

ON SOME ALGEBRAS  
CONNECTED WITH THE THEORY OF HARMONY

BY

ANNA ROMANOWSKA (WARSAWA)

**1. Introduction.** Some sequences of natural numbers can be used to describe a harmonical structure of musical chords as it was done in [8]. One can improve the harmonical analysis by defining some operations on these sequences and using its properties. One obtains algebras (denoted by  $\mathfrak{S}_n$ ) which are finite Boolean algebras with the new greatest element added and with three new unary operations. Fundamental properties of these algebras were investigated in [5]. The aim of the present note is to give some further information about these algebras and about equational classes generated by  $\mathfrak{S}_n$ .

All notions from universal algebra may be found in [2], and from lattice theory — in [1].

**1. Preliminaries.** Let  $T$  be a non-void set and let  $p: T \rightarrow R^+$  be an injective function from  $T$  into the set of positive real numbers. In the theory of harmony, we will interpret  $T$  as the set of tones, finite subsets of  $T$  as chords, and  $p(t)$  as a pitch of the tone  $t \in T$ . The pair  $(T, p)$  is called a *tone system*. Consider the set  $T$  with elements indexed by the integers. In this case, we identify the set  $T$  with the set  $Z$  of all integers. Therefore, let  $T = Z$ . Denote by  $T_n$  the tone system  $(Z, p)$  if  $p(z) = 2^{z/n}$  for  $z \in Z$  and for a fixed natural number  $n$ . The tone system  $T_n$  is called an *equal temperament  $n$ -tone system*. For any  $z_1, z_2 \in Z$  in the tone system  $T_n$ , let  $z_1 r z_2$  iff there is  $z \in Z$  such that  $p(z_2)/p(z_1) = 2^z$ , i.e.  $z_2 - z_1 = nz$ . Evidently,  $r$  is an equivalence relation on the set  $Z$ . Now consider the set  $C'(Z)$  of all pairs  $(C, z)$  such that  $C$  is a finite subset of  $Z$  and  $z \in C$  <sup>(1)</sup>. Let  $(C_1, z_1) R (C_2, z_2)$  iff  $z_1 r z_2$  and for every  $z \in C_1$  there is  $t \in C_2$  such that  $z r t$  and, conversely, for every  $u \in C_2$  there is  $v \in C_1$  with  $u r v$ . Evidently,  $R$  is an equivalence relation on  $C'(Z)$ . For each  $c \in C'(Z)/R$  there is a representation  $(\{z_1, \dots, z_k\}, z_1)$  of  $c$  by elements of  $C'(Z)$  such that  $p(z_i)$

---

<sup>(1)</sup> We can interpret the pair  $(C, z)$  as an  $n$ -tone chord with the lowest tone  $z$ .

$\langle p(z_{i+1}) \rangle$ , where  $i = 1, \dots, k-1$  and  $p(z_k)/p(z_1) < 2$ . This representation will be called *canonical*. Let  $h$  be the mapping on  $C'(Z)/R$  such that

$$h(c) = (z_2 - z_1, z_3 - z_2, \dots, z_k - z_{k-1}, n - (z_k - z_1)) \quad \text{for any } c \in C'(Z)/R,$$

where  $(\{z_1, \dots, z_k\}, z_1)$  is the canonical representation of  $c$ . It is easy to see that  $h(c)$  is a sequence of natural numbers less than or equal to  $n$  and the sum of all elements of  $h(c)$  is equal to  $n$ . Let

$$S'_n = \{(s_1, \dots, s_k) : s_i \in \{1, \dots, n\}, k \leq n, \sum_{i=1}^k s_i = n\}$$

and

$$S_n = S'_n \cup \{(\ )\}.$$

Let

$$C(Z) = C'(Z) \cup \{(\emptyset, \ )\}, \quad h((\emptyset, \ )) = (\ )$$

and let  $\varphi: C(Z) \rightarrow C(Z)/R$  (2) be the natural mapping of  $C(Z)$  onto  $C(Z)/R$ . The composition  $h \circ \varphi$  maps  $C(Z)$  onto the set  $S_n$  of sequences of natural numbers which describe a harmonical structure of chords in the tone system  $T_n$ . The interpretation of the described notions and operations on  $S_n$  (defined in the sequel) in the theory of harmony can be found in [5], [7] and [8].

