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ON WEAK CHEBYSHEV SUBSPACES AND CHEBYSHEV APPROXIMATION BY THEIR ELEMENTS

1. Introduction. Let C[a, b] be a space of real-valued functions defined and continuous on the closed interval [a, b] normed by

$$||f|| = \max\{|f(x)|: x \in [a, b]\},\$$

and let M be an n-dimensional subspace of C[a, b].

Definition 1. M is said to be a Haar subspace on [a, b] if each non-trivial function from M has no more than n-1 zeroes in [a, b].

Definition 2. M is said to be a weak Chebyshev subspace on [a, b] if each function from M changes its sign in [a, b] at most n-1 times.

If $[c,d] \subset [a,b]$ and $f \in C[a,b]$, then we denote by f|[c,d] the function f restricted to [c,d]. Let x_i , $a=x_0 < x_1 < \ldots < x_{s+1} = b$ $(s \ge 0)$ be fixed knots and let P_i $(i=0,1,\ldots,s)$ be n_i -dimensional Haar subspaces on intervals $[x_i,x_{i+1}]$. Let us write

(1)
$$P[x_1,...,x_s] = \{p \in C[a,b]: p | [x_i,x_{i+1}] \in P_i, i = 0,1,...,s\}.$$

In the sequel, for the convenience of notation, we denote $P[x_1, \ldots, x_s]$ shortly by P. Obviously, P is a non-empty linear subspace of C[a, b]. In Section 2 we shall establish some important properties of the subspace P. Applications of these properties to the linear Chebyshev approximation by elements of P will be discussed in Section 3. In Section 4, a generalization of the non-linear Chebyshev approximation from [3] will be given.

2. Some properties of the subspace P. The following theorem generalizes Theorem 4 from [1] (see also Remark 1, ibidem, p. 36).

THEOREM 1. P is a weak Chebyshev subspace on [a, b] of dimension

$$\sum_{i=0}^{s} n_i - s.$$

Proof. First, consider the case s=1. For each function $p \in P = P[x_1]$ we have p=q*r, where the operation * indicates that $p|[a,x_1]=q \in P_0$ and $p|[x_1,b]=r \in P_1$. Let p_1 be an arbitrary fixed positive function in P

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and let p_i $(i=1,\ldots,n_0)$ be a basis for P_0 and let p_i $(i=n_0,\ldots,n_0+n_1-1)$, where $p_{n_0}=p_1$, be a basis for P_1 . Such a function p_1 exists, since in every Haar subspace there exists a positive function. Now, if μ_i are non-zero real numbers such that $p_i(x_1)=\mu_i p_1(x_1)$ for $i=1,\ldots,n_0+n_1-1$, then we may prove — analogously as in [1] — that the functions $p_i*\mu_i p_1$ for $i=1,\ldots,n_0$ and $\mu_i p_1*p$ for $i=n_0+1,\ldots,n_0+n_1-1$ are linearly independent.

Since for an arbitrary function $p = q * r \in P$ and a constant μ such that $p(x_1) = \mu p_1(x_1)$ we have

$$p = (q*\mu p_1) + (\mu p_1*r) - (\mu p_1*\mu p_1),$$

P is an (n_0+n_1-1) -dimensional subspace. Hence we can prove by induction, as in [1], that P has dimension

$$\sum_{i=0}^{s} n_i - s$$

and that P is a weak Chebyshev subspace.

Remark. Bartelt noted in [1] that neither Theorems 1 and 2 nor Theorem 3 are valid without the assumption $1 \in M$. But this is not true. The subspace spanned by the system of functions $\{x, x^2, ..., x^n\}$, where $x \in [a, b]$ and $0 \notin [a, b]$, is a simple counterexample. It is known that each Haar subspace contains a positive function. Conversely, the assumption $1 \in M$ in Theorems 1, 2 and 3 from [1] may be replaced by the weaker assumption that M contains a positive function. We omit the proofs of these generalized theorems, since they are essentially the same as in [1].

Moreover, Bartelt has proved that in C[a, b] there exist no weak Chebyshev subspaces, practically applicable, other than those defined by (1).

The following lemma in a sense characterizing Haar subspaces will be useful in the sequel.

