

*CLOSED PARALLEL 1-FORMS ON INFRANILMANIFOLDS  
AND CALABI REDUCTIONS*

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**0. Introduction.** In this paper we prove that any closed infranilmanifold  $M$  with

$$\text{bz}_1(M) = \text{rank im}[Z(\pi_1(M)) \rightarrow H_1(M)] > 0$$

is affinely equivalent to  $(F \times [0, 1]) / ((\alpha(x), 0) \sim (x, 1))$ , where  $F$  is an affine submanifold of  $M$  and  $\alpha: F \rightarrow F$  is an affine diffeomorphism of finite order (see Theorem 1.1 for a more precise statement). The affine equivalence is associated with every choice of an epimorphism  $\varepsilon: \pi_1(M) \rightarrow \mathbb{Z}$  with  $\varepsilon(Z(\pi_1(M))) \neq 0$  (see Theorem 3.1 below).

Theorem 4.1 is an equivariant version of Theorem 1.1. It asserts the following. If a compact Lie group  $H$  acts affinely on  $M$  and  $\text{Fix}(H; M) \neq \emptyset$ , then there is an invariant affine submanifold  $F \subset M$  and an affine equivariant diffeomorphism from  $F \times (0, 1)$  onto  $M - F$  iff  $H$  fixes a nontrivial element of  $Z(\pi_1(M))$ .

When a manifold  $M$  is flat,  $\text{bz}_1(M)$  coincides with the first Betti number of  $M$  (see Corollary 1.1). Hence Calabi's theorem (see, e.g., [2] and [15], Theorem 3.6.3) is a particular case of Theorem 1.1.

Theorems 1.1 and 4.1 will be derived from Proposition 2.1 describing the set  $A_{\mathbb{C}\mathbb{P}}^1(M)$  of all closed and parallel 1-forms on a compact infranilmanifold  $M$ ,  $\nabla$ , where  $\nabla$  is the canonical connection on  $M$ . Proposition 2.1 is a simple consequence of its particular case proved by Nomizu in [11]. Note that the corresponding result is false for higher cohomology groups (see Proposition 2.3 and [11], p. 538).

### 1. The generalized Calabi reduction.

**DEFINITION 1.1.** Let  $M, \nabla$  be a manifold with connection  $\nabla$ . Then by an *affine Calabi reduction* we mean an affine diffeomorphism (that is, a connection preserving diffeomorphism)  $\phi: M \rightarrow F_\alpha, \nabla'$ , where  $F$  is a manifold,

$$F_\alpha = \frac{F \times [0, 1]}{(\alpha(x), 0) \sim (x, 1)}$$

$\nabla'$  is the connection induced by a product connection on  $F \times \mathbf{R}$ , and  $\alpha: F \rightarrow F$  is an affine diffeomorphism of finite order  $r$ .

**Remark 1.1.** In a similar way one can define a *topological Calabi reduction*.

**Remark 1.2.** (a) An affine Calabi reduction  $\phi: M \rightarrow F_\alpha$  determines a fibration  $p: M \rightarrow S^1$  given by  $p([x, t]) = [t] \in \mathbf{R}/\mathbf{Z} = S^1$ . Here  $[x, t]$  is the class of  $(x, t) \in F \times [0, 1]$  in  $F_\alpha$ , and  $[t]$  is the class of  $t \in \mathbf{R}$  in  $\mathbf{R}/\mathbf{Z}$ .

(b) As  $F_{\alpha^r} = F_{\text{id}} = F \times S^1$ , an  $r$ -fold cover of  $M$  is affinely diffeomorphic to  $F \times S^1$ .

**DEFINITION 1.2.** If

$$\pi: \pi_1(M) \rightarrow \pi_1(M)/[\pi_1(M), \pi_1(M)] = H_1(M)$$

is the projection and  $Z(\pi_1(M))$  is the center of  $\pi_1(M)$ , then

$$\text{bz}_1(M) = \text{rank } \pi(Z(\pi_1(M))).$$

One of the basic results in the flat manifolds theory is the so-called *Calabi reduction theorem*. It asserts that a closed flat manifold with the first Betti number  $b_1(M)$  greater than zero admits an affine Calabi reduction (see [2] and [15], Section 3.6; see also Corollary 1.1 below). This theorem allows us to study flat manifolds inductively using the induction on their dimensions.