Let  $n$  be a fixed natural number and assume that  $a = (a_1, \dots, a_k)$  and  $b = (b_1, \dots, b_r)$  belong to  $S'_n$ ,  $k, r \leq n$ . Let  $a \leq b$  iff there exists a sequence  $(v_i)_{i=0,1,\dots,r}$  such that  $0 = v_0 < v_1 < \dots < v_r = k$  and, for  $j = 1, \dots, r$ ,

$$b_j = \sum_{i=v_{j-1}+1}^{v_j} a_i.$$

Moreover, for every  $a \in S'_n$  we assume that  $a \leq (\ )$ .

It is easy to check (see, for example, [5]) that the relation  $\leq$  is a partial order on  $S_n$ . The poset  $\langle S'_n, \leq \rangle$  is a  $2^{n-1}$ -element Boolean algebra with the greatest element  $(n)$  and the least element  $0 = (1, \dots, 1)$ . The greatest element 1 of  $S_n$  is equal to  $(\ )$ .

Note that  $\langle S_n, \leq \rangle$  is a pseudocomplemented lattice (i.e.  $S_n$  is a lattice and, for every  $a \in S_n$ , there exists an element  $a^*$  such that  $a \wedge x = 0$  iff  $x \leq a^*$ ). One can define the operation  $*$  as follows:

$$x^* = \begin{cases} 1 & \text{if } x = 0, \\ x' & \text{if } x \in S'_n, x \neq 0, \\ 0 & \text{if } x = 1. \end{cases}$$

In the set  $S_n$  we define three new unary operations which play an important role in the theory of harmony. For  $a = (a_1, \dots, a_k) \in S'_n$ , let

$$-a = (a_k, a_{k-1}, \dots, a_1), \quad a^p = (a_2, a_3, \dots, a_k, a_1),$$

$$a^\perp = (a'_2, \dots, a'_{n-k}, a'_{n-k+1} + a'_1) \quad \text{for } a \neq 0,$$

(2) We assume that  $[(\emptyset, \ )]R = \{(\emptyset, \ )\}$ .



COROLLARY 1. *All algebras  $\mathfrak{S}_n$  are simple (i.e. they have no non-trivial congruences).*

**3. Equational classes generated by the algebras  $\mathfrak{S}_n$ .** For  $i = 1, 2, \dots$ , we put

$$a^{i\perp} = (a_i, \dots, a_k, a_1, \dots, a_{i-1}),$$

where  $a = (a_1, \dots, a_k) \in S_n$  and  $a^{i(*\perp)} = (\dots((a^{*\perp})^{*\perp})^{*\perp} \dots)^{*\perp}$ , the operation  $^{*\perp}$  being repeated  $i$  times.

LEMMA 2. *Every algebra  $\mathfrak{S}_n$  has exactly one proper subalgebra.*

Proof. It is evident that the set  $\{0, 1\}$  is closed under all operations of  $\mathfrak{S}_n$ . Hence it forms the subalgebra of  $\mathfrak{S}_n$  isomorphic to  $\mathfrak{S}_1$ . Let  $a = (a_1, \dots, a_k) \in S_n$ ,  $a \neq 0, 1$ . By the definitions of operations  $*$  and  $^\perp$  we have

$$a^{*\perp} = (a_2, \dots, a_{k-1}, a_k + a_1).$$

Therefore,  $a^{(k-1)(* \perp)} = (a_1 + \dots + a_k) = (n)$ . Hence all elements of the form  $[(n)^\perp]^{i\perp}$  with  $i = 1, \dots, n-1$  are atoms of  $\mathfrak{S}_n$ . Therefore, the algebra  $\mathfrak{S}_n$  is generated by every element  $a \neq 0, 1$ .

Let  $\mathcal{S}$  denote the class of all algebras isomorphic to  $\mathfrak{S}_n$ . Since all algebras  $\mathfrak{S}_n$  are finite, the class  $\mathcal{S}$  is not equational. Let  $\mathbf{K} = HSP(\mathcal{S})$ . (For a class of algebras  $\mathbf{R}$ , the classes  $P(\mathbf{R})$ ,  $H(\mathbf{R})$ ,  $S(\mathbf{R})$  consist of all direct products, homomorphic images and subalgebras of members of  $\mathbf{R}$ , respectively.) Let  $\mathbf{K}_n$  be the smallest equational class containing an algebra isomorphic to  $\mathfrak{S}_n$ .

