

**EXISTENCE OF SOLUTIONS FOR A SECOND ORDER
PROBLEM ON THE HALF-LINE VIA EKELAND'S
VARIATIONAL PRINCIPLE**

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Abstract

In this paper we study the existence of nontrivial solutions for a nonlinear boundary value problem posed on the half-line. Our approach is based on Ekeland's variational principle.

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1. INTRODUCTION

We consider the problem,

$$(1) \quad \begin{cases} -u''(x) + u(x) = \lambda q(x)f(x, u(x)), & x \in [0, +\infty), \\ u(0) = u(+\infty) = 0, \end{cases}$$

where $f : [0, +\infty) \times \mathbb{R} \longrightarrow \mathbb{R}$ is a continuous function and λ is a positive parameter.

Because of the importance of second order differential equations in physics, existence and multiplicity of solutions to boundary value problems on the half-line were studied by many authors. These results were obtained using upper and lower solution techniques, fixed point theory and topological degree theory; see for example, [6, 7, 8] and [11]. There are only a few papers on boundary value problems on the half-line using variational methods; see [3] and [4].

We assume the following are satisfied:

(H₀) there exist constants $a, b \in \mathbb{R}^+ \setminus \{0\}$ and $\theta \in (0, 1)$ such that

$$|f(x, u)| \leq a|u|^\theta + b, \quad \forall x \in \mathbb{R}^+, \forall u \in \mathbb{R},$$

(H₁) $p : [0, +\infty) \rightarrow (0, +\infty)$ is continuously differentiable and bounded, $q : [0, +\infty) \rightarrow \mathbb{R}^+$, with $q \in L^1[0, +\infty) \cap L^\infty[0, +\infty)$, $\frac{q}{p^\theta}, \frac{q}{p^2} \in L^1[0, +\infty)$, $M_0 = \int_0^{+\infty} q(x) \left(\int_x^{+\infty} \frac{ds}{p(s)} \right) dx < +\infty$, and $M = \max(\|p\|_{L^2}, \|p'\|_{L^2}) < +\infty$.
(H₂) $f(x, 0) = 0$, $\lim_{u \rightarrow 0} \frac{f(x, u)}{u} = +\infty$ and $\lim_{u \rightarrow \infty} \frac{f(x, u)}{u} = 0$, uniformly for $x \in [0, +\infty)$.

Let the space $H_0^1(0, +\infty)$ be defined by

$$H_0^1(0, +\infty) = \left\{ u \text{ measurable} : u, u' \in L^2(0, +\infty), u(0) = u(+\infty) = 0 \right\}$$

endowed with its natural norm

$$\|u\| = \left(\int_0^{+\infty} u^2(x) dx + \int_0^{+\infty} u'^2(x) dx \right)^{\frac{1}{2}},$$

associated with the scalar product

$$(u, v) = \int_0^{+\infty} u(x)v(x) dx + \int_0^{+\infty} u'(x)v'(x) dx.$$

Note that if $u \in H_0^1(0, +\infty)$, then $u(0) = u(+\infty) = 0$, (see [2], Corollary 8.9).

Let

$$C_{l,p}[0, +\infty) = \left\{ u \in C([0, +\infty), \mathbb{R}) : \lim_{x \rightarrow +\infty} p(x)u(x) \text{ exists} \right\}$$

endowed with the norm

$$\|u\|_{\infty, p} = \sup_{x \in [0, +\infty)} p(x)|u(x)|.$$

Consider the space

$$L_q^2(0, +\infty) = \{u : (0, +\infty) \rightarrow \mathbb{R} \text{ measurable such that } \sqrt{q}u \in L^2(0, +\infty)\},$$

equipped with the norm

$$\|u\|_{L_q^2} = \left(\int_0^{+\infty} q(x)u^2(x)dx \right)^{\frac{1}{2}}.$$

We need the following lemmas.

Lemma 1.1 [10]. $H_0^1(0, +\infty)$ embeds continuously in $C_{l,p}[0, +\infty)$.

