

MAPPING PROPERTIES OF  $\log g'(z)$ 

BY

D. M. CAMPBELL (PROVO, UTAH)

AND J. A. PFALTZGRAFF (CHAPEL HILL, NORTH CAROLINA)

**1. Introduction.** In recent investigations of Duren and McLaughlin (see [2] and [3]) on the Marx conjecture for starlike functions, the univalence of  $\log k'(z)$  and  $\sqrt{k'(z)}$ , where  $k(z) = z/(1-z)^2$ , in the open unit disk  $D = \{z: |z| < 1\}$  plays an important role. In this paper\* we investigate the problem of determining the mapping properties of  $\log k'_c(z)$  for the generalized Koebe function

$$k_c(z) = \frac{1}{2c} \left\{ \left( \frac{1+z}{1-z} \right)^c - 1 \right\} \quad (c \text{ complex})$$

and, more generally, the question of univalence and close-to-convexity of  $\log g'(z)$  when  $g(z)$  ranges over various classes of locally univalent functions on  $D$ .

One of our results shows that  $\log k'_c(z)$  for  $c$  real,  $|c| \geq 1$ , maps  $D$  univalently onto a starlike region. This result depends on an analysis of the boundary behavior of  $\log k'_c(z)$  (i.e. when  $|z| = 1$ ) and of a boundary characterization of starlike mappings that we develop in Section 2. The boundary characterizations of starlike, convex and bounded boundary rotation mappings in Section 2 are of interest in themselves apart from our application in the proof of Theorem 3.1.

**2. Boundary characterizations of starlikeness and bounded boundary rotation.** A function  $f(z) = z + \dots$  analytic in  $D$  is *starlike* (with respect to the origin) in  $D$  if  $\operatorname{Re}[zf'(z)/f(z)] > 0$  for all  $z$  in  $D$ . For a specific function  $f$  it can be quite difficult to verify that the condition  $\operatorname{Re}[zf'(z)/f(z)] > 0$  is satisfied throughout  $D$ , and the verification can involve numerous special cases with a variety of tedious calculations for various values of  $r$  and  $\theta$ , where  $z = re^{i\theta}$ . In Theorem 2.1 and Corollary 2.1 we cast the

---

\* The research of the first author was supported by a Brigham Young University Research Grant and of the second author by the U. S. Army Research Office - Durham, Grant 31-124-72-G182E.

characterization of starlikeness in a form that often simplifies matters by permitting one to perform simpler calculations with  $\operatorname{Re}[zf'(z)/f(z)]$  for points  $z = e^{i\theta}$  on the boundary. The necessity of the conditions characterizing starlikeness in Theorem 2.1 is known, but the proof of their sufficiency seems to be new.

**THEOREM 2.1.** *Let  $f(z) = z + \dots$  be analytic in  $D$ . Then  $f(z)$  is starlike in  $D$  if and only if the following three conditions are satisfied:*

- (i)  $f(z)/z \neq 0$  for all  $z \in D$ .
- (ii) The harmonic function  $\arg[f(z)/z]$  is bounded in  $D$ .
- (iii)  $\lim_{r \rightarrow 1} \arg[f(re^{i\theta})/re^{i\theta}] \equiv V(\theta) - \theta$  exists for all  $\theta \in [0, 2\pi]$ ,  $V(2\pi) - V(0) = 2\pi$ , and  $V(\theta)$  is a monotone non-decreasing function.

**Proof.** The necessity of conditions (i)-(iii) is known (see [6], [9], Lemma 1, and [11], p. 181). To prove their sufficiency, let  $V(\theta)$  be the monotone function in (iii) and define the starlike function  $g$  by

$$g(z) = z \exp \left\{ -\frac{1}{\pi} \int_0^{2\pi} \log(1 - ze^{-it}) dV(t) \right\}.$$

