

## **$k$ -INDEPENDENCE STABLE GRAPHS UPON EDGE REMOVAL**

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### **Abstract**

Let  $k$  be a positive integer and  $G = (V(G), E(G))$  a graph. A subset  $S$  of  $V(G)$  is a  $k$ -independent set of  $G$  if the subgraph induced by the vertices of  $S$  has maximum degree at most  $k - 1$ . The maximum cardinality of a  $k$ -independent set of  $G$  is the  $k$ -independence number  $\beta_k(G)$ . A graph  $G$  is called  $\beta_k^-$ -stable if  $\beta_k(G - e) = \beta_k(G)$  for every edge  $e$  of  $E(G)$ . First we give a necessary and sufficient condition for  $\beta_k^-$ -stable graphs. Then we establish four equivalent conditions for  $\beta_k^-$ -stable trees.

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## 1. INTRODUCTION

We consider finite, undirected, and simple graphs  $G$  with vertex set  $V = V(G)$  and edge set  $E = E(G)$ . The *open neighborhood* of a vertex  $v \in V$  is  $N(v) = N_G(v) = \{u \in V : uv \in E\}$  and the *closed neighborhood* is  $N[v] = N_G[v] = N_G(v) \cup \{v\}$ . The *degree* of a vertex  $v$  of  $G$ , denoted by  $d_G(v)$ , is the size of its open neighborhood. Specifically, for a vertex  $v$  in a rooted tree  $T$ , we denote by  $C(v)$  and  $D(v)$  the set of children and descendants, respectively, of  $v$ , and we define  $D[v] = D(v) \cup \{v\}$ . The *maximal subtree* at  $v$  is the subtree of a rooted tree  $T$  induced by  $D[v]$ , and is denoted by  $T_v$ .

In [2] Fink and Jacobson generalized the concept of independent sets. Let  $k$  be a positive integer. A subset  $S$  of  $V$  is  *$k$ -independent* if the maximum degree of the subgraph induced by the vertices of  $S$  is less or equal to  $k - 1$ . A  $k$ -independent set  $S$  of  $G$  is maximal if for every vertex  $v \in V - S$ ,  $S \cup \{v\}$  is not  $k$ -independent. The  *$k$ -independence number*  $\beta_k(G)$  is the maximum cardinality of a  $k$ -independent set of  $G$ . Notice that 1-independent sets are independent, and so  $\beta_1(G) = \beta(G)$ . If  $S$  is a  $k$ -independent set of  $G$  of size  $\beta_k(G)$ , then we call  $S$  a  $\beta_k(G)$ -set. A vertex in a  $k$ -independent set  $S$  is said to be *full* if it has exactly  $k - 1$  neighbors in  $S$ , and a vertex in  $V - S$  with at least  $k$  neighbors in  $S$  is said to be  *$k$ -dominated* by  $S$ .

In [3] Gunther, Hartnell and Rall studied the graphs whose independence numbers are unaffected by addition or deletion of any edge. They gave constructive characterizations of such trees.

A graph  $G$  is called  $\beta_k^-$ -stable if  $\beta_k(G - e) = \beta_k(G)$  for every edge  $e$  of  $E(G)$ . In this paper we are interested in determining conditions under which a graph  $G$  is  $\beta_k^-$ -stable. In Section 2, we characterize the  $\beta_k^-$ -stable trees by proving the following:

**Theorem 1.** *Let  $T$  be a tree. Then for every positive integer  $k$  the following conditions are equivalent:*

- (a)  $T$  is a  $\beta_k^-$ -stable tree.
- (b)  $T$  has a unique  $\beta_k(T)$ -set.
- (c) for every  $\beta_k(T)$ -set  $S$ , each vertex  $x \in V - S$  is  $(k + 1)$ -dominated by  $S$  or there are at least two full vertices in  $N(x) \cap S$ .
- (d)  $\Delta(T) \leq k - 1$  or  $T \in \mathcal{F}_k$  (The family  $\mathcal{F}_k$  is defined in Section 2).

We note the result in [3] concerning trees whose independence number is unaffected by the deletion of an edge is a special case of Theorem 1.

## 2. $\beta_k^-$ -STABLE GRAPHS

We begin with the following observation.