Lemma 1.2 [10]. The embedding $H_0^1(0, +\infty) \hookrightarrow C_{l,p}[0, +\infty)$ is compact.

Lemma 1.3. $C_{l,p}[0, +\infty)$ is continuously embedded in $L_q^2(0, +\infty)$.

Proof. For all $u \in C_{l,p}[0, +\infty)$ we have

$$\|u\|_{L_q^2}^2 = \int_0^{+\infty} q(x)u^2(x)dx = \int_0^{+\infty} \frac{q(x)}{p^2(x)} p^2(x)u^2(x)dx \leq c\|u\|_{\infty,p}^2,$$

where $c = \|\frac{q}{p^2}\|_{L^1}$. Then $\|u\|_{L_q^2} \leq \sqrt{c}\|u\|_{\infty,p}$. ■

Corollary 1.1. $H_0^1(0, +\infty)$ is compactly embedded in $L_q^2(0, +\infty)$.

We consider the first eigenvalue λ_1 of the linear problem:

$$(2) \quad \begin{cases} -u''(x) + u(x) = \lambda q(x)u(x), & x \geq 0; \\ u(0) = u(+\infty) = 0, \end{cases}$$

namely

$$\lambda_1 = \inf_{u \in H_0^1 \setminus \{0\}} \frac{\|u\|^2}{\|u\|_{L_q^2}^2}.$$

Lemma 1.4. λ_1 is positive and is achieved for some positive function $\varphi_1 \in H_0^1(0, +\infty) \setminus \{0\}$.

Proof. We proceed as in [1]. For $u \in H_0^1(0, +\infty)$, let $I_1(u) = \|u\|^2$, $I_2(u) = \|u\|_{L_q^2}^2$, and define the quotient functional $Q : H_0^1(0, +\infty) \setminus \{0\} \rightarrow \mathbb{R}$ by

$$Q(u) = \frac{I_1(u)}{I_2(u)}.$$

Then

$$\lambda_1 = \inf_{u \in H_0^1 \setminus \{0\}} Q(u).$$

Let $u \in H_0^1(0, +\infty)$. From Corollary 1.1, we have that $\lambda_1 \geq \frac{1}{\|p\|_{L^\infty} M_0} > 0$. Indeed, for $x > 0$, note

$$\begin{aligned} |u(x)|^2 &= \left| \int_x^{+\infty} u'(s) ds \right|^2 = \left| \int_x^{+\infty} \sqrt{p(s)} u'(s) \frac{1}{\sqrt{p(s)}} ds \right|^2 \\ &\leq \left(\int_x^{+\infty} p(s) u'^2(s) ds \right) \left(\int_x^{+\infty} \frac{ds}{p(s)} \right) \\ &\leq \left(\int_0^{+\infty} p(s) u'^2(s) ds \right) \left(\int_x^{+\infty} \frac{ds}{p(s)} \right), \end{aligned}$$

and so,

$$q(x)u(x)^2 \leq \left(\int_0^{+\infty} p(s) u'^2(s) ds \right) \left(q(x) \int_x^{+\infty} \frac{ds}{p(s)} \right),$$

which yields

$$\|u\|_{L_q^2}^2 \leq \|p\|_{L^\infty} M_0 \|u\|^2,$$

and

$$\lambda_1 = \inf_{u \in H_0^1 \setminus \{0\}} \frac{\|u\|^2}{\|u\|_{L_q^2}^2} \geq \frac{1}{\|p\|_{L^\infty} M_0} > 0.$$

Let (u_n) be a minimizing sequence. Since $(|u_n|)$ is a minimizing sequence for Q , we may suppose that $u_n(x) \geq 0$, for $x \in [0, +\infty)$. Moreover the functional Q satisfies $Q(\alpha u) = Q(u)$, for every $\alpha \in \mathbb{R}$. By setting $\widetilde{u}_n = \frac{u_n}{\|u_n\|_{L_q^2}}$, for every n , we can assume that $\|u_n\|_{L_q^2} = 1$. Note $\lim_{n \rightarrow +\infty} Q(u_n) = \inf_{u \in H_0^1 \setminus \{0\}} Q(u) = \lambda_1$, so the sequence $(Q(u_n))$ is bounded. From this and since $Q(u_n) = \|u_n\|^2$, we deduce that (u_n) is bounded in H_0^1 . From Lemma 1.2 and the reflexivity and separability of H_0^1 , there exists a subsequence (u_{n_k}) of (u_n) such that, as $k \rightarrow +\infty$,

