

## REMARKS ON SUPEREXTENSIONS

BY

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Following de Groot [1], a topological space  $K$  is called *supercompact* if it has an open subbase  $B$  such that each covering of  $K$  by means of  $B$  contains a two-element subcovering. The subbase  $B$  is called a *super-subbase*. For a given topological space  $X$  and for a given closed subbase  $S$  on  $X$  there exists ([1], see also [6] for details) a supercompact space  $\lambda_S X$ , called a *superextension of  $X$  with respect to  $S$* , which contains  $X$ , not necessarily as a dense subset. If  $S$  consists of all closed subsets of  $X$ , then  $\lambda_S X$  is denoted by  $\lambda X$ . Van Mill [5] distinguished a class of regular supercompact spaces  $K$  which, *inter alia*, have the following property:  $K$  is the superextension of each of its dense subsets.

We shall prove (Theorem 1) that for each regular supercompact space  $K$  with density  $m$  there exists a regular supercompact compactification  $rm$  of an infinite discrete space  $m$  of cardinality  $m$  such that  $K = rm \setminus m$ . Van Mill [5] proved this theorem in the case where  $K$  is a product of ordered spaces (obviously, supercompact).

We shall also prove that for each supercompact space  $K$  there exists a closed subbase  $S$  on a discrete space  $m$ ,  $\text{card } m = \text{card } K$ , such that  $K$  is homeomorphic to the space of all free maximal linked subfamilies relative to the subbase  $S$ .

At the end we shall prove that each supercompact space which has a special subbase, called a *normal binary closed subbase*, is a continuous image of  $\lambda m \setminus m$ , where  $m$  is a discrete space with  $\text{card } m = \text{card } K$ .

**1. Preliminaries.** Let  $X$  be a topological space. A subbase  $S$  for closed subsets of  $X$  is called:

*binary* if for each subfamily  $S'$  of  $S$  such that  $\bigcap S' = \emptyset$  there exist  $U$  and  $V$  in  $S'$  such that  $U \cap V = \emptyset$ ;

a  *$T_1$ -subbase* if for each  $x \in X$  and for each  $V \in S$  such that  $x \notin V$  there exists a  $U \in S$  such that  $x \in U$  and  $U \cap V = \emptyset$ ;

*normal* if for each  $V, T \in S$  such that  $V \cap T = \emptyset$  there exist  $V'$  and  $T'$  in  $S$  such that  $V \subset V', T \subset T', T' \cap V = \emptyset = T \cap V'$  and  $T' \cup V' = X$ .

A subfamily  $M$  of  $S$  is called *linked* if every two of its members meet. A linked subfamily  $M$  of  $S$  is called *fixed* if  $\bigcap M \neq \emptyset$ , and *free* if  $\bigcap M = \emptyset$ . The Kuratowski-Zorn lemma implies that every linked subfamily is contained in a maximal one.

Let

$$\lambda_S X = \{M \subset S : M \text{ is a maximal linked subfamily in } S\}.$$

For  $A \subset X$  we set

$$A^+ = \{M : M \in \lambda_S X \text{ and there exists } V \in M \text{ such that } V \subset A\}.$$

We take the family of sets  $V^+$ , where  $V \in S$  as a closed subbase for a topology on  $\lambda_S X$ ; with this topology,  $\lambda_S X$  is called a *superextension of  $X$  relative to the subbase  $S$* . In the case where  $S$  consists of all closed subsets of  $X$ ,  $\lambda_S X$  is denoted by  $\lambda X$  and is called, shortly, the *superextension of  $X$* . All these notions were introduced by de Groot and can be found in Verbeek's book [6].

**PROPOSITION** (see [6]). (a) *If  $S$  is a  $T_1$ -subbase, then  $X$  is embeddable in  $\lambda_S X$ .*

(b) *If  $S$  is a normal  $T_1$ -subbase, then  $\lambda_S X$  is  $T_2$ .*

(c)  *$\lambda_S X$  is supercompact; the supersubbase is  $\{(X \setminus V)^+ : V \in S\}$ .*

**THEOREM** (van Mill [5]). *Let  $X \subset Y$ . Then  $Y$  is homeomorphic to a superextension of  $X$  if and only if  $Y$  has a binary closed subbase  $S$  such that if  $V, T \in S$  and  $V \cap T \neq \emptyset$ , then  $V \cap T \cap X \neq \emptyset$ .*

**THEOREM** (Jensen [2]). *Let  $S$  be a  $T_1$ -subbase for  $X$ . Let  $T$  be a normal  $T_1$ -subbase for  $Y$  and let  $f: X \rightarrow Y$  be continuous and such that  $f^{-1}(V) \in S$  for each  $V \in T$ . Then  $f$  can be extended to a continuous map  $\bar{f}: \lambda_S X \rightarrow \lambda_T Y$ . Moreover, if  $f$  is onto, then so is  $\bar{f}$ .*

If  $A$  is a family of subsets of a set  $X$ , then the minimal family containing  $A$  and closed with respect to the finite union and the finite intersection will be called the *ring generated by  $A$* .