Then, for all  $\theta \in [0, 2\pi]$ ,

$$\lim_{r \rightarrow 1} \arg[g(re^{i\theta})/re^{i\theta}] = V(\theta) - \theta + c,$$

where  $c$  is a constant, with the possible exception of the countable set of points of discontinuity of  $V(\theta)$  (see [9], p. 210). The function

$$h(z) = \frac{f(z)/z}{g(z)/z}$$

is analytic, does not vanish in  $D$ , and satisfies the equalities

$$\arg h(z) = \arg[f(z)/z] - \arg[g(z)/z]$$

and

$$\lim_{r \rightarrow 1} \arg h(re^{i\theta}) = (V(\theta) - \theta) - (V(\theta) - \theta + c) = -c$$

for all but a countable number of points  $\theta \in (0, 2\pi]$ . Furthermore,  $\arg h(z)$  is harmonic and bounded in  $D$ , since  $|\arg[g(z)/z]| < \pi/2$  (see [11], p. 181) and condition (ii) is assumed. Thus  $\arg h(z)$  is constant in  $D$  since it is the Poisson integral of its radial limit function. It follows that  $h(z)$  is constant in  $D$  and, therefore,  $f(z)$  is a (real) constant multiple of the starlike function  $g(z)$ . The proof of Theorem 2.1 is complete.

A careful analysis of the radial limits in (iii) shows that  $V(\theta)$  is necessarily equal to  $(V(\theta+) + V(\theta-))/2$  at any point of discontinuity (see [9], p. 210). Our next assertion gives a more flexible boundary criterion for starlikeness.

**COROLLARY 2.1.** *Let  $f(z) = z + \dots$  be analytic in  $D$ , have no zeros in  $0 < |z| < 1$ , and let  $\arg[f(z)/z]$  be a bounded harmonic function in  $D$ . Suppose that*

(1)  $\lim_{r \rightarrow 1} \arg[f(re^{i\theta})/re^{i\theta}] \equiv V(\theta) - \theta$  exists for all  $\theta \in [0, 2\pi]$  with  $V(2\pi) - V(0) = 2\pi$ ;

(2) there is a finite set of points  $T = \{t_j: j = 1, \dots, n\}$ ,  $0 \leq t_1 < \dots < t_n < 2\pi$ , such that  $V(t_j -) \leq V(t_j) \leq V(t_j +)$ ,  $j = 1, \dots, n$ , and, for all  $\theta \notin T$ ,  $V(\theta) = \arg f(e^{i\theta})$  and  $V(\theta)$  is continuously differentiable.

Then  $f(z)$  is starlike in  $D$  if

$$\operatorname{Re}[e^{i\theta} f'(e^{i\theta})/f(e^{i\theta})] \geq 0 \quad \text{for all } \theta \notin T.$$

**Proof.** By Theorem 2.1 and hypothesis (2), it is sufficient to show that  $V(\theta)$  is monotone non-decreasing in each of the intervals  $t_j < \theta < t_{j+1}$ . This is immediate since

$$V'(\theta) = \frac{\partial}{\partial \theta} \arg f(e^{i\theta}) = \operatorname{Re}[e^{i\theta} f'(e^{i\theta})/f(e^{i\theta})] \geq 0 \quad \text{for } \theta \in (t_j, t_{j+1}).$$

**Remark.** If  $f(z)$  is close-to-convex, then  $|\arg[f(z)/z]|$  must be bounded in  $D$  (see [11], p. 181). Frequently it is easy to verify that a particular function is close-to-convex. We shall use this fact in Section 3.

**COROLLARY 2.2.** *Let  $f(z) = z + \dots$  be analytic with  $f'(z) \neq 0$  and let  $\arg f'(z)$  be bounded in  $D$ . Then  $f(z)$  is convex univalent in  $D$  if and only if*

$$\lim_{r \rightarrow 1} \arg f'(re^{i\theta}) \equiv V(\theta) - \theta$$

exists for all  $\theta \in [0, 2\pi]$ ,  $V(2\pi) - V(0) = 2\pi$  and  $V(\theta)$  is a monotone non-decreasing function.