LEMMA 1. Let H be an n-dimensional Haar subspace on [a,b] and let an arbitrary number $\lambda \neq 0$ and knots x_i $(i=1,\ldots,n-2), a < x_1 < \cdots < x_{n-2} < b,$ be given. Then there exist a number ε_1 ,

$$0 < \varepsilon_1 < \min_{i=0,\dots,n-2} (x_{i+1} - x_i)/2, \quad \text{where } x_0 = a, \ x_{n-1} = b,$$

and a function $h \in \mathbb{R}$ such that $h(a) = \lambda$, h changes its sign exactly at n-2 points $y_i \in (x_i - \varepsilon, x_i + \varepsilon)$, where $0 < \varepsilon < \varepsilon_1$ and $h(x) \neq 0$ for all $x \in [a, b] \setminus \{y_1, \ldots, y_{n-2}\}$.

Proof. It is known that there exists a function $g \in H$ such that $g(a) = \lambda$, g(b) = 0, and g changes its sign exactly at n-2 points x_i

(i = 1, ..., n-2). Additionally, $g(x) \neq 0$ for all $x \in [a, b] \setminus \{x_1, ..., x_{n-2}, b\}$. Let p be an arbitrary fixed positive function in H and let

$$\sigma = \operatorname{sgn}[g(x): x \in (x_{n-2}, b)],$$

where

$$\operatorname{sgn} f(x) = \left\{ egin{array}{ll} 1, & f(x) > 0, \\ 0, & f(x) = 0, \\ -1, & f(x) < 0. \end{array}
ight.$$

Write

$$h_{\mu}(x) = g(x) + \mu \sigma p(x), \quad \mu > 0.$$

We have $h_{\mu}(x)>0$ for all $\mu>0$ and $x\in[x_{n-2},b]$. Let a positive real number ε_1 be so small that the function g(x) is strictly monotone in every interval $(x_i-\varepsilon,x_i+\varepsilon)$ for $0<\varepsilon<\varepsilon_1$ and $i=1,\ldots,n-2$. Since g is continuous and H is an n-dimensional Haar subspace, then there exists a $\mu_1=\mu_1(\varepsilon)>0$ such that the function $h_{\mu}(x),\ 0<\mu<\mu_1$, changes its sign exactly at n-2 points $y_i\in(x_i-\varepsilon,x_i+\varepsilon)$ for $i=1,\ldots,n-2$. A function h defined by

$$h(x) = \lambda h_{\mu}(x) / (\lambda + \mu \sigma p(a))$$

has the same properties and, additionally, $h(a) = \lambda$. Hence the proof of the lemma is complete.

Definition 3. A function $f \in C[a, b]$ is said to alternate n-1 times on a subset D of [a, b] if there are n points $x_1 < x_2 < \ldots < x_n$ in D such that $f(x_i) = -f(x_{i+1})$ for $i = 1, \ldots, n-1$. The points x_i are called alternation points.

We denote by $\mu(f, D)$ the maximal number of alternation points of the function f in D. Obviously, it is possible that $\mu(f, D) = \infty$, since

$$f(x) = \begin{cases} x \sin \pi/x, & 0 < x \leq 2, \\ 0, & x = 0, \end{cases}$$

and $D = \{2, 2/3, 2/5, 2/7, ...\}$. If $D = \emptyset$, then we set $\mu(f, D) = 0$.

THEOREM 2. Let $f \in C[a, b]$ and let D be a closed subset in [a, b] such that |f(x)| > 0 for all $x \in D$. Let $D_i = [x_i, x_{i+1}] \cap D$ for i = 0, 1, ..., s. Then there exists a function $g \in P = P[x_1, ..., x_s]$ such that f(x)g(x) > 0 for all $x \in D$ if and only if the following conditions are satisfied:

$$\mu(f, D_i) \leqslant n_i, \quad i = 0, 1, \ldots, s;$$

(3)
$$\mu(f, D_{i-1} \cup D_i) \leqslant n_{i-1} + n_i - 1$$

for each j such that $1 \leqslant j \leqslant s$ and D_{j-1} , $D_j \neq \emptyset$;

$$\mu(f, D_u \cup D_v) \leqslant n_u + n_v - 1$$

for every u, v such that $0 \le u < v - 1 \le s - 1$, $D_u, D_v \ne \emptyset$, $D_i = \emptyset$, and $n_i = 1$ for i = u + 1, ..., v - 1.

