

ON RANEY'S THEOREMS
FOR COMPLETELY DISTRIBUTIVE COMPLETE LATTICES

BY

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In this note we prove the theorems of G. N. Raney characterizing completely distributive complete lattices, using the notion of semifilter of sets and their associated lower and upper limits. The proofs in this paper are simpler than the original ones.

Let L be a complete lattice: its minimum and maximum elements are denoted by 0 and 1, respectively. Let \mathcal{A} be a family of subsets of L . Define the *lower limit* of \mathcal{A} by

$$\text{Lim inf } \mathcal{A} = \bigvee \{ \bigwedge A : A \in \mathcal{A} \}.$$

Dually, define the *upper limit* of \mathcal{A} by

$$\text{Lim sup } \mathcal{A} = \bigwedge \{ \bigvee A : A \in \mathcal{A} \}.$$

Let \mathcal{A}, \mathcal{B} be families of subsets of L . The family \mathcal{A} is *coarser* than \mathcal{B} (\mathcal{B} is *finer* than \mathcal{A} ; $\mathcal{A} \leq \mathcal{B}$) if for every $A \in \mathcal{A}$ there is $B \in \mathcal{B}$ such that $B \subset A$. Families \mathcal{A}, \mathcal{B} are said to be *equivalent* ($\mathcal{A} \equiv \mathcal{B}$) if $\mathcal{A} \leq \mathcal{B}$ and $\mathcal{B} \leq \mathcal{A}$. Evidently, $\mathcal{A} \leq \mathcal{B}$ implies

$$\text{Lim inf } \mathcal{A} \leq \text{Lim inf } \mathcal{B}$$

in L and, dually,

$$\text{Lim sup } \mathcal{A} \geq \text{Lim sup } \mathcal{B}.$$

In particular, if $\mathcal{A} \equiv \mathcal{B}$, then

$$\text{Lim inf } \mathcal{A} = \text{Lim inf } \mathcal{B} \quad \text{and} \quad \text{Lim sup } \mathcal{A} = \text{Lim sup } \mathcal{B}.$$

The *grill* \mathcal{A}^* of a family of subsets of L is defined by

$$\mathcal{A}^* = \{ B \subset L : B \cap A \neq \emptyset \text{ for every } A \in \mathcal{A} \}.$$

It is clear that $\mathcal{A} \leq \mathcal{B}$ if and only if $\mathcal{A}^* \supset \mathcal{B}^*$. It follows from the definition of grill that

$$(1) \quad \mathcal{A} \subset \mathcal{B}^* \Rightarrow \text{Lim inf } \mathcal{A} \leq \text{Lim sup } \mathcal{B}.$$

In particular, $\text{Lim inf } \mathcal{A} \leq \text{Lim sup } \mathcal{A}^*$ because $\mathcal{A} \subset (\mathcal{A}^*)^*$. A complete lattice L is said to be *completely distributive* [2] if for every family \mathcal{A} of subsets of L

$$\text{Lim inf } \mathcal{A} = \text{Lim sup } \mathcal{A}^*$$

holds. A more familiar and equivalent definition of complete distributivity is given in [1].

Let \mathcal{A} be a family of subsets of L . The family \mathcal{A} is a *semifilter* on L if $A \in \mathcal{A}$ and $A \subset B \subset L$ imply $B \in \mathcal{A}$. In other words, \mathcal{A} is a semifilter on L if and only if $\mathcal{A}^{**} = \mathcal{A}$. More generally, for any \mathcal{A} the family \mathcal{A}^{**} is the smallest semifilter containing \mathcal{A} ; hence $\mathcal{A}^{**} \equiv \mathcal{A}$. The set $\text{sf } L$ of all semifilters on L is a complete ring of sets. The operator of grill is a dual automorphism of $\text{sf } L$ which is an involution.

Let x be an element of a complete lattice L . The semifilters $\mathcal{N}_-(x)$ and $\mathcal{N}_+(x)$ are defined by

$$(2) \quad \mathcal{N}_-(x) = \{A \subset L: \bigvee A^c \not\geq x\} \quad \text{and} \quad \mathcal{N}_+(x) = \{A \subset L: \bigwedge A^c \not\leq x\}.$$

It can be shown that $\mathcal{N}_-(x)$ is the intersection of all semifilters \mathcal{A} on L such that $\text{Lim inf } \mathcal{A} \geq x$; and, dually, $\mathcal{N}_+(x)$ is the intersection of those which verify $\text{Lim sup } \mathcal{A} \leq x$.