$$\begin{cases} u_{n_k} \rightharpoonup \bar{u}, & \text{in } H_0^1; \\ u_{n_k} \rightarrow \bar{u}, & \text{in } C_{l,p}, \end{cases}$$

so $u_{n_k}(x) \rightarrow \bar{u}(x)$, for all $x \in [0, +\infty)$. From Lemma 1.3, (u_{n_k}) converges in norm to \bar{u} in L_q^2 . Thus $\|\bar{u}\|_{L_q^2} = 1$ and $\bar{u}(x) \geq 0$, for $x \in [0, +\infty)$. Finally, the weak lower semi-continuity of the norm guarantees that

$$Q(\bar{u}) = I_1(\bar{u}) \leq \liminf_k I_1(u_{n_k}) = \liminf_k Q(u_{n_k}) = \lambda_1,$$

so $\bar{u} \in H_0^1 \setminus \{0\}$ and $Q(\bar{u}) = \lambda_1$. ■

To prove our main result, we need the following variational principle.

Theorem 1.1 ([9]). (Weak Ekeland variational principle) *Let (E, d) be a complete metric space and let $J : E \rightarrow \mathbb{R}$ a functional that is lower semi-continuous, bounded from below. Then, for each $\varepsilon > 0$, there exists $u_\varepsilon \in E$ with*

$$J(u_\varepsilon) \leq \inf_E J + \varepsilon,$$

and whenever $w \in E$ with $w \neq u_\varepsilon$, then

$$J(u_\varepsilon) < J(w) + \varepsilon d(u_\varepsilon, w).$$

2. MAIN RESULT

We denote by F the primitive of f with respect to its second variable, i.e., $F(x, u) = \int_0^u f(x, s) ds$. The functional corresponding to (1) is

$$J(u) = \frac{1}{2} \int_0^{+\infty} (u'^2(x) + u^2(x)) dx - \lambda \int_0^{+\infty} q(x) F(x, u(x)) dx, \quad u \in H_0^1(0, +\infty).$$

Proposition 2.1. *Suppose that condition (H_0) holds. Then the functional J is continuously differentiable. The Fréchet derivative of J has the form*

$$\langle J'(u), \varphi \rangle = \int_0^{+\infty} (u'(x)\varphi'(x) + u(x)\varphi(x)) dx - \lambda \int_0^{+\infty} q(x) f(x, u(x)) \varphi(x) dx.$$

Proof. First we show J is Gâteaux-differentiable. Indeed, for all $v \in H_0^1(0, +\infty)$, and for any $t > 0$, we have

$$\begin{aligned} J(u + tv) - J(u) &= \frac{1}{2} \int_0^{+\infty} (|(u + tv)'|^2 + |u + tv|^2) dx - \lambda \int_0^{+\infty} q(x) F(x, u + tv) dx \\ &\quad - \frac{1}{2} \int_0^{+\infty} (|u'|^2 + |u|^2) dx + \lambda \int_0^{+\infty} q(x) F(x, u) dx \\ &= \frac{t^2}{2} \int_0^{+\infty} |v'|^2 dx + \frac{t^2}{2} \int_0^{+\infty} |v|^2 dx + t \int_0^{+\infty} u'v' dx \\ &\quad + t \int_0^{+\infty} uv dx - \lambda \int_0^{+\infty} q(x) [F(x, u + tv) - F(x, u)] dx \\ &= \frac{t^2}{2} \int_0^{+\infty} |v'|^2 dx + \frac{t^2}{2} \int_0^{+\infty} |v|^2 dx + t \int_0^{+\infty} u'v' dx \\ &\quad + t \int_0^{+\infty} uv dx - t\lambda \int_0^{+\infty} q(x) f(x, u + tv) v dx, \end{aligned}$$