Proof. Necessity. If there exists a set D_i containing at least n_i+1 alternation points, then the proof of the necessity is obvious, since P_i is an n_i -dimensional Haar subspace. Now, assume that

$$\mu(f, D_{j-1} \cup D_j) > n_{j-1} + n_j - 1$$
 for some $1 \leqslant j \leqslant s$.

Then by elementary considerations we may prove that the inequality

$$f(x)g(x) > 0$$
 for $x \in D_{i-1} \cup D_i$ and $g[x_{i-1}, x_{i+1}] \in C[x_{i-1}, x_{i+1}]$

holds if the function g has either n_{j-1} zeroes in $[x_{j-1}, x_j]$ or n_j zeroes in $[x_j, x_{j+1}]$. Hence we obtain a contradiction.

If condition (4) is not satisfied for some u, v, then from $n_i = 1$ (i = u + 1, ..., v - 1) it follows that the inequality

$$f(x)g(x) > 0$$
 for $x \in D_u \cup ... \cup D_v$ and $g[x_u, x_{v+1}] \in C[x_u, x_{v+1}]$

holds if the function g has either n_u zeroes in $[x_u, x_{u+1}]$ or n_v zeroes in $[x_v, x_{v+1}]$. This gives a contradiction. The proof of the necessity is now completed.

Sufficiency. Let x_{ik} , $x_i \leq x_{i1} < ... < x_{ik_i} \leq x_{i+1}$, be alternation points of the function f in D_i for i = 0, 1, ..., s.

Case 1. First consider the case where the following conditions are satisfied:

- (a) $1 \le k_i \le n_i$ for i = 0, 1, ..., s;
- (b) $sgn f(x_{ik_i}) = sgn f(x_{i+1,1})$ for i = 0, 1, ..., s-1;
- (c) conditions (2) and (3) hold.

For $k = 1, ..., k_i$ we put

$$a_{ik} = \inf \left\{ x \colon x \in [x_{i,k-1}, \ x_{ik}] \cap D \ \text{ and } \ \mathrm{sgn} f(x) = \mathrm{sgn} f(x_k) \right\},$$

$$b_{ik} = \sup \{x \colon x \in [x_{ik}, x_{i,k+1}] \cap D \text{ and } \operatorname{sgn} f(x) = \operatorname{sgn} f(x_k) \}.$$

From the continuity of the function f on [a, b] it follows that $b_{ik} < a_{i,k+1}$ for $k = 1, \ldots, k_i - 1$, since the set D is closed. If $k_0 = 1$, we choose a function h_0 in P_0 such that $h_0(a) = f(x_1)$. This function exists, since a positive function exists in P_0 . If $2 \le k_0 \le n_0$, we choose a function h_0 in P_0 such that $h_0(a) = f(x_1)$, h_0 changes its sign at r points z_{0k} , where $z_{0k} \in (b_{0k}, a_{0,k+1})$ for $k = 1, \ldots, k_0 - 1$, $z_{0k} \in (b_{01}, a_{02})$ for $k = k_0, k_0 + 1, \ldots, r$, and

$$r = egin{cases} n_0 - 1 & ext{if} \ n_0 - k_0 ext{ is even,} \ n_0 - 2 & ext{otherwise.} \end{cases}$$

In the case $r = n_0 - 1$ the existence of h_0 follows directly from the fact that P_0 is a Haar subspace, and in the case $r = n_0 - 2$ from Lemma 1. We have $f(x)h_0(x) > 0$ for all $x \in D_0$ and the function h_0 defined above. By using (a) and (b) we may choose, in the analogous way as h_0 , a function

 $h_1 \in P_1$ such that $h_1(x_1) = h_0(x_1)$ and $f(x)h_1(x) > 0$ for all $x \in D_1$. Consequently, we may prove by induction that there exist functions $h_i \in P_i$ (i = 2, ..., s) defined and continuous on intervals $[x_i, x_{i+1}]$ and such that $h_i(x_i) = h_{i-1}(x_i)$ and $f(x)h_i(x) > 0$ for all $x \in D_i$. Let us define a function h by

$$h | [x_i, x_{i+1}] = h_i, \quad i = 0, 1, ..., s.$$

Clearly, $h \in P$ and f(x)h(x) > 0 for all $x \in D$.