LEMMA 1. A complete lattice L is completely distributive if and only if, for every $x \in L$, $\text{Lim inf } \mathcal{N}_-(x) = x$.

Proof. We observe first that for every $x \in L$

$$(3) \quad \mathcal{N}_-(x)^* = \{A \subset L: \bigvee A \geq x\} \quad \text{and} \quad \text{Lim sup } \mathcal{N}_-(x)^* = x.$$

Now, if L is completely distributive, we have

$$\text{Lim inf } \mathcal{N}_-(x) = \text{Lim sup } \mathcal{N}_-(x)^*.$$

Hence by (3) we infer that for every $x \in L$

$$\text{Lim inf } \mathcal{N}_-(x) = x.$$

Next, we observe that, for every family \mathcal{A} of subsets of L , $\text{Lim sup } \mathcal{A}^* = x$ implies $\mathcal{A}^* \subset \mathcal{N}_-(x)^*$, because

$$\bigwedge \{\bigvee A: A \in \mathcal{A}^*\} \geq x.$$

Hence

$$(4) \quad \text{Lim sup } \mathcal{A}^* = x \Rightarrow \mathcal{A} \supseteq \mathcal{N}_-(x).$$

Suppose now that for every $x \in L$

$$\text{Lim inf } \mathcal{N}_-(x) = x.$$

We will show that L is completely distributive. Let \mathcal{A} be a family of subsets

of L , and $x = \text{Limsup } \mathcal{A}^*$. By (4) we obtain $\mathcal{A} \geq \mathcal{N}_-(x)$. Hence

$$(5) \quad x = \text{Lim inf } \mathcal{N}_-(x) \leq \text{Lim inf } \mathcal{A}.$$

But $\text{Lim inf } \mathcal{A} \leq \text{Limsup } \mathcal{A}^* = x$, therefore by (5) we have

$$\text{Lim inf } \mathcal{A} = \text{Limsup } \mathcal{A}^*;$$

that is, L is completely distributive.

LEMMA 2. Let L be a complete lattice and $\{\mathcal{A}_i\}_i \subset \text{sf } L$. Then

$$\text{Lim inf}(\cup \mathcal{A}_i) = \bigvee \text{Lim inf } \mathcal{A}_i.$$

Moreover, if L is completely distributive, then

$$\text{Lim inf}(\cap \mathcal{A}_i) = \bigwedge \text{Lim inf } \mathcal{A}_i.$$

The proof of this lemma is immediate.

THEOREM 1 (Raney [5], Theorem 1). Every completely distributive lattice is a complete image of a complete ring of sets.

Proof. Let L be a completely distributive lattice. The lattice $\text{sf } L$ is a complete ring of sets. By Lemma 2, L is a complete image of $\text{sf } L$, because

$$\text{Lim inf: sf } L \rightarrow L$$

is a complete homomorphism.

Let L be a complete lattice. A subset M of L is called a lower semi-ideal of L if, for every $x \in M$, $[0, x] \subset M$.

THEOREM 2 (Raney [5], Theorem 1). Let $K(x)$ be the intersection of the family of lower semi-ideals M of L such that $\bigvee M \geq x$. Then L is completely distributive if and only if, for every x , $\bigvee K(x) = x$.

Proof. Since, for every $x \in L$,

$$K(x) = \{\bigwedge A : A \in \mathcal{N}_-(x)\},$$

Lemma 1 implies the required characterization of the complete distributivity.

THEOREM 3 (Raney [7], Theorems 4 and 5). Let L be a complete lattice. The following properties are equivalent:

- (a) L is completely distributive;
- (b) for every $x \in L$,

$$\bigvee \{\bigwedge [0, y]^c : y \in [x, 1]^c\} = x;$$

(c) for every pair $x, y \in L$ with $x \not\leq y$ there exist $x', y' \in L$ such that $x' \not\leq y$, $x \not\leq y'$ and

$$(6) \quad [0, y'] \cup [x', 1] = L.$$

Proof. Since $\mathcal{N}_-(x) \equiv \{[0, y]^c : y \in [x, 1]^c\}$, Lemma 1 implies that (a) and (b) are equivalent. Now we prove that (a) \Rightarrow (c). Let $x, y \in L$ with $x \not\leq y$.