where $0 < \theta < 1$ (from the mean value theorem). Then

$$\begin{aligned} \frac{J(u + tv) - J(u)}{t} &= \frac{1}{2} \int_0^{+\infty} |v'|^2 dx + \frac{1}{2} \int_0^{+\infty} |v|^2 dx + \int_0^{+\infty} u'v' dx \\ &\quad + \int_0^{+\infty} uv dx - \lambda \int_0^{+\infty} q(x) f(x, u + t\theta v) v dx. \end{aligned}$$

Let $t \rightarrow 0$. Note assumption (H_0) and the Lebesgue dominated convergence theorem guarantees that

$$\langle J'(u), v \rangle = \int_0^{+\infty} (u'v' + uv) dx - \lambda \int_0^{+\infty} q(x) f(x, u) v dx, \quad \forall v \in H_0^1(0, +\infty).$$

Next we show J' is continuous. Indeed, let $(u_n) \subset H_0^1(0, +\infty)$, where $u_n \rightarrow u$, when $n \rightarrow +\infty$. It follows from (H_0) , that

$$\begin{aligned} q(x) |f(x, u_n(x))| &\leq a q(x) |u(x)|^\theta + b q(x) \\ &\leq a \sup_{x \in [0, +\infty)} |(pu)(x)|^\theta \left| \frac{q(x)}{p^\theta(x)} \right| + b q(x) \\ &= a \|u\|_{\infty, p}^\theta \left| \frac{q(x)}{p^\theta(x)} \right| + b q(x) \in L^1(0, +\infty). \end{aligned}$$

Then from the Lebesgue dominated convergence theorem we obtain

$$\lim_{n \rightarrow +\infty} \int_0^{+\infty} q(x) f(x, u_n(x)) dx = \int_0^{+\infty} q(x) f(x, u(x)) dx,$$

so, we have

$$\begin{aligned} \langle J'(u_n) - J'(u), v \rangle &= \int_0^{+\infty} (u'_n v' + u_n v) dx - \lambda \int_0^{+\infty} q(x) f(x, u_n) v dx \\ &\quad - \int_0^{+\infty} (u' v' + u v) dx + \lambda \int_0^{+\infty} q(x) f(x, u) v dx \\ &= \int_0^{+\infty} [(u'_n - u') v' + (u_n - u) v] dx \\ &\quad - \lambda \int_0^{+\infty} q(x) (f(x, u_n) - f(x, u)) v dx. \end{aligned}$$

Passing to the limit in $\langle J'(u_n) - J'(u), v \rangle$ when $n \rightarrow +\infty$, using assumption (H_0) and the Lebesgue dominated convergence theorem, we obtain that $J'(u_n) \rightarrow J'(u)$, as $n \rightarrow +\infty$. ■

Definition 2.1. We say that $u \in H_0^1(0, +\infty)$ is a weak solution of problem (1) if for any $\varphi \in H_0^1(0, +\infty)$ we have

$$\langle J'(u), \varphi \rangle = \int_0^{+\infty} \left(u'(x)\varphi'(x) + u(x)\varphi(x) \right) dx - \lambda \int_0^{+\infty} q(x)f(x, u(x))\varphi(x) dx = 0.$$

Remark 1. Since the nonlinear term f is continuous, then a weak solution of problem (1) is a classical solution.

Theorem 2.1. Suppose (H_0) , (H_1) and (H_2) hold. Then problem (1) possesses at least one solution u_λ for every $\lambda \in (0, \frac{1}{\|p\|_{L^\infty} M_0})$.

