

MEAN  $p$ -VALENT FUNCTIONS WITH GAPS

BY

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**1. Introduction and statement of results.** Suppose that

$$(1.1) \quad f(z) = \sum_{\nu=0}^{\infty} a_{n_\nu} z^{n_\nu}$$

is mean  $p$ -valent <sup>(1)</sup> in  $|z| < 1$  and that

$$(1.2) \quad n_{\nu+1} - n_\nu \geq C, \quad \nu \geq \nu_0,$$

where  $C$  is an integer greater than one. (The case  $C=1$  is classical). Our aim is to investigate the effect of the gaps (1.1) and (1.2) on the growth of  $f(z)$  and on its coefficients  $a_n$ . We shall prove the following

**THEOREM 1.** *Suppose that  $f(z)$ , given by (1.1), is mean  $p$ -valent in  $|z| < 1$  and that (1.2) holds. Then*

$$(1.3) \quad M(r, f) < A_1(p, C, \nu_0) \mu_p (1-r)^{-2p/C}, \quad 0 < r < 1,$$

and hence we have for  $n \geq 1$

$$(1.4) \quad |a_n| < A_2(p, C, \nu_0) \mu_p n^{2p/C-1}, \quad C < 4p,$$

$$(1.5) \quad |a_n| < A_3(p, C, \nu_0) \mu_p n^{-1/2} \log n, \quad C = 4p.$$

If  $C > 4p$ ,

$$(1.6) \quad |a_n| < A_4(p, C, \nu_0) \mu_p n^{-1/2},$$

and

$$(1.7) \quad |a_n| = o(n^{-1/2}) \quad \text{as } n \rightarrow \infty.$$

Here

$$\mu_p = \max_{0 \leq n \leq p} |a_n|, \quad M(r, f) = \max_{|z|=r} |f(z)|,$$

<sup>(1)</sup> In this paper mean  $p$ -valent denotes a really mean  $p$ -valent in the sense of [3].

and  $A_j(p, C, \nu_0)$  denotes a particular constant depending on  $p, C, \nu_0$  only. In addition  $A(\alpha, \beta, \gamma, \dots)$  will denote as usual constants depending on  $(\alpha, \beta, \gamma, \dots)$  only, not necessarily the same each time. Theorem 1 is classical if  $n_\nu = C\nu + B$ , where  $B$  is a constant (see e.g. [3], Chapters II and III).

Inequalities (1.3), (1.4), (1.6) and (1.7) all give the correct orders of magnitude. In fact, if  $C$  is any positive integer and  $p > 0$ , the functions

$$f(z) = (1 - z^C)^{-2p/C} = \sum_{\nu=0}^{\infty} a_{C\nu} z^{C\nu}$$

satisfy (1.1) with  $n_\nu = C\nu$ , and are  $p$ -valent in  $|z| < 1$  if  $p/C$  is an integer and mean  $p$ -valent otherwise. Also

$$M(r, f) = (1 - r^C)^{-2p/C}$$

and

$$a_{C\nu} \sim \frac{n^{2p/C-1}}{\Gamma(2p/C)} \quad \text{as } n \rightarrow \infty,$$

so that the orders of magnitude in (1.3) and (1.4) cannot be sharpened. The deductions (1.6) and (1.7) from (1.3) hold for any mean  $p$ -valent function and are due to Pommerenke [6]. These inequalities are also sharp. In fact, if  $\{a_n\}$  is any sequence of positive numbers for  $n \geq 1$ , such that

$$(1.8) \quad \sum_1^{\infty} a_n \leq 1, \quad \sum_1^{\infty} n a_n^2 \leq 1,$$

then

$$(1.9) \quad f(z) = a_0 + \sum_1^{\infty} a_n z^n$$

is mean  $p$ -valent in  $|z| < 1$ , provided that  $a_0 > 1 + p^{-1/2}$  [6]. For a fixed  $m$  we may choose  $a_n = n^{-1/2}$ ,  $n = m$ ,  $a_n = 0$  otherwise, so that (1.6) cannot be sharpened. Also given any sequence  $\varepsilon_n$ , such that

$$\varepsilon_n \rightarrow 0 \quad \text{as } n \rightarrow \infty,$$

we can clearly find a sequence  $n_\nu$  of positive integers satisfying (1.2) and such that

$$\sum_1^{\infty} \varepsilon_{n_\nu} \leq 1, \quad \sum_1^{\infty} \varepsilon_{n_\nu}^2 \leq 1.$$

We then set  $a_0 = 2 + p^{-1/2}$ ,

$$a_n = \varepsilon_n/n^{1/2}, \quad n = n_\nu, \quad a_n = 0, \quad n \neq n_\nu, \quad n \geq 1,$$

and note that (1.8) holds so that  $f(z)$  given by (1.9) is mean  $p$ -valent. Since  $\varepsilon_n$  may tend to zero as slowly as we please, the order of magnitude in (1.7) cannot be sharpened. It is not known whether (1.5) is sharp.

If  $p$  is an integer, the functions

$$f(z) = z(1-z^C)^{-2p/C}$$

are  $p$ -valent, i.e. assume no value more than  $p$  times and show that even in this case (1.3) and (1.4) cannot be sharpened. It is not known whether (1.6) and (1.7) remain sharp for  $p$ -valent functions. However, some examples of Littlewood [5], show that, if  $p = 1$  and  $f(z)$  is univalent, (1.4) does not in general remain true if  $C$  is large even for the sequence  $n_\nu = C\nu + 1$ . In the second half of the paper we investigate those functions which have maximal growth subject to the hypotheses of Theorem 1.

**2. Proof of Theorem 1.** Inequalities (1.4) to (1.7) are immediate consequences of (1.3) for mean  $p$ -valent functions by theorems of Spencer ([8] see also [3]) and Pommerenke [6]. It is thus only necessary to prove (1.3). We shall see that (1.3) also follows fairly simply from known results. Among these the following Theorem of Ingham ([4], Theorem 1) plays a fundamental role.

LEMMA 1. *Suppose that  $g(\theta)$ , defined in  $[0, 2\pi]$ , has a uniformly convergent Fourier expansion*

$$g(\theta) = \sum_{-\infty}^{\infty} b_{n_\nu} e^{in_\nu \theta},$$

where  $n_{\nu+1} - n_\nu \geq C \geq 1$ . Then given  $\varepsilon > 0$ ,  $0 \leq \theta_1 < 2\pi$ , we have

$$\int_0^{2\pi} |g(\theta)|^2 d\theta < CA(\varepsilon) \int_{\theta_1}^{\theta_1 + 2\frac{\pi+\varepsilon}{C}} |g(\theta)|^2 d\theta.$$

We now write

$$(2.1) \quad P(z) = \sum_{\nu=0}^{\nu_0-1} a_{n_\nu} z^{n_\nu}, \quad g(z) = \sum_{\nu=\nu_0}^{\infty} a_{n_\nu} z^{n_\nu},$$

so that  $f(z) = P(z) + g(z)$  in (1.1).