**Observation 2.** *Let  $G$  be a graph. If  $uv \in E(G)$  and  $\beta_k(G - uv) > \beta_k(G)$ , then  $u$  and  $v$  are in every  $\beta_k(G - uv)$ -set.*

**Proposition 3.** *For any graph  $G$  and edge  $e \in E(G)$ ,  $\beta_k(G) \leq \beta_k(G - e) \leq \beta_k(G) + 1$ .*

**Proof.** The lower bound is immediate from the fact that every  $k$ -independent set of a graph  $G$  is also a  $k$ -independent set of any spanning subgraph of  $G$ . Suppose that  $\beta_k(G - uv) > \beta_k(G)$  for some edge  $uv \in E(G)$ , and let  $S$  be a  $\beta_k(G - uv)$ -set for some  $uv \in E(G)$ . By Observation 2, both  $u$  and  $v$  are in  $S$ . Then  $S - \{u\}$  is a  $k$ -independent set of  $G$  implying that  $\beta_k(G) \geq |S| - 1 = \beta_k(G - uv) - 1$ . ■

Next we provide a necessary and sufficient condition for  $\beta_k^-$ -stable graphs.

**Theorem 4.** *A graph  $G$  is  $\beta_k^-$ -stable if and only if for every  $\beta_k(G)$ -set  $S$ , each vertex  $x \in V - S$  is  $(k + 1)$ -dominated by  $S$  or there are at least two full vertices in  $N(x) \cap S$ .*

**Proof.** Let  $G$  be a  $\beta_k^-$ -stable graph and  $S$  any  $\beta_k(G)$ -set. Assume there is a vertex  $x \in V - S$  having at most  $k$  neighbors in  $S$  and there is at most one full vertex in  $N(x) \cap S$ . Let  $y$  be the full vertex in  $N(x) \cap S$ , if one exists, and an arbitrary vertex in  $N(x) \cap S$  otherwise. Then  $S \cup \{x\}$  is a  $k$ -independent set of  $G - xy$ , and so  $\beta_k(G - xy) \geq |S| + 1 > \beta_k(G)$ , contradicting the assumption that  $G$  is  $\beta_k^-$ -stable.

Conversely, let  $e = uv$  be any edge of  $E(G)$  and  $S$  a  $\beta_k(G - e)$ -set. Assume that  $\beta_k(G - e) > \beta_k(G)$ . By Observation 2,  $u$  and  $v$  are in  $S$ . Then  $S' = S - \{u\}$  is a  $k$ -independent set of  $G$ . Thus  $\beta_k(G - e) > \beta_k(G) \geq |S'| = \beta_k(G - e) - 1$ , and so Proposition 3 implies that  $S'$  is a  $\beta_k(G)$ -set. Since  $u \in S$ ,  $u$  has in  $G - e$  at most  $k - 1$  neighbors in  $S$ . Thus  $u$  has in  $G$  at most  $k$  neighbors in  $S'$ . Moreover,  $N(u) \cap S'$  contains at most  $v$  as a full vertex in

$G$  for otherwise  $S$  is not a  $k$ -independent set since it would contain a vertex having more than  $k - 1$  neighbors in  $S$ . But then  $S'$  is a  $\beta_k(G)$ -set for which  $u \notin S'$  and  $u$  does not satisfy the conditions of the theorem, a contradiction. Thus  $\beta_k(G - e) = \beta_k(G)$  for every  $e \in E(G)$ , and hence  $G$  is a  $\beta_k^-$ -stable graph. ■

The following result shows that graphs with unique  $\beta_k(G)$ -sets are  $\beta_k^-$ -stable.

**Theorem 5.** *If  $G$  is a graph with a unique  $\beta_k(G)$ -set, then  $G$  is a  $\beta_k^-$ -stable graph.*

**Proof.** Let  $S$  be the unique  $\beta_k(G)$ -set. If every vertex of  $V - S$  is  $(k + 1)$ -dominated by  $S$ , then by Theorem 4,  $G$  is  $\beta_k^-$ -stable. Now assume that  $u \in V - S$  is a vertex with at most  $k$  neighbors in  $S$ . Assume further that  $N(u) \cap S$  contains at most one full vertex. Let  $y$  be the full vertex in  $N(u) \cap S$  if one exists and an arbitrary vertex in  $N(u) \cap S$  otherwise. Then  $\{u\} \cup (S - \{y\})$  is second  $\beta_k(G)$ -set, a contradiction. Thus for every vertex  $u \in V - S$  not  $(k + 1)$ -dominated by  $S$ ,  $S \cap N(u)$  contains at least two full vertices, and so by Theorem 4,  $G$  is  $\beta_k^-$ -stable. ■