**Proof.** This follows from Theorem 2.1 and the fact that  $f(z)$  is convex if and only if  $zf'(z)$  is starlike.

Similarly, a characterization of convexity corresponding to Corollary 2.1 can be obtained by substituting  $f'(z)$  for  $f(z)/z$  in that result.

In the next theorem we consider the class  $V_k$  of analytic functions with boundary rotation no greater than  $k\pi$  (cf. [7]).

**THEOREM 2.2.** *Let  $f(z) = z + \dots$  be analytic with  $f'(z) \neq 0$  and let  $\sup |\arg f'(z)| < \infty$  in  $D$ . For  $f(z)$  to be in  $V_k$  it is necessary and sufficient that*

$$\lim_{r \rightarrow 1} \arg f'(re^{i\theta}) \equiv V(\theta) - \theta$$

exists for all  $\theta \in [0, 2\pi]$ ,  $V(2\pi) - V(0) = 2\pi$  and that  $V(\theta)$  be a function of bounded variation with

$$\int_0^{2\pi} |dV(\theta)| \leq k\pi.$$

**Proof.** This theorem follows directly from Theorem 2.1 and Brannan's observation [1] that  $f \in V_k$  if and only if there exist univalent starlike functions  $g(z)$  and  $h(z)$  such that

$$f'(z) = (g(z)/z)^{(k+2)/4} (h(z)/z)^{(2-k)/4}, \quad z \in D.$$

**Remark.** A more restrictive and geometrically less natural condition has been given by Flett [4] who considered only univalent  $f(z)$  which satisfy

$$\sup_{r < 1} \int_0^{2\pi} \log^+ |f'(re^{i\theta})| d\theta < \infty.$$

**3. Mapping properties of  $\log k'_c(z)$ .** The generalized Koebe function  $k_c(z)$  is of the form

$$k_c(z) = \int_0^z \frac{(1+w)^{c-1}}{(1-w)^{c+1}} dw \quad (c \text{ complex}).$$

Clearly,

$$k_0(z) = \frac{1}{2} \log \frac{1+z}{1-z} \quad \text{and} \quad k_c(z) = \frac{1}{2c} \left\{ \left( \frac{1+z}{1-z} \right)^c - 1 \right\}, \quad c \neq 0.$$

In particular, for  $c = 1$  and  $c = 2$  we have the familiar  $k_1(z) = z/(1-z)$  and  $k_2(z) = z/(1-z)^2$ .

**THEOREM 3.1.** *The function  $f_c(z) = (1/2c)\log k'_c(z)$ , where  $c \neq 0$ , is*

- (1) *not locally univalent if  $|c| < 1$ ;*
- (2) *univalent and close-to-convex if  $|c| \geq 1$ ;*
- (3) *convex in the direction of the imaginary axis if  $|c| \geq 1$ ;*
- (4) *convex if and only if  $c = \pm 1$ ;*
- (5) *starlike if  $c$  is real and  $|c| \geq 1$ .*

**Proof.** (1) We have

$$(3.1) \quad f_c(z) = \frac{c-1}{2c} \log(1+z) - \frac{c+1}{2c} \log(1-z),$$

and  $f'_c(z) = (1+z/c)/(1-z^2)$ . Clearly,  $f_c(z)$  is not locally univalent in  $D$  if  $|c| < 1$ , since  $f'_c(-c) = 0$ .

(2) If  $|c| \geq 1$ , then  $f_c(z)$  is close-to-convex with respect to the univalent convex function  $g(z) = (1/2)\log((1+z)/(1-z))$ , since

$$\operatorname{Re}[f'_c(z)/g'(z)] = \operatorname{Re}(1+z/c) > 0, \quad z \in D.$$

(3) If  $|c| \geq 1$ , then

$$\operatorname{Re}[(1-z^2)f'_c(z)] = \operatorname{Re}(1+z/c) > 0, \quad z \in D,$$

and  $f_c(z)$  is convex in the direction of the imaginary axis (see [5]).