Case 2 (general). Now, it is sufficient to prove that there exist a function F defined and continuous on [a, b] and a closed set B, $D \subset B \subset [a, b]$, such that F(x) = f(x) for all $x \in D$, |F(x)| > 0 for all $x \in B$ and that for alternation points of F in B conditions (a), (b) and (c) from Case 1 are satisfied.

We may assume without loss of generality that

(5)
$$\operatorname{sgn} f(x_{j-1,k_{j-1}}) = \operatorname{sgn} f(x_{j1})$$

and

$$\operatorname{sgn} f(x_{u,k_u}) = \operatorname{sgn} f(x_{v_1})$$

for arbitrary j, u, and v as in (3) and (4).

In fact, if (5) is not true, e.g.

$$\operatorname{sgn} f(x_{j-1,k_{j-1}}) = -\operatorname{sgn} f(x_{j1}),$$

then it follows from (2) and (3) that either $k_j < n_j$ or $k_{j+1} < n_{j+1}$. In the first case let us denote by F a function defined and continuous on [a, b] such that F(x) = f(x) for all $x \in D$, and $F(z) = -\operatorname{sgn} f(x_{j1})$, where $z \in (x_{j-1,k_{j-1}}, x_j)$ is arbitrarily fixed. In the second case we choose $z \in (x_j, x_{j1})$ and $F(z) = -\operatorname{sgn} f(x_{j-1,k_{j-1}})$. Since the set $B = \{z\} \cup D$ is closed and f is continuous on this set, such a function F exists by the well-known Tietze theorem. The point z is a new alternation point of F in the set $D_{j-1} \cup D_j \cup \{z\}$.

We may consider (6) in the same way as (5). For the convenience of notation, we denote the obtained function F and the set B by f and D, respectively. Obviously, (2)-(6) are satisfied for the function f and the set D.

Now, for all u and v as in (4) we define a continuous function F on [a,b] by F(x)=f(x) for all $x\in D$ and $F(z_i)=\mathrm{sgn}f(x_{v1})$, where $z_i\in (x_i,x_{i+1})$ for $i=u+1,\ldots,v-1$. Also, set $B=D\cup\{z_{u+1},\ldots,z_{v-1}\}$. Let the obtained function F and the closed set B also be denoted by f and D, respectively. Conditions (2)-(6) are satisfied for the function f and the set D. Additionally, we have $D_i\neq\emptyset$ for $i=u+1,\ldots,v-1$ and all u and v as in (4). Obviously, the last construction is valid if $n_i\geqslant 1$ for $i=u+1,\ldots,v-1$ in (4) and with trivial modifications also if u=-1 or v=s+1 in (4).

For the completeness of the proof we must consider the case where (2) holds for u and v,

$$\mu(f, D_u \cup D_v) = n_u + n_v$$
 and $\operatorname{sgn} f(x_{u,k_u}) = -\operatorname{sgn} f(x_{v1}),$

where $0 \le u < v-1 \le s-1$, D_u , $D_v \ne \emptyset$, $D_i = \emptyset$ for $i = u+1, \ldots, v-1$, and there exists t, u < t < v, such that $n_i > 1$. In this case, while defining on [a, b] a continuous function F such that F(x) = f(x) for $x \in D$, $F(z_1) = \operatorname{sgn} f(x_{u,k_u})$ and $F(z_2) = \operatorname{sgn} f(x_{v_1})$, where $z_1, z_2 \in (x_i, x_{i+1})$, and a closed set B equal to $\{z_1, z_2\} \cup D$, we obtain the case where (4) and (6) are satisfied, which we considered above. Let us again denote the obtained function F and the closed set B by f and D, respectively. Since (a), (b) and (c) are satisfied for these f and D, we may use the method from Case 1. Thus the proof of Theorem 2 is completed.

The following two examples illustrate the role of conditions (3) and (4) in Theorem 2.

Example 1. Let the subspace P[9/24, 11/24], where $P_0 = P_2 = \text{span}\{1, x\}$ and $P_1 = \text{span}\{1\}$, be defined on the interval [9/40, 2], et $f(x) = \cos \pi/x$, and $D = \{1/4, 1/3, 1/2, 1\}$. In this case, condition (4) ls not satisfied and Theorem 2 is not true.