We have by Lemma 1, for  $0 < t < 1$ ,  $0 \leq \theta_1 < 2\pi$ ,  $\varepsilon > 0$  and  $\theta_2 \geq \theta_1 + 2(\pi + \varepsilon)/C$

$$(2.2) \quad \int_0^{2\pi} |g'(te^{i\theta})|^2 d\theta \leq CA(\varepsilon) \int_{\theta_1}^{\theta_2} |g'(te^{i\theta})|^2 d\theta.$$

We write

$$S(r, \theta_1, \theta_2, g) = \int_0^r \int_{\theta_1}^{\theta_2} |g'(te^{i\theta})|^2 t dt d\theta,$$

$$S(r, g) = S(r, 0, 2\pi, g),$$

and deduce that for  $\theta_2 \geq \theta_1 + 2\left(\frac{\pi + \varepsilon}{C}\right)$

$$(2.3) \quad S(r, g) < CA(\varepsilon)S(r, \theta_1, \theta_2, g).$$

We have next

LEMMA 2. *Suppose that  $f(z)$  is regular in  $|z| < 1$ , that  $\alpha$  and  $K$  are positive constants and that*

$$(2.4) \quad M(r_1, f) < K(1-r_1)^{-\alpha}, \quad M(r_2, f) \geq K(1-r_2)^{-\alpha},$$

where  $0 < r_1 < r_2 < 1$ . Then there exists  $r$  such that  $r_1 < r \leq r_2$  and

$$(2.5) \quad M(r, f) \geq K(1-r)^{-\alpha},$$

$$(2.6) \quad S(r', f) \geq \frac{\pi}{4} \alpha^2 M(r, f)^2 \geq \frac{\pi}{4} \alpha^2 K^2 (1-r)^{-2\alpha},$$

where  $r' = \frac{1}{2}(1+r)$ .

We write  $M(r) = M(r, f)$  and denote by  $M'(r)$  the right derivative of  $M(r)$ . Suppose that

$$(2.7) \quad \frac{M'(t)}{M(t)} \leq \frac{\alpha}{(1-t)}, \quad r \leq t \leq r_2.$$

Then we have by integration

$$\log M(r_2) - \log M(r) \leq \alpha \log \left( \frac{1-r}{1-r_2} \right),$$

so that

$$(2.8) \quad (1-r)^\alpha M(r) \geq (1-r_2)^\alpha M(r_2) \geq K.$$

This contradicts (2.3) if  $r = r_1$  and so (2.7) is certainly false for  $r = r_1$ . If

$$\frac{M'(r_2)}{M(r_2)} \geq \frac{\alpha}{(1-r_2)},$$

we set  $r = r_2$ . If not, we set  $r$  equal to the lower bound of all numbers such that (2.7) holds. It then follows that  $r_1 < r \leq r_2$  and further that

$$(2.9) \quad \frac{M'(r)}{M(r)} \geq \frac{\alpha}{1-r}.$$

In fact,  $M(r)$  can have isolated jump increases, but by our definition  $M'(\rho)$  is continuous on the right. If (2.9) were false, we could replace  $r$  by a slightly smaller number. Also in view of (2.8) we have (2.5).

We proceed to prove (2.6). It follows from a known result (see e.g. [2]) that there always exists a point  $z_0 = re^{i\theta}$ , such that

$$|f(z_0)| = M(r, f), \quad z_0 \frac{f'(z_0)}{f(z_0)} = r \frac{M'(r)}{M(r)}.$$

Hence

$$|f'(z_0)| = M'(r).$$

Now if  $r' = \frac{1}{2}(1+r)$  it follows that

$$S(r', f) \geq \iint_{|z-z_0| \leq r'-r} |f'(z)|^2 dx dy \geq \pi(r'-r)^2 |f'(z_0)|^2,$$

since  $|f'(z)|^2$  is subharmonic. This gives, in view of (2.8) and (2.9),

$$S(r', f) \geq \frac{\pi}{4} (1-r)^2 M'(r)^2 \geq \frac{\pi}{4} \alpha^2 M(r, f)^2 \geq \frac{\pi}{4} \alpha^2 K^2 (1-r)^{-2\alpha},$$

which is (2.6). This completes the proof of Lemma 2.

We next need a lemma due to Pommerenke [7].

LEMMA 3. *If*

$$f(z) = \sum_1^{\infty} a_n z^n$$

*is mean  $p$ -valent in  $|z| < 1$ , and  $\delta > 0$ , then there exists a positive integer  $k$ , and numbers  $C_0, C_1, C_2, \dots, C_k$  possibly depending on  $n$  but bounded above by constants depending on  $p, \delta$  only such that*

$$(2.10) \quad \left| a_n + \sum_{t=1}^k C_t a_{n-t} \right| < C_0 n^{-1/2+\delta} \mu_p.$$

We deduce

LEMMA 4. *With the hypotheses of Theorem 1, and given  $\delta > 0$ , we have*

$$|a_n| < A(p, \delta, \nu_0) n^{-1/2+\delta} \mu_p, \quad n \leq n_{\nu_0}.$$

Since  $a_n = 0$ , except when  $n = n_{\nu}$ , it is sufficient to consider  $n = n_{\nu}$ , with  $\nu \leq \nu_0$ . We prove our result by induction on  $\nu_0$ . Suppose that it is proved for  $\nu_0 < m$ . We proceed to prove it for  $\nu_0 = m$ . We set

$$N = n_{\nu_0} = n_m$$

and use Lemma 3 with  $n = N$ . The terms  $a_{n-t}$  which appear in (2.10) and are different from zero are of the form  $a_{n_\nu}$ , with  $\nu < m$ , and so Lemma 3 applies to these. We deduce

$$\begin{aligned} |a_n| &< A(p, \delta) \mu_p n^{-1/2+\delta} + \sum_{t=1}^k \mu_p A(p, \delta, \nu_0-t, t) (n-t)^{-1/2+\delta} \\ &< \mu_p A(p, \delta, \nu_0) n^{-1/2+\delta}. \end{aligned}$$

This proves Lemma 4.

We have next

LEMMA 5. *If  $f(z)$  satisfies the hypotheses of Theorem 1 and  $P(z)$  is given by (2.1), then given  $\alpha > 0$ , we have*

$$S(r, P) < A_1(p, \alpha, \nu_0) \mu_p^2 (1-r)^{-2\alpha}, \quad 0 < r < 1.$$

We have by Lemma 4

$$\begin{aligned} S(r, P) &= \pi \sum_{\nu=1}^{\nu_0} n_\nu |a_{n_\nu}|^2 r^{2n_\nu} < A(p, \alpha, \nu_0) \mu_p^2 \sum_{\nu=1}^{\nu_0} n_\nu^{2\alpha} r^{2n_\nu} \\ &< A(p, \alpha, \nu_0) \mu_p^2 \sup_{n=1 \text{ to } \infty} \{n^{2\alpha} r^{2n}\}. \end{aligned}$$

Also

$$\frac{(n+1)^{2\alpha} r^{2(n+1)}}{n^{2\alpha} r^{2n}} = \left(1 + \frac{1}{n}\right)^{2\alpha} r^2 < 1,$$

if  $r < (1+1/n)^{-\alpha}$ ,  $n > (r^{-1/\alpha} - 1)^{-1}$ . Thus

$$\sup n^{2\alpha} r^{2n} \leq [(r^{-1/\alpha} - 1)^{-1} + 1]^{2\alpha} < A(\alpha) (1-r)^{-2\alpha}.$$

This proves Lemma 5.