(4) If we let  $Q_c(z) = 1 + zf''(z)/f'_c(z)$ , then

$$(3.2) \quad Q_c(z) = z/(c+z) + (1+z^2)/(1-z^2)$$

$$(3.3) \quad \operatorname{Re} Q_c(re^{i\theta}) = \frac{r^2 + |c|r \cos(\theta - t)}{|c + re^{i\theta}|^2} + \frac{1 - r^4}{|1 - r^2 e^{i2\theta}|^2}, \quad t = \arg c.$$

If  $c = \pm 1$  and  $z \in D$ , then  $Q_c(z) = 1/(1 \mp z)$ ,  $\operatorname{Re} Q_c(z) > 1/2$ , and  $f_c(z)$  is convex (of order  $1/2$ ). For  $|c| > 1$  and  $\theta \neq 0, \pi$ , equation (3.3) yields that

$$\operatorname{Re} Q_c(e^{i\theta}) = (1 + |c| \cos(\theta - t))|c + e^{i\theta}|^{-2}$$

which is negative for values of  $\theta$  near  $t + \pi$ . Hence, by continuity,  $\operatorname{Re} Q_c(z) < 0$  for some  $z \in D$ . Finally, for  $c = e^{it}$ ,  $t \neq 0, \pi$ , we have

$$\operatorname{Re} Q_c(-re^{it}) = \frac{-r}{1-r} + \frac{1-r^4}{|1-r^2 e^{i2t}|^2}$$

which is negative for  $r$  near 1 ( $2t \neq 0 \pmod{2\pi}$ ).

(5) Since  $f_{-c}(z) = -f_c(-z)$  by (3.1), it will suffice to show that  $f_c(z)$  is starlike for  $c > 1$ . We show that the conditions of Corollary 2.1 are satisfied with the two-point exceptional set  $T = \{0, \pi\}$ . The harmonic function  $\arg[f_c(z)/z]$  is bounded in  $D$  since  $f_c(z)$  is close-to-convex.

Choosing the branch of  $\arg[f_c(z)/z]$  that vanishes at  $z = 0$ , letting

$$V(\theta) = \theta + \lim_{r \rightarrow 1} \arg[f_c(re^{i\theta})/re^{i\theta}]$$

and using (3.1), we see that

$$V(\theta) = \begin{cases} 0, & \theta = 0, \\ \pi, & \theta = \pi, \\ \arg \left[ \frac{c-1}{2c} \log(1+e^{i\theta}) - \frac{c+1}{2c} \log(1-e^{i\theta}) \right], & \theta \neq 0, \pi. \end{cases}$$

Following Duren and McLaughlin [3], we introduce the polar representations

$$1 - e^{i\theta} = \sqrt{2(1 - \cos \theta)} e^{i(\theta - \pi)/2}, \quad 0 < \theta < 2\pi,$$

$$1 + e^{i\theta} = \begin{cases} \sqrt{2(1 + \cos \theta)} e^{i\theta/2}, & 0 \leq \theta < \pi, \\ \sqrt{2(1 + \cos \theta)} e^{i(\theta - 2\pi)/2}, & \pi < \theta \leq 2\pi. \end{cases}$$

Then

$$f_c(e^{i\theta}) = (1/2c)[(c-1)\log(1+e^{i\theta}) - (c+1)\log(1-e^{i\theta})] = u(\theta) + iv(\theta),$$

where

$$u(\theta) = (1/4c)[- \log 4 + (c-1)\log(1 + \cos \theta) - (c+1)\log(1 - \cos \theta)],$$

$$0 < \theta < 2\pi, \theta \neq \pi,$$

and

$$v(\theta) = \begin{cases} (1/4c)[(c+1)\pi - 2\theta], & 0 < \theta < \pi, \\ (1/4c)[(3-c)\pi - 2\theta], & \pi < \theta < 2\pi. \end{cases}$$

It is easy to check that  $V(\theta) = \arg[u(\theta) + iv(\theta)]$  is continuous in the interval  $0 < \theta < 2\pi$ ,  $V(0+) = 0 = V(0) = V(2\pi-) - 2\pi$ , and  $V(\theta)$  is continuously differentiable in each of the intervals  $0 < \theta < \pi$  and  $\pi < \theta < 2\pi$ .