Note that the converse of Theorem 5 is not true for arbitrary graphs. Clearly the complete graph  $K_n$ ,  $n \geq 4$ , is a  $\beta_2^-$ -stable graph but any two vertices of  $K_n$  form a  $\beta_2(K_n)$ -set. Our next result shows that the converse of Theorem 5 holds for trees.

**Lemma 6.** *If  $T$  is a  $\beta_k^-$ -stable tree, then  $T$  has a unique  $\beta_k(T)$ -set.*

**Proof.** Assume that  $T$  is  $\beta_k^-$ -stable. Clearly the result holds if  $\Delta(T) \leq k - 1$ , since  $V(T)$  is the unique  $\beta_k(T)$ -set. Suppose that  $\Delta(T) \geq k$ , and let  $B(T) = \{x \in V(T) : \deg_T(x) \geq k\}$ . We proceed by induction on  $|B(T)|$ . If  $|B(T)| = 1$ , then the unique vertex in  $B(T)$  should have degree at least  $k + 1$  for otherwise removing any edge incident to such a vertex increases the  $k$ -independence number, a contradiction. It follows that  $V(T) - B(T)$  is the unique  $\beta_k(T)$ -set. Assume that every  $\beta_k^-$ -stable tree  $T'$  with  $|B(T')| < |B(T)|$  has a unique  $\beta_k(T')$ -set.

We now root  $T$  at a vertex  $r$  of maximum eccentricity. Let  $w$  be a vertex of degree at least  $k$  at maximum distance from  $r$ . Such a vertex exists since  $\Delta(T) \geq k$ . Let  $u$  be the parent of  $w$  in the rooted tree, and  $v$  be the parent of  $u$ . Let  $S$  be a  $\beta_k(T)$ -set. We distinguish between two cases.

*Case 1.*  $d_T(w) \geq k + 1$ . Let  $T' = T - T_w$ . If  $w \in S$ , then at least one child of  $w$ , say  $w'$ , is not in  $S$ . But then  $S \cup \{w'\}$  is a  $k$ -independent set of  $T - ww'$ , a contradiction. Thus  $w$  belongs to no  $\beta_k(T)$ -set. It follows that  $D(w) \subseteq S$ . Now it can be seen that  $\beta_k(T) = \beta_k(T') + \beta_k(T_w)$ . Since  $T$  is a  $\beta_k^-$ -stable tree,  $\beta_k(T - uw) = \beta_k(T) = \beta_k(T') + \beta_k(T_w)$ . Moreover, if for some edge  $e \in E(T')$ ,  $\beta_k(T' - e) > \beta_k(T')$ , then  $\beta_k(T - e) \geq \beta_k(T' - e) + \beta_k(T_w) > \beta_k(T') + \beta_k(T_w) = \beta_k(T)$ , and so  $T$  is not  $\beta_k^-$ -stable, a contradiction. It follows that for every edge  $e \in E(T')$ ,  $\beta_k(T' - e) = \beta_k(T')$  and so  $T'$  is a  $\beta_k^-$ -stable. By induction on  $T'$ ,  $T'$  has a unique  $\beta_k(T')$ -set, say  $X$ . Since no  $\beta_k(T)$ -set contains  $w$ ,  $S \cap V(T')$  is a  $\beta_k(T')$ -set. Hence  $S \cap V(T') = X$ . Moreover,  $S \cap V(T_w) = D(w)$ . Thus,  $S$  is the unique  $\beta_k(T)$ -set.