Let  $G$  be a nilpotent, connected, simply connected Lie group and let  $\nabla_0$  be a connection on  $G$  such that left translations in  $G$  act on  $TG$  as parallel translations (cf. [1], Section 7.2, and [7], Section 2). An *infranilmanifold* is an orbit space  $M = (G, \nabla_0)/\Gamma$ , where  $\Gamma$  is a discrete group acting affinely, freely, and properly discontinuously on  $G, \nabla_0$  and such that  $G \cap \Gamma$  has finite index in  $\Gamma$ . We will always assume that  $G \cap \Gamma$  acts on  $G$  by right translations. An infranilmanifold  $G/\Gamma$  is a *flat manifold* if  $G$  is isomorphic to  $\mathbf{R}^n$ .

A closed manifold  $M$  ( $\dim M > 4$ ) is homeomorphic to an infranilmanifold iff  $\pi_1(M)$  is a finite extension of a nilpotent, torsion free, finitely generated group and  $\pi_i(M) = 0$  for  $i \geq 2$  (see [6], Theorem 5.1).

It is easy to verify that one-parameter subgroups of  $G$  are exactly geodesics and  $f \in \text{Aff}(G)$  (where  $\text{Aff}(G)$  denotes the group of all affine diffeomorphisms of  $G$ ) if and only if  $f = L_g \circ A$ , where  $g \in G$ ,  $L_g(y) = gy$  for  $y \in G$ , and  $A$  is an automorphism of  $G$  (cf. [7], Section 2). Hence affine submanifolds of  $G, \nabla$  are exactly algebraically affine submanifolds of  $G$ . By an *algebraically affine submanifold of  $G$*  we mean a submanifold  $L_h(H) \subset G$ , where  $H$  is a Lie subgroup of  $G$  and  $h \in G$ .

The following result generalizes Calabi reduction to infranilmanifolds.

**THEOREM 1.1.** *Let  $M, \nabla$  be a closed infranilmanifold with a flat canonical connection  $\nabla$ . Then there is an affine Calabi reduction of  $M$  if and only if  $\text{bz}_1(M) > 0$ .*

Remark 1.3. (a) It is easy to see that the existence of a topological Calabi reduction implies  $\text{bz}_1(M) > 0$ . So we should prove only the converse implication.

(b) The fact that the existence of a topological Calabi fibration implies the existence of an affine Calabi fibration seems to be a very special property of infranilmanifolds. It can fail even for nonpositive curvature manifolds. To be more specific, for every closed manifold  $M$  admitting a metric of nonpositive curvature and satisfying  $Z(\pi_1(M)) \neq \{1\}$  there is (see Theorem 3.1 in [5] and [9]) a topological Calabi fibration but not always there is an affine Calabi fibration. See [9], p. 222, for a counterexample.

(c) The fact that  $\phi: M \rightarrow F_\alpha$  is a Calabi reduction of an infranilmanifold  $M$  means that  $F$  is covered by an algebraically affine submanifold  $\tilde{F} = L_h(H)$  (where  $H$  is a Lie subgroup of  $G$  and  $h \in H$ ) of  $G$ ,  $G$  is isomorphic to  $H \times \mathbb{R}$ , and  $\phi$  is covered by an algebraically affine diffeomorphism  $\tilde{\phi}: G \rightarrow G$ . Hence Theorem 1.1 can be treated as a purely algebraic result.

(d) A topological (or smooth) version of Theorem 1.1 can be found in [5] (Theorem 3.1) and [10] (Section 4.7, Corollary 1). In [10], Section 4.7, topological Calabi fibrations are treated as a particular case of a more general notion of a Seifert fiber space with typical fiber  $S^1$  and Corollary 1 there follows from a more general result ([10], Section 4.7, Theorem 1).

**COROLLARY 1.1.** *If  $M$  is a closed riemannian flat manifold, then  $\text{bz}_1(M) = \text{b}_1(M)$ . Hence Calabi's theorem is a particular case of Theorem 1.1.*

**Proof.** The proof of Corollary 1.1 is implicitly described in the proof of the Calabi reduction (see, e.g., [15], Section 3.6). For convenience of the reader we present here a short direct argument. The flatness of  $M$  implies that harmonic forms on  $M$  are parallel. Every parallel 1-form  $\omega$  determines a parallel vector field  $X_\omega$  that is perpendicular to  $\ker \omega$  and satisfies  $\omega(X_\omega(x)) = 1$  for  $x \in M$ . It is well known that  $I_0(M)$ , the identity component of the isometry group of a closed flat manifold  $M$ , is generated by parallel fields. Since  $I_0(M)$  is a compact, commutative (as parallel fields commute) Lie group,  $I_0(M)$  is a torus  $T^k$  and our argument shows that  $k \geq \text{b}_1(M)$ . In particular, there is an  $S^1$ -action  $\phi_t: M \rightarrow M$ ,  $t \in [0, 1]$ , generated by a parallel vector field  $X$ .