We complete the proof by showing that  $V'(\theta) > 0$  in the interval  $0 < \theta < \pi$ . This is sufficient because of the symmetry  $f_c(e^{-i\theta}) = \overline{f_c(e^{i\theta})}$ . Clearly,  $v(\theta)$  is a strictly decreasing linear function in  $0 < \theta < \pi$ , and  $u(\theta)$  is strictly decreasing in  $0 < \theta < \pi$ . Moreover,

$$u'(\theta) = -(c + \cos \theta)/(2c \sin \theta) < 0, \quad 0 < \theta < \pi.$$

We choose the branch of the inverse cotangent with values in  $(0, \pi)$  and write  $V(\theta) = \operatorname{arccot}[u(\theta)/v(\theta)]$ . Then

$$V'(\theta) = (u(\theta)v'(\theta) - v(\theta)u'(\theta))/v^2(\theta)$$

is positive on  $\pi/2 \leq \theta < \pi$ , since  $u(\theta)$  and  $v(\theta)$  are decreasing and  $v(\theta) > 0$  on  $0 < \theta < \pi$ , and  $u(\theta) < 0$  on  $\pi/2 \leq \theta < \pi$ . Furthermore,  $V'(\theta)$  is positive on  $0 < \theta \leq \pi/2$ , since  $V'(\pi/2) > 0$ , and

$$\begin{aligned} \frac{d}{d\theta} (u(\theta)v'(\theta) - v(\theta)u'(\theta)) &= -v(\theta)u''(\theta) \\ &= -v(\theta)(1 + c \cos \theta)/2c \sin^2 \theta < 0, \quad 0 < \theta \leq \pi/2. \end{aligned}$$

(Note that  $v''(\theta) = 0$ .) This completes the proof of the theorem.

Our proof of (5) is a generalization of the method used by Duren and McLaughlin for the case  $c = 2$  (see [3], p. 272). Their proof is incomplete, since their observation that  $u(\theta)$  and  $v(\theta)$  both decrease in  $0 < \theta < \pi$  is not enough to establish the starlikeness of the curve  $(u(\theta), v(\theta))$  for  $0 < \theta < \pi$  in the first quadrant ( $u > 0, v > 0$ ) of the plane  $(u, v)$ . Our proof fills this gap and it is valid for any  $c \geq 1$  or  $c \leq -1$ . We are indebted to P. L. Duren for a comment (private communication) that motivated us to simplify to the present form an earlier proof of ours.

The polar form  $f_c(e^{i\theta}) = u(\theta) + iv(\theta)$  makes it easy to see that the starlike region  $f_c(D)$  is an infinite symmetric horizontal striplike region lying in a horizontal strip of width  $\pi(c+1)/(2c) = v(0+) - v(2\pi-)$ . An illustration for  $c = 2$  appears in [3], p. 273.

**COROLLARY 3.1.** *If  $c$  is real and  $|c| \geq 1$ , then  $(k'_c(z))^\beta$  is univalent in  $D$  for all real  $\beta$  satisfying  $0 < |\beta| \leq 2/(1 + |c|)$ .*

**Proof.** Because of symmetry it is enough to consider the case  $c \geq 1$ . The preceding remarks show that

$$\log(k'_c(z))^\beta = \beta \log k'_c(z) = 2c\beta f_c(z)$$

maps  $D$  onto a region lying in the interior of a horizontal strip of width  $\beta\pi(c+1) \leq 2\pi$  when  $|\beta| \leq 2/(1+c)$ . The exponential function is univalent in any open horizontal strip of width no greater than  $2\pi$ . Hence

$$(k'_c(z))^\beta = \exp\{\beta \log k'_c(z)\}$$

is univalent in  $D$  if  $0 < |\beta| \leq 2/(1 + |c|)$ .