A space  $X$  is called *regular supercompact* if it has a binary closed subbase  $S$  such that the ring generated by  $S$  consists of regular closed sets.

Let  $m$  be an infinite discrete space of cardinality  $m$  and let  $K$  be a compact space with a dense subset  $M$  of cardinality less than or equal to  $m$ .

The set  $rm$  will be defined as a subset of the disjoint union of  $K$  and of the subset  $Y \doteq M \times m$  of the product  $K \times m$ .

Let  $T$  be a given open subbase of  $K$ . Generate the topology on  $rm$  by the subbase  $S$  consisting of

- (1) the sets  $U \cup (U \cap M \times m)$ , where  $U \in T$ ;
- (2) the one-point sets from  $Y$ ;
- (3) complements of one-point sets in  $Y$ .

**2. Theorems.**

LEMMA 1 (A. K. Steiner and E. F. Steiner [4]). *Let  $m$  be an infinite discrete space and let  $K$  be a compact Hausdorff space with a dense subset of cardinality less than or equal to that of  $m$ . Then  $m$  has a compactification  $rm$  with  $K = rm \setminus m$ .*

Note. Steiners obtained that lemma by taking "graph-closure" compactification. The method used here is a generalization of the Alexandroff construction for the double circumference (cf. [3]).

**Proof.** Let  $M$  be a dense subset of  $K$  such that  $\text{card} M \leq m$ .

We shall prove that  $rm$  is compact, Hausdorff, and that  $Y$  is a dense subset of  $rm$ .

To prove that  $Y$  is dense, let  $U_1, \dots, U_k$  be a finite family of elements from  $S$  such that  $U_1 \cap \dots \cap U_k \neq \emptyset$ . If one of these elements is of the form  $\{y\}$ , where  $y \in Y$ , then

$$U_1 \cap \dots \cap U_k = \{y\}.$$

If these elements are of the form  $rm \setminus \{y\}$ , where  $y \in Y$ , then

$$U_1 \cap \dots \cap U_k \cap Y \neq \emptyset.$$

If  $U_i = V_i \cup (V_i \cap M \times m)$  for  $i = 1, \dots, n$ , and  $U_j = rm \setminus \{y\}$  for  $j = n+1, \dots, k$ , then

$$\begin{aligned} U_1 \cap \dots \cap U_k &= [V_1 \cup (V_1 \cap M \times m)] \cap \dots \cap [V_n \cup (V_n \cap M \times m)] \cap \\ &\quad \cap (rm \setminus \{y_{n+1}\}) \cap \dots \cap (rm \setminus \{y_k\}) \\ &= (V_1 \cap \dots \cap V_n) \cup ((V_1 \cap \dots \cap V_n) \cap M \times m) \setminus \{y_{n+1}, \dots, y_k\}. \end{aligned}$$

Since  $M$  is dense in  $K$ , the set  $V_1 \cap \dots \cap V_n \cap M \times m$  is non-empty and infinite. Hence  $(V_1 \cap \dots \cap V_n \cap M \times m) \setminus \{y_{n+1}, \dots, y_k\}$  is non-empty.

To prove that  $rm$  is compact, let  $R \subset S$  be an open covering of  $rm$ , i.e.,  $\bigcup R = rm$ . If  $rm \setminus \{y\} \in R$ , then there exists an element  $U \in R$  such that  $y \in U$ . Hence  $(rm \setminus \{y\}) \cup U = rm$ . If no element  $rm \setminus \{y\}$  belongs to  $R$ , then

$$K = \bigcup \{U : U \cup (U \cap M \times m) \in R\}.$$

Since  $K$  is compact, there exists a finite subfamily  $R' \subset R$  such that

$$K = \bigcup \{U : U \cup (U \cap M \times m) \in R'\}.$$

Hence  $rm = \bigcup R'$ .