*Case 2.*  $d_T(w) = k$ . By our choice of  $w$ , every descendant of  $w$  has degree at most  $k - 1$ . Hence,  $w \in S$  for otherwise by Theorem 4,  $w$  is  $k + 1$  dominated by  $S$  or  $N(w) \cap S$  contains two full vertices, which is impossible. Assume that  $u$  is in  $S$ . Since  $w \in S$ , it follows that at least one child of  $w$ , say  $w'$ , is not in  $S$ . But then  $S \cup \{w'\}$  is a  $k$ -independent set of  $T - ww'$  with  $|S \cup \{w'\}| > \beta_k(T)$ , contradicting our assumption that  $T$  is  $\beta_k^-$ -stable. Hence  $u \notin S$ . We may assume that every child of  $u$  has degree at most  $k$ , otherwise Case 1 applies. It follows that  $D(u) \subseteq S$ . Note that  $D(u)$  is a  $\beta_k(T_u)$ -set, and we have shown that  $S \cap V(T_u) = D(u)$  for any  $\beta_k(T)$ -set  $S$ . Let  $T' = T - T_u$ , and let  $S' = S \cap V(T')$ . Since  $u \notin S$  and  $S'$  is a  $k$ -independent set, we conclude that  $S'$  is a  $\beta_k(T')$ -set. Moreover, since  $T$  is a  $\beta_k^-$ -stable tree,  $\beta_k(T - uv) = \beta_k(T) = \beta_k(T') + \beta_k(T_u)$ . Now if  $S'$  does not satisfy conditions of Theorem 4, then clearly  $S = S' \cup D(u)$  does not satisfy these conditions in  $T$ , and so  $T$  is not  $\beta_k^-$ -stable, a contradiction. It follows that  $T'$  is a  $\beta_k^-$ -stable tree, and by our inductive hypothesis on  $T'$ ,  $S \cap V(T')$  is the unique  $\beta_k(T')$ -set. Since  $u$  does not belong to any  $\beta_k(T)$ -set,  $S = D(u) \cup S'$  is the unique  $\beta_k(T)$ -set. ■

**Lemma 7.** *Let  $T_1$  and  $T_2$  be trees with unique  $\beta_k$ -sets  $S_1$  and  $S_2$ , respectively. If  $T$  is a tree obtained from  $T_1 \cup T_2$  by adding an edge  $uv$  where  $u \in V(T_1)$  and  $v \in V(T_2) - S_2$ , then  $S_1 \cup S_2$  is the unique  $\beta_k(T)$ -set.*

**Proof.** Let  $T_1$  and  $T_2$  be trees with unique  $\beta_k$ -sets  $S_1$  and  $S_2$ , respectively, and let  $T$  be a tree obtained from  $T_1 \cup T_2$  by adding an edge  $uv$  where  $u \in V(T_1)$  and  $v \in V(T_2) - S_2$ . Clearly, since  $v \notin S_2$ ,  $S_1 \cup S_2$  is a  $k$ -independent set of  $T$ . Thus,  $\beta_k(T) \geq |S_1 \cup S_2|$ . Let  $D$  be a  $\beta_k(T)$ -set, and let  $D_1 = D \cap V(T_1)$  and  $D_2 = D \cap V(T_2)$ . Since  $D_i$  is a  $k$ -independent

set in  $T_i$ , we have  $\beta_k(T_i) \geq |D_i|$  for  $i \in \{1, 2\}$ . Hence,  $\beta_k(T_1) + \beta_k(T_2) \geq |D_1| + |D_2| = |D| = \beta_k(T)$ . Therefore,  $\beta_k(T) = \beta_k(T_1) + \beta_k(T_2)$  and  $D$  is a  $\beta_k(T)$ -set. Moreover, it follows that  $D_i$  is a  $k$ -independent set of  $T_i$  having cardinality  $\beta_k(T_i)$  for  $i \in \{1, 2\}$  and so  $D_i = S_i$  implying that  $D = S_1 \cup S_2$  is the unique  $\beta_k(T)$ -set. ■

In [1], Blidia, Chellali and Volkmann defined the following trees. For a positive integer  $p$ , a nontrivial tree  $T$  is called an  $\mathcal{N}_p$ -tree if  $T$  contains a vertex, say  $w$ , of degree at least  $p - 1$  and  $\deg_T(x) \leq p - 1$  for every vertex of  $x \in V(T) - \{w\}$ . We will call  $w$  the *special vertex* of  $T$ . The subdivided star  $K_{1,p}$  ( $p \geq 3$ ) is an example of an  $\mathcal{N}_p$ -tree.

