\overline{X \setminus A}$ ,  $\overline{X \setminus B}$  are compact), then  $\overline{X \setminus A \cap B} = \overline{(X \setminus A) \cup (X \setminus B)} = \overline{X \setminus A} \cup \overline{X \setminus B}$  is a compact set, thus  $A \cap B \in \mathfrak{A}''$ . On the other hand, the family of open sets with compact closure is a basis for the open sets in  $X$ , thus  $\mathfrak{A}''$  forms a basis for the closed sets.  $\mathfrak{A}X$  is the Alexandroff one-point compactification ([2], p. 150) of the space  $X$ . The proof is analogous to that of [1].

**3. The question of uniqueness of  $\mathfrak{A}X$ .** The extension  $\mathfrak{A}X$  is minimal with respect to the properties (2.6) and (2.7) in the following sense:

**THEOREM 2.** *If  $(K, \psi)$  is a compact  $T_0$ -extension of the space  $X$  such that*

1.  $\{\overline{\psi(A)}^K\}_{A \in \mathfrak{A}}$  forms a subbasis for the closed subsets in  $K$ ,
2.  $\overline{\psi(A_1 \cap \dots \cap A_n)}^K = \overline{\psi(A_1)}^K \cap \dots \cap \overline{\psi(A_n)}^K$ ,  $A_1, \dots, A_n \in \mathfrak{A}$ ,

*then there exists an imbedding  $i_K: \Omega \rightarrow K$  for which  $i_K \circ \Phi = \psi$ .*

**Proof.** 1° The family  $\{\overline{\psi(\xi_t)}^K: \xi_t \in \xi\}$ ,  $\xi \in \Omega$ , is the filter of closed neighbourhoods from the subbasis  $\{\overline{\psi(A)}^K\}_{A \in \mathfrak{A}}$  of a point  $k \in K$ .

In fact, if  $\xi = \xi_x$ , then  $x \in \xi_x^t$  for each  $\xi_x^t \in \xi_x$ . Therefore  $\psi(x) \in \overline{\psi(\xi_x^t)^K} \subset \overline{\psi(\xi_x^t)^K}$  and, in addition, each neighbourhood from the closed subbasis of the point  $\psi(x)$  is of the form  $\overline{\psi(\xi_x^t)^K}$ . For if  $\psi(x) \in \overline{\psi(A)^K}$ ,  $A \in \mathfrak{A}$ , then  $\psi^{-1}(\psi(x)) \in \psi^{-1}(\overline{\psi(A)^K}) = A$  (because  $\psi$  is an imbedding map and  $A$  is closed in  $X$ ), whence  $x \in A$ , i.e.  $A \in \xi_x$ . Thus, for  $\xi = \xi_x \in \Omega$ , the family  $\{\overline{\psi(\xi_t)^K}\}_{\xi_t \in \xi}$  is the filter of neighbourhoods of the point  $k = \psi(x)$ .

If  $\xi$  is an ultrafilter with void intersection, i.e. if  $\xi \in \Omega \setminus \Phi(X)$ , then  $\{\overline{\psi(\xi_t)^K}\}_{\xi_t \in \xi}$  is a centered family of closed sets in  $K$ .  $K$  being a compact space, there exists a point  $k \in \bigcap_{\xi_t \in \xi} \overline{\psi(\xi_t)^K}$ .

Note that each neighbourhood of  $k$  from  $\{\overline{\psi(A)^K}\}_{A \in \mathfrak{A}}$  is of the form  $\overline{\psi(\xi_t)^K}$ . Indeed, let  $k \in \overline{\psi(A)^K}$ . Then, according to property 2 of  $(K, \psi)$ ,  $k \in \overline{\psi(A)^K} \cap \overline{\psi(\xi_t)^K} = \overline{\psi(A \cap \xi_t)^K}$ , whence  $A \cap \xi_t \neq \emptyset$  for each  $\xi_t \in \xi$ . Since  $\xi$  is an ultrafilter, the last inequality implies  $A \in \xi$ , i.e.  $A = \xi_{t_0}$ .

2° Since  $K$  is a  $T_0$ -space, the point  $k \in K$ , whose existence for each  $\xi \in \Omega$  was proved in 1°, is uniquely determined by  $\xi$ . This enables us to define a mapping  $i_K: \Omega \rightarrow K$  by  $i_K(\xi) = k$ .

