

## FACTORIZATION PROBLEMS IN CLASS NUMBER TWO

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**Introduction.** Let  $K$  be an algebraic number field,  $R$  its ring of integers,  $G$  its ideal class group and  $N = \#G > 1$  its class number. For  $k \geq 1$  and  $x \in \mathbb{R}_{>0}$ , let  $F_k(x)$  be the number of elements  $\alpha \in R$  (up to associates) having at most  $k$  different factorizations into irreducible elements of  $R$ . W. Narkiewicz [9] obtained the asymptotic expression

$$F_k(x) \sim c_k x (\log x)^{-1+1/N} (\log \log x)^{a_k},$$

where  $c_k \in \mathbb{R}_{>0}$  depends on  $k$  and  $K$ , and  $a_k \in \mathbb{N}$  depends only on  $k$  and  $G$ . In [4], this was generalized to abstract arithmetical formations, emphasizing applications to algebraic function fields and to arithmetical semigroups (e.g. Hilbert semigroups  $1 + f\mathbb{N}_0$  and, more generally, ray class semigroups in algebraic number fields).

In this paper we give an explicit description of  $a_k$  and  $c_k$  in the simplest non-trivial case  $N = 2$ . For  $a_k$ , this is a purely combinatorial problem, settled in Theorems 2 and 3. For the calculation of  $c_k$ , it is necessary to handle some infinite sums and products involving primes, which might be of independent interest (Propositions 1 and 2). We formulate our investigations in the frame of arithmetical formations having zeta functions; the analytical main results are Theorems 1 and 4.

**1. Arithmetical formations.** We introduce the concept of an arithmetical formation following [6]. By a *semigroup* we always mean a commutative monoid satisfying the cancellation law; the identity element is denoted by 1.

DEFINITION. A *formation* consists of

1) a free abelian semigroup  $D$  with basis  $P \neq \emptyset$ , together with a congruence relation  $\sim$  on  $D$  such that  $G = D/\sim$  is a finite abelian group (written additively) of order  $N \geq 2$ ,

2) a completely multiplicative function  $|\cdot| : D \rightarrow \mathbb{N}$  with the following three properties:

- (i)  $|a| > 1$  for all  $a \in D \setminus \{1\}$ ;

(ii) there exist real numbers  $\lambda > 0$  and  $0 < \delta < 1$  such that, for all  $g \in G$  and  $x \in \mathbb{R}_{>0}$ ,

$$\#\{a \in g \mid |a| \leq x\} = \lambda x + O(x^{1-\delta});$$

(iii) Axiom (A\*\*), to be explained below.

Let  $G^* = \text{Hom}(G, \mathbb{C}^\times)$  be the character group of  $G$  and  $\chi_0 \in G^*$  the principal character. For  $a \in D$ , we denote by  $[a] \in G$  the class of  $a$ , and for  $\chi \in G^*$  we set  $\chi(a) = \chi([a])$ . We introduce the Hecke–Landau zeta functions

$$Z(s, \chi) = \sum_{a \in D} \chi(a) |a|^{-s};$$

the defining Dirichlet series converge for  $\Re s > 1$  and have an Euler product expansion

$$Z(s, \chi) = \prod_{p \in P} (1 - \chi(p) |p|^{-s})^{-1}.$$

The functions  $Z(s, \chi)$  have analytic continuations to meromorphic functions in the half-plane  $\Re s > 1 - \delta$ . For  $\chi \neq \chi_0$ ,  $Z(s, \chi)$  is holomorphic in  $\Re s > 1 - \delta$ , and  $Z(s) = Z(s, \chi_0)$  has a simple pole at  $s = 1$  with residue  $\lambda$ . We have  $Z(1 + it, \chi) \neq 0$  for all  $t \in \mathbb{R}$  and  $\chi \in G^*$  unless  $t = 0$  and  $\chi^2 = \chi_0$ ; for this special case, we introduce

AXIOM (A\*\*).  $Z(1, \chi) \neq 0$ .

