

**THE HOMOTOPY CATEGORY OF CHAIN COMPLEXES
IS A HOMOTOPY CATEGORY**

BY

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In this paper we show that the category of chain complexes (not necessarily bounded) over an abelian category with fibrations and cofibrations in the sense of Kamps (see [2]) and with the chain homotopy equivalences as weak equivalences is the closed model category in the sense of Quillen (see [4]).

Moreover, we prove that this category is a proper closed model category (see [1]).

1. Preliminaries. Let $d\mathcal{A}$ be the category of chain complexes over an abelian category \mathcal{A} . In [3] Kamps proved the following

PROPOSITION. *A chain map $f: X \rightarrow Y$ is a fibration iff it is a normal epimorphism (i.e. $f_n: X_n \rightarrow Y_n$ is a retraction in \mathcal{A} for each integer n).*

He announced that the dual is also true.

In his proof Kamps used a *homotopy system* (I, j_0, j_1, q) in $d\mathcal{A}$ (see [2]) defined as follows:

let X be a chain complex and $f: X \rightarrow Y$ a chain map,

$$IX_n = X_n \oplus X_n \oplus X_{n-1} \quad \text{and} \quad If_n = f_n \oplus f_n \oplus f_{n-1}.$$

The boundary d^{IX} of IX is given by the matrix

$$d_n^{IX} = \begin{pmatrix} d_n^X & 0 & \text{id}_{X_{n-1}} \\ 0 & d_n^X & -\text{id}_{X_{n-1}} \\ 0 & 0 & -d_{n-1}^X \end{pmatrix},$$

and j_0^X, j_1^X, q^X are determined by the matrices

$$\begin{pmatrix} \text{id}_{X_n} \\ 0 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} 0 \\ \text{id}_{X_n} \\ 0 \end{pmatrix}, \quad (\text{id}_{X_n} \text{id}_{X_n} 0),$$

respectively.

Let

$$\begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 j_0^X \downarrow & & \downarrow k \\
 IX & \xrightarrow{i} & Z_f
 \end{array}$$

be a pushout in $d\mathcal{A}$ (Z_f is called the *mapping cylinder* of f). We have

$$(Z_f)_n = Y_n \oplus X_n \oplus X_{n-1} \quad \text{and} \quad d_n^{Z_f} = \begin{pmatrix} d_n^Y & 0 & f_{n-1} \\ 0 & d_n^X & -\text{id}_{X_{n-1}} \\ 0 & 0 & -d_{n-1}^X \end{pmatrix}.$$

The maps k and l are defined by

$$k_n = \begin{pmatrix} \text{id}_{Y_n} \\ 0 \\ 0 \end{pmatrix} \quad \text{and} \quad l_n = f_n \oplus \text{id}_{X_n} \oplus \text{id}_{X_{n-1}}.$$

A *cohomotopy system* (P, p_0, p_1, s) in $d\mathcal{A}$ (see [2]) is defined similarly. It is not difficult to see that chain homotopies

$$(s_n: X_n \rightarrow Y_{n+1})_{n \in \mathbb{Z}}$$

of the chain maps $f, g: X \rightarrow Y$ are in 1-1 correspondence with chain maps $H: IX \rightarrow Y$ such that $H \cdot j_0^X = f$ and $H \cdot j_1^X = g$ (or $H: X \rightarrow PY$ such that $p_0^Y \cdot H = f$ and $p_1^Y \cdot H = g$). Write $H: f \simeq g$.

The chain maps $f, g: X \rightarrow Y$ are called *homotopic over $i: Y \rightarrow Z$* (respectively, *under $p: W \rightarrow X$*) if $i \cdot f = i \cdot g$ (respectively, $f \cdot p = g \cdot p$) and there exists a homotopy $H: IX \rightarrow Y$ from f to g such that the diagrams

$$\begin{array}{ccc}
 IX & \xrightarrow{H} & Y \\
 I(i \cdot f) \downarrow & & \downarrow i \\
 IZ & \xrightarrow{q^Z} & Z
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 IW & \xrightarrow{q^W} & W \\
 Ip \downarrow & & \downarrow f \cdot p \\
 IX & \xrightarrow{H} & Y
 \end{array}$$

respectively, are commutative. We write $H: f \overset{i}{\simeq} g$ (respectively, $H: f \overset{p}{\simeq} g$).