It is well known (see [4], Lemma 4.2) that every orbit of our  $S^1$ -action determines a central element of  $\pi_1(M)$ . As the vector field  $X$  is parallel, the 1-form  $\omega$ , given by  $\omega(v) = \langle X, v \rangle$ , is parallel and, in particular, it is harmonic. For a fixed orbit  $c: [0, 1] \rightarrow M$ ,  $c(t) = \phi_t(x)$ , we have

$$\int_c \omega = \int_0^1 \omega(dc/dt) dt = \int_0^1 \omega(X) dt = |X|^2 > 0.$$

This holds for any  $S^1$ -action embedded into our  $T^k$ -action. Hence we have a monomorphism

$$\pi_1(T^k) \xrightarrow{cv_*} H_1(M) \rightarrow \mathbb{Z}^k,$$

where  $ev_*$  is induced by  $ev: T^k \rightarrow M$ ,  $ev(t) = tx_0$  (here  $x_0$  is a chosen point of  $M$ ), and where the second map is induced by the projection onto the quotient of  $H_1(M)$  by its torsion subgroup. As

$$\text{im } ev_* \subset \text{im } [Z(\pi_1(M)) \rightarrow H_1(M)],$$

we have  $bz_1(M) \geq k$ . This completes the proof of Corollary 1.1.

**EXAMPLE 1.1.** The last statement, that  $b_1(M) = bz_1(M)$  for a flat manifold  $M$ , is false for infranilmanifolds. A well-known counterexample is the following. Let  $G$  be the Heisenberg group, i.e., the group of real  $3 \times 3$  upper triangular matrices with diagonal entries equal to 1. It is the simplest noncommutative nilpotent Lie group. Let  $\Gamma$  be its subgroup of all matrices with integer entries and let  $M = G/\Gamma$ . Then  $G$  is diffeomorphic to  $\mathbf{R}^3$ ,  $\Gamma \approx \pi_1(M)$ , and it is easy to see that  $Z(\Gamma) = [\Gamma, \Gamma] \approx \mathbf{Z}$ . Hence  $bz_1(M) = 0$ . However,

$$H_1(M) \approx \Gamma/[\Gamma, \Gamma] \approx \mathbf{Z} \oplus \mathbf{Z} \quad \text{and} \quad b_1(M) = 2.$$

**2. Closed parallel 1-forms on infranilmanifolds.** In this section we prove the following

**PROPOSITION 2.1.** *Let  $M = G/\Gamma$  be a closed infranilmanifold and let  $\nabla$  be a canonical connection on  $M$ . Then every cohomology class  $v \in H^1(M; \mathbf{R})$  contains a unique  $\nabla$ -parallel 1-form. In particular,  $\dim A_{\text{CP}}^1(M) = b_1(M)$ .*

Throughout this section the following notation will be used. By  $L(G)$  we denote the Lie algebra of a Lie group  $G$ . If  $H$  is a group (or if  $H$  is a Lie algebra), then  $H^{\text{ab}}$  denotes its abelianization, i.e.,  $H^{\text{ab}} = H/[H, H]$ , and  $Z(H)$  stands for the center of  $H$ . By  $B^k(G)$  we denote the set of all bi-invariant  $k$ -forms on a Lie group  $G$ , and by  $\pi^*: B^k(G^{\text{ab}}) \rightarrow B^k(G)$  the homomorphism induced by the canonical projection  $\pi: G \rightarrow G^{\text{ab}}$ . The set of all parallel and closed  $k$ -forms on an infranilmanifold  $M$ ,  $\nabla$  (where  $\nabla$  is a canonical connection) will be denoted by  $A_{\text{CP}}^k(M)$ .

We will need some known facts (cf. [11], Section 4, and [12], Appendix 2) concerning closed and left-invariant 1-forms on Lie groups. They can be stated as follows.