To prove that  $rm$  is Hausdorff, let  $y$  and  $z$  be two different points from  $rm$ . If  $y, z \in Y$ , then  $y$  and  $z$  are open. If  $z \in K$  and  $y \in Y$ , then

$$z \in rm \setminus \{y\} \quad \text{and} \quad \{y\} \cap (rm \setminus \{y\}) = \emptyset.$$

If  $y, z \in K$ , then there exist  $U$  and  $V$  open in  $K$  such that  $y \in U$ ,  $z \in V$  and  $U \cap V = \emptyset$ . Hence  $U \cup (U \cap M \times m)$  and  $V \cup (V \cap M \times m)$  are disjoint neighbourhoods of  $y$  and  $z$  in  $rm$ .

**LEMMA 2.** *Let  $m$  be an infinite discrete space and let  $K$  be a supercompact space with a dense subset of cardinality less than or equal to that of  $m$ . Then  $rm$  constructed in Lemma 1 is supercompact.*

**Proof.** Let  $T$  be a supersubbase for an open subset of  $K$ . If  $rm$  is covered by a finite number  $U_1, \dots, U_k$  of members of the subbase  $S$  and one of these members is equal to  $rm \setminus \{y\}$ , then another member contains  $y$ . Hence both these sets cover  $rm$ . If all sets  $U_1, \dots, U_k$  are of the form  $U_i = V_i \cup (V_i \cap M \times m)$ , then there exist  $V_i$  and  $V_p$  such that  $K = V_i \cup V_p$ , and hence  $rm = U_i \cup U_p$ .

**THEOREM 1.** *Let  $m$  be an infinite discrete space and let  $K$  be a regular supercompact space with a dense subset of cardinality less than or equal to that of  $m$ . Then the compactification  $rm$  of  $m$  constructed in Lemma 1 is a regular supercompact space and is a superextension of  $m$  for a suitable subbase  $T'$  on  $m$ .*

**Proof.** Let  $T$  be a binary closed subbase for  $K$  such that the ring generated by  $T$  consists of regular closed sets. For  $V \in T$  let us take  $V' = V \cup (V \cap M \times m)$ . The family

$$F = \{V' : V \in T\} \cup \{\{y\} : y \in Y\} \cup \{rm \setminus \{y\} : y \in Y\}$$

is a binary subbase for  $rm$ , where  $rm$  is constructed for  $K$  and for some  $M$  dense in  $K$ ,  $\text{card} M \leq m$ , as in Lemma 1. We shall prove that the ring generated by  $F$  is regular. First, let us show that

(\*) if  $B$  is regular closed in  $K$ , then  $B'$  is regular closed in  $rm$ .

Since  $B$  is regular closed, we have  $B = \text{cl} U$ , where  $U$  is open in  $K$ . Let  $V = U \cup (B \cap M \times m)$ . Clearly,  $V$  is open in  $rm$  and  $V \subset B'$ . Since  $B'$  is closed, we have  $\text{cl} V \subset B'$  and

$$\text{cl} V = \text{cl} U \cup (B \cap M \times m) = B \cup (B \cap M \times m) = B'.$$

Assume that  $W$  is an element of the ring generated by  $F$ . Hence  $W = W_1 \cup \dots \cup W_k$ , where each  $W_i$  is a finite intersection of elements of  $F$ , i.e.,  $W_i = C_1^i \cap \dots \cap C_{m_i}^i$ , where  $C_j^i \in F$ . If one of these  $C_j^i$  is a one-point set, then so is  $W_i$  and, therefore,  $W_i$  is regular closed.

Let  $C_1^i, \dots, C_p^i \in \{V' : V \in T\}$ . Then  $C_k^i = G_k^i \cup (G_k^i \cap M \times m)$ , where  $G_k^i \in T$ . Hence

$$C_1^i \cap \dots \cap C_p^i = (G_1^i \cap \dots \cap G_p^i) \cup (G_1^i \cap \dots \cap G_p^i \cap M \times m).$$

Since  $G_1^t \cap \dots \cap G_p^t$  is regular closed, it follows from (\*) that so is  $C_1^t \cap \dots \cap C_p^t$ . Hence

$$W_i = C_1^t \cap \dots \cap C_p^t \cap rm \setminus \{y_{p+1}\} \cap \dots \cap rm \setminus \{y_{m_i}\}$$

is regular closed. Thus  $W$ , as a sum of regular closed sets, is regular closed.