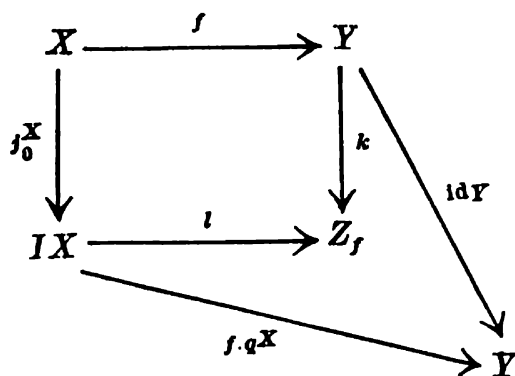
Remark. It is not difficult to check that the chain maps $f, g: X \rightarrow Y$ are homotopic over $i: Y \rightarrow Z$ (respectively, under $p: W \rightarrow X$) iff $i \cdot f$

$= i \cdot g$ (respectively, $f \cdot p = g \cdot p$) and there exists a chain homotopy $(s_n: X_n \rightarrow Y_{n+1})_{n \in \mathbb{Z}}$ from f to g such that $i_{n+1} \cdot s_n = 0$ (respectively, $s_n \cdot p_n = 0$) for each integer n .

2. The main theorem. A chain map $f: X \rightarrow Y$ is called a *fibration* (*cofibration*) if it is a fibration (cofibration) in the sense of Kamps (see [2]) and f is said to be a *weak equivalence* if it is a chain homotopy equivalence.

LEMMA 1. Any chain map $f: X \rightarrow Y$ may be factored $f = p \cdot i$, where i is a cofibration and p is a trivial fibration.

Proof. Consider the commutative diagram



in the category of chain complexes.

There exists a chain map $p: Z_f \rightarrow Y$ such that $p \cdot l = f \cdot q^X$ and $p \cdot k = \text{id}_Y$.

For $i = l \cdot j_1^X$ we have $p \cdot i = f$.

Since

$$p \cdot k = \text{id}_Y \quad \text{and} \quad i = \begin{pmatrix} 0 \\ \text{id}_X \\ 0 \end{pmatrix},$$

p is a fibration and i is a cofibration by the result of Kamps (see [3]).

In order to prove that p is a weak equivalence it suffices to show that $k \cdot p \simeq \text{id}_{Z_f}$ since $p \cdot k = \text{id}_Y$.

It is easy to check that the sequence of maps

$$s_n = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \text{id}_{X_n} & 0 \end{pmatrix}: (Z_f)_n \rightarrow (Z_f)_{n+1}$$

is the required homotopy.

Note that the dual lemma is also true.

LEMMA 2. The following statements are equivalent for a chain map $f: X \rightarrow Y$:

- (i) f is a trivial fibration;

(ii) f has the RLP (see [4]) with respect to the cofibrations;

(iii) f is a fibration and a strong deformation coretract (i.e. there exists a chain map $r: Y \rightarrow X$ such that $f \cdot r = \text{id}_Y$ and $r \cdot f \simeq_f \text{id}_X$).

Proof. (iii) \Rightarrow (i) is obvious.

(i) \Rightarrow (iii). Let $g: Y \rightarrow X$ be a chain map such that $f \cdot g \simeq \text{id}_Y$, $g \cdot f \simeq \text{id}_X$ and let $H: f \cdot g \simeq \text{id}_Y$.

Consider the commutative diagram

$$\begin{array}{ccc}
 Y & \xrightarrow{g} & X \\
 j_0^Y \downarrow & & \downarrow f \\
 IY & \xrightarrow{H} & Y
 \end{array}$$

Since f is a fibration, there exists a chain map $G: IY \rightarrow X$ such that $f \cdot G = H$ and $G \cdot j_0^Y = g$.

For $r = G \cdot j_1^Y$ we have $f \cdot r = \text{id}_Y$ and $g \simeq r$, so $r \cdot f \simeq \text{id}_X$. Let $F: r \cdot f \simeq \text{id}_X$. Then $F': IX \rightarrow X$ given by the sequence of maps

$$F'_n = F_n - r_n \cdot f_n \cdot F_n \cdot \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \text{id}_{X_{n-1}} \end{pmatrix}$$

is the required homotopy.

