

**WEAKLY CONFLUENT MAPPINGS  
AND A CLASSIFICATION OF CONTINUA**

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This note\* is a continuation of work done by Epps in [2]. Our main purpose is to answer a question of J. B. Fugate by giving a characterization of the continua which are weakly confluent images of dendrites.

Our notation follows Whyburn [7] and Epps [2]. A *continuum* is a compact, connected, metric space. A function  $f: X \rightarrow Y$ , where  $X$  and  $Y$  are continua, is said to be *weakly confluent* if for each continuum  $C$  in  $Y$  there exists a continuum  $D$  in  $X$  such that  $f(D) = C$ .

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**1. Weakly confluent images of dendrites.**

**THEOREM 1.** *Let  $X$  be a continuum. Let  $x_1$  and  $x_2$  be points of  $X$  and let  $\varepsilon$  be a positive number. If  $X$  is the weakly confluent image of a dendrite, then  $X$  does not contain a sequence  $A_1, A_2, \dots$  of distinct arcs with endpoints  $x_1$  and  $x_2$  such that the arcs  $A_i$  agree on the  $\varepsilon$ -neighbourhoods of both  $x_1$  and  $x_2$ .*

The proof is the same as that given in [2], Example 2.

The following corollary provides a characterization of the continua which are weakly confluent images of dendrites.

**COROLLARY 1.** *A locally connected continuum  $X$  is a weakly confluent image of some dendrite if and only if  $X$  satisfies the following two conditions:*

(i) *Each true cyclic element of  $X$  is a finite graph.*

(ii) *If  $E_1, E_2, \dots$  is a sequence of distinct true cyclic elements of  $X$  all of which lie in a cyclic chain  $C$ , then the sequence  $E_1, E_2, \dots$  has at most two cluster points. Each cluster point is an endpoint of the cyclic chain  $C$ . No cluster point of  $E_1, E_2, \dots$  lies in a true cyclic element of  $X$ .*

**Proof.** *Necessity.* Let  $X$  be a continuum which is the weakly confluent image of some dendrite. Let  $C$  be a true cyclic element of  $X$ .

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By [5], every connected subset of  $X$  is arcwise connected. By [8], p. 150,  $C$  contains an arc  $B$  with endpoints  $y$  and  $z$  such that  $B \setminus \{y, z\}$  is an open subset of  $C$ . Let  $x_1, x_2 \in B \setminus \{y, z\}$ . Let  $(x_1x_2)$  denote the open arc in  $B$  from  $x_1$  to  $x_2$ . Since  $C$  is cyclic, there is an arc  $A_1 \subset C \setminus (x_1x_2)$  with endpoints  $x_1$  and  $x_2$ . Suppose that  $A_1, \dots, A_n$  are distinct arcs in  $C \setminus (x_1x_2)$  with endpoints  $x_1$  and  $x_2$  such that  $A_1 \cup \dots \cup A_n \cup (x_1x_2)$  is a finite graph. If  $C \not\subset A_1 \cup \dots \cup A_n \cup (x_1x_2)$ , let

$$w \in C \setminus (A_1 \cup \dots \cup A_n \cup (x_1x_2)).$$

Let  $A'_{n+1}$  be an arc in  $C$  which passes through  $w$  and which has endpoints  $x_1$  and  $x_2$ . Let  $D$  be the component of  $A'_{n+1} \setminus (A_1 \cup \dots \cup A_n)$  which contains  $w$ . Let  $A_{n+1}$  be an arc in  $A_1 \cup \dots \cup A_n \cup D$  with endpoints  $x_1$  and  $x_2$  such that  $w \in A_{n+1}$ . Then  $A_1 \cup \dots \cup A_{n+1} \cup (x_1x_2)$  is a finite graph, and the arcs  $A_1, A_2, \dots, A_{n+1}$  are distinct. By Theorem 1,

$$C \subset A_1 \cup \dots \cup A_n \cup (x_1x_2)$$

for some natural number  $n$ , so  $C$  is a finite graph.

It follows immediately from Theorem 1 that  $X$  satisfies condition (ii).