We can now prove

LEMMA 6. *Suppose that  $f(z)$  satisfies the hypotheses of Theorem 1 and that (2.4) holds with  $\alpha = 2p/C$  and some constant*

$$(2.11) \quad K > A_2(\nu_0, p, C, \varepsilon) \mu_p.$$

*Let  $r, r'$  satisfy the conclusions of Lemma 2. Then if  $\varepsilon > 0$ ,  $0 \leq \theta_1 < 2\pi$ , there exists  $z = \varrho e^{i\theta}$ , with  $0 \leq \varrho \leq r'$ ,  $\theta_1 \leq \theta \leq \theta_1 + 2(\pi + \varepsilon)/C$ , such that*

$$(2.12) \quad |f(z)| > \frac{K}{4} \left[ \frac{p}{C^3 A(\varepsilon)} \right]^{1/2} (1-r)^{-\alpha},$$

where  $A(\varepsilon)$  is the constant of (2.3).

We have by (2.5) and (2.6)

$$S(r', f) \geq \frac{\pi}{4} K^2 \alpha^2 (1-r)^{-2\alpha},$$

while by Lemma 5 with  $\alpha = 2p/C$  we have

$$(2.13) \quad S(r', P) \leq A_1 \mu_p^2 (1-r)^{-2\alpha},$$

where  $A_1$  depends on  $\nu_0, p, C$  only. We have for any two functions  $\varphi_1$  and  $\varphi_2$

$$S(r, \varphi_1 + \varphi_2) = \iint_{|z| < r} |\varphi_1 + \varphi_2|^2 dx dy \geq S(r, \varphi_1) + S(r, \varphi_2) - 2[S(r, \varphi_1)S(r, \varphi_2)]^{1/2}$$

by Schwarz's inequality. Thus setting  $\varphi_1 = f, \varphi_2 = -P$ , we have

$$S(r', g) \geq S(r', f) + S(r', P) - 2[S(r', f)S(r', P)]^{1/2} \geq \frac{1}{4} S(r', f)$$

provided that

$$S(r', P) \leq \frac{1}{16} S(r', f),$$

and this is true provided that

$$(2.14) \quad \frac{\pi^2}{4} K^2 \alpha^2 \geq 16A_1 \mu_p^2$$

which we assume. We now apply (2.3) and deduce that we have, with  $\theta_2 = \theta_1 + 2(\pi + \varepsilon)/C$ ,

$$S(r', \theta_1, \theta_2, g) > (A(\varepsilon)C)^{-1} S(r', g) \geq \frac{S(r', f)}{4CA(\varepsilon)} \geq \frac{\pi \alpha^2 K^2}{16CA(\varepsilon)(1-r)^{2\alpha}}.$$

Suppose now that  $K$  is so large that

$$(2.15) \quad \frac{\pi^2 \alpha^2 K^2}{16CA(\varepsilon)} \geq 16A_1 \mu_p^2.$$

Then we deduce from Lemma 5 that

$$S(r', \theta_1, \theta_2, P) \leq S(r', P) \leq \frac{1}{16} S(r', \theta_1, \theta_2, g).$$

Since  $f = P + g$ , this gives just as before that

$$S(r', \theta_1, \theta_2, f) \geq \frac{1}{4} S(r', \theta_1, \theta_2, g) \geq \frac{\pi \alpha^2 K^2}{64CA(\varepsilon)(1-r)^{2\alpha}}.$$

Let  $M = \sup |f(z)|$  in the sector  $E$  of values  $z = te^{i\theta}$  for which  $\theta_1 \leq \theta \leq \theta_2$ ,  $0 \leq t \leq r'$ . Since  $f(z)$  is mean  $p$ -valent in  $E$ , the area of the image of this set by  $f(z)$  is at most  $\pi p M^2$ , so that

$$p\pi M^2 \geq S(r', \theta_1, \theta_2, f) \geq \frac{\pi\alpha^2 K^2}{64CA(\varepsilon)(1-r)^{2\alpha}}.$$

Thus we can find  $z = te^{i\theta}$  in  $E$  such that

$$|f(z)| = M \geq \frac{\alpha K}{8\sqrt[pCA(\varepsilon)]} (1-r)^{-\alpha} = \frac{K}{4} \left( \frac{p}{C^3 A(\varepsilon)} \right)^{1/2} (1-r)^{-\alpha}.$$

This proves Lemma 6 provided that  $K$  satisfies (2.14) and (2.15), i.e. provided that

$$K > A(v_0, p, C, \varepsilon)\mu_p,$$

as required.

**3.** Lemma 6 tells us that there exist at least  $C$  points  $z$  in  $|z| \leq r'$  no two of which are too close together such that (2.12) holds. This leads to a contradiction if  $K$  is too large. We have more precisely

LEMMA 7. *With the hypotheses of Lemma 6, we can find points  $z_\nu = \varrho_\nu e^{i\theta_\nu}$ ,  $\nu = 0$  to  $C-1$ , such that*

$$1 - 4^{-p} \varepsilon / (\pi C) \leq \varrho_\nu \leq r' \quad \text{and} \quad |\theta_\mu - \theta_\nu| \geq \frac{2\varepsilon}{C} \pmod{2\pi}, \quad 0 \leq \mu < \nu \leq C-1,$$

where  $\varepsilon = \pi / [2(C-1)]$ , and

$$(3.1) \quad |f(z_\nu)| > KA(p, C)(1-r')^{-\alpha}.$$

We choose  $z_0 = r' e^{i\theta_0}$ , such that

$$|f(z_0)| = M(r', f) \geq M(r, f) \geq K(1-r)^{-\alpha} = 2^{-\alpha} K(1-r')^{-\alpha}$$

by (2.5). We then find  $z = r_\nu e^{i\theta_\nu}$ ,  $\nu = 1$  to  $C-1$ , satisfying inequality (2.12) in each of the ranges

$$\theta_0 + \frac{2\pi(\nu-1)}{C-1} + \frac{\varepsilon}{C} < \theta_\nu < \theta_0 + \frac{2\pi\nu}{C-1} - \frac{\varepsilon}{C},$$