This corollary extends and generalizes the Duren and McLaughlin result that  $\sqrt{k'_2(z)}$  is univalent in  $D$  (see [3], p. 269). In particular, for  $c = 2$ , we see that  $(k'_2(z))^\beta$  is univalent in  $D$  for all real  $\beta$  satisfying  $0 < |\beta| \leq 2/3$ .

In our proof of Theorem 3.1 (5) the positive nature of  $u''(\theta)$  on an interval (where  $\cos \theta > -1/c$ ) and the linearity of  $v(\theta)$  show that the corresponding portions of the curve  $(u(\theta), v(\theta))$  are convex as well as starlike. In connection with his work on the Marx conjecture [2], Duren determined the radius of convexity of  $f_2(z)$ . We can show that the radius of convexity of  $f_c(z)$  for  $c$  real,  $|c| \geq 1$ , is  $R_c = \sqrt{s_c}$ , where  $s_c$  is the unique root in  $0 < s \leq 1$  of the polynomial equation

$$c^2s^5 + (32 - 25c^2)s^4 + (27c^4 - 17c^2)s^3 + (9c^2 - 27c^4)s^2 - 27c^4s + 27c^4 = 0.$$

We omit the proof which is elementary but rather tedious in some of its details. It is interesting that  $R_c = 1$  for  $c = \pm 1$ , but if  $|c| \rightarrow \infty$ , then  $R_c \rightarrow 1$ , rather than tending to a minimum value. (Of course,  $R_c \geq 2 - \sqrt{3}$ , since  $f_c$  is univalent.)

This limiting behavior of  $R_c$  ( $c$  real,  $|c| \geq 1$ ) can be explained if we develop one additional geometric property of the mapping  $f_c(z)$ . Since

$$\int_0^{2\pi} \left| \operatorname{Re} \frac{1 + zf'_c(z)}{f'_c(z)} \right| d\theta \leq \int_0^{2\pi} \operatorname{Re} \left( \frac{1 + z^2}{1 - z^2} \right) d\theta + \int_0^{2\pi} \left| \operatorname{Re} \frac{z}{c + z} \right| d\theta \leq 2\pi + \frac{2\pi}{|c| + 1},$$

we see that if  $|c| \rightarrow \infty$ , then  $f_c(z)$  is in  $V_k$  with  $k$  as close to 2 as desired. The radius of convexity for any function in  $V_k$  is at least  $(k - (k^2 - 4))/2$ . Thus  $R_c$  must approach 1 as  $|c| \rightarrow \infty$ . Indeed, this holds true for complex as well as real  $c$ .

**4. Univalence of  $\log g'(z)$  for locally univalent  $g(z)$ .** A family of functions  $g(z) = z + \dots$ , analytic and locally univalent in  $D$ , is said to be *linear invariant* if, for every Möbius transformation  $\varphi(z)$  of  $D$  onto  $D$ , the

function

$$A_\varphi[g(z)] = \frac{g(\varphi(z)) - g(\varphi(0))}{\varphi'(0)g'(\varphi(0))} = z + \dots$$

is again a member of the family (cf. [10]). If  $M$  is a linear invariant family, the *order* of  $M$  is defined to be

$$\alpha = \sup\{|f''(0)/2|: f \in M\}.$$

It is always the case  $\alpha \geq 1$  (see [10]). Following Pommerenke [10], we let  $\mathcal{U}_\alpha$  denote the union of all linear invariant families of order at most  $\alpha$ . The family  $\mathcal{U}_1$  is precisely the class of all normalized univalent convex mappings of  $D$ . The family of normalized univalent functions in  $D$  is a proper subset of  $\mathcal{U}_2$ . Pommerenke has shown ([10], Satz 2.5) that the radius of convexity of  $\mathcal{U}_\alpha$  is given by the formula

$$(4.1) \quad R_\alpha = \alpha - \sqrt{\alpha^2 - 1} = 1/(a + \sqrt{a^2 - 1}).$$