Example 2. Let the subspace P[5/12], where $P_0 = P_1 = \text{span}\{1, x\}$, be defined on the interval [9/40, 2] and let f and D be defined as in Example 1. In this case, condition (3) is not satisfied and Theorem 2 is not true.

3. Linear Chebyshev approximation by elements of P. Let the function $f \in C[a, b]$ and the subspace $P = P[x_1, ..., x_s]$ defined by (1) be given. A function $g \in P$ is the best Chebyshev approximation for f if

$$||f-g|| \leq ||f-h||$$
 for all $h \in P$.

From the general theory of linear approximation (see, e.g., [2] or [5]) it follows that the best approximation $g \in P[x_1, ..., x_s]$ exists for all functions $f \in C[a, b]$ and that the following theorem holds:

THEOREM 3. An element $g \in P$ is the best approximation for $f \in C[a, b] \setminus P$ if and only if on the set $D = \{x : |e(x)| = ||e||\}$ there exists no function $h \in P$ of the same sign as the error function e = f - g.

Obviously, this approximation is not unique for every function $f \in C[a, b]$.

Definition 4. An alternans of the function f on the closed subset D of [a, b] has *Property* A if at least one of the following three conditions is satisfied:

- (i) there exists i such that $0 \leqslant i \leqslant s$ and $\mu(f, D_i) > n_i$;
- (ii) there exists j such that $1 \le j \le s$ and $\mu(f, D_{j-1} \cup D_j) > n_{j-1} + n_j 1$;

(iii) there exist u and v such that $0 \le u < v - 1 \le s - 1$, D_u , $D_v \ne \emptyset$, $D_i = \emptyset$ and $n_i = 1$ for i = u + 1, ..., v - 1, and $\mu(f, D_u \cup D_v) > n_u + n_v - 1$. From Theorems 2 and 3 we obtain directly

ALTERNATION THEOREM. An element $g \in P$ is the best approximation in P for $f \in C[a, b] \setminus P$ if and only if the error function e = f - g has the alternans with Property A on the subset $D = \{x : |e(x)| = ||e||\}$ of [a, b].

Corollary. If the error function e = f - g has at least

$$\sum_{i=0}^{s} n_i - s + 1$$

alternation points in the subset $D = \{x : |e(x)| = ||e||\}$ of [a, b], then the function g is the best approximation in P for f.

4. Non-linear approximation by elements of P. Let H be an n-dimensional Haar subspace on [a, b] and let $[c, d] \subset [a, b]$. We put

$$E_n(f,\,c\,;\,d) \,= \max_{x \in [c,d]} |f(x) - g(x)| \,= \inf_{h \in H} \,\max_{x \in [c,d]} |f(x) - h(x)|\,.$$

Moreover, let $f \oplus H$ denote a subspace spanned by the function f and the subspace H.

LEMMA 2. If $f \oplus H$, where $f \in C[a, b] \setminus H$, is an (n+1)-dimensional Haar subspace, then

- (i) The set $D = \{x: |r(x)| = ||r||\} \cap [c, d]$ contains exactly n alternation points of the error function r = f g and $c, d \in D$.
- (ii) $E_n(f; c, d)$ is a non-negative continuous function of variables c and d, which is a strictly increasing function of d for a fixed c and a strictly decreasing function of c for a fixed d.

Proof. For the continuity of $E_n(f; c, d)$ see [4] or [5]. From the Alternation Theorem it follows that D contains k points, $k \ge n$. If k > n, then the function $r - \lambda p$, where p(x) > 0 for $x \in [a, b]$ and λ is a sufficiently small number, has at least n+1 zeroes. Thus we obtain a contradiction. We may complete the proof of parts (i) and (ii) by similar arguments.

In this section we assume that the subspaces P_i in the definition of $P[x_1, \ldots, x_s]$ in (1) are n_i -dimensional Haar subspaces on the interval [a, b]. Now, consider the following non-linear Chebyshev problem:

For an arbitrary fixed function $f \in C[a, b] \setminus P$ determine knots z_i , $a \leq z_1 \leq \ldots \leq z_s \leq b$, and a function $g \in P[z_1, \ldots, z_s]$ such that

(7)
$$||f-g|| = \min_{a \leq x_1 \leq \ldots \leq x_s \leq b} \min_{h \in P[x_1, \ldots, x_s]} ||f-h||.$$

We set $F_s(f; a, b) = ||f - g||$.