3° The mapping  $i_K$  is one-to-one. Let  $\xi' \neq \xi''$ . Thus there exists  $A \in \mathfrak{A}$  such that  $A \in \xi'$  and  $A \notin \xi''$ , which implies  $A \neq \xi_t''$  for each  $\xi_t'' \in \xi''$ . Hence  $\overline{\psi(A)^K} \neq \overline{\psi(\xi_t'')^K}$  because the sets  $A$  and  $\xi_t''$  are closed in  $X$ . Hence  $\overline{\psi(A)^K} \notin \{\overline{\psi(\xi_t'')^K}\}_{\xi_t'' \in \xi''}$ , thus the neighbourhood filters  $\{\overline{\psi(\xi_t')^K}\}_{\xi_t' \in \xi'}$ ,  $\{\overline{\psi(\xi_t'')^K}\}_{\xi_t'' \in \xi''}$  are different and therefore determine different points  $i_K(\xi')$  and  $i_K(\xi'')$ .

4° Finally, for each  $A \in \mathfrak{A}$ ,  $i_K(\xi) \in \overline{\psi(A)^K} \cap i_K(\Omega)$  iff  $\xi \in \Omega_A$ . For if  $k = i_K(\xi) \in \overline{\psi(A)^K} \cap i_K(\Omega)$ , then  $\overline{\psi(A)^K}$  is a neighbourhood of  $k$ , hence  $A \in \xi$ , i.e.  $\xi \in \Omega_A$ .

Conversely, let  $\xi \in \Omega_K$ . Then  $A \in \xi$ , and, by definition of  $i_K$ ,  $i_K(\xi) \in \overline{\psi(A)^K}$ ,  $A$  being one of the  $\xi_t \in \xi$ . Evidently  $i_K(\xi) \in i_K(\Omega)$ . This is the same as the continuity of  $i_K$ . Moreover, the mapping  $i_K: \Omega \rightarrow i_K(\Omega)$  is closed ( $i_K(\Omega_A) = \overline{\psi(A)^K} \cap i_K(\Omega)$ ). By 3°, it is one-to-one. Therefore it is an imbedding. The commutativity  $(i_K \circ \Phi)(x) = i_K(\xi_x) = \psi(x)$ ,  $x \in X$ , follows immediately from the construction of  $k$  for  $\xi_x$  in 1°.

Remarks. 1. There exist compact  $T_0$ -extensions  $(K, \psi)$  of the space  $X$  such that  $i_K(\Omega) \subsetneq K$ .

Flachsmeyer constructed in [2] a compactification of  $T_0$ -spaces (denoted in [2] by  $(\gamma X, \Phi)$ ) having the properties 1 and 2 with respect to the family  $\mathfrak{A}$  of all closed subsets of  $X$ . Let  $\alpha = \{A_1, \dots, A_n\}$  be a finite open covering of  $X$ , and let  $f = A_1^* \cap \dots \cap A_n^*$ , where  $A_k^* = A_k$  or  $A_k^* = X \setminus A_k$ . Let  $\mathfrak{A}$  be the family of all finite open coverings  $\alpha$ , ordered by set-theoretical inclusion. The points of  $K$  are collections  $\{f_\alpha\}_{\alpha \in \mathfrak{A}}$  for

which  $f_a \subset f_\beta$  for  $a \supset \beta$ . The points of  $X$  are represented in  $K$  by collections  $\{f_a\}_{a \in \mathcal{A}}$  with non-void intersection.

Now, take a countable set  $X = \{x_1, x_2, \dots\}$ . Let  $A_n = \{x_i \in X : i \leq n\}$  be the closed subsets in  $X$  and  $\mathcal{A} = \{A_n\}_{n \in \mathbb{N}}$ .  $X$  is a compact  $T_0$ -space, in just defined topology, and thus the spaces  $X$  and  $\Omega$  are homeomorphic.