We define a related family of trees, which we call  $\mathcal{N}_{k,j}^*$ -trees. A tree  $T$  is an  $\mathcal{N}_{k,j}^*$ -tree with special vertex  $w$  if  $N(w)$  contains  $j \geq 0$  vertices of degree  $k$ , the remaining vertices in  $T$  except possibly  $w$  have degree at most  $k - 1$ , and if  $j \leq 1$ ,  $d_T(w) \geq k + 1$ . We note that if  $j \geq 2$ , the only degree restriction on the special vertex  $w$  is that  $d_T(w) \geq j$ . An  $\mathcal{N}_k$ -tree with special vertex of degree at least  $k + 1$  is an example of an  $\mathcal{N}_{k,j}^*$ -tree. A tree  $T$  is a weak  $\mathcal{N}_{k,1}^*$ -tree with special vertex  $w$  if  $w$  has degree at most  $k$ ,  $N(w)$  contains one vertex of degree  $k$ , and the remaining vertices in  $T$  except possibly  $w$  have degree at most  $k - 1$ .

**Observation 8.** For an  $\mathcal{N}_{k,j}^*$ -tree  $T$  with special vertex  $w$ ,  $V(T) - \{w\}$  is the unique  $\beta_k(T)$ -set.

In order to characterize trees  $T$  with a unique  $\beta_k(T)$ -set, we define the family  $\mathcal{F}_k$  of all trees  $T$  that can be obtained from a sequence  $T_1, T_2, \dots, T_p$  ( $p \geq 1$ ) of trees, where  $T_1 = T^*$  is an  $\mathcal{N}_{k,j}^*$ -tree,  $T = T_p$ , and, if  $p \geq 2$ ,  $T_{i+1}$  can be obtained recursively from  $T_i$  by one of the four operations listed below.

- Operation  $\mathcal{O}_1$ : Attach an  $\mathcal{N}_k$ -tree with special vertex  $z$  of degree at least  $k + 1$  by adding an edge from  $z$  to any vertex of  $T_i$ .
- Operation  $\mathcal{O}_2$ : Attach an  $\mathcal{N}_k$ -tree with special vertex  $z$  of degree  $k$  by adding an edge from  $z$  to any vertex belonging to a  $\beta_k(T_i)$ -set.
- Operation  $\mathcal{O}_3$ : Attach an  $\mathcal{N}_{k,j}^*$ -tree with special vertex  $z$ , where  $j \geq 1$ , by adding an edge from  $z$  to any vertex in  $T_i$ .
- Operation  $\mathcal{O}_4$ : Attach a weak  $\mathcal{N}_{k,1}^*$ -tree  $T^*$  with special vertex  $z$ , by adding the edge  $zx$ , where  $x$  is a vertex in a  $\beta_k(T_i)$ -set, with the condition that if  $x$  is not full, then  $z$  has degree  $k$  in  $T^*$ .

We state two lemmas.

**Lemma 9.** *Let  $T$  be a tree and  $k$  a positive integer. If  $\Delta(T) \leq k - 1$  or  $T \in \mathcal{F}_k$ , then  $T$  has a unique  $\beta_k(T)$ -set.*

**Proof.** It is clear that if  $\Delta(T) \leq k - 1$ , then  $V(T)$  is the unique  $\beta_k(T)$ -set. Suppose now that  $\Delta(T) \geq k$  and  $T \in \mathcal{F}_k$ . Then  $T$  is obtained from a sequence  $T_1, T_2, \dots, T_p$  ( $p \geq 1$ ) of trees, where  $T_1 = T^*$  with special vertex  $w$ ,  $T = T_p$ , and, if  $p \geq 2$ ,  $T_{i+1}$  can be obtained recursively from  $T_i$  by one of the four operations defined above. Clearly the property is true if  $p = 1$ . This establishes the basis case.

Assume now that  $p \geq 2$  and that the result holds for all trees  $T \in \mathcal{F}_k$  that can be constructed from a sequence of length at most  $p - 1$ , and let  $T' = T_{p-1}$ . By the inductive hypothesis,  $T'$  has a unique  $\beta_k(T')$ -set. Let  $T$  be a tree obtained from  $T'$  and  $S$  a  $\beta_k(T)$ -set. We consider the following four cases.