**PROPOSITION 2.2.** (a) *Let  $G$  be a connected Lie group and let  $\omega$  be a left-invariant 1-form on  $G$ . The following conditions are equivalent:*

- (i) *the form  $\omega$  is bi-invariant;*
- (ii) *the form  $\omega$  is closed;*
- (iii) *the homomorphism  $L(G) \rightarrow \mathbf{R} \approx L(\mathbf{R})$  determined by  $\omega$  is a Lie algebra homomorphism.*

(b) *The homomorphism  $\pi^*: B^1(G^{\text{ab}}) \rightarrow B^1(G)$  is an isomorphism.*

The implication (i)  $\Rightarrow$  (ii) is known (see, e.g., [12], Appendix 2, Section 2). The equivalence (ii)  $\Leftrightarrow$  (iii) follows immediately from the formula  $2d\omega(X, Y) = \omega([X, Y])$ , where  $X, Y \in L(G)$ . If (iii) holds, then  $\omega: L(G) \rightarrow L(\mathbf{R})$  has a unique

factorization  $\omega = \omega_0 \circ P$ , where  $P: L(G) \rightarrow L(G^{\text{ab}})$  is the canonical projection and  $\omega_0: L(G^{\text{ab}}) \rightarrow L(\mathbf{R})$ . Note that  $P = d\pi$  and  $\omega = \pi^* \omega_0$ . Since the form  $\omega_0$  is bi-invariant, the form  $\omega$  is bi-invariant as well.

**COROLLARY 2.1** (see [13], Corollary 7.28, and [11]). *If  $M = G/\Gamma$  is a closed nilmanifold, then*

$$\dim A_{\text{CP}}^1(M) = \dim B^1(G) = \dim G^{\text{ab}} = \text{rank } \Gamma^{\text{ab}} = \text{rank } \pi_1(M)^{\text{ab}} = b_1(M).$$

**Proof.** Every 1-form  $\omega \in A_{\text{CP}}^1(M)$  is covered by a bi-invariant 1-form and every bi-invariant 1-form can be projected onto  $M$ . Hence  $\dim A_{\text{CP}}^1(M) = \dim G^{\text{ab}}$ . Now it suffices to check that  $\dim G^{\text{ab}} = \text{rank } \Gamma^{\text{ab}}$ . This is well known (see [13], Theorem 2.1).

**Proof of Proposition 2.1.** The manifold  $M$  can be written as the orbit space  $\hat{M}/A$ , where  $\hat{M}$  is a nilmanifold and  $A$  is a finite group acting affinely and freely on  $\hat{M}$ . Let  $q: \hat{M} \rightarrow M$  be the canonical projection. By Theorem 1 of [11] (and by Proposition 2.2) the cohomology class  $q^*v$  is represented by a *unique* form  $\hat{\omega} \in A_{\text{CP}}^1(\hat{M})$ .

It suffices to show that the form  $\hat{\omega}$  is  $A$ -invariant. In order to prove this note that the cohomology class  $q^*v$  is  $A$ -invariant. Consider

$$\hat{\eta} = (1/|A|) \sum_{a \in A} a^* \hat{\omega}.$$

As  $A \subseteq \text{Aff}(\hat{M})$ , we have  $\hat{\eta} \in A_{\text{CP}}^1(\hat{M})$ . As  $\hat{\eta}$  and  $\hat{\omega}$  belong to the same cohomology class,  $\hat{\eta} = \hat{\omega}$ . The homomorphism  $q^*: H^1(M; \mathbf{R}) \rightarrow H^1(\hat{M}; \mathbf{R})$  is a monomorphism, because the covering  $q$  is finite. If  $\omega, \omega_1 \in A_{\text{CP}}^1(M) \cap v$ , then, by Theorem 1 of [11] again,  $q^*\omega = q^*\omega_1$ , so that  $\omega = \omega_1$ . This proves the proposition.

**Remark 2.1.** If an infranilmanifold  $M$  is a closed flat manifold, then harmonic forms on  $M$  are parallel. Hence, by the Hodge theorem, any cohomology class  $v \in H^*(M; \mathbf{R})$  contains a unique parallel form. This cannot be extended even to nilmanifolds (cf. [11], p. 538).