Proof. It follows from (H_2) that $\exists \delta_1 > 0$ such that

$$|F(x, u)| \leq \frac{1}{2}u^2, \quad \text{for all } |u| > \delta_1;$$

and from (H_0) that $\exists M_1 > 0$ such that

$$|F(x, u)| \leq M_1, \quad \text{for all } u \in [-\delta_1, \delta_1] \text{ and } x \in (0, +\infty).$$

Therefore, we deduce that

$$(3) \quad |F(x, u)| \leq M_1 + \frac{1}{2}u^2, \quad \text{for all } u \in \mathbb{R} \text{ and } x \in [0, +\infty).$$

Now (3) together with (H_1) (also note the continuous embedding of $H_0^1(0, +\infty)$ in $L_q^2(0, +\infty)$ (i.e., note $\|u\|_{L_q^2}^2 \leq \|p\|_{L^\infty} M_0 \|u\|^2$ for $u \in H_0^1(0, +\infty)$, see Lemma 1.4)) yields

$$\begin{aligned} J(u) &= \frac{1}{2}\|u\|^2 - \lambda \int_0^{+\infty} q(x)F(x, u(x))dx \\ &\geq \frac{1}{2}\|u\|^2 - \lambda \int_0^{+\infty} q(x) \left(M_1 + \frac{1}{2}u^2(x) \right) dx \\ &= \frac{1}{2}\|u\|^2 - \lambda M_1 \int_0^{+\infty} q(x)dx - \frac{\lambda}{2}\|u\|_{L_q^2}^2 \\ &\geq \frac{1}{2}(1 - \lambda\|p\|_{L^\infty} M_0)\|u\|^2 - \lambda M_1 \|q\|_{L^1}. \end{aligned}$$

Thus there exist $\rho > \left(\frac{2\lambda M_1 \|q\|_{L^1}}{1 - \lambda\|p\|_{L^\infty} M_0} \right)^{\frac{1}{2}} > 0$ with

$$J(u) > 0 \quad \text{if } \|u\| = \rho, \quad \text{and then } \inf_{u \in \partial B_\rho(0)} J(u) > 0,$$

and $J(u) \geq -C_2$ if $\|u\| \leq \rho$, where $C_2 = \lambda M_1 \|q\|_{L^1}$. Then the functional J is bounded from below on $\overline{B_\rho(0)}$. Let $\varphi_1 \in H_0^1(0, +\infty)$ be defined as in Lemma 1.4. Fix λ in $(0, \frac{1}{\|p\|_{L^\infty} M_0})$, and let $M_2 = \frac{\lambda_1}{\lambda}$. From (H_2) , there exists $\delta_2 > 0$ such that

$$(4) \quad F(x, u) \geq M_2 |u|^2, \quad \text{for all } -\delta_2 < u < \delta_2.$$

The function φ_1 is continuous on $[0, +\infty)$ (note $\varphi_1 \in H_0^1(0, +\infty)$) and $\varphi_1(0) = \varphi_1(+\infty) = 0$ so $\sup_{x \in [0, +\infty)} \varphi_1(x) \leq c^*$ for some $c^* > 0$. Hence for every $0 < t < \frac{\delta_2}{c^*}$, and (4), we have

$$\begin{aligned} J(t\varphi_1) &= \frac{t^2}{2} \|\varphi_1\|^2 - \lambda \int_0^{+\infty} q(x) F(x, t\varphi_1(x)) dx \\ &\leq \frac{t^2}{2} \|\varphi_1\|^2 - \lambda M_2 t^2 \int_0^{+\infty} q(x) \varphi_1^2(x) dx \\ &= \frac{t^2}{2} \|\varphi_1\|^2 - \frac{\lambda M_2 t^2}{\lambda_1} \|\varphi_1\|^2 = \frac{t^2}{2} \|\varphi_1\|^2 - t^2 \|\varphi_1\|^2 = -\frac{t^2}{2} \|\varphi_1\|^2 < 0. \end{aligned}$$

Thus, when $t \rightarrow 0$, we have $J(t\varphi_1) < 0$. Then we deduce that

$$(5) \quad \inf_{u \in \overline{B_\rho(0)}} J(u) < 0 < \inf_{u \in \partial \overline{B_\rho(0)}} J(u).$$