By Lemma 1 and its dual, we have

$$\text{Lim inf } \mathcal{N}_-(x) \not\leq \text{Lim sup } \mathcal{N}_+(y).$$

Hence, by (1), there are $A \in \mathcal{N}_-(x)$ and $B \in \mathcal{N}_+(y)$ such that $A \cap B = \emptyset$. Setting $y' = \bigvee A^c$ and $x' = \bigwedge B^c$, by (2) we obtain $y' \not\geq x$ and $x' \not\leq y$. Since

$$[0, y'] \supset A^c \quad \text{and} \quad [x', 1] \supset B^c,$$

by $A \cap B = \emptyset$ we have (6). Therefore, the implication (a) \Rightarrow (c) is proved. Now, using Lemma 1 we verify that (c) \Rightarrow (a). Let $x \in L$. Since

$$\text{Lim inf } \mathcal{N}_-(x) \leq \text{Lim sup } \mathcal{N}_-(x)^{\#},$$

by (3) we have $\text{Lim inf } \mathcal{N}_-(x) \leq x$. Let $x' \not\leq \text{Lim inf } \mathcal{N}_-(x)$ and y' satisfy (6). Using the inequality

$$x' \not\leq \text{Lim inf } \mathcal{N}_-(x),$$

we have $[x', 1]^c \in \mathcal{N}_-(x)^{\#}$; and by (6) we obtain $[x', 1]^c \subset [0, y']$. Since $\mathcal{N}_-(x)^{\#}$ is a semifilter on L , we infer that $[0, y'] \in \mathcal{N}_-(x)^{\#}$. Hence by (3) we obtain $y' \geq x$. Therefore, for every $x', y' \in L$, $x' \not\leq \text{Lim inf } \mathcal{N}_-(x)$ and (6) imply $y' \geq x$. Hence by (c)' we obtain

$$x \leq \text{Lim inf } \mathcal{N}_-(x).$$

Since we have verified that $x \geq \text{Lim inf } \mathcal{N}_-(x)$, Lemma 1 gives (c) \Rightarrow (a).

THEOREM 4 (Raney [6], Theorem A, and [7], Theorem 7, Papert Strauss [4], Theorem 6). *Every completely distributive complete lattice is isomorphic to a closed sublattice of a product of copies of the unit interval $[0, 1] \subset \mathbb{R}$.*

Proof (sketch). Let Ω be the set of all complete homomorphisms from a complete lattice L to $[0, 1]$. For every $x \in L$, let $\Phi(x): \Omega \rightarrow [0, 1]$ be defined for every $\omega \in \Omega$ by

$$\Phi(x)(\omega) = \omega(x).$$

Φ is a complete homomorphism from L to $[0, 1]^{\Omega}$. If L is completely distributive, then Φ is also a one-to-one mapping of L into $[0, 1]^{\Omega}$. In fact, if $x, y \in L$ with $x \not\leq y$, by induction the property (c) (see Theorem 3) implies that there exist $\{a_s\}_{s \in D}$, $\{b_s\}_{s \in D} \subset L$ (D is the set of all dyadic rational numbers belonging to $[0, 1]$) such that

$$\begin{aligned} a_0 &= 0, & a_1 &= x, & b_0 &= y, & b_1 &= 1, \\ [0, b_s] \cup [a_s, 1] &= L & \text{for every } s \in D, \\ a_s &\not\leq b_t & \text{for every } s, t \in D \text{ with } s > t. \end{aligned}$$

Moreover, since

$$\sup \{s \in D: x \geq a_s\} = \inf \{s \in D: x \leq b_s\}$$

for every $x \in L$, it is easy to verify that $\omega: L \rightarrow [0, 1]$, defined for every $x \in L$ by

$$\omega(x) = \sup \{s \in D: x \geq a_s\},$$

is a complete homomorphism such that $\omega(x) = 1$ and $\omega(y) = 0$. Therefore, for a completely distributive lattice L the mapping Φ is a complete isomorphism between L and the complete lattice of real-valued functions $L^* = \{\Phi(x): x \in L\}$.

Remark. Other characterizations of the completely distributive complete lattices are also obtained by the author, using notions of lower limit and upper limit [2] and that of fuzzy integral [3].

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