**Sufficiency.** If  $X$  is a locally connected continuum which satisfies (i) and (ii), it is easy to see that there is a sequence  $A_1, A_2, \dots$  of finite graphs in  $X$  such that

- (a) each  $A_i$  is a union of cyclic elements of  $X$ ,
- (b)  $A_i \subset A_{i+1}$  for each  $i$ , and
- (c)  $A_1 \cup A_2 \cup \dots$  is dense in  $X$ .

For each  $i = 1, 2, \dots$  define  $\pi_i: A_{i+1} \rightarrow A_i$  by setting  $\pi_i(x) = x$  for each  $x \in A_i$  and letting  $\pi_i(x)$  to be the unique element of  $A_i$  which is in the closure of the component of  $A_{i+1} \setminus A_i$  containing  $x$  for each  $x \in A_{i+1} \setminus A_i$ . Then  $\pi_i$  is a monotone retraction of  $A_{i+1}$  onto  $A_i$ .

Now,  $X = \varprojlim (A_i, \pi_i)$  is the inverse limit of finite connected graphs with monotone, simplicial retractions for bonding maps. By [2], Theorem 4, there are a dendrite  $D$  and a weakly confluent map of  $D$  onto  $X$ .

**2. Strongly regular continua.** In [2] Epps calls a continuum  $X$  *strongly regular* if there exists a sequence  $S_1, S_2, \dots$  of finite subsets of  $X$  such that, for each natural number  $n$ ,  $X \setminus S_n$  has finitely many components and each component of  $X \setminus S_n$  has diameter less than  $1/n$ . The next theorem provides a characterization of strongly regular curves.

A continuum is said to be *regular* if it has a basis of open sets with finite boundaries.

**THEOREM 2.** *A regular continuum  $X$  is not strongly regular if and only if there exist points  $a, b \in X$  and a countable set  $D$  such that every finite cutting of  $X$  between  $a$  and  $b$  meets  $D$  and, for each  $d \in D$ ,  $X \setminus \{d\}$  has infinitely many components.*

**Proof. Necessity.** We say that a subset  $T$  of  $X$  has *property  $G$*  provided that  $T$  is finite and  $X \setminus T$  has finitely many components. Let  $T_1$  and  $T_2$  be two subsets of  $X$  with property  $G$ . Then the set  $T_1 \cup T_2$  is finite and at most finitely many components of  $X \setminus (T_1 \cup T_2)$  have closures which meet at least two points of  $T_1 \cup T_2$ , since it is well known (see [4], 1.6) that in a regular continuum, for every infinite collection of disjoint connected sets, the diameters of the sets converge to zero. Hence, all but finitely many components of  $X \setminus (T_1 \cup T_2)$  are components either of  $X \setminus T_1$  or of  $X \setminus T_2$ . Thus,  $T_1 \cup T_2$  also has property  $G$ . If  $X$  is not strongly regular, then it follows from the fact that the union of two sets with property  $G$  also has property  $G$  and from the compactness of  $X$  that there exist  $a, b \in X$  such that no set with property  $G$  separates  $a$  and  $b$ . Let  $D$  be the set of points each of which separates  $X$  into infinitely many components. By [7], III.8.4,  $D$  is countable. Since no subset of  $X$  with property  $G$  separates  $a$  and  $b$  in  $X$ , every finite cutting of  $X$  between  $a$  and  $b$  meets  $D$ .

Sufficiency is clear.

**COROLLARY 2.** *Every cyclic regular continuum is strongly regular.*

**3. Classification of continua.** Epps considered the following six classes of continua in [2]:

- (I) regular continua;
- (II) strongly regular continua;
- (III) continua in which all connected sets are arcwise connected;
- (IV) inverse limits of connected finite graphs with monotone simplicial bonding maps;
- (V) inverse limits of connected finite graphs with monotone simplicial retractions as bonding maps;
- (VI) weakly confluent images of dendrites.