LEMMA 3. *Let  $\mathfrak{A} \in \mathbf{K}$ . A congruence lattice  $C(\mathfrak{A})$  of all congruence relations over  $\mathfrak{A}$  is distributive.*

Indeed,  $C(\mathfrak{A})$  is a distributive lattice as a sublattice of the distributive congruence lattice  $C(\langle A, \vee, \wedge \rangle)$ .

Therefore, we can apply to the class  $\mathbf{K}$  the following well-known Jónsson's lemma [3].

LEMMA 4. *If  $HSP(\mathbf{R})$  is an equational class of algebras with distributive congruences, then every subdirectly irreducible algebra from  $HSP(\mathbf{R})$  belongs to  $HSP_{\mathfrak{u}}(\mathbf{R})$ , where  $P_{\mathfrak{u}}(\mathbf{R})$  denotes the class of all ultraproducts of members of the class  $\mathbf{R}$ .*

The following corollary follows from Corollary 1 and Lemmas 2 and 4.

COROLLARY 2. *The algebras isomorphic to  $\mathfrak{S}_n$  and  $\mathfrak{S}_1$  are the only subdirectly irreducible algebras in the class  $\mathbf{K}_n$ .*

The next corollary follows from Lemma 4 and from the fact that the property "an algebra  $\mathfrak{A}$  is isomorphic to a Boolean algebra with the new greatest element added" can be expressed in the first order language.

COROLLARY 3. *Every subdirectly irreducible algebra in the class  $K$  is a Boolean algebra with the new greatest element added.*

Evidently, all known corollaries to Jónsson's lemma hold for  $K$ . Particularly, the lattice of all equational subclasses of  $K$  is distributive and  $\mathfrak{S}_1, \mathfrak{S}_{n_1}, \dots, \mathfrak{S}_{n_k} \in \mathcal{S}$  are all subdirectly irreducible in the class  $HSP(\{\mathfrak{S}_{n_1}, \dots, \mathfrak{S}_{n_k}\})$ . Therefore, the algebras  $\mathfrak{S}_n$  are all finite subdirectly irreducible in the class  $K$ . It would be interesting to find an equational base for  $K$ . The classes  $K_n$  have finite equational bases, but it is unknown if  $K$  has also a finite base.

Note that for every  $a \in \mathfrak{A} \in K_1$  we have  $-a = a$ ,  $a^p = a$ ,  $a^\perp = a$ . Hence  $K_1$  is the class of all Boolean algebras. For every  $a \in \mathfrak{A} \in K_2$ ,

$$-a = a, \quad a^p = a, \quad a^\perp = (a \wedge a^+) \vee a^*.$$

Hence  $K_2$  is the class of all regular double Stone algebras (for the definition see [4]). All algebras from the class  $K$  are regular and all are double Heyting algebras.

#### REFERENCES

- [1] G. Grätzer, *Lattice theory*, W. H. Freeman and Co. 1971.
- [2] — *Universal algebra*, Van Nostrand 1968.
- [3] B. Jónsson, *Algebras whose congruence lattices are distributive*, *Mathematica Scandinavica* 21 (1967), p. 110-121.
- [4] T. Katriňák, *The structure of distributive double p-algebras, regularity and congruences*, *Algebra Universalis* 3 (1973), p. 238-246.
- [5] A. Romanowska, *Algebraic structure of the tone system*, *Demonstratio Mathematica* 7 (1974), p. 525-542.
- [6] J. Varlet, *A regular variety of type  $\langle 2, 2, 1, 1, 0, 0 \rangle$* , *Algebra Universalis* 2 (1972), p. 218-223.
- [7] R. Wille, *Mathematik und Musiktheorie, Musik und Zahl*, *Interdisziplinäre Beiträge zum Grenzbereich zwischen Musik und Mathematik* (ed. G. Schnitzler), Bonn - Bad Godesberg 1976.
- [8] M. Zalewski, *Theoretic harmony* [in Polish], Warszawa 1973.

*Reçu par la Rédaction le 15. 11. 1974*