Gavrilović [3] has solved problem (7) with the assumption that $P_i = \operatorname{span}\{1, x\}$ and that a subspace spanned by functions 1, x and f(x)

is a Haar subspace on [a, b]. Here we solve this problem only under the assumption that $f \oplus P_i$ for i = 0, 1, ..., s are $(n_i + 1)$ -dimensional Haar subspaces on [a, b]. This generalizes the fact that f is a strictly convex or strictly concave function on [a, b] in the sense of definitions from [6].

THEOREM 4. If $f \oplus P_i$ for i = 0, 1, ..., s are (n_i+1) -dimensional Haar subspaces on [a, b], then there exist a unique sequence of knots z_i , $a < z_1 < ... < z_s < b$, and a unique function $g \in P[z_1, ..., z_s]$ such that (7) holds. For this function we have

$$||f-g|| = E_{n_i}(f; z_i, z_{i+1}), \quad i = 0, 1, ..., s,$$

where $z_0 = a$ and $z_{s+1} = b$.

Proof. If s = 1, then the theorem follows from Lemma 2. For s > 1, the proof is analogous as in [3].

The set $D = \{x \colon |e(x)| = ||e||\}$, where e = f - g and g is defined by (7), contains exactly

$$\sum_{i=0}^{s} n_i - s + 1$$

points, and $z_i \in D$ for i = 0, 1, ..., s+1. Additionally, the error function e alternates at these points. We note that a method analogous to that from [3] may be applicable to determine the knots z_i and the function g in (7).

Example 3. Let f(x) = 1/(x-c), where $x \in [a, b]$ and $c \notin [a, b]$. Moreover, let $P_i = \text{span}\{1, x\}$ for i = 0, 1, ..., s and $\sigma = \text{sgn}(c-a)$. The knots z_i for i = 1, ..., s and $F_s(1/(x-c); a, b)$ are determined [3] by the following non-linear system of equations:

$$|c-z_i|^{-1/2}-|c-z_{i-1}|^{-1/2}=\sigma\sqrt{2F_s\!\!\left(\!rac{1}{x-c};a,b
ight)}, \qquad i=1,...,s+1.$$

Solving this system of equations we obtain

$$F_s\left(rac{1}{x-c};a,b
ight)=rac{1}{2}\left(rac{v-u}{s+1}
ight)^2, \quad z_i=c-\sigma\left(rac{s+1}{iv+(s-i+1)u}
ight)^2, \ i=1,\ldots,s,$$

where $u = |c-a|^{-1/2}$ and $v = |c-b|^{-1/2}$.

The polygonal line g in (7) is uniquely determined by the vertex (z_i, y_i) , where

$$y_i = \frac{1}{z_i - c} + \sigma F_s \left(\frac{1}{x - c}; a, b \right), \quad i = 0, 1, ..., s + 1.$$

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Received on 16. 4. 1976

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O SŁABYCH PODPRZESTRZENIACH CZEBYSZEWA ORAZ JEDNOSTAJNEJ APROKSYMACJI PRZEZ ICH ELEMENTY

STRESZCZENIE

W pracy omówiliśmy słabe podprzestrzenie Czebyszewa $P = P[x_1, ..., x_8]$, zdefiniowane przez wzór (1), oraz jednostajną, liniową i nieliniową aproksymację przez ich elementy. Tego typu podprzestrzenie badał Bartelt [1]. W rozdziałe 2 zauważyliśmy, że wszystkie twierdzenia z pracy [1] pozostają słuszne dla nieco ogólniejszych założeń. W szczególności, twierdzenie 1 z tej pracy jest uogólnieniem twierdzenia 4 z [1]. W twierdzeniu 2 ujęliśmy pewną własność podprzestrzeni P, grającą istotną rolę w teorii liniowej jednostajnej aproksymacji funkcji ciągłych przez elementy P. Aproksymacja ta została omówiona w rozdziałe 3. W rozdziałe 4 uogólniliśmy wyniki pracy [3], dotyczące nieliniowej aproksymacji funkcji ciągłych przez elementy P, oraz skonstruowaliśmy najlepszą aproksymację przez łamane dla funkcji f(x) = 1/(x-c).