Since  $m$  is homeomorphic to  $Y$  and  $rm$  is regular supercompact, it follows from the fact that the regular supercompact space is a super-extension of each of its dense subsets that  $rm = \lambda_{T'}m$  for some subbase  $T'$  of  $m$ .

**THEOREM 2.** *For each supercompact space  $K$  there exists a closed subbase  $S$  on a discrete space  $m$ , where  $\text{card}K = m$ , such that  $K$  is homeomorphic to the space of all free maximal linked subfamilies on  $m$  relative to the subbase  $S$ .*

**Proof.** Applying Lemma 1 to  $M = K$ , we get  $K$  as the remainder in a compactification  $rm$  of a discrete space  $m$  of the same cardinality as  $K$ . From Lemma 2 it follows that  $rm$  has a binary subbase  $P$ . Clearly, this subbase satisfies the assumption of the Theorem of van Mill for  $rm$  and  $m$ . Therefore,  $rm$  is a superextension of  $m$  for  $S = P|m$  and  $K = rm \setminus m$ . From Lemma 1 it follows that each fixed maximal linked subfamily from  $S$  contains a one-point closed-open set. Hence the space of all fixed maximal linked subfamilies is  $m$  and  $K = rm \setminus m$  is the space of all free maximal linked subfamilies relative to the subbase  $S$ .

**LEMMA 3.** *If  $K$  has a normal subbase, then also  $rm$  has a normal subbase.*

**Proof.** Let  $T$  be a normal subbase on  $K$ . Take

$$S = \{V \cup (V \cap M \times m) : V \in T\} \cup \{rm \setminus \{y\} : y \in Y\} \cup \{\{y\} : y \in Y\}.$$

We shall show that  $S$  is a normal subbase. For this purpose take  $U, V \in S$  with  $U \cap V = \emptyset$  and  $U \cup V \neq rm$ .

Consider two cases:

(a) Either  $U$  or  $V$  is a one-point set.

Let  $U = \{y\}$ . Then  $\{y\}$  and  $rm \setminus \{y\}$  is the needed pair.

(b) Neither  $U$  nor  $V$  is a one-point set.

Then there exist  $U', V' \in T$  such that

$$U = U' \cup (U' \cap M \times m) \quad \text{and} \quad V = V' \cup (V' \cap M \times m).$$

Since  $U \cap V = \emptyset$ , we have  $U' \cap V' = \emptyset$ . From the fact that  $T$  is a normal subbase it follows that there exist  $U_1, V_1$  from  $T$  such that  $U' \subset U_1$ ,  $V' \subset V_1$ ,  $U' \cap V_1 = \emptyset = V' \cap U_1$ , and  $U_1 \cup V_1 = K$ . Hence

$$U \subset (U_1 \cup (U_1 \cap M \times m)) = H, \quad V \subset (V_1 \cup (V_1 \cap M \times m)) = W.$$

The sets  $H$  and  $W$  form the needed pair.

LEMMA 4. *If  $K$  has a  $T_1$ -subbase, then  $rm$  has a  $T_1$ -subbase.*

Proof. Let  $T$  be a  $T_1$ -subbase on  $K$ . We shall prove that  $S$  is a  $T_1$ -subbase on  $rm$ . For this purpose let us take  $x \in rm$  and  $W \in S$  such that  $x \notin W$ .

Consider two cases:

(a) If  $x \in Y$ , then  $\{x\} \in S$ .

Hence  $x \in \{x\}$  and  $\{x\} \cap W = \emptyset$ .

(b) If  $x \notin Y$ , then  $x \in K$ .

If  $W$  is a one-point set, then it is sufficient to take  $rm \setminus W \in S$ . If  $W$  is not a one-point set, then  $W = V \cup (V \cap M \times m)$ , where  $V \in T$ . Since  $x \notin W$ , we have  $x \notin V$ . And since  $T$  is a  $T_1$ -subbase, there exists a  $U \in T$  such that  $x \in U$  and  $U \cap V = \emptyset$ . Hence  $U' = U \cup (U \cap M \times m)$  is the needed element of  $S$ .

