

## EXTENSION OF CLASSICAL SEQUENCES TO NEGATIVE INTEGERS

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### Abstract

We give a method to extend Bell exponential polynomials to negative indices. This generalizes many results of this type such as the extension to negative indices of Stirling numbers or of Bernoulli numbers.

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### 1. INTRODUCTION

Several classical sequences have a "natural" extension to negative indices which preserves algebraic relations. For example, the binomial polynomials

$$\binom{x}{k} = \frac{x(x-1)\dots(x-k+1)}{k!}$$

allows to define the binomial coefficients  $\binom{n}{k}$  for  $n \in \mathbb{Z}$ ,  $k \in \mathbb{N}$ .

The sequence  $(x)_n = x(x-1)\dots(x-n+1)$ ,  $n \in \mathbb{N}$ , is extended to negative integers by

$$(x)_{-n} = \frac{1}{(x+1)\dots(x+n)}$$

so that the relation

$$(x)_n(x-n)_m = (x)_{n+m}$$

remains valid for  $n \in \mathbb{Z}$  and  $m \in \mathbb{Z}$ .

The factorial sequence  $\gamma(n) = n!$  is classically extended in [3] by

$$\gamma(-n) = \frac{(-1)^{n-1}}{(n-1)!}, \quad n > 0.$$

In [1], extensions of the Stirling numbers of the second kind,  $S(n, k)$ , and of the first kind,  $s(n, k)$ , are obtained for negative  $n$ . We remark that Stirling numbers are values of Bell exponential polynomials,  $B_{n,k}(a_1, a_2, \dots)$ ,  $n, k \in \mathbb{N}$ , on particular sequences. We give an extension of the Bell polynomials for  $n, k \in \mathbb{Z}$ . This allows us to recover Branson's result and much more. We thank the referee for his remarks.

## 2. NOTATIONS AND DEFINITIONS

$C$  is a commutative field, of characteristic zero. For a sequence  $u : \mathbb{Z} \rightarrow C$ , let us note:

$$\text{supp } u = \{n, u(n) \neq 0\},$$

$$\text{ord } u = \inf \text{supp } u,$$

$$s(C) = \{u, \text{ord } u > -\infty\},$$

$$s_0(C) = \{u, \text{ord } u \geq 0\},$$

$e_k$  the sequence defined by  $e_k(n) = \delta_{n,k}$ ,  $k \in \mathbb{Z}$ .

For  $u \in s_0(C)$ , let us denote:

$$(1) \quad g_u(X) = \sum_{n=0}^{\infty} u(n) \frac{X^n}{n!}$$

the associated Hurwitz series (or exponential) to  $u$ .

For  $u \in s_0(C)$  and  $v \in s_0(C)$ , the product  $g_u(X) \cdot g_v(X) = g_\omega(X)$  defines the Hurwitz product  $\omega = u \text{ III } v$  of sequences  $u$  and  $v$ , and

$$(2) \quad (u \text{ III } v)(n) = \sum_{j=0}^n \binom{n}{j} u(j)v(n-j).$$

Let us denote by  $\mathcal{A} = \mathcal{A}(C)$  the Hurwitz algebra of sequences of  $s_0(C)$  provided with the usual addition and Hurwitz product. The order,  $\text{ord}$ , is a valuation on  $\mathcal{A}$ .

Let us denote  $T$  the shift operator on  $\mathcal{A}$ :

$$(3) \quad (Tu)(n) = u(n+1)$$

and  $q$  the operator of multiplication by  $n$ :

$$(4) \quad (qu)(n) = nu(n).$$

Then

$$(5) \quad g_{Tu}(X) = \frac{d}{dX}g_u(X),$$

$$(6) \quad g_{qu}(X) = X \frac{d}{dX}g_u(X)$$

where  $\frac{d}{dX}$  stands for the operator of formal differentiation.