Taking logarithms in the Euler product of  $Z(s, \chi)$  and applying the orthogonality relations for characters, we obtain for every  $g \in G$  and  $\Re s > 1$ ,

$$\sum_{p \in P \cap g} |p|^{-s} = \frac{1}{N} \log \frac{1}{s-1} + h_g(s),$$

where

$$\begin{aligned} h_g(s) &= \frac{1}{N} \log\{(s-1)Z(s)\} \\ &+ \frac{1}{N} \sum_{\substack{\chi \in G^* \\ \chi \neq \chi_0}} \bar{\chi}(g) \log Z(s, \chi) - \sum_{p \in P \cap g} \sum_{\nu=2}^{\infty} |p|^{-\nu s}. \end{aligned}$$

The functions  $h_g(s)$  are regular in the closed half-plane  $\Re s \geq 1$ . Therefore an arithmetical formation as introduced above is a formation in the sense of [4], and the algebra of all complex functions which are analytic in  $\Re s \geq 1$  is suitable for this formation.

For an arithmetical formation as introduced above, our main interest lies in the arithmetic of the semigroup

$$H = \{a \in D \mid a \sim 1\} = \{a \in D \mid [a] = 0 \in G\}.$$

The injection  $H \hookrightarrow D$  is a divisor theory [4, Lemma 1], and therefore  $D$  and  $G$  are uniquely determined by  $H$  [2, Bemerkung 4]. In the sequel, we shall speak about *the arithmetical formation*  $[D, H]$ , and we shall tacitly use the notations  $P, |\cdot|, G, N, Z$  as above.

The most important examples of arithmetical formations to be considered in this paper are ray class semigroups in algebraic number fields (see [2, Beispiel 4] and [8, Ch. VI, §1]):

Let  $K$  be an algebraic number field,  $\mathfrak{c}$  a cycle of  $K$ ,  $\mathcal{I}(\mathfrak{c})$  the group of fractional ideals of  $K$  relatively prime to  $\mathfrak{c}$ ,  $\mathcal{I}_0(\mathfrak{c})$  the semigroup of integral ideals in  $\mathcal{I}(\mathfrak{c})$ ,  $K(\mathfrak{c}) = \{(\alpha) \in \mathcal{I}(\mathfrak{c}) \mid \alpha \in K^\times, \alpha \equiv 1 \pmod{\times \mathfrak{c}}\}$ ,  $\mathcal{S}(\mathfrak{c}) = \mathcal{I}(\mathfrak{c})/K(\mathfrak{c})$  the ray class group modulo  $\mathfrak{c}$  and  $\Gamma \subset \mathcal{S}(\mathfrak{c})$  a subgroup. Then

$$\mathcal{I}^\Gamma(\mathfrak{c}) = \{\mathfrak{a} \in \mathcal{I}_0(\mathfrak{c}) \mid \mathfrak{a}K(\mathfrak{c}) \in \Gamma\}$$

is a subsemigroup of  $\mathcal{I}_0(\mathfrak{c})$ . We set  $D = \mathcal{I}_0(\mathfrak{c})$ ,  $H = \mathcal{I}^\Gamma(\mathfrak{c})$  and  $|\mathfrak{a}| = \mathfrak{N}(\mathfrak{a})$ ; then  $[D, H]$  becomes a formation with divisor class group  $G \simeq \mathcal{S}(\mathfrak{c})/\Gamma$  (see [7, Sätze LXIV, XCVI] and [8, Ch. XIII, §3]).

Every character  $\chi \in G^*$  induces a (not necessarily primitive) ideal character  $\chi_1 \pmod{\mathfrak{c}}$  by

$$\chi_1(\mathfrak{a}) = \begin{cases} \chi(\mathfrak{a}K(\mathfrak{c})\Gamma) & \text{if } \mathfrak{a} \in \mathcal{I}(\mathfrak{c}), \\ 0 & \text{if } \mathfrak{a} \notin \mathcal{I}(\mathfrak{c}), \end{cases}$$

and

$$Z(s, \chi) = \zeta_K(s, \chi_1)$$

is the classical Hecke zeta function for  $\chi_1$ . If  $\mathfrak{c} = 1$ , then  $\mathcal{S}(\mathfrak{c})$  is the usual ideal class group, and if  $\Gamma = \{1\}$ , then  $H = \mathcal{I}^\Gamma(\mathfrak{c})$  is the semigroup of non-zero principal ideals of  $K$  (which reflects the arithmetic in the ring of integers in  $K$ ).