LEMMA 5. *Let  $K$  be a  $T_1$ -space and  $S$  a binary subbase in  $K$ . If  $F \subset K$  is such that for each  $x \notin F$  there exist  $V$  and  $W$  in  $S$  such that  $F \subset V \cup W$  and  $x \notin V \cup W$ , then  $S|F = \{T \cap F : T \in S\}$  is a binary subbase in  $F$ .*

Proof. Let  $R \subset S|F$  be a linked subfamily. Let  $\{x_a : a < \beta\}$  be the well ordering of points of  $K \setminus F$ . Suppose that for all  $a < \gamma$ , where  $\gamma < \beta$ , we have defined  $S_a \in S$  in such a way that  $x_a \notin S_a$  and  $R \cup \{S_a \cap F : a < \gamma\}$  is a linked subfamily. In order to define  $S_\gamma$ , take  $S'_1, S'_2 \in S$  such that  $F \subset S'_1 \cup S'_2$  and  $x_\gamma \notin S'_1 \cup S'_2$ . Then adjoining  $S'_1 \cap F$  or  $S'_2 \cap F$ , say  $S'_1 \cap F$ , to  $R \cup \{S_a \cap F : a < \gamma\}$  we get again a linked subfamily (if  $S'_1 \cap F \cap R' = \emptyset = S'_2 \cap F \cap R''$  for some  $R', R'' \in R \cup \{S_a \cap F : a < \gamma\}$ , then, since  $F \subset S'_1 \cup S'_2$ , we have  $R' \cap R'' = \emptyset$  and, therefore,  $R \cup \{S_a \cap F : a < \gamma\}$  could not be a linked subfamily) and put  $S_\gamma = S'_1$ ; the induction step is completed.

Let  $R \subset S$  be such that  $R = \{F \cap S : S \in R\}$ . Then  $R \cup \{S_a : a < \beta\}$  is a linked subfamily in  $S$  and, therefore,

$$\bigcap R \cap \bigcap \{S_a : a < \beta\} \neq \emptyset.$$

Since  $\bigcap \{S_a : a < \beta\} \subset F$ , we have

$$\emptyset \neq \bigcap R \cap \bigcap \{S_a : a < \beta\} \subset F.$$

This shows that each linked subfamily in  $S|F$  has a non-empty intersection, so  $S|F$  is a binary subbase.

From Lemma 5 it follows that  $\lambda m \setminus m$ , where  $m$  is a discrete space, is supercompact ( $m$  being the subspace of  $\lambda m$  consisting of all fixed maximal linked subfamilies). We shall show that this space  $\lambda m \setminus m$  is universal for some class of supercompact spaces in the following sense:

THEOREM 3. *If a supercompact space  $K$  has a binary normal  $T_1$ -subbase  $T$ , then  $K$  is a continuous image of  $\lambda m \setminus m$ , where  $m$  is a discrete space and  $\text{card } K = m$ .*

**Proof.** Applying Lemma 1 to  $M = K$ , we get  $K$  as the remainder in a compactification  $rm$  of a discrete space  $m$  of the same cardinality as  $K$ . Since  $K$  has a normal  $T_1$ -subbase, it follows from Lemmas 3 and 4 that  $rm$  has a subbase  $S$  with the same properties. It is then clear that  $Y|S = S'$  is a normal  $T_1$ -subbase. It follows from the Theorem of van Mill that  $rm$  is a superextension of  $Y$  relative to the subbase  $S$ .

Let us take a homeomorphism  $f$  from  $m$  onto  $Y$ . By the Theorem of Jensen, there exists an extension  $\bar{f}: \lambda m \rightarrow rm$ , where  $\bar{f}$  is defined by  $\bar{f}(H) = G$ ,  $G$  being a maximal linked subfamily such that  $G$  contains a subset  $G(H) = \{V \in S: f^{-1}(V) \in H\}$ . It is clear that  $G(H)$  is contained in precisely one maximal linked subfamily.

We show that if  $H$  is a free maximal linked subfamily in  $m$ , then  $\bar{f}(H)$  is free in  $rm$ . Let us take  $H \in \lambda m$  such that  $\bar{f}(H) \in Y$ . Assuming that  $H$  is free, we have  $f^{-1}(y) \in M$  for each  $y \in Y$ . Since  $Y \setminus \{y\} \in S$  for every  $y \in Y$  and, by assumption that  $H$  is a free maximal linked subfamily,  $f^{-1}(Y \setminus \{y\}) \in H$  for each  $y$ , we infer that  $Y \setminus \{y\} \in G(H)$  for every  $y \in Y$ . Thus  $G(H)$  is free, and hence  $G \neq \bar{f}(H)$ . A contradiction. Therefore  $\bar{f}(\lambda m \setminus m) = K$ .

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