Let us define for  $k \in \mathbb{Z}$ ,  $g_{e_k}(X) = \frac{X^k}{\gamma(k)}$ . If we impose the validity of (5) and  $\gamma(-1) = 1$ , we obtain

$$(7) \quad \gamma(n) = \begin{cases} n! & \text{for } n \geq 0 \\ \frac{(-1)^{-n-1}}{(-n-1)!} & \text{for } n < 0 \end{cases}$$

what allows us to define the Hurwitz series  $\sum_n u(n) \frac{X^n}{\gamma(n)}$  of a sequence  $u$  of finite order (positive or negative), and to define the Hurwitz product of two sequences  $u$  and  $v$  of  $s(C)$

$$(8) \quad (u \text{ III } v)(n) = \sum_{i+j=n} \frac{\gamma(n)}{\gamma(i)\gamma(j)} u(i)v(j),$$

actually  $\begin{bmatrix} n \\ k \end{bmatrix} = \frac{\gamma(n)}{\gamma(k)\gamma(n-k)}$ ,  $n \in \mathbb{Z}$ ,  $k \in \mathbb{Z}$  is the Roman coefficient [3].

$s(C)$ , provided with the generalized Hurwitz product (8) is the fraction fields of the ring  $\mathcal{A}$ .

Let  $u$  be a sequence of strictly positive order; the composition of series  $(g_v \circ g_u)(X) = g_{\bar{w}}(X)$  allows to define the composition of sequences,  $\bar{w} = v \circ u$ .

For  $k \in \mathbb{N}$

$$(9) \quad (e_k \circ u)(n) = \mathcal{B}_{n,k}(u)$$

is Bell partial exponential polynomial [2]. It is a polynomial in  $u(1), u(2), \dots, u(n), \dots$  with coefficients in  $\mathbb{Z}$ .

For  $v \in s_0(C)$ ,

$$(v \circ u)(n) = \sum_{k=1}^n \mathcal{B}_{n,k}(u)v(k).$$

**Proposition 2.1.** *The set  $\Omega$  of sequences of order one is a group for the composition. The inverse  $\bar{u}$  of  $u$  corresponds to the series  $g_{\bar{u}}(X)$  reciprocal of the series  $g_u(X)$ .*

**Examples 2.2.** Let “ $a$ ” be the sequence defined by  $g_a(X) = e^X - 1$ ; then  $g_{\bar{a}}(X) = \log(1 + X)$  then

$$\begin{cases} \mathcal{B}_{n,k}(a) &= S(n, k) \\ \mathcal{B}_{n,k}(\bar{a}) &= s(n, k) \end{cases}$$

are the Stirling numbers.

Let  $(t)_q$  be the sequence  $(t)_q(n) = t(t-1)\dots(t-n+1)$  and  $t^q$  be the sequence  $t^q(n) = t^n$ . Then

$$t^q = (t)_q \circ a,$$

$$(t)_q = t^q \circ \bar{a}$$

$Y_q(u, t) = t^q \circ u$  is the sequence of Bell exponential polynomials [2] and

$$Y_n(a, t) = \sum_{k=1}^n S(n, k)t^k = P_n(t)$$

is the  $n$ th Bell polynomial.

**Remark 2.3.** By application of the operators  $T$  and  $q$  (they are derivations in the Hurwitz algebra  $\mathcal{A}(C)$ ), we can obtain various classical relations on the Bell exponential polynomials and the Stirling numbers.

### 3. EXTENSION OF BELL PARTIAL EXPONENTIAL POLYNOMIALS

Let  $u$  be a sequence of order one and  $k$  a rational integer; let us define for  $k \in \mathbb{N}$

$$g_{(e_k \circ u)}(X) = \begin{cases} \frac{g_u^k(X)}{\gamma(k)} \\ \frac{1}{\gamma(-k)X^k} \left[ \frac{X}{g_u(X)} \right]^k \end{cases}$$

and so

$$g_{(e_{-k} \circ u)}(X) = \sum_n \mathcal{B}_{n,-k}(u) \frac{X^n}{\gamma(n)}.$$

Let us recall the definition of the generalized Bernoulli numbers:

$$\left[ \frac{X}{g_u(X)} \right]^k = \sum_{n=0}^{\infty} b_n^{(k)}(u) \frac{X^n}{n!}$$

then:

- for  $n < -k$ ,  $\mathcal{B}_{n,-k}(u) = 0$
- for  $n \geq 0$ ,  $\mathcal{B}_{n,-k}(u) = \frac{(-1)^{k-1}(k-1)!n!}{(n+k)!} b_{n+k}^{(k)}(u)$
- for  $0 < n \leq k$ ,  $\mathcal{B}_{-n,-k}(u) = \frac{(-1)^{n+k}(n-1)!(k-1)!}{(k-n)!} b_{k-n}^{(k)}(u)$

**Theorem 3.1.** Let  $u$  be a sequence of order one and  $0 < n \leq k$ , then

$$\mathcal{B}_{-n,-k}(u) = (-1)^{n+k} \mathcal{B}_{k,n}(\bar{u})$$

where  $\bar{u}$  is the inverse (for composition) of  $u$ .