The mapping properties of  $\log k'_c(z)$  established in Section 3 suggest the general problem of determining mapping properties of  $f(z) = \log g'(z)$ , where

$$(4.2) \quad g(z) = z + bz^2 + \dots$$

runs over a whole family of locally univalent functions. Clearly, the univalence and local univalence of  $f(z)$  are limited by the location of the zeros of  $g''(z)$ , since  $f'(z) = g''(z)/g'(z)$ . We shall determine the radii of univalence and close-to-convexity of  $\log g'(z)$  when  $g \in \mathcal{U}_1$  and lower bounds for these quantities when  $g \in \mathcal{U}_\alpha$ . The determining factors in this work are the modulus of  $b = g''(0)/2$  in (4.2) and the radius of convexity in formula (4.1).

**THEOREM 4.1.** *Let  $g(z) = z + bz^2 + \dots$  be univalent and convex in  $D$  (i.e.  $g \in \mathcal{U}_1$ ). Then  $f(z) = \log g'(z)$  is univalent and close-to-convex in the disk  $|z| < |b|$ . Furthermore,  $g'(z)$  is univalent and close-to-convex in  $|z| < |b|$ . The function  $\log k'_c(z)$  with  $c = |b|$  shows that these results are sharp*

**Proof.** Our proof consists in showing that

$$\operatorname{Re} f'(z) = \operatorname{Re}[g''(z)/g'(z)] > 0$$

in the disk  $|z| < |b|$ . This will imply that  $f$  is close-to-convex (and hence univalent) with respect to the identity  $z \rightarrow z$ , and that  $g'(z)$  is close-to-convex with respect to the convex function  $g(z)$  in  $|z| < |b|$ .

Clearly,  $f(z)$  is not univalent in any neighborhood of 0 if  $b = g''(0)/2 = f'(0)/2 = 0$ . It is sufficient to assume that  $0 < b \leq 1$ . Now, if  $g \in \mathcal{U}_1$ , then

$$g_t(z) = e^{it}g(ze^{-it}) = z + be^{-it}z + \dots \in \mathcal{U}_1, \\ |be^{-it}| = |b| \quad \text{and} \quad f_t(z) = \log g'_t(z) = f(ze^{-it}).$$

If  $b = 1$ , then the uniqueness of the extremal function for the coefficient problem in  $\mathcal{U}_1$  implies that  $g(z) = z/(1 - z)$ , and  $f(z) = -2\log(1 - z)$  which is univalent and convex in  $D$ .

In the case  $0 < b < 1$  we observe that the convexity of  $g(z)$  in  $D$  implies that  $1 + zg''(z)/g'(z)$  is subordinate to  $(1 + z)/(1 - z)$  in  $D$ . Hence

$$1 + \frac{zg''(z)}{g'(z)} = \frac{1 + zB(z)}{1 - zB(z)} = 1 + \frac{2zB(z)}{1 - zB(z)}, \quad |z| < 1,$$

where  $B(z) = b + b_1z + \dots$  is analytic and  $|B(z)| < 1$  in  $D$ . Since  $B(z)$  is bounded and  $B(0) = b$ , the values of  $B(z)$  for  $z$  in the disk  $|z| \leq r$  lie in the disk

$$(4.3) \quad \left| w - \frac{(1 - r^2)b}{1 - r^2b^2} \right| < \frac{r(1 - b^2)}{1 - r^2b^2} \quad (w \text{ complex}),$$

and  $(b - r)/(1 - rb) \leq \operatorname{Re} B(z) \leq (b + r)/(1 + rb)$  (see [8], p. 167). The disk (4.3) is centered at a point on the positive real axis in  $D$  and does not contain the origin if  $r < b$ . Thus the inversion  $w \rightarrow 1/w$  yields that

$$(4.4) \quad \operatorname{Re} \frac{1}{B(z)} \geq \frac{1 + rb}{b + r}, \quad |z| \leq r < b.$$

Since  $f'(z) = g''(z)/g'(z) = 2B(z)/(1 - zB(z))$  and  $B(z)$  has no zeros in  $|z| \leq r < b$ , it follows that  $\operatorname{Re} f'(z)$  will be positive in  $|z| \leq r < b$  if  $\operatorname{Re}[1/B(z) - z] > 0$  in  $|z| \leq r < b$ . Inequality (4.4) implies that

$$\operatorname{Re} \left[ \frac{1}{B(z)} - z \right] \geq \frac{1 + rb}{b + r} - r = \frac{1 - r^2}{b + r} > 0, \quad |z| \leq r < b,$$

and establishes the result.