where

$$\varepsilon = \frac{\pi}{2(C-1)}.$$

The length of the interval in which  $\theta_\nu$  is allowed to lie is

$$\frac{2\pi}{C-1} - \frac{2\varepsilon}{C} = 2\pi \left[ \frac{1}{C-1} - \frac{1}{2C(C-1)} \right] = 2\pi \left[ \frac{1}{C} + \frac{1}{2C(C-1)} \right] = \frac{2(\pi + \varepsilon)}{C},$$

so that Lemma 6 is applicable. Also if we define  $\theta_C = \theta_0 + 2\pi$ , we have

$$\theta_\nu \geq \theta_{\nu-1} + \frac{2\varepsilon}{C}, \quad \nu = 1 \text{ to } C,$$

which is the required inequality for the points  $\theta_\nu$ . Also since  $\alpha = 2p/C$  and  $\varepsilon = \pi/[2(C-1)]$ , (2.12) becomes

$$|f(z_\nu)| > \frac{KV\sqrt{p}}{A(C)}(1-r)^{-\alpha} = \frac{KV\sqrt{p \cdot 2^{-2p/C}}}{A(C)}(1-r')^{-\alpha},$$

which gives (3.1).

By (2.12) we have  $|z_\nu| \leq r'$ . To make  $|z_\nu| \geq 1 - 4^{-p}\varepsilon/\pi C$  it is sufficient to choose  $K$  so big that

$$M\left(1 - \frac{4^{-p}\varepsilon}{\pi C}, f\right) < KA(C, p).$$

Since  $f(z)$  is mean  $p$ -valent in  $|z| < 1$

$$M\left(1 - \frac{4^{-p}\varepsilon}{\pi C}, f\right) < A(p, C)\mu_p\varepsilon^{-2p} = A(p, C)\mu_p,$$

so that we can achieve this by increasing if necessary the constant on the right-hand side of (2.11).

We quote one final result ([3], Theorem 2.6):

LEMMA 8. *Suppose that  $f(z)$  is mean  $p$ -valent in the union of the disjoint disks  $|z - z'_\nu| < r_\nu$ ,  $\nu = 1$  to  $C$ , and that  $f(z) \neq 0$  in  $|z - z'_\nu| < \frac{1}{2}r_\nu$ . Suppose also that*

$$|f(z'_\nu)| \leq R_1, \quad |f(z_\nu)| \geq R_2,$$

where  $\delta_\nu = (r_\nu - |z_\nu - z'_\nu|)/r_\nu > 0$ ,  $R_2 > eR_1$ . Then

$$\sum_{\nu=1}^C \left[ \log \left( \frac{A(p)}{\delta_\nu} \right) \right]^{-1} \leq \frac{2p}{\log(R_2/R_1) - 1}.$$

We can now complete our proof <sup>(2)</sup>. Since  $f(z)$  is mean  $p$ -valent in  $|z| < 1$ ,  $f(z)$  has  $q \leq p$  zeros there. Hence  $f(z) \neq 0$  in at least one of the annuli

$$1 - \frac{4^{-t}2\varepsilon}{\pi C} < |z| < 1 - \frac{4^{-(t+1)}2\varepsilon}{\pi C}, \quad t = 0 \text{ to } q.$$

We choose such an annulus, set

$$\varrho = 1 - 4^{-t} \frac{\varepsilon}{\pi C} = 1 - r_0$$

<sup>(2)</sup> The argument is almost identical with that for [3], Theorem 3.7.

and note that  $f(z)$  has no zeros in the annulus  $1-2r_0 < |z| < 1-\frac{1}{2}r_0$ . We set  $z'_\nu = \varrho e^{i\theta}$ ,  $z_\nu = \varrho_\nu e^{i\theta_\nu}$ ,  $r_\nu = r_0$  in Lemma 8, and note that by hypothesis

$$\varrho_\nu \geq 1 - \frac{4^{-p}\varepsilon}{\pi C} \geq \varrho.$$

Also if  $\mu \neq \nu$ ,

$$|z'_\mu - z'_\nu| \geq 2\varrho \left| \sin \left( \frac{\theta_\mu - \theta_\nu}{2} \right) \right| \geq \varrho \frac{4\varepsilon}{\pi C} \geq \frac{2\varepsilon}{\pi C} > 2r_0.$$

Thus the disks  $|z - z'_\mu| < r_0$  lie in  $|z| < 1$  and are disjoint and so we can apply Lemma 8. Finally

$$\delta_\nu = \frac{r_0 - (\varrho_\nu - \varrho)}{r_0} = \frac{1 - \varrho_\nu}{r_0} \geq \frac{1 - r'}{r_0}.$$

We set

$$R_1 = M(\varrho, f) < A(p)\mu_p(1-\varrho)^{-2p} < A(p)\mu_p C^{4p}$$

by a classical result of Spencer (see e.g. [3], Theorem 2.5). We also put

$$R_2 = \inf_{\nu=0 \text{ to } C-1} |f(z_\nu)| \geq KA(p, C)(1-r')^{-2p/C}.$$

We have by Lemma 8

$$\text{either } R_2 < eR_1 \quad \text{or} \quad \sum_{\nu=1}^C \left[ \log \frac{A(p)}{\delta_\nu} \right]^{-1} < \frac{2p}{\log(R_2/R_1) - 1},$$

i.e.

$$\log \left( \frac{R_2}{R_1} \right) < 1 + \frac{2p}{C} \log \frac{A(p)r_0}{(1-r')},$$

$$R_2 < eR_1 \left[ \frac{A(p)r_0}{(1-r')} \right]^{2p/C},$$

and hence

$$R_2 < A(p, C)\mu_p(1-r')^{-2p/C}.$$

Thus this inequality holds in any case. We deduce

$$KA(p, C)(1-r')^{-2p/C} < A(p, C)\mu_p(1-r')^{-2p/C},$$

which gives  $K \leq A(p, C)\mu_p$ .

Thus (2.4) leads to a contradiction if (2.11) is satisfied and  $K > A(p, C)$ . Since for arbitrarily large  $K$ , we can always satisfy

$$M(r_1, f) < K(1-r_1)^{-\alpha},$$

e.g. with  $r_1 = \frac{1}{2}$ , it follows that the contradiction must arise from

$$M(r_2, f) \geq K(1-r_2)^{-\alpha},$$

which must therefore be false for  $K \geq A(p, C, r_0)\mu_p$ . Thus

$$M(r, f) < A(p, C, r_0)\mu_p(1-r)^{-2p/C}, \quad 0 < r < 1,$$

as required and the proof of Theorem 1 is complete.