The extension  $(K, \psi)$  has the properties 1 and 2 from Theorem 2, thus there exists an imbedding  $i_K: \Omega \rightarrow K$ . But  $K \setminus \psi(X) \neq \emptyset$ , because the collection  $\{\bigcap_{u \in a} U\}_{a \in \mathcal{A}}$  is a point of  $K$  and has a void intersection. Finally, by commutativity  $i_K \circ \Phi = \psi$ , and since  $\Phi$  is a homeomorphism, we have  $(i_K \circ \Phi)(X) = i_K(\Phi(X)) = i_K(\Omega) = \psi(X)$ , i.e.  $\Omega$  is imbedded as a proper subset of  $K$ .

2. The imbedding  $i_K$  uniquely determined. For let  $j_K: \Omega \rightarrow K$  be an imbedding with the property  $j_K \circ \Phi = \psi$ . Then it suffices to prove that  $j_K(\xi) = i_K(\xi)$  for each  $\xi \in \Omega \setminus \Phi(X)$ . Observe that

$$j_K(\Omega_A) = j_K(\overline{\Phi(A)}^\Omega) = \overline{j_K(\Phi(A))}^{j_K(\Omega)} = \overline{\psi(A)}^K \cap j_K(\Omega).$$

But the subspace  $\Omega \setminus \Phi(X)$ , consisting of ultrafilters of  $\mathcal{A}$ , is a  $T_1$ -space. Thus  $\xi = \bigcap_{\xi_t \in \xi} \Omega_{\xi_t}$  for each  $\xi \in \Omega \setminus \Phi(X)$ . Finally,

$$j_K(\xi) = j_K\left(\bigcap_{\xi_t \in \xi} \Omega_{\xi_t}\right) = \bigcap_{\xi_t \in \xi} j_K(\Omega_{\xi_t}) = \bigcap_{\xi_t \in \xi} \overline{\psi(\xi_t)}^K \cap j_K(\Omega) \subset \bigcap_{\xi_t \in \xi} \overline{\psi(\xi_t)}^K = i_K(\xi)$$

(the last equality follows from the definition of  $i_K$  for  $\xi \in \Omega \setminus \Phi(X)$ ). Thus  $j_K(\xi) = i_K(\xi)$  for each  $\xi \in \Omega \setminus \Phi(X)$ .

3. The extension  $(\Omega, \Phi)$  is characterized by the property described in Theorem 2. To prove the assertion, let  $(\Omega', \Phi')$  have also this property. Then there exists an imbedding  $i'_{\Omega'}: \Omega' \rightarrow \Omega$  such that  $i'_{\Omega'} \circ \Phi' = \Phi$ . Since  $i'_{\Omega'}(\Omega')$  is a subspace of  $\Omega$ , the family  $\{\overline{\Phi'(A)}^{\Omega'}\}_{A \in \mathcal{A}}$  forms a subbasis for the closed subsets in  $\Omega'$  and the equality  $\overline{\Phi'(A_1 \dots A_n)}^{\Omega'} = \overline{\Phi'(A_1)}^{\Omega'} \cap \dots \cap \overline{\Phi'(A_n)}^{\Omega'}$  holds for each  $A_1, \dots, A_n \in \mathcal{A}$ . But  $\Omega' \setminus \Phi'(X)$  is a subspace of the  $T_1$ -space  $\Omega \setminus \Phi(X)$ , hence, if  $(K, \psi)$  is an extension of  $X$ , with the properties 1 and 2 from Theorem 2, then the corresponding imbedding  $i'_K: \Omega' \rightarrow K$  is uniquely determined (the proof is analogous to that of Remark 2). Finally, by Theorem 2, there exists an imbedding  $i_{\Omega'}: \Omega \rightarrow \Omega'$  with the property  $i_{\Omega'} \circ \Phi = \Phi'$ . But  $i_{\Omega'} \circ \Phi' = \Phi$ , thus  $i_{\Omega'} \circ i_{\Omega'} \circ \Phi' = \Phi'$  and  $i'_{\Omega'} \circ i_{\Omega'} \circ \Phi = \Phi$ , where  $i_{\Omega'} \circ i'_{\Omega'}$  is the imbedding  $i'_{\Omega'}$  (corresponding to the extension  $(\Omega', \Phi')$ ) and  $i'_{\Omega'} \circ i_{\Omega'}$  is the imbedding  $i_{\Omega'}$  (corresponding to the extension  $(\Omega, \Phi)$ ). In consequence of the uniqueness of these imbeddings,  $i'_{\Omega'} = e_{\Omega'}$  and  $i_{\Omega'} = e_{\Omega}$  ( $e_{\Omega'}$  and  $e_{\Omega}$  being the identity mappings of  $\Omega'$  and  $\Omega$ ). Thus  $i_{\Omega'}$  and  $i'_{\Omega'}$  are homeomorphisms and the spaces  $\Omega$  and  $\Omega'$  are homeomorphic.