*Case 1.*  $T$  is obtained from  $T'$  by using Operation  $\mathcal{O}_1$ . Let  $H$  be the  $\mathcal{N}_k$ -tree with special vertex  $z$  of degree at least  $k + 1$  added to  $T'$ . Note that  $V(H) - \{z\}$  is the unique  $\beta_k(H)$ -set, and since  $T'$  has a unique  $\beta_k(T')$ -set, say  $S'$ , Lemma 7 implies that  $S' \cup (V(H) - \{z\})$  is the unique  $\beta_k(T)$ -set.

*Case 2.*  $T$  is obtained from  $T'$  by using Operation  $\mathcal{O}_2$ . Let  $H$  be an  $\mathcal{N}_k$ -tree with special vertex  $z$  of degree  $k$  added to  $T'$  with edge  $uz$ , where  $u$  is a vertex of a  $\beta_k(T')$ -set  $S'$ . Clearly  $S' \cup (V(H) - \{z\})$  is a  $k$ -independent set of  $T$  and so  $\beta_k(T) \geq \beta_k(T') + |V(H)| - 1$ . Moreover, if  $S$  contains  $z$ , then since  $d_T(z) = k + 1$  at least one of its neighbors in  $H$  is not in  $S$ , and hence  $z$  can be substituted by such a vertex in  $S$ . Therefore we may assume that  $z \notin S$ , and hence  $V(H) - \{z\} \subseteq S$ . Thus  $S \cap V(T')$  is a  $k$ -independent set of  $T'$  implying that  $\beta_k(T') \geq \beta_k(T) - |V(H)| + 1$ , and the following equality is obtained  $\beta_k(T) = \beta_k(T') + |V(H)| - 1$ . Now assume that  $S$  is not the unique  $\beta_k(T)$ -set, and let  $M$  be a second  $\beta_k(T)$ -set. Note that we have seen that  $z \notin S$ . Since at most  $|V(H)| - 1$  vertices from  $H$  are in  $M$ , it follows that  $|M \cap V(T')| \geq \beta_k(T')$ . Since  $T'$  has a unique  $\beta_k(T')$ -set,  $M \cap V(T') = S \cap V(T')$  is the unique  $\beta_k(T')$ -set. Hence  $u \in M$ . If  $z \in M$ , then two vertices of  $N_H(z)$ , say  $y', y'' \notin M$  but then  $\{y', y''\} \cup (M - \{z\})$  is a  $k$ -independent set of  $T$  larger than  $M$  which is impossible. Thus  $z \notin M$ . It follows that  $M$  contains  $V(H) - \{z\}$ , implying that  $M = S$ , a contradiction. Therefore  $S$  is the unique  $\beta_k(T)$ -set.

*Case 3.*  $T$  is obtained from  $T'$  by using Operation  $\mathcal{O}_3$ . Then  $T$  is obtained from  $T'$  by adding an  $\mathcal{N}_{k,j}^*$ -tree  $T^*$  with special vertex  $z$  by adding the edge  $zx$ , where  $x \in V(T')$ . From Observation 8, we know that  $V(T^*) - \{z\}$  is the unique  $\beta_k(T^*)$ -set. Since  $T'$  has the unique  $\beta_k(T')$ -set  $S'$ , it follows from Lemma 7 that  $S' \cup (V(T^*) - \{z\})$  is the unique  $\beta_k(T)$ -set.