We have the following relations among these six classes of continua and no other relations hold:

$$\begin{array}{cc} \text{(I)} \supset \text{(II)} & \\ \cup & \cup \\ \text{(III)} \text{ (IV)} & \\ \cup & \cup \\ \text{(V)} = \text{(VI)} & \end{array}$$

Epps proved in [2] that (I)  $\supsetneq$  (II), (IV)  $\supsetneq$  (V) and (V)  $\subset$  (VI). A proof that (IV)  $\subset$  (II), due to A. Lelek, is given in [1], Theorem 2.3. That proof shows that every continuum in (IV) has uncountably many local cut-points. Hence, Sierpiński's triangular continuum (see [3], p. 276) is a continuum which is in (II) but not in (IV). Sierpiński's triangular continuum is also an example of a continuum which is in (II), but not in (III) (see, for example, [6], Theorem 1). Tymchatyn proved in [6] that (III)  $\subset$  (I).

It follows from [5] that (V)  $\subset$  (III). This also follows from Corollary 1 of this paper and the fact (see Whyburn [7], IV.11.2) that the property of containing no connected subset which is not arcwise connected is cyclicly extensible. By the proof of Corollary 1 of this paper, (VI)  $\subset$  (V). To complete the classification we give an example of a continuum which is in (III) but not in (II).

**Example.** Let  $X'$  be the Knaster dyadic continuum, i.e.,  $X'$  is the plane continuum consisting of the segment  $0 \leq x \leq 1, y = 0$  and of the semi-circles

$$\left(x - \frac{2k-1}{2^n}\right)^2 + y^2 = \frac{1}{4^n}, \quad y \geq 0,$$

where  $n = 1, 2, \dots$  and  $k = 1, 2, \dots, 2^{n-1}$ . Let  $M$  be the union of all line segments in the plane where one endpoint has polar coordinates  $\{0, 0\}$  and the other endpoint has polar coordinates  $\{\pi/n, \pi/n\}$ ,  $n = 1, 2, \dots$

For each ordered pair  $(k, n)$  such that  $n = 1, 2, \dots$  and  $k = 1, \dots, 2^{n-1}$ , let  $M(k, n)$  be a space homeomorphic to  $M$  and of diameter at most  $1/n$ . The sets  $M(k, n)$  are taken to be disjoint from each other and from  $X'$ . Identify the point  $\{0, 0\}$  of  $M(k, n)$  with the point  $((2k-1)/2^n, 0)$  in  $X'$ . Let

$$X = X' \cup \bigcup \{M(k, n) \mid n = 1, 2, \dots; k = 1, 2, \dots, 2^{n-1}\}$$

with the identifications indicated above and with the identification topology.

It is easy to see that  $X$  is a locally connected continuum. By Theorem 2,  $X$  is not strongly regular. To prove that  $X$  is in (III) it suffices, by [7], IV.11.2, to prove that the true cyclic element  $X'$  of  $X$  is in (III).

Let  $C$  be a connected set in  $X'$ . We may suppose that if  $(x, y) \in C$  for some  $y > 0$ , then the entire semi-circle in  $X'$  which contains  $(x, y)$  is in  $C$ , since it is clear that  $C$  may be retracted monotonically onto a set with this property. If  $(0, 0)$  and  $(1, 0)$  are in  $C$  for each  $x \in [0, 1]$ , let  $(x, c_x) \in C$  be such that  $(x, d) \in C$  implies  $d \leq c_x$ . It is easy to see that  $\{(x, c_x) \mid x \in [0, 1]\}$  is an arc in  $C$  with endpoints  $(0, 0)$  and  $(1, 0)$ . It is not difficult to show that if  $(x, a)$  and  $(y, b)$  are any two points of  $C$  which lie on semi-circles in  $X'$ , then  $(x, a)$  and  $(y, b)$  lie in an arc in  $C$ . It follows that  $C$  has a dense arc component. By [8], Theorem 34,  $C$  is arcwise connected.

**Remark.** Epps [2], Theorem 5, proved that every strongly regular continuum is the monotone image of a continuum in (IV). Epps' proof can be modified to show that every regular continuum  $X$  is the monotone image of a continuum  $Y$  in (IV). The continuum  $Y$  is strongly regular and has the property that every subcontinuum of  $Y$  has a non-void interior in  $Y$ .

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