4. Suppose now that the hypotheses of Theorem 1 hold and set

$$\beta = \overline{\lim}_{r \rightarrow 1} (1-r)^{2p/C} M(r, f).$$

If  $\beta = 0$ , it follows from classical arguments ([3], pp. 46 and 105) that (1.4) can be sharpened to

$$|a_n| = o(n^{2p/C-1}) \quad \text{as } n \rightarrow \infty,$$

if  $C < 4p$ . We have no further conclusions in this case and confine ourselves in what follows to the hypothesis

$$(4.1) \quad \beta > 0.$$

In this case we are able to apply a series of rather deep regularity theorems recently obtained by Eke [1]. We start by proving that the hypotheses of Eke's Theorems hold. We have

LEMMA 9. *Suppose that  $f(z)$  satisfies the hypotheses of Theorem 1 and in addition (4.1). Then there exists a sequence  $r_k$ , with the following properties:*

$$0 < r_k < 1, \quad k = 1, 2, \dots, \\ r_k \rightarrow 1, \quad \text{as } k \rightarrow \infty.$$

Further for each  $k$  there exist  $C$  points  $z_{\nu,k}$ ,  $\nu = 0$  to  $C-1$ , such that

$$|z_{\nu,k}| = r_k, \quad \nu = 0 \text{ to } C-1,$$

$$|z_{\nu,k} - z_{\mu,k}| \geq \delta > 0, \quad 0 \leq \mu < \nu \leq C-1,$$

where  $\delta$  is a positive constant independent of  $\mu, \nu$  and  $k$ , and finally

$$|f(z_{\nu,k})| > \beta' (1-r_k)^{-2p/C}, \quad k = 1 \text{ to } \infty, \nu = 1 \text{ to } C,$$

where  $\beta'$  is a constant independent of  $\nu$  and  $k$ .

We note first that

$$\beta_1 = \overline{\lim}_{r \rightarrow 1} (1-r)^{(2p/C+1)} M(r, f') \geq 2p\beta/C.$$

For if this is false we have for  $|z| = r > r_0$

$$|f'(z)| \leq (\beta_1 + \varepsilon)(1-r)^{-2p/C-1}.$$

Integrating along a radius this gives for  $r > r_0$

$$M(r, f) < M(r_0, f) + (\beta_1 + \varepsilon) \frac{C}{2p} (1-r)^{-2p/C},$$

which yields a contradiction if  $\beta_1 C / (2p) < \beta$ . Next we note that

$$(4.2) \quad \beta_2 = \overline{\lim}_{r \rightarrow 1} (1-r)^{4p/C} S(r, f') \geq A(p, C) \beta^2.$$

In fact, suppose that  $\varepsilon > 0$  and let  $r$  be chosen so that  $1 - \varepsilon < r < 1$  and such that there exists  $z_0$  with  $|z_0| = r$  and

$$|f'(z_0)| > (\beta_1 - \varepsilon)(1-r)^{-(2p/C+1)}.$$

Then if  $r' = \frac{1}{2}(1+r)$ , we have as in the proof of Lemma 2

$$\begin{aligned} S(r', f) &\geq \iint_{|z-z_0| < \frac{1}{2}(1-r)} |f'(z)|^2 dx dy \geq \frac{\pi}{4} (1-r)^2 |f'(z_0)|^2 \\ &\geq \frac{\pi}{4} (\beta_1 - \varepsilon)^2 (1-r)^{-4p/C} = \frac{\pi}{4} 2^{-4p/C} (\beta_1 - \varepsilon)^2 (1-r')^{-4p/C}, \end{aligned}$$

and since  $r'$  can be chosen as near 1 as we please we deduce (4.2).

We now choose  $r$  as near 1 as we please such that

$$S(r, g) > \frac{1}{2} \beta_2 (1-r)^{-4p/C},$$

where  $g(z)$  is derived from  $f(z)$  as in (2.1). This is possible since  $P(z)$  is a polynomial, so that  $f'(z) - g'(z)$  is bounded. It then follows from (2.3) that

$$S(r, \theta_1, \theta_2, g) > \frac{A(\varepsilon)}{2C} \beta_2 (1-r)^{-4p/C},$$

provided that  $\theta_2 > \theta_1 + 2(\pi + \varepsilon)/C$ , and hence also that

$$S(r, \theta_1, \theta_2, f) \geq \frac{A(\varepsilon)}{3C} \beta_2 (1-r)^{-4p/C}$$

with the same hypotheses. Since  $f(z)$  is mean  $p$ -valent, it follows that

$$S(r, \theta_1, \theta_2, f) \leq \pi p M^2$$

where  $M$  is the maximum of  $|f(te^{i\theta})|$  for  $0 < t < r$ ,  $\theta_1 < \theta < \theta_2$ . Hence we can find  $te^{i\theta}$  such that

$$|f(te^{i\theta})| > \left( \frac{A(\varepsilon) \beta_2}{3\pi p C} \right)^{1/2} (1-r)^{-2p/C}.$$

On the other hand, we have by hypothesis if  $r$  and so  $t$  is sufficiently near 1,

$$(4.3) \quad |f(te^{i\theta})| < 2\beta(1-t)^{-2p/C},$$

so that

$$(4.4) \quad (1-t) > K(1-r),$$

where  $K$  is a positive constant depending on  $\beta, p, C$  and  $\varepsilon$  only. Also we may suppose that  $r$  and hence  $t$  are so near 1 that all the zeros of  $f(z)$ , of which there are at most  $p$ , lie in  $|z| < 2t-1$ . Then

$$\varphi(z) = f[te^{i\theta} + (1-t)z]$$

is mean  $p$ -valent and non zero in  $|z| < 1$  and so we deduce that ([3], p. 23)

$$|\varphi(z)| > A(p)(1-|z|)^{2p}|\varphi(0)|, \quad |z| < 1.$$

We apply this result with  $te^{i\theta} + (1-t)z = re^{i\theta}$ , so that

$$|z| = (r-t)/(1-t), \quad (1-|z|) = (1-r)/(1-t),$$

and in view of (4.3) and (4.4) we deduce that

$$(4.5) \quad |f(re^{i\theta})| > A(p, C, \varepsilon)\beta(1-r)^{-2p/C},$$

for some  $\theta$  in each range  $\theta_1 < \theta < \theta_2$ , provided that

$$\theta_2 > \theta_1 + 2(\pi + \varepsilon)/C,$$

and this is true for some  $r$  arbitrarily near 1. We choose again  $\theta'_0$  so that

$$|f(re^{i\theta'_0})| = M(r, f)$$

and then choose a value  $\theta = \theta'_\nu$  to satisfy (4.5) in each of the ranges

$$\theta_0 + \frac{2\pi(\nu-1)}{C-1} + \frac{\varepsilon}{C} < \theta'_\nu < \theta_0 + \frac{2\pi\nu}{C-1} - \frac{\varepsilon}{C}, \quad \nu = 1 \text{ to } C-1,$$

where  $\varepsilon = \pi/[2(C-1)]$ . Then if  $z_\nu = re^{i\theta'_\nu}$ ,  $\nu = 0$  to  $C-1$ , we obtain the conclusion of Lemma 9. We have only to let  $r$  tend to 1 through a suitable sequence of values  $r_k$  and write  $z_{\nu,k}$  for the corresponding value of  $z_\nu$ .