*Case 4.*  $T$  is obtained from  $T'$  by using Operation  $\mathcal{O}_4$ . Then  $T$  is obtained from  $T'$  by adding a weak  $\mathcal{N}_{k,1}^*$ -tree  $T_0$  with special vertex  $z$  by adding the edge  $zx$ , where  $x \in \beta_k(T')$ -set  $S'$ . Then  $S' \cup (V(T_0) - \{z\})$  is a  $k$ -independent set of  $T$  and hence  $\beta_k(T) \geq \beta_k(T') + |V(T_0)| - 1$ . Also since  $N_{T_0}(z)$  contains a vertex, say  $y$ , of degree  $k$ ,  $S$  does not contain all vertices of  $N[y]$ . Hence we may assume that  $z \notin S$ . It follows that  $V(T_0) - \{z\} \subseteq S$  and so  $S \cap T'$  is a  $k$ -independent set implying that  $\beta_k(T') \geq \beta_k(T) - |V(T_0)| + 1$ . Thus we have  $\beta_k(T) = \beta_k(T') + |V(T_0)| - 1$ . Assume now that  $S$  is not the unique  $\beta_k(T)$ -set, and let  $M$  be a second  $\beta_k(T)$ -set. Since  $T_0$  contains a vertex of degree  $k$ ,  $M$  does not contain all vertices of  $V(T_0)$ . If  $z \notin M$  or  $x \notin M$ , then  $M \cap V(T')$  would be a second  $\beta_k(T')$ -set, a contradiction. Thus  $z \in M$  and  $x \in M$ . The uniqueness of a  $\beta_k(T')$ -set implies that  $M \cap V(T')$  is the unique  $\beta_k(T')$ -set. Clearly  $x$  is not full in  $M \cap V(T')$ . By our construction in that case both  $y$  and  $z$  have degree  $k$  in  $T_0$ . Then there are two vertices  $y'$  and  $y''$  in  $N_{T_0}(z)$  that do not belong to  $M$ , but then  $\{y', y''\} \cup (M - \{z\})$  would be a  $k$ -independent set of  $T$  larger than  $M$ , a contradiction. Thus  $S$  is the unique  $\beta_k(T)$ -set. ■

**Lemma 10.** *Let  $T$  be a tree and  $k$  a positive integer. If  $T$  admits a unique  $\beta_k(T)$ -set, then either  $\Delta(T) \leq k - 1$  or  $T \in \mathcal{F}_k$ .*

**Proof.** If  $\Delta(T) \leq k - 1$ , we are finished. Suppose that  $\Delta(T) \geq k$ , and let  $B(T) = \{x \in V(T) : \deg_T(x) \geq k\}$ . Clearly  $B(T) \neq \emptyset$ . We use an induction on the size of  $B(T)$ . If  $|B(T)| = 1$ , then  $T$  is an  $\mathcal{N}_k$ -tree with special vertex, say  $z$ , of degree at least  $k + 1$ , for otherwise  $V(T) - \{z\}$  and  $V(T) - \{z'\}$  are two  $\beta_k(T)$ -sets, where  $z'$  is any vertex adjacent to  $z$ . Hence  $T$  is an  $\mathcal{N}_{k,j}^*$ -tree. This establishes the basis case.

Let  $|B(T)| \geq 2$  and assume that every tree  $T'$  with  $|B(T')| < |B(T)|$  having a unique  $\beta_k(T')$ -set is in  $\mathcal{F}_k$ . Let  $T$  be a tree with a unique  $\beta_k(T)$ -set  $S$ .

Root  $T$  at a vertex  $r$  of maximum eccentricity, and let  $w$  be a vertex of degree at least  $k$  at maximum distance from  $r$ . Let  $u$  be the parent of  $w$  in the rooted tree. We distinguish between three cases.



*Case 1.*  $d_T(w) \geq k + 2$ . Let  $T' = T - T_w$ . Clearly  $|B(T')| < |B(T)|$ . The uniqueness of  $S$  implies that  $w$  does not belong to  $S$  for otherwise it can be replaced by one of at least two vertices of  $N[w] - \{u\}$  not in  $S$ . It follows that  $\beta_k(T) = \beta_k(T') + |V(T_w)| - 1$  and  $S \cap V(T')$  is the unique  $\beta_k(T')$ -set. Applying the inductive hypothesis,  $T' \in \mathcal{F}_k$  and hence  $T \in \mathcal{F}_k$  since it is obtained from  $T'$  by using Operation  $\mathcal{O}_1$ .