(ii) \Rightarrow (iii). The chain map $0 \rightarrow Y$ is a cofibration, so there exists a chain map $r: Y \rightarrow X$ such that the diagram

$$\begin{array}{ccc}
 0 & \xrightarrow{\quad} & X \\
 \downarrow & \nearrow r & \downarrow f \\
 Y & \xrightarrow{\text{id}_Y} & Y
 \end{array}$$

commutes. The chain map $X \oplus X \rightarrow IX$ induced by j_0^X and j_1^X is a cofibration by the result of Kamps. Therefore, the strong deformation may be constructed by lifting in the diagram

$$\begin{array}{ccc}
 X \oplus X & \xrightarrow{(r \cdot f, \text{id}_X)} & X \\
 \downarrow & & \downarrow f \\
 IX & \xrightarrow{f \cdot q^X} & Y
 \end{array}$$

(iii) \Rightarrow (ii). A lifting in the diagram

$$\begin{array}{ccc} Z & \xrightarrow{\alpha} & X \\ \downarrow i & & \downarrow f \\ W & \xrightarrow{\beta} & Y \end{array}$$

where i is a cofibration, can be constructed in the following way.

Let $Z' = \text{coker } i$. Without loss of generality we can assume that

$$W_n = Z_n \oplus Z'_n, \quad \beta_n = (\beta_n^1, \beta_n^2), \quad \text{and} \quad i = \begin{pmatrix} \text{id}_Z \\ 0 \end{pmatrix}.$$

Then the boundary d_n^W of W takes the form

$$d_n^W = \begin{pmatrix} d_n^Z & \theta_n \\ 0 & d_n^Z \end{pmatrix},$$

where $\theta_n: Z'_n \rightarrow Z_{n-1}$ is a suitable morphism of \mathcal{A} .

By assumption there is a chain map $r: Y \rightarrow X$ such that $f \cdot r = \text{id}_Y$ and $H: r \cdot f \xrightarrow{f} \text{id}_X$. Let $s_n: X_n \rightarrow X_{n+1}$ be a sequence of maps induced by H . Then the sequence of maps $t_n: Z_n \oplus Z'_n \rightarrow X_n$ given by the matrices

$$t_n = (a_n \quad r_n \cdot \beta_n^1 - s_{n-1} \cdot a_{n-1} \cdot \theta_n)$$

induces a lifting in the above diagram.

A suitable dualization of Lemma 2 is also true.

THEOREM (the main result). *The category $d\mathcal{A}$ defined as above is a closed model category.*

Proof. It suffices to verify axioms M0, M2, M5 and M6 (see [4]). M0 is clear. M2 follows directly from Lemma 1 and from its dualization. M5 is obvious. M6 follows immediately from Lemmas 1 and 2.

From the Theorem and the following lemma we infer that $d\mathcal{A}$ is also a proper closed model category.

LEMMA 3. *If the square*

$$\begin{array}{ccc} X & \xrightarrow{f} & W \\ \downarrow i & & \downarrow j \\ Y & \xrightarrow{g} & Z \end{array}$$

is a pushout in $d\mathcal{A}$ with i a cofibration and f a weak equivalence, then g is a weak equivalence, and if the square is a pullback with j a fibration and g a weak equivalence, then f is a weak equivalence.

Proof. Without loss of generality we can assume that

$$Y_n = X_n \oplus X'_n, \quad i_n = \begin{pmatrix} \text{id}_{X_n} \\ 0 \end{pmatrix}, \quad \text{and} \quad d_n^Y = \begin{pmatrix} d_n^X & \theta_n \\ 0 & d_n^X \end{pmatrix},$$

where $X = \text{coker } i$.

It is easy to check that $Z_n = W_n \oplus X'_n$, and

$$j_n = \begin{pmatrix} \text{id}_{W_n} \\ 0 \end{pmatrix}, \quad g_n = \begin{pmatrix} f_n & 0 \\ 0 & \text{id}_{X'_n} \end{pmatrix}, \quad d_n^Z = \begin{pmatrix} d_n^W & f_{n-1} \cdot \theta_n \\ 0 & d_n^X \end{pmatrix}$$

for each integer n .

Let the chain map $h: W \rightarrow X$ be the homotopy inverse for f and let $H: h \cdot f \simeq \text{id}_X$, $G: f \cdot h \simeq \text{id}_W$. Then the chain map $k: Z \rightarrow Y$ given by the sequence

$$k_n = \begin{pmatrix} h_n & 0 \\ 0 & \text{id}_{X'_n} \end{pmatrix}$$

is the homotopy inverse for g .

The suitable homotopies are established by H and G in an obvious way. This completes the proof.

The second part can be proved similarly and we omit it.

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