Using merely the hypothesis that  $f(z)$  is mean  $p$ -valent in  $|z| < 1$ , and satisfies the conclusions of Lemma 9 Eke [1] deduces a remarkable series of conclusions which we summarize as follows:

LEMMA 10. *If*

$$f(z) = \sum_0^{\infty} a_n z^n$$

is mean  $p$ -valent in  $|z| < 1$  and satisfies the conclusions of Lemma 9, then there exist  $k$  rays  $\arg z = \theta_\nu$ , where  $\theta_0 < \theta_1 < \theta_2 < \dots < \theta_c = \theta_0 + 2\pi$  with the following properties:

$$(i) \log |f(re^{i\theta_\nu})| = \frac{2p}{C} \log \frac{1}{1-r} + o\left(\log \frac{1}{1-r}\right)^{1/2}, \quad \nu = 0 \text{ to } C-1,$$

as  $r \rightarrow 1$ ,

(ii) Further if  $r_\nu = r_\nu(R)$  is so chosen that  $|f(r_\nu e^{i\theta_\nu})| = R$ , then

$$R^{C^2} \prod_{\nu=0}^{C-1} (1-r_\nu)^{2p} \rightarrow \beta_3$$

as  $R \rightarrow \infty$ , where  $0 < \beta_3 < \infty$ .

(iii) If we write

$$\alpha_\nu(n) = n^{-2p/C} f\left[\left(1 - \frac{1}{n}\right) e^{i\theta_\nu}\right],$$

then we have

$$f(re^{i\theta}) = [1 + o(1)] \alpha_\nu(n) [1 - re^{i(\theta - \theta_\nu)}]^{-2p/C}$$

as  $n \rightarrow \infty$ , uniformly provided that  $n(1-r)$  is bounded above and below and  $n|\theta - \theta_\nu|$  is bounded above. Also

$$\frac{f'(re^{i\theta})}{f(re^{i\theta})} \sim \frac{2p}{C[1 - re^{i(\theta - \theta_\nu)}]},$$

while  $r \rightarrow 1$  and  $|\theta - \theta_\nu| = O(1-r)$ .

(iv) If, for  $\nu = 1$  to  $C$ ,  $|\theta - \theta_\nu| \geq \delta > 0$ , where  $\delta$  is a fixed positive constant, then

$$\log |f(re^{i\theta})| = o\left(\log \frac{1}{1-r}\right)^{1/2},$$

uniformly as  $r \rightarrow 1$ .

(v) If in addition  $4p > C$ , then we have as  $n \rightarrow \infty$

$$a_n = \sum_{\nu=0}^{C-1} \alpha_\nu(n) \frac{n^{2p/C-1}}{\Gamma[2p/C]} e^{-in\theta_\nu} + o[\alpha(n)n^{2p/C-1}],$$

where

$$\alpha(n) = \sup_{\nu=0 \text{ to } C-1} \alpha_\nu(n).$$

Thus the functions  $f(z)$  satisfying the hypotheses of Theorem 1 and in addition (4.1) satisfy all the conclusions of Lemma 10. However, in this special situation we can prove a little more.

**THEOREM 2.** *Suppose that  $f(z)$  satisfies the hypotheses of Theorem 1 and in addition (4.1) holds. Then  $f(z)$  satisfies the conclusions of Lemma 10. In addition we have with the notation of that Lemma*

$$(vi) \quad \theta_\nu = \theta_0 + \frac{2\pi\nu}{C}, \quad \nu = 0 \text{ to } C-1,$$

(vii) *We have, as  $r \rightarrow 1$ ,*

$$\log |f(re^{i\theta_\nu})| = \frac{2p}{C} \log \frac{1}{1-r} + O(1), \quad \nu = 0 \text{ to } C-1,$$

*If further  $p > 4C$  we can strengthen this to*

$$(vii') \quad |f(re^{i\theta_\nu})| = |f(re^{i\theta_0})| + O(1) \sim \beta(1-r)^{-2p/C}, \quad \text{as } r \rightarrow 1.$$

*Also*

(viii) *There exists an integer  $B$  such that, for all sufficiently large  $\nu$ ,  $n_\nu = C\nu + B$  in (1.1) and*

$$|a_{n_\nu}| \sim \frac{\beta C n_\nu^{2p/C-1}}{\Gamma(2p/C)}, \quad \text{as } \nu \rightarrow \infty.$$

**5. Proof of Theorem 2.** We start by proving (vi). Suppose that the result is false. Then there exists  $\nu$  such that  $0 \leq \nu < C$  and

$$\theta_{\nu+1} - \theta_\nu > \frac{2\pi}{C}.$$

We define  $\varepsilon$  such that

$$\theta_{\nu+1} - \theta_\nu = \frac{2\pi + 5\varepsilon}{C}$$

and set

$$\theta'_\nu = \theta_\nu + \frac{\varepsilon}{C}, \quad \theta'_{\nu+1} = \theta_{\nu+1} - \frac{\varepsilon}{C}.$$

It then follows from Lemma 10 (iv) that

$$\log |f(re^{i\theta})| = O \left\{ \log \frac{1}{1-r} \right\}^{1/2}$$

uniformly for  $\theta'_\nu \leq \theta \leq \theta'_{\nu+1}$ . This however contradicts (4.5). Thus we have (vi).

We next prove (vii). Let  $\theta'_\nu$  be a fixed number such that  $\theta_\nu < \theta'_\nu < \theta_{\nu+1}$ ,  $\nu = 0$  to  $C-1$ , and  $\theta'_C = \theta'_0 + 2\pi$ . Then if  $r_n = 1 - 1/n$ , it follows easily from (iii) and (iv) that

$$(5.1) \quad S[r_n, \theta'_{\nu-1}, \theta'_\nu, f] \geq [1 + o(1)] |\alpha_\nu(n)|^2 S[r_n, (1-z)^{-2p/C}].$$

The method of Eke [1] also yields

$$(5.2) \quad S[r_n, f] = [1 + o(1)] \left\{ \sum_{\nu=0}^{C-1} |\alpha_\nu(n)|^2 \right\} S[r_n, (1-z)^{-2p/C}].$$