*Case 2.*  $d_T(w) = k + 1$ . If  $w \in S$ , then a child  $w'$  of  $w$  is not in  $S$ . Therefore  $\{w'\} \cup (S - \{w\})$  is a second  $\beta_k(T)$ -set, a contradiction. Thus  $w \notin S$  and so  $u \in S$  for otherwise  $\{w\} \cup (S - \{w'\})$  is a second  $\beta_k(T)$ -set, a contradiction. Now let  $T' = T - T_w$ . It is straightforward to show that  $\beta_k(T) = \beta_k(T') + |V(T_w)| - 1$ . The uniqueness of  $S$  implies that  $S \cap V(T')$  is the unique  $\beta_k(T')$ -set, where  $u \in S \cap V(T')$ . Since  $|B(T')| < |B(T)|$  the inductive hypothesis on  $T'$  implies that  $T' \in \mathcal{F}_k$ . Thus  $T \in \mathcal{F}_k$  because it is obtained from  $T'$  by using Operation  $\mathcal{O}_2$ .

*Case 3.*  $d_T(w) = k$ . Assume for a contradiction that  $w \notin S$ . Then  $S$  must contain  $u$  else  $S \cup \{w\}$  is a  $k$ -independent set of  $T$  larger than  $S$ . Hence  $\{w\} \cup (S - \{u\})$  is a second  $\beta_k(T)$ -set, a contradiction. Therefore  $w \in S$ . If  $u \in S$ , then  $k \geq 2$  and a child  $w'$  of  $w$  is not in  $S$  and so  $\{w'\} \cup (S - \{u\})$  is a second  $\beta_k(T)$ -set, a contradiction. Thus  $u \notin S$ . By our choice of  $w$ ,  $D[w] \subseteq S$  and hence  $w$  is a full vertex in  $S$ . Also our choice of  $w$  implies that every child of  $u$  has degree at most  $k$  and each vertex in  $D(u) - N(u)$  has degree at most  $k - 1$ . Thus,  $S$  contains all descendants of  $u$ . If  $w$  is the unique full vertex in  $S$  adjacent to  $u$  and  $u$  has at most  $k$  neighbors in  $S$ , then  $\{u\} \cup (S - \{w\})$  would be a second  $\beta_k(T)$ -set, a contradiction. Thus either  $u$  is adjacent to at least two full vertices in  $S$  or  $u$  is adjacent to at least  $k + 1$  vertices in  $S$ . Let  $T' = T - T_u$ . If  $B(T') = \emptyset$ , then  $T$  is an  $\mathcal{N}_{k,j}^*$ -tree and hence  $T \in \mathcal{F}_k$ . Thus assume that  $B(T') \neq \emptyset$ , and let  $v$  be the parent of  $u$ . Note that  $V(T_u) - \{u\}$  is a  $k$ -independent set. It can be seen that  $\beta_k(T) = \beta_k(T') + |V(T_u)| - 1$  and  $S \cap V(T')$  is a  $\beta_k(T')$ -set. Moreover, the uniqueness of  $S$  implies that  $S \cap V(T')$  is the unique  $\beta_k(T')$ -set. Thus by induction on  $T'$ ,  $T' \in \mathcal{F}_k$ . Now if  $T_u$  is an  $\mathcal{N}_{k,j}^*$ -tree with special vertex  $u$ , where  $j \geq 1$ , then  $T \in \mathcal{F}_k$  because it is obtained from  $T'$  by using Operation  $\mathcal{O}_3$ . Hence assume that  $T_u$  is not an  $\mathcal{N}_{k,j}^*$ -tree. This implies that  $w$  is the only child of  $u$  with degree  $k$  and  $u$  has degree at most  $k$  in  $T_u$ . Thus,  $T_u$  is a weak  $\mathcal{N}_{k,1}^*$ -tree. Recall that  $u$  is adjacent to two full vertices in  $S$  or  $u$  is adjacent to at least  $k + 1$  vertices in  $S$ . If  $u$  is adjacent to two full vertices in  $S$ , then since  $w$  is the only full vertex in  $D(u)$ , it follows that  $v$  is full in  $S$ .

Since  $u \notin S$ , it follows that  $v$  is full in  $S \cap V(T')$ . If  $u$  is adjacent to  $k + 1$  vertices in  $S$ , then  $u$  has degree  $k$  in  $T_u$  and  $v$  is in  $S$ . Thus,  $v \in S \cap V(T')$ . In both cases,  $T$  can be obtained from  $T'$  by using Operation  $\mathcal{O}_4$ . Hence  $T \in \mathcal{F}_k$ . ■

According to Theorems 4, 5, and Lemmas 6, 9 and 10, we have completed the proof of Theorem 1.

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