**PROPOSITION 2.3.** *Let  $M = H/\Gamma$  be the Heisenberg manifold (see Example 1.1). Then  $A_{\text{CP}}^2(M)$  is a one-dimensional vector space. All forms belonging to  $A_{\text{CP}}^2(M)$  are exact.*

**Proof.** The Lie algebra  $R(H)$  of right-invariant vector fields is generated by the vector fields  $X, Y, Z$  such that  $[X, Z] = [Y, Z] = 0$ ,  $[X, Y] = Z$ . The field  $Z$  is bi-invariant. For any right-invariant 1-form  $\eta$  and for any  $U, V \in R(H)$  we have  $2d\eta(U, V) = \eta([U, V])$ . Hence the form  $d\eta$  is bi-invariant. It determines a 2-form  $\omega \in A_{\text{CP}}^2(M)$ . This form is exact, because a right-invariant form  $\eta$  can be projected onto  $M$  (the group  $\Gamma$  acts on  $H$  by right translations).

The fact that  $\dim A_{\text{CP}}^2(M) = 1$  follows from the results of [11] (see [11], p. 538, and the proof of Theorem 2 there). The proof of Proposition 2.3 is complete.

**3. Proof of Theorem 1.1.** Let  $M$  be a closed infranilmanifold with  $\text{bz}_1(M) > 0$ , let  $\pi: \pi_1(M) \rightarrow H_1(M)$  be the canonical projection, and let  $\sigma \in Z(\pi_1(M)) - \{1\}$  be such that  $\pi(\sigma) \neq 0$ . Our proof of Theorem 1.1 is based on the existence of an affine  $S^1$ -action  $\phi_t: M \rightarrow M$ ,  $t \in [0, 1]$ , whose orbit containing the base point belongs to  $\sigma$  (see [8], Section 4.3). We show that for any epimorphism  $h: \pi_1(M) \rightarrow \pi_1(S^1)$  satisfying  $h(\sigma) \neq 0$  there is an affine  $S^1$ -equivariant Calabi fibration  $p: M \rightarrow S^1$  such that  $p_* = h$ . Here by an  $S^1$ -equivariant fibration we mean a fibration  $p$  such that  $p(\phi_t(x)) - p(x)$  depends on  $t$  only.

Theorem 1.1 is a particular case of the following more technical result:

**THEOREM 3.1.** *Let  $M = G/\Gamma$  be a closed infranilmanifold, let  $\sigma \in Z(\pi_1(M))$ , and let an  $S^1$ -action  $\phi_t: M \rightarrow M$ ,  $t \in [0, 1]$ , be as above. Let  $h: \pi_1(M) \rightarrow \pi_1(S^1) \approx \mathbb{Z}$  be any epimorphism such that  $h(\sigma) = r \in \mathbb{Z} - \{0\}$ , let  $\Delta = \ker h$ ,  $N = G/\Delta$ , and let  $\bar{\phi}_t: N \rightarrow N$ ,  $t \in \mathbb{R}$ , denote the  $\mathbb{R}$ -action covering our  $S^1$ -action on  $M$ . Then there are an affine submanifold  $V \subset M$  and an affine diffeomorphism  $\alpha: V \rightarrow V$  such that*

(a) *the mapping  $\psi: V \times \mathbb{R} \rightarrow N$  given by  $\psi(u, t) = \bar{\phi}_t(u)$  is an  $\mathbb{R}$ -equivariant affine diffeomorphism;*

(b) *the group of covering transformations of the covering  $q: N \rightarrow M$  is infinite cyclic, and if  $\delta$  denotes its generator, then, under the above identification of  $V \times \mathbb{R}$  with  $N$ ,*

$$\delta(u, t) = (\alpha(u), t + 1/r);$$

(c)  $\alpha' = \text{id}_V$ ;

(d) *the fibration  $p: M \rightarrow S^1$  determined by our twisted decomposition  $M = (V \times \mathbb{R})/\langle \delta \rangle = V_\alpha$  (see Remark 1.2 (a)) satisfies  $p_* = h$ ; its typical fiber is affinely diffeomorphic to  $V$ .*

**Remark 3.1.** A smooth variant of Theorem 3.1 (valid for all closed manifolds and for all smooth homologically injective  $S^1$ -actions) can be found in [5], Theorem 4.2.

**Proof of Theorem 3.1.** The homomorphism  $h$  determines a homomorphism  $h_0 \in \text{Hom}(H_1(M; \mathbb{Z}); \mathbb{Z})$  and  $h_0$  determines an element

$$[h] \in \text{im} [H^1(M; \mathbb{Z}) \rightarrow H^1(M; \mathbb{R})]$$

characterized by the equality

$$(1) \quad \int_\gamma [h] = h(\gamma) \quad \text{for } \gamma \in \pi_1(M).$$

By Proposition 2.1 there is a unique parallel 1-form  $\omega$  representing  $[h]$ .