The following special case will be dealt with in detail: Let  $\varphi$  be a (primitive) Hecke character of order 2 with conductor  $\mathfrak{c}$ , identify  $\varphi$  with the induced homomorphism  $\varphi : \mathcal{S}(\mathfrak{c}) \rightarrow \{\pm 1\}$ , set  $\Gamma = \text{Ker}(\varphi) \subset \mathcal{S}(\mathfrak{c})$  and  $H_\varphi = \mathcal{I}^\Gamma(\mathfrak{c})$ . Then  $[\mathcal{I}_0(\mathfrak{c}), H_\varphi]$  is an arithmetical formation whose class group  $G$  is of order  $N = 2$ , and  $\varphi$  induces the non-trivial character on  $G$ . Associated with this arithmetical formation, there are two zeta functions,  $Z(s)$  and  $Z(s, \varphi)$ , and we obtain

$$Z(s) = \zeta_K(s) \prod_{\mathfrak{p} \mid \mathfrak{c}} (1 - \mathfrak{N}(\mathfrak{p})^{-s}) \quad \text{and} \quad Z(s, \varphi) = L(s, \varphi);$$

here  $\zeta_K$  is the Dedekind zeta function of  $K$ ,  $L(s, \varphi)$  is the usual  $L$ -series, and consequently  $\zeta_{K(\varphi)}(s) = \zeta_K(s)L(s, \varphi)$ , where  $K(\varphi)$  is the quadratic extension field of  $K$  attached to  $\varphi$  by class field theory. The following examples will be reconsidered at the end of §4.

EXAMPLE 1.  $K = \mathbb{Q}$ ,  $\mathfrak{c} = 4\infty$ ,  $\mathcal{I}_0(\mathfrak{c}) = \{a \in \mathbb{N} \mid a \equiv 1 \pmod{2}\}$ ,  $\varphi = \left(\frac{-4}{\bullet}\right)$ ,  $H_\varphi = 1+4\mathbb{N}_0$ ,  $Z(s) = (1-2^{-s})\zeta(s)$ ,  $Z(s, \varphi) = L(s, \varphi)$  and  $K(\varphi) = \mathbb{Q}(\sqrt{-1})$ .

EXAMPLE 2.  $K = \mathbb{Q}$ ,  $\mathfrak{c} = 5$ ,  $\mathcal{I}_0(\mathfrak{c}) = \{a \in \mathbb{N} \mid a \not\equiv 0 \pmod{5}\}$ ,  $\varphi = \left(\frac{5}{\bullet}\right)$ ,  $H_\varphi = \{a \in \mathbb{N} \mid a \equiv \pm 1 \pmod{5}\}$ ,  $Z(s) = (1-5^{-s})\zeta(s)$ ,  $Z(s, \varphi) = L(s, \varphi)$  and  $K(\varphi) = \mathbb{Q}(\sqrt{5})$ .

EXAMPLE 3.  $K = \mathbb{Q}(\sqrt{-5})$ ,  $\mathfrak{c} = (1)$ ,  $\mathcal{I}_0(\mathfrak{c})$  is the semigroup of all non-zero ideals of  $\mathbb{Z}[\sqrt{-5}]$ ,  $H_\varphi$  is the semigroup of all non-zero principal ideals of  $\mathbb{Z}[\sqrt{-5}]$ ,  $\varphi$  is the non-trivial character on the ideal class group of  $K$ ,  $Z(s) = \zeta_K(s)$  and  $K(\varphi) = \mathbb{Q}(\sqrt{5}, \sqrt{-5})$ .