4. If  $X$  is a  $T_1$ -space and  $\mathfrak{A}$  satisfies the condition (i) from (1.5), then  $\mathfrak{A}X$  is the unique compact  $T_1$ -extension of  $X$ , with properties (2.6) and (2.7).

First we prove a

LEMMA. For each  $k \in K \setminus \psi(X)$ ,  $\{k\} = \{\bar{k}\}^K$  iff  $k \in i_K(\Omega) \setminus \psi(X)$ .

Proof. Let  $k$  be a closed point, belonging to  $K \setminus \psi(X)$ . It is easy to check that the non-void family  $\xi = \{A \in \mathfrak{A} : k \in \overline{\psi(A)}^K\}$  is a filter of the subbasis  $\{\overline{\psi(A)}^K\}_{A \in \mathfrak{A}}$ . Furthermore,  $\xi$  is a maximal filter. To prove this, take any  $B \in \mathfrak{A}$  such that  $B \cap A \neq \emptyset$  for each  $A \in \xi$ . Then for each  $A_1, \dots, A_n \in \xi$  we have

$$\overline{\psi(B)}^K \cap \overline{\psi(A_1)}^K \cap \dots \cap \overline{\psi(A_n)}^K = \overline{\psi(B \cap A_1 \cap \dots \cap A_n)}^K = \overline{\psi(B \cap A)}^K \neq \emptyset,$$

where  $A = A_1 \cap \dots \cap A_n \in \xi$ . It means that the family  $\{\overline{\psi(B)}^K\} \cup \{\overline{\psi(A)}^K\}_{A \in \xi}$  is a centered family of closed subsets of the compact space  $K$ . Hence

$$\emptyset \neq \overline{\psi(B)}^K \cap \bigcap_{A \in \xi} \overline{\psi(A)}^K \subset \bigcap_{A \in \xi} \overline{\psi(A)}^K = \{k\},$$

for  $k$  is a closed point. This means that  $\{k\} = \overline{\psi(B)}^K \cap \bigcap_{A \in \xi} \overline{\psi(A)}^K$ , whence  $k \in \overline{\psi(B)}^K$  and, in consequence,  $B \in \xi$ . This proves the maximality of  $\xi$ . The ultrafilter  $\xi$  is a point of  $\Omega$ . By definition of  $i_K$ ,  $i_K(\xi) = k$ . Then, by the assumption,  $k \in i_K(\Omega) \setminus \psi(X)$ .

To prove the converse implication, observe that  $i_K(\Omega) \setminus \psi(X) = i_K(\Omega \setminus \Phi(X))$ , where  $\Omega \setminus \Phi(X)$  is a  $T_1$ -space and  $i_K$  is an imbedding. Thus  $\{k\} = \{\bar{k}\}^K$  for  $k \in i_K(\Omega) \setminus \psi(X)$ .

Now, if  $K$  is any compact  $T_1$ -extension of  $X$ , with the properties 1 and 2 from Theorem 2, then, by the Lemma,  $i_K(\Omega) = K$ . Thus the spaces  $\Omega$  and  $K$  are homeomorphic.

#### REFERENCES

- [1] B. Banaschewski, *On Wallman method of compactification*, *Mathematische Nachrichten* 27 (1963), p. 105-114.
- [2] J. Flachsmeyer, *Zur Spektralentwicklung topologischer Räume*, *Mathematische Annalen* 44 (1961), p. 253-274.
- [3] J. L. Kelley, *General topology*, New York — Toronto — London 1955.
- [4] H. Wallman, *Lattices and topological spaces*, *Annals of Mathematics* 39 (1938), p. 112-126.

INSTITUTE OF MATHEMATICS OF THE WROCLAW UNIVERSITY

Reçu par la Rédaction le 22. 12. 1965