The form  $\omega$  is nonvanishing and has integral periods. Fix  $x_0 \in M$ . By [3], the map  $p: M \rightarrow \mathbb{R}/\mathbb{Z} = S^1$  given by

$$(2) \quad p(x) = \int_{x_0}^x \omega \pmod{\mathbb{Z}}$$

is a well-defined fibration over  $S^1$  such that the leaves of the foliation tangent to  $\ker \omega$  are connected components of the fibers of  $p$ . The form  $\omega$  is  $\phi_t$ -invariant, because  $\phi_t^* \omega$  is a parallel form cohomologous to  $\omega$  (cf. Proposition 2.1). It follows that the fibration  $p$  is  $S^1$ -equivariant.

Let  $X$  be the vector field generating the  $S^1$ -action. Assume that  $X(x) \in \ker \omega$  for some  $x \in M$ . Note that  $\ker \omega$  is the bundle tangent to the fibers of  $p$ . Consider  $c: [0, 1] \rightarrow M$  defined by  $c(t) = \phi_t(x)$ . Then  $c \in \sigma$  and  $(dc/dt)(t) = X(c(t))$ . By (1) and by the  $S^1$ -invariance of  $X$  and  $\omega$  we have

$$0 \neq h(\sigma) = \int_c \omega = \int_0^1 \omega(X) dt = \omega(X(x)) = 0.$$

This contradiction shows that the fibers of  $p$  are transversal to the orbits of the  $S^1$ -action. Note that

$$\begin{aligned} p(\phi_t(x)) - p(x) &= \int_x^{\phi_t(x)} \omega \pmod{\mathbf{Z}} = \int_0^t \omega(X) dt \pmod{\mathbf{Z}} \\ &= t\omega(X(x)) \pmod{\mathbf{Z}} = th(\sigma) \pmod{\mathbf{Z}} = tr \pmod{\mathbf{Z}}. \end{aligned}$$

Hence

$$(3) \quad p(\phi_t(x)) - p(x) = tr \pmod{\mathbf{Z}},$$

$$(3') \quad p_*(\sigma) = h(\sigma).$$

Let  $V$  be a fiber of  $p$ . By (3) every fiber of  $p$  can be written as  $\phi_t(V)$  for some  $t \in [0, 1]$ . The field  $X$  is parallel (because  $\phi_t(x) = xg_t = g_t x$ , where  $t \rightarrow g_t$  is a one-parameter subgroup of the center  $Z(G)$ ; see [8], Section 4.3) and the bundle  $\ker \omega$  is parallel. Hence it is not difficult to show that for any fiber  $\phi_s(V)$  and for some  $\varepsilon > 0$  the map

$$\Phi: V \times (-\varepsilon, \varepsilon) \rightarrow \{\phi_t(V) : t \in (s - \varepsilon, s + \varepsilon)\},$$

given by  $\Phi(u, t) = \phi_{t+s}(u)$ , is an affine diffeomorphism. Under this identification,  $p$  can be written as  $p(u, t) = r(t + s)$ . It follows that the map  $p$  is affine, as claimed.

Let  $q: N \rightarrow M$  be the canonical projection, let  $\bar{p}: N \rightarrow \mathbf{R}^k$  be the fibration covering  $p$ , let  $V = \bar{p}^{-1}(0)$ , and  $u \in V$ . By (3),

$$(4) \quad \bar{p}(\bar{\phi}_t(y)) = tr + \bar{p}(y) \quad \text{for } y \in N.$$

Hence  $\bar{p}^{-1}(s) = \bar{\phi}_{s/r}(V)$  for  $s \in \mathbf{R}$ . It is clear that  $\psi: V \times \mathbf{R} \rightarrow V$ , given by  $\psi(v, t) = \bar{\phi}_t(v)$ , is an  $\mathbf{R}$ -equivariant diffeomorphism. The diffeomorphism  $\psi$  is affine (compare the argument showing that  $\Phi$  is affine).