**2. Factorizations and types.** Let  $[D, H]$  be an arithmetical formation. For  $H$ , we use the notions of divisibility theory as introduced in [1, §6]. We are interested in the number  $\mathbf{f}(\alpha)$  of distinct factorizations of an element  $\alpha \in H$  into irreducibles (two factorizations are called distinct if they differ not only in the order of their factors). For  $k \in \mathbb{N}$ , we consider the function

$$F_k(x) = \#\{\alpha \in H \mid |\alpha| \leq x, \mathbf{f}(\alpha) \leq k\}.$$

For the determination of its asymptotic behaviour, we introduce the notion of types (cf. [9], [4] and [3] for a more systematical treatment of this concept).

DEFINITION. A *type* is a sequence

$$t = ((t_{g,\nu})_{\nu \in \mathbb{N}})_{0 \neq g \in G},$$

where  $t_{g,\nu} \in \mathbb{N}_0$ ,  $t_{g,\nu} = 0$  for almost all indices  $(g, \nu)$ , and

$$\sum_{0 \neq g \in G} \sum_{\nu \geq 1} t_{g,\nu} g = 0 \in G;$$

the number

$$\delta(t) = \#\{(g, \nu) \mid t_{g,\nu} = 1\} \in \mathbb{N}_0$$

is called the *depth* of  $t$ . Under componentwise addition, the set of types is a semigroup  $\mathcal{T}(G)$ , and we adopt the notions of divisibility theory also for  $\mathcal{T}(G)$ . Every  $t \in \mathcal{T}(G)$  has a factorization into irreducible elements of  $\mathcal{T}(G)$ , and we denote by  $\mathbf{f}(t)$  the number of distinct such factorizations.

A type  $t = ((t_{g,\nu})_{\nu \geq 1})_{0 \neq g \in G} \in \mathcal{T}(G)$  is called *normalized* if for every  $0 \neq g \in G$  there exists an integer  $\lambda_g \in \mathbb{N}_0$  such that  $1 \leq t_{g,1} \leq t_{g,2} \leq \dots \leq t_{g,\lambda_g}$  and  $t_{g,\nu} = 0$  for  $\nu > \lambda_g$ ; in this case we write  $t = ((t_{g,\nu})_{\nu \leq \lambda_g})_{0 \neq g \in G}$ .

Now let  $[D, H]$  be an arbitrary arithmetical formation. We are going to describe factorizations in  $H$  by means of  $\mathcal{T}(G)$ . For  $\alpha \in H$ , we set

$$\alpha = \prod_{g \in G} \prod_{\nu=1}^{\lambda_g} p_{g,\nu}^{t_{g,\nu}}$$

where  $\lambda_g \in \mathbb{N}_0$ ,  $p_{g,1}, \dots, p_{g,\lambda_g} \in P \cap g$  are distinct,  $t_{g,\nu} \in \mathbb{N}$  and  $1 \leq t_{g,1} \leq t_{g,2} \leq \dots \leq t_{g,\lambda_g}$ ; we call

$$\tau(\alpha) = ((t_{g,\nu})_{\nu \leq \lambda_g})_{0 \neq g \in G} \in \mathcal{T}(G)$$

the *type* of  $\alpha$ . It is not difficult to see that  $\mathbf{f}(\alpha) = \mathbf{f}(\tau(\alpha))$  (cf. [3] for details).

For  $k \in \mathbb{N}$ , we set

$$\mathcal{T}_k(G) = \{t \in \mathcal{T}(G) \mid \mathbf{f}(t) \leq k\};$$

then we obviously have, for  $x \in \mathbb{R}_{>0}$ ,

$$F_k(x) = \#\{\alpha \in H \mid |\alpha| \leq x, \tau(\alpha) \in \mathcal{T}_k(G)\},$$

and it was proved in [9] (see also [4], [3]) that

$$a_k = a_k(G) = \sup\{\delta(t) \mid t \in \mathcal{T}_k(G)\}$$

is a positive integer. Now we are able to state the theorem concerning the asymptotic behaviour of  $F_k(x)$  in arithmetical formations.