To show that our result is sharp note that the generalized Koebe function  $k_c(z)$  is univalent and convex in  $D$  when  $0 < c < 1$ . Moreover, for  $f(z) = \log k'_c(z)$  with  $c = |b|$ , we have  $f'(z) = 2(z + |b|)/(1 - z^2)$ ,  $f'(-|b|) = 0$ , and  $k''_c(0)/2 = |b|$ .

**THEOREM 4.2.** *If  $g(z) = z + bz^2 + \dots$  belongs to  $\mathcal{U}_a$ , then the function  $f(z) = \log g'(z)$  is univalent and close-to-convex in the disk  $|z| < |b|R_a^2$ .*

**Proof.** Suppose that  $g(z) = z + bz^2 + \dots$  belongs to  $\mathcal{U}_a$ . Then

$$g_\varrho(z) = \frac{1}{\varrho} g(\varrho z) = z + b\varrho z^2 + \dots$$

is univalent and convex in  $|z| < 1$  provided  $\varrho = R_a$ . Hence, by Theorem 4.1,

$$f(\varrho z) = \log g'(\varrho z) = \log g'_\varrho(z), \quad \varrho = R_a,$$

is univalent and close-to-convex in  $|z| < |b|R_a$  and, consequently,  $f(z)$  is univalent and close-to-convex in  $|z| < |b|R_a^2$ .

Theorem 4.2 is probably not sharp in the sense that one can find a function  $g(z) \in \mathcal{U}_\alpha$  that is univalent in  $|z| < |g''(0)/2|R_\alpha^2$  and not univalent in any larger disk. (P 933)

#### REFERENCES

- [1] D. A. Brannan, *On functions of bounded boundary rotation I*, Proceedings of the Edinburgh Mathematical Society (2) 16 (1968-1969), p. 339-347.
- [2] P. L. Duren, *On the Marx conjecture for starlike functions*, Transactions of the American Mathematical Society 118 (1965), p. 331-337.
- [3] — and R. McLaughlin, *Two-slit mappings and the Marx conjecture*, The Michigan Mathematical Journal 19 (1972), p. 267-273.
- [4] T. M. Flett, *Some results on schlicht functions and harmonic functions of uniformly bounded variation*, Quarterly Journal of Mathematics, Oxford (2), 6 (1955), p. 59-72.
- [5] W. Hengartner and G. Schober, *On schlicht mappings to domains convex in one direction*, Commentarii Mathematici Helvetici 45 (1970), p. 303-314.
- [6] F. R. Keogh, *Some theorems on conformal mapping of bounded star-shaped domains*, Proceedings of the London Mathematical Society (3) 9 (1959), p. 481-491.
- [7] O. Lehto, *On the distortion of conformal mappings with bounded boundary rotation*, Annales Academiæ Scientiarum Fennicæ, Series A, 124 (1952).
- [8] Z. Nehari, *Conformal mapping*, New York 1952.
- [9] C. Pommerenke, *On starlike and convex functions*, Journal of the London Mathematical Society 37 (1962), p. 209-224.
- [10] — *Linear-invariante Familien analytischer Funktionen I*, Mathematische Annalen 155 (1964), p. 108-154.
- [11] — *On close-to-convex analytic functions*, Transactions of the American Mathematical Society 114 (1965), p. 176-186.

DEPARTMENT OF MATHEMATICS  
BRIGHAM YOUNG UNIVERSITY  
PROVO, UTAH

DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF NORTH CAROLINA  
CHAPEL HILL, NORTH CAROLINA

*Reçu par la Rédaction le 31. 1. 1974*

---