The homomorphism  $h_1: \Gamma/\Delta \rightarrow \mathbf{Z}$  determined by  $h$  is an isomorphism, because  $h$  is an epimorphism and  $\Delta = \ker h$ . Here  $\Delta$  is canonically identified with the corresponding subgroup of  $\Gamma$ . It follows that  $\Gamma/\Delta$  is generated by  $\delta = h_1^{-1}(1)$ . Let  $\sigma_0$  be the image of  $\sigma \in \pi_1(M) \approx \Gamma$  in  $\Gamma/\Delta$ .

Let  $\tilde{\phi}_t: G \rightarrow G, t \in \mathbf{R}$ , be the  $\mathbf{R}$ -action covering the  $S^1$ -action. Under the canonical identification of  $\pi_1(M, x_0)$  with  $\Gamma$ ,  $\tilde{\phi}_1$  corresponds to  $\sigma$  so that  $\sigma_0 = \bar{\phi}_1$ . Recall that  $\gamma \in \Gamma$  is identified with the homotopy class of  $P \circ c$ , where  $c: [0, 1] \rightarrow M$  is any curve joining  $x_0$  to  $\gamma(x_0)$ , and  $P$  is the canonical projection of the universal covering space of  $M$  onto  $M$ . As  $p_*(\sigma) = h(\sigma) = r$ , we have  $\sigma_0 = \delta^r$ .

The equality  $\delta = h^{-1}(1)$  implies that  $\bar{p}(\delta(u)) = \bar{p}(u) + 1$  for  $u \in V$ . Since  $\bar{p}(\bar{\phi}_t(u)) = rt$ , we have  $\delta(V) = \bar{\phi}_{1/r}(V)$ . Let  $\alpha = \bar{\phi}_{1/r}^{-1} \circ \delta$ . Then  $\alpha(V) = V$ . As  $\bar{\phi}_0 = \text{id}_V$  and  $\bar{\phi}_t$  covers  $\phi_t: M \rightarrow M$ , it follows that  $\bar{\phi}_t$  commutes with  $\delta$  for every  $t \in \mathbf{R}$ . Hence  $\alpha \circ \bar{\phi}_t = \bar{\phi}_t \circ \alpha$  and  $\alpha^r = \text{id}$ .

Under the identification  $\psi: V \times \mathbf{R} \rightarrow N$ , the diffeomorphism  $\alpha$  can be written as  $\alpha(u, t) = (\alpha(u), t)$ . As  $M = N / \langle \delta \rangle$ , it follows that  $q|_V$  carries  $V$  diffeomorphically onto a fiber of  $p$ , which proves (b). The group  $\Delta \times \langle \sigma \rangle$  is a subgroup of  $\Gamma$  of index  $r$  because  $\Delta \times \langle \sigma \rangle = h^{-1}(rZ)$ . As  $h = p_*$  on  $\Delta \times \langle \sigma \rangle$ , it follows that  $p_* = h$ . This completes the proof of Theorem 3.1.

**4. Equivariant affine Calabi reductions.** Our aim here is to generalize the results of the previous sections to the equivariant case. Let  $H$  be a Lie group acting on a manifold  $V$  and let  $\alpha: V \rightarrow V$  be an  $H$ -equivariant diffeomorphism. Let  $[x, t]$  denote the class of  $(x, t) \in V \times [0, 1]$  in  $V_\alpha$ . For every  $a \in \pi_1(M)$  the symbol  $\varrho(a)$  denotes the image of  $a$  in  $H_1(M, \mathbf{Q})$ .

**DEFINITION 4.1.** The manifold  $V_\alpha$  with the action of  $H$  given by  $h[x, t] = [h(x), t]$  is called the *equivariant mapping torus of  $\alpha$* .

**THEOREM 4.1.** *Let  $H$  be a compact Lie group acting affinely on a closed infranilmanifold  $M = G/\Gamma$  with a fixed point  $*$ . Then the following conditions are equivalent:*

- (i) *The manifold  $M$  is  $H$ -equivariantly and affinely diffeomorphic to a mapping torus  $V_\alpha$  of an  $H$ -equivariant, periodic, affine diffeomorphism  $\alpha: V \rightarrow V$ .*
- (ii) *There is  $\sigma \in Z(\pi_1(M))$  such that  $\varrho(\sigma) \neq 0$  and  $h_*(\sigma) = \sigma$  for  $h \in H$ .*