If  $P(z)$  and  $g(z)$  are again defined as in (2.1), the analogous asymptotic relations hold for  $g(z) = f(z) - P(z)$ , since  $P(z)$  is a polynomial. We now choose for a fixed  $\theta_\nu$

$$\theta'_{\nu-1} = \theta_\nu - \frac{3\pi}{2C}, \quad \theta'_\nu = \theta_\nu + \frac{3\pi}{2C},$$

which is equivalent to choosing  $\varepsilon = \pi/2$ , when applying (2.3) with  $\theta'_{\nu-1}, \theta'_\nu$  instead of  $\theta_1, \theta_2$ . We deduce from (2.3) that

$$S(r_n, f) < ACS(r_n, \theta'_{\nu-1}, \theta'_\nu, f)$$

where  $A$  is an absolute constant, i.e. for each fixed  $\nu$  and  $n > n_0$

$$\sum_{\nu=0}^{C-1} |\alpha_\nu(n)|^2 < 2AC|\alpha_\nu(n)|^2,$$

in view of (5.2). This yields, for each fixed  $\nu$ ,

$$(5.3) \quad |\alpha(n)| \leq \sqrt{2AC} |\alpha_\nu(n)|.$$

We now use Lemma 10 (ii) and set

$$R = (\beta_3 n^{2pC})^{1/C^2}.$$

Then if the  $r_\nu$  are defined as in Lemma 10 (ii) it follows that

$$\inf_{\nu=0 \text{ to } C-1} (1-r_\nu) \leq \frac{1+o(1)}{n}, \quad \sup_{\nu=0 \text{ to } C-1} (1-r_\nu) \geq \frac{1+o(1)}{n}.$$

It also follows from (iii) that  $|f(re^{i\theta_\nu})|$  finally increases for each fixed  $\nu$ . Thus we deduce that

$$\begin{aligned} \inf_{\nu=0 \text{ to } C-1} |f(r_n e^{i\theta_\nu})| &\leq [1+o(1)]R, \\ \sup_{\nu=0 \text{ to } C-1} |f(r_n e^{i\theta_\nu})| &\geq [1+o(1)]R. \end{aligned}$$

This is equivalent to

$$\begin{aligned} \inf_{\nu=0 \text{ to } C-1} |\alpha_\nu(n)| &\leq [1+o(1)]\beta_3^{1/C^2}, \\ |\alpha(n)| &\geq [1+o(1)]\beta_3^{1/C^2}, \end{aligned}$$

and in view of (5.3) we deduce that for large  $n$

$$\frac{\beta_3^{1/C^2}}{\sqrt{3AC}} < |\alpha_\nu(n)| \leq |\alpha(n)| \leq \sqrt{3AC} \beta_3^{1/C^2}.$$

Since for  $1-1/n \leq r \leq (1-1/(n+1))$  we have

$$|f(re^{i\theta_\nu})| \sim |f(r_n e^{i\theta_\nu})|,$$

we deduce that for each  $\nu$

$$\frac{\beta_3^{1/C^2}}{\sqrt{(3AC)}} \leq \lim_{r \rightarrow 1} (1-r)^{2p/C} |f(re^{i\theta_\nu})| \leq \overline{\lim}_{r \rightarrow 1} (1-r)^{2p/C} |f(re^{i\theta_\nu})| \leq \sqrt{(3AC)} \beta_3^{1/C^2}.$$

This gives (vii).

We next suppose that  $p > 4C$  and prove (viii). We can in this case make use of the asymptotic formula (v). By hypothesis  $n_{\nu+1} - n_\nu \geq C$ . To show that  $n_\nu = C\nu + B$  finally it is enough to show that if  $n$  is large and Lemma 10 (v) holds with  $\theta_\nu = \theta_0 + 2\pi\nu/C$ , then it is not possible for the coefficients  $a_n, a_{n+1}, \dots, a_{n+C-1}$  all to vanish.

We note first that in view of (iii)

$$\alpha_\nu(n+1) \sim \alpha_\nu(n)$$

and by (vii) the  $|\alpha_\nu(n)|$  and  $\alpha(n)$  are bounded above and below. Thus (v) gives

$$(5.4) \quad n^{1-2p/C} a_{n+j} = \sum_{\nu=0}^{C-1} \left[ \frac{\alpha_\nu(n)}{\Gamma(2p/C)} e^{-i(n+j)\theta_\nu} \right] + o(1),$$

as  $n \rightarrow \infty$  for  $j = 0$  to  $C-1$ .

Suppose now that  $a_{n+j} = 0$  for  $j = 0$  to  $C-1$ , and some arbitrarily large  $n$ . We multiply (5.4) by  $e^{i(n+j)\theta_0}$  for each  $j, 0 \leq j \leq C-1$  and add. This gives

$$\sum_{\nu=0}^{C-1} \frac{\alpha_\nu(n)}{\Gamma(2p/C)} \left[ \sum_{j=0}^{C-1} e^{-i(n+j)(\theta_\nu - \theta_0)} \right] = o(1).$$

Also in view of (vi)

$$\sum_{j=0}^{C-1} e^{-i(n+j)(\theta_\nu - \theta_0)} = \begin{cases} C, & \nu = 0, \\ 0, & \nu = 1 \text{ to } C-1. \end{cases}$$

Thus we deduce that

$$\alpha_0(n) = o(1),$$

for some arbitrarily large  $n$ , which contradicts (vii). This shows that in (1.1) we must have  $n_{\nu+1} - n_\nu \leq C$  and so  $n_{\nu+1} - n_\nu = C$  for all large  $\nu$ , so that  $n_\nu = C\nu + B$  for large  $\nu$ .

It follows that

$$f(z) = P(z) + \sum_{\nu=\nu_1}^{\infty} a_{n_\nu} z^{C\nu+B},$$

where  $P(z)$  is a polynomial. If we set  $\omega = \exp(2\pi i/C)$ , this gives

$$(5.5) \quad \begin{aligned} f(\omega z) &= P(\omega z) + \sum_{v=r_1}^{\infty} a_{n_v} \omega^{Cv+B} z^{Cv+B}, \\ &= \omega^B f(z) + O(1), \end{aligned}$$

as  $|z| \rightarrow 1$  in any manner.

Suppose now that the numbers  $r_v(R)$  are defined as in Lemma 10. It follows from Theorem 2 (vii) that  $(1-r_v)(1-r_0)$  is bounded above and below as  $R \rightarrow \infty$ . Hence in view of Lemma 10 (iii) and (5.5) we have as  $R \rightarrow \infty$

$$1 = \left| \frac{f(r_v e^{i\theta_v})}{f(r_0 e^{i\theta_0})} \right| \sim \left| \frac{f(r_v e^{i\theta_0})}{f(r_0 e^{i\theta_0})} \right| \sim \left( \frac{1-r_v}{1-r_0} \right)^{2p/C}.$$

Now Lemma 10 (ii) shows that for each fixed  $v$

$$R^{C^2} (1-r_v)^{2pC} \rightarrow \beta_3,$$

i.e.

$$|f(r_v e^{i\theta_v})| \sim \beta_3^{1/C^2} (1-r_v)^{-2p/C}$$

as  $r_v \rightarrow 1$ . This yields (vii') with  $\beta = \beta_3^{1/C^2}$ .