By applying Ekeland's variational principle (Theorem 1.1) in the complete metric space $\overline{B_\rho(0)}$, there is a sequence $(u_n) \subset \overline{B_\rho(0)}$ such that

$$J(u_n) \leq \inf_{u \in \overline{B_\rho(0)}} J(u) + \frac{1}{n}, \quad J(u_n) \leq J(w) + \frac{1}{n} \|w - u_n\|, \quad \forall w \in \overline{B_\rho(0)}.$$

From (5), $u_n \notin \partial \overline{B_\rho(0)}$. Thus, $\forall n \in \mathbb{N}$, $u_n \in B_\rho(0)$ and if we put, $w = u_n + th$, for all $t > 0$, $h \in H_0^1(0, +\infty)$, and $n \in \mathbb{N}$, then $w = u_n + th$ belongs to the open ball $B_\rho(0)$ when $t \rightarrow 0$, and then $J(u_n) \leq J(u_n + th) + \frac{1}{n} t \|h\|$, so

$$\frac{J(u_n) - J(u_n + th)}{t} \leq \frac{1}{n} \|h\|,$$

and we have

$$-\langle J'(u_n), h \rangle \leq \frac{1}{n} \|h\|, \quad \text{for all } n \in \mathbb{N}^*.$$

If we put $w = u_n - th$, then we obtain $\langle J'(u_n), h \rangle \leq \frac{1}{n} \|h\|$, $\forall n \in \mathbb{N}^*$. Thus

$$\sup_{\|h\| \leq 1} |\langle J'(u_n), h \rangle| \leq \frac{1}{n}, \quad \text{for all } n \in \mathbb{N}^*.$$

Therefore, we have

$$\|J'(u_n)\| \rightarrow 0, \text{ and } J(u_n) \rightarrow c_\lambda \text{ as } n \rightarrow +\infty,$$

where c_λ stands for the infimum of $J(u)$ on $\overline{B_\rho(0)}$. Since (u_n) is bounded and $\overline{B_\rho(0)}$ is a closed convex set, there exists a subsequence still denoted by (u_n) , and there exists $u_\lambda \in \overline{B_\rho(0)} \subset H_0^1(0, +\infty)$ such that

$$\begin{cases} u_n \rightarrow u_\lambda \text{ weakly in } H_0^1(0, +\infty); \\ u_n(x) \rightarrow u_\lambda(x) \text{ for } x \text{ in } (0, +\infty); \\ u_n \rightarrow u_\lambda \text{ strongly in } C_{l,p}[0, +\infty). \end{cases}$$

Consequently, passing to the limit in $\langle J'(u_n), \varphi \rangle$, as $n \rightarrow +\infty$, we have using the Lebesgue dominated convergence theorem that

$$\int_0^{+\infty} \left(u'_\lambda(x) \varphi'(x) + u_\lambda(x) \varphi(x) \right) dx - \lambda \int_0^{+\infty} q(x) f(x, u_\lambda(x)) \varphi(x) dx = 0,$$

for all $\varphi \in H_0^1(0, +\infty)$. That is, $\langle J'(u_\lambda), \varphi \rangle = 0$ for all $\varphi \in H_0^1(0, +\infty)$. Thus u_λ is a critical point of the functional J , which is a classical solution of our problem. ■

3. EXAMPLE

Let $f(x, u) = u^{\frac{1}{5}}$, $q(x) = e^{-kx}$, $p(x) = e^{-\frac{1}{3}kx}$, where $k > 0$ is a constant. Then we get

$$\forall x \in \mathbb{R}^+, \forall u \in \mathbb{R} : |f(x, u)| = \left| u^{\frac{1}{5}} \right| \leq |u|^\theta + 1, \quad \text{where } \theta \in \left(\frac{1}{2}, 1 \right).$$

Also

$$\left(\frac{q}{p^{\frac{1}{2}}} \right)(x) = e^{-\frac{5}{6}kx}, \quad \left(\frac{q}{p^2} \right)(x) = e^{-\frac{1}{3}kx} \in L^1, \text{ and } q \in L^1[0, +\infty) \cap L^\infty[0, +\infty).$$

Note conditions (H_0) , (H_1) and (H_2) hold. Theorem 2.1 can now be applied.

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