**Remark 4.1.** The case where the manifold  $M$  is flat is easier (cf. [14], Section 1).

**Proof.** Assume that (i) holds. Let  $r$  be the order of  $\alpha$ . Then  $\phi_t: M \rightarrow M, t \in [0, 1]$ , given by  $\phi_t([x, s]) = [x, s + rt]$ , where  $[x, s]$  is treated as the class of  $(x, s) \in V \times \mathbf{R}$  in  $V_\alpha$ , is an  $S^1$ -action on  $M$ . Let  $\sigma \in \pi_1(M)$  be the class of the orbit of our fixed point  $*$ . Then we know ([4], Lemma 4.2) that  $\sigma \in Z(\pi_1(M))$ . As an  $r$ -fold cover of  $M$  is  $V_{\text{id}} = V \times S^1$ , we have  $\varrho(\sigma) \neq 0$ . By Definition 4.1 the action of  $H$  on the orbit of  $*$  is trivial.

Assume (ii). Let  $\phi_t: M \rightarrow M, t \in [0, 1]$ , be the parallel  $S^1$ -action whose orbits belong to  $\sigma$  (see Section 3). Since  $\varrho(\sigma) \neq 0$ , there is an epimorphism  $\mu: \pi_1(M) \rightarrow \mathbf{Z}$  such that  $\mu(\sigma) \neq 0$ . The identity component  $H_0$  of  $H$  acts trivially on  $\pi_1(M, *)$  and  $K = H/H_0$  is a finite group. Set

$$\lambda(\gamma) = \sum_{k \in K} \mu(k_*(\gamma)) \quad \text{for } \gamma \in \pi_1(M).$$

The homomorphism  $\lambda$  is  $H$ -equivariant and  $\lambda(\sigma) = |K| \mu(\sigma) \neq 0$ .



Take  $[\lambda] \in \text{im}[H^1(M; \mathbf{Z}) \rightarrow H^1(M; \mathbf{R})]$  corresponding to  $\lambda$  (see Section 3) and a parallel form  $\omega$  representing  $[\lambda]$  (see Proposition 2.1). By the  $H$ -invariance of  $[\lambda]$  and by the uniqueness of  $\omega$ , the form  $\omega$  is  $H$ -invariant. It follows (compare the proof of Theorem 3.1) that the corresponding  $S^1$ -equivariant affine fibration  $p: M \rightarrow S^1$  is  $H$ -equivariant.

By [8], Section 4.3, we have  $\tilde{\phi}_t(x) = g_t x = x g_t$ , where  $\tilde{\phi}_t: G \rightarrow G$ ,  $t \in \mathbf{R}$ , is the action of  $\mathbf{R}$  covering the  $S^1$ -action and  $t \rightarrow g_t$  is a one-parameter subgroup of  $Z(G)$ . Let  $h \in H$ . Take  $\tilde{h} \in \text{Aff}(G)$  covering  $h$ . Let  $\tilde{h} = L_u \circ \Phi$ , where  $u \in G$ ,  $\Phi \in \text{Aut}(G)$ , and let  $b_t = \Phi(g_t)$ . Since  $H$  acts trivially on  $\sigma$ , the affine actions

$$\phi_t: M \rightarrow M, \quad \psi_t = h \circ \phi_t \circ h^{-1}: M \rightarrow M, \quad t \in [0, 1],$$

have homotopic orbits so that  $\tilde{\phi}_1 = \tilde{\psi}_1$ . A direct calculation yields

$$\tilde{\psi}_t(x) = (\tilde{h} \circ \tilde{\phi}_t \circ \tilde{h}^{-1})(x) = \Phi(g_t)x = b_t x,$$

and as  $\tilde{\phi}_1 = \tilde{\psi}_1$ , we have  $b_1 = g_1$ . It follows that  $b_t = g_t$  for  $t \in [0, 1]$ , because  $b_t, g_t \in Z(G)$ . Hence  $\tilde{\psi}_t(x) = g_t x = \tilde{\phi}_t(x)$  for every  $x \in G$ . Thus the  $S^1$ -action  $\phi_t$  commutes with  $h$  and  $h(\phi_t(*)) = \psi_t(*) = \phi_t(*)$ .

Let  $F = p^{-1}(0)$ . As  $* \in F$ , we have  $h(F) = F$  for  $h \in H$ . If  $x \in M$ ,  $h \in H$ , then  $x \in \phi_t(F)$  for some  $t \in [0, 1]$ ,  $p(hx) = p(h\phi_t(*)) = p(\phi_t(*)) = p(x)$ , and Theorem 4.1 follows from Theorem 3.1.

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