It remains to complete the proof of (viii). We note that by (5.5)

$$\alpha_v(n) = \omega^{Bv} \alpha_0(n) + o(n^{(1-2p/C)}).$$

Thus Lemma 10(v) gives

$$a_n = \frac{\alpha_0(n) n^{2p/C-1} e^{-in\theta_0}}{\Gamma(2p/C)} \left[ \sum_{v=0}^{C-1} \omega^{(B-n)v} + o(1) \right].$$

If  $(B-n)$  is a multiple of  $C$  this gives

$$|a_n| \sim \frac{C |\alpha_0(n)| n^{2p/C-1}}{\Gamma(2p/C)} \sim \frac{\beta C n^{2p/C-1}}{\Gamma(2p/C)}$$

in view of (vii'). This proves (viii) and completes the proof of Theorem 2.

**6.** It is reasonable to ask to what extent the condition  $p > 4C$  is essential for (vii') and (viii). I am not able to answer this question as far as (vii') is concerned but (viii) is certainly false if  $p < 4C$ . We have in fact

**THEOREM 3.** *Suppose that  $g(z) = (1-z)^{-1}$  and let  $b_n$  be an arbitrary sequence of complex numbers such that*

$$\sum_1^{\infty} |b_n| \leq 1, \quad \sum_1^{\infty} n |b_n|^2 < \frac{p}{C}$$

where  $0 < p < C/4$ . Then the function

$$f(z) = [g(z^C)]^{2p/C} + 4 + \sum_1^{\infty} b_n z^{Cn}$$

satisfies the hypotheses of Theorem 1 and

$$(1-r)^{2p/C} M(r, f) \rightarrow C^{-2p/C}, \quad \text{as } r \rightarrow 1.$$

However we can choose the  $b_n$  so that

$$\overline{\lim}_{v \rightarrow \infty} (n_{v+1} - n_v) = \infty.$$

We suppose first that  $C = 1$ , and  $p < \frac{1}{4}$ , and set  $w = G(z) = g(z)^{2p} + 4$ . Then  $w = g(z)$  maps  $|z| < 1$  (1.1) conformally a subset of the halfplane  $|\arg(w)| < \pi/2$ , so that  $G(z)$  maps  $|z| < 1$  (1.1) conformally onto a subset of the sector

$$|\arg(w-4)| < p\pi$$

in the  $w$  plane. Let  $w = 4 + te^{i\theta}$  be a point in this sector so that  $|\theta| < p\pi < \pi/4$ . Thus

$$|w|^2 = 16 + 8t \cos \theta + t^2 > (t+2)^2.$$

Thus  $t < |w| - 2$ , and the area  $A(R)$  of the part of our sector in the disk  $|w| < R$  is zero if  $R < 2$ , and is at most  $p\pi(R-2)^2$  if  $R > 2$ .

Consider now a sequence  $b_n$  satisfying the hypotheses of Theorem 3 and set

$$\varphi(z) = \sum_1^{\infty} b_n z^n, \quad f(z) = G(z) + \varphi(z).$$

Let  $E(R)$  be the part of  $|z| < 1$  in which  $|f(z)| < R$ . Clearly

$$|\varphi(z)| \leq \sum_1^{\infty} |b_n| \leq 1, \quad |z| < 1,$$

so that in  $E(R)$  we have

$$|G(z)| \leq |f(z)| + |\varphi(z)| < R + 1.$$

Thus

$$\iint_{E(R)} |G'(z)|^2 dx dy < A(R+1) \begin{cases} < p\pi(R-1)^2, & R > 1, \\ = 0, & R \leq 1. \end{cases}$$

Also

$$\iint_{E(R)} |\varphi'(z)|^2 dx dy \leq \iint_{|z| < 1} |\varphi'(z)|^2 dx dy = \pi \sum_1^{\infty} n |b_n|^2 \leq p\pi.$$

Again if  $R > 1$ , we have

$$\iint_{E(R)} |f'(z)|^2 dx dy \leq \iint_{E(R)} \{|\varphi'(z)|^2 + |G'(z)|^2 + 2|G'(z)||\varphi'(z)|\} dx dy,$$

and

$$\begin{aligned} \iint_{E(R)} |G'(z)||\varphi'(z)| dx dy &\leq \left\{ \iint_{E(R)} |G'(z)|^2 dx dy \right\}^{1/2} \left\{ \iint_{E(R)} |\varphi'(z)|^2 dx dy \right\}^{1/2} \\ &\leq p\pi(R-1). \end{aligned}$$

Thus if  $R > 1$  we have finally

$$\iint_{E(R)} |f'(z)|^2 dx dy \leq p\pi [1 + 2(R-1) + (R-1)^2]^2 = p\pi R^2.$$

This inequality remains valid for  $R \leq 1$ , since in this case  $E(R)$  is void. In fact, we have for  $|z| < 1$

$$|f(z)| \geq |G(z)| - |\varphi(z)| \geq 4 - 1 = 3.$$

Thus  $f(z)$  is mean  $p$ -valent for  $|z| < 1$ .

We have

$$G(z) = (1-z)^{-2p} + 4 = \sum_0^{\infty} g_n z^n,$$

where

$$g_n = \frac{2p(2p+1)\dots(2p+n-1)}{n!} \sim \frac{n^{2p-1}}{\Gamma(2p)}, \quad \text{as } n \rightarrow \infty.$$

Since  $p < \frac{1}{4}$ , we can select a sequence  $m = m_\nu$  of positive integers such that

$$\sum_{\nu=1}^{\infty} m_\nu g_{m_\nu}^2 < p, \quad \sum_1^{\infty} g_{m_\nu} < 1,$$

and set  $b_n = -g_n$ , if  $n = m_\nu$  for some  $\nu$  and  $b_n = 0$  otherwise. We ask in addition that for any integer  $d$ , we have  $m_{\nu+d} = m_\nu + d$ , infinitely often. Then

$$f(z) = \sum_0^{\infty} a_n z^n,$$

where  $a_n = 0$  if  $n = m_\nu$ , and  $a_n > 0$  otherwise. Also

$$M(r, f) \sim M[r, G(z)] \sim (1-r)^{-2p}.$$

This proves Theorem 3 if  $C = 1$ .

In the general case we write  $p/C$  instead of  $p$  and  $f(z^C)$  instead of  $f(z)$ . For each root of the equation  $f(z) = w$  in  $|z| < 1$  there are precisely  $C$  roots of the equation  $f(z^C) = 1$  in  $|z| < 1$ , so that the resulting function

is still mean  $p$ -valent. Also

$$M[r, f(z^C)] = M(r^C, f(z)) \sim (1-r^C)^{-2p/C} \sim C^{-2p/C} (1-r)^{-2p/C},$$

as required. Finally,

$$f(z^C) = \sum_0^{\infty} a_n z^{nC}$$

so that the indices of non-zero terms are all multiples of  $C$ . If  $n_v$  are the successive indices of non-zero terms we deduce that  $n_{v+1} - n_v = C$ , and since  $a_{n+1} = \dots = a_{n+d} = 0$ , for infinitely many  $n$  we must have  $n_{v+1} - n_v \geq dC$  for infinitely many  $n$  and any fixed  $d$ . This completes the proof of Theorem 3.

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Reçu par la Rédaction le 3. 1. 1966