**Proof.** The  $\mathcal{B}_{n,k}(u)$  are rational functions in the variables  $u_1, u_2, \dots$  with coefficients in  $\mathbb{Q}$ . One can always suppose the  $u_n$  algebraically free on  $\mathbb{Q}$  and  $\mathbb{Q}(u_1, u_2, \dots)$  embedded in the field of complex numbers  $\mathbb{C}$ . One can also suppose that  $\overline{\lim} |u_n|^{1/n} < +\infty$ , so that one can represent the  $b_n^{(k)}(u)$  by Cauchy's formula:

$$\frac{b_{k-n}^{(k)}}{(k-n)!} = \frac{1}{2i\pi} \int_{\mathcal{C}} \left( \frac{z}{g_u(z)} \right)^k \frac{dz}{z^{k-n+1}}, \quad 0 < n \leq k$$

$\mathcal{C}$  circle with radius  $\varepsilon > 0$  and center 0.

By the change of variable  $z = g_{\bar{u}}(t)$ , and after integration, we obtain

$$\begin{aligned} \frac{b_{k-n}^{(k)}}{(k-n)!} &= \frac{k}{2i\pi n} \int_{\mathcal{C}'} g_{\bar{u}}^n(t) \frac{dt}{t^{k+1}} \\ &= \frac{k}{n!} \frac{\mathcal{B}_{k,n}(\bar{u})}{k!} \end{aligned}$$

from which we get the relation of the theorem. ■

**Corollary 3.2.** For  $0 < n \leq k$ ,

$$S(-n, -k) = (-1)^{n+k} s(k, n)$$

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**Remark 3.3.** We check that:

$$\begin{aligned} (X)_{-n} &= \frac{1}{(X+1)\dots(X+n)} \\ &= \sum_{k \geq 0} s(-n, -k) X^{-k} = \sum_{k \geq 0} S(-n, -k) (X)_{-k}. \end{aligned}$$

More generally, if one considers the Bell exponential polynomials associated with a sequence  $u$  of order one:

$$Y_n(u, t) = \sum_{k \geq 0} \mathcal{B}_{n,k}(u) t^k$$

one can extend them to negative integers by the series:

$$Y_{-n}(u, t) = \sum_{k \geq 0} \mathcal{B}_{-n,-k}(u) t^{-k} = \sum_{k \geq n} (-1)^{n+k} \mathcal{B}_{k,n}(\bar{u}) t^{-k}.$$

For Bernoulli polynomials, with  $D = \frac{d}{dX}$  and  $B_q$  the sequence of the classical Bernoulli numbers:

$$B_n(X) = g_{B_q}(D) \cdot X^n = (B_q \text{III } X^q)(n),$$

$$B_{-n}(X) = g_{B_q}(D) \cdot X^{-n} = \sum_{k \geq 0} \binom{-n}{k} B_k X^{-n-k}$$

since  $g_{B_q}(D) = \frac{D}{e^D - 1}$ ,  $B_{-n}(X)$  satisfies:

$$B_{-n}(X+1) - B_{-n}(X) = -nX^{-n-1}.$$

**Remark 3.4.** For  $k, n$  strictly positive integers

$$S(q, k) = \frac{1}{k!} a^{\text{III}k} = \frac{1}{k!} (1^q - e_0)^{\text{III}k}$$

where  $a^{\text{III}k}$  denote powers calculated in the Hurwitz algebra. From this we get:

$$S(n, k) = \frac{1}{k!} \sum_{j=1}^k \binom{k}{j} (-1)^{k-j} j^n$$

which allows to define an extension to the negative integers  $n$  and positive integers  $k$ .



To obtain an analogue for the Stirling numbers of second kind  $s(n, k)$ , we can consider

$$(X)_{-n} = \frac{1}{(X+1)\dots(X+n)} = \sum_{k \geq 0} s(-n, k) X^k$$

and hence

$$s(-n, k) = (-1)^{n+k-1} S(-k, n).$$

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