**THEOREM 1.** *Let  $[D, H]$  be an arithmetical formation,  $k \in \mathbb{N}$  and  $a_k = a_k(G)$ . Then we have, as  $x \rightarrow \infty$ ,*

$$F_k(x) \sim c_k x (\log x)^{-1+1/N} (\log \log x)^{a_k},$$

where

$$c_k = \frac{G(1)}{N^d \Gamma(1/N)} \sum_t \kappa_t C_t;$$

here we have

$$G(s) = (s-1)^{-1/N} \prod_{p \in P \cap H} (1 - |p|^{-s})^{-1},$$

the sum is over all normalized types  $t \in \mathcal{T}_k(G)$  such that  $\delta(t) = a_k$ , and for a normalized type  $t = ((t_{g,\nu})_{\nu \leq \lambda_g})_{0 \neq g \in G}$  the quantities  $\kappa_t$  and  $C_t$  are defined as follows:

$$\kappa_t = \prod_{0 \neq g \in G} \#\{\pi \in \mathfrak{S}_{\lambda_t} \mid t_{g,\pi(\nu)} = t_{g,\nu} \text{ for all } \nu \leq \lambda_g\}^{-1},$$

and if  $d_g \in \mathbb{N}_0$  are integers defined by  $t_{g,\nu} = 1$  for  $1 \leq \nu \leq d_g$  and  $t_{g,\nu} > 1$  for  $d_g < \nu \leq \lambda_g$ , then

$$C_t = \prod_{0 \neq g \in G} \sum_{(\mathbf{q};g)} \prod_{\nu=d_g+1}^{\lambda_g} |q_\nu|^{-t_{g,\nu}},$$

where  $(\mathbf{q};g)$  denotes the sum over all tuples  $(q_{d_g+1}, \dots, q_{\lambda_g})$  of distinct primes  $q_j \in P \cap g$ .

**Proof.** See [4, Theorem 1]; there the constant  $c_k$  is not given explicitly, but it can be reconstructed from the proof. ■

*Remark.* Using the methods of [5], the assertion of Theorem 1 can be refined by giving further terms of the asymptotic expansion of  $F_k(x)$  if  $[D, H]$  arises from a ray class semigroup in an algebraic number field.

To make Theorem 1 more explicit, it is necessary to calculate  $a_k(G)$ , determine all normalized types  $t \in \mathcal{T}_k(G)$  with  $\delta(t) = a_k(G)$  and manage the calculation of the infinite series occurring in the definition of  $C_t$ . In this paper we shall solve these problems for the simplest non-trivial case, where  $G = C_2$  is a group of 2 elements.

**3. Combinatorial theory of types over  $C_2$ .** Let  $G = C_2$  be a group of two elements. Then  $\mathcal{T}(C_2)$  consists of all sequences  $(t_\nu)_{\nu \geq 1}$ , where  $t_\nu \in \mathbb{N}_0$ ,  $t_\nu = 0$  for almost all  $\nu \geq 1$  and  $\sum_{\nu \geq 1} t_\nu \equiv 0 \pmod{2}$ ; the normalized types are finite sequences  $(t_1, \dots, t_\lambda)$  in  $\mathbb{N}$  satisfying  $t_1 + \dots + t_\lambda \equiv 0 \pmod{2}$ .

For  $n, k \in \mathbb{N}_0$ ,  $n + k > 0$ ,  $n + k \equiv 0 \pmod{2}$ , we set

$$t^{(n,k)} = \underbrace{(1, \dots, 1, k)}_n \in \mathcal{T}(C_2) \quad \text{and} \quad C(n, k) = \mathbf{f}(t^{(n,k)}).$$

**THEOREM 2.** For  $n, k \in \mathbb{N}_0$ ,  $n + k > 0$ ,  $n + k \equiv 0 \pmod{2}$ , we have

$$C(n, k) = \sum_{\nu=0}^{[k/2]} \binom{n}{k-2\nu} (n-k+2\nu-1)!!,$$

where

$$l!! = \begin{cases} 1 \cdot 3 \cdot 5 \cdot \dots \cdot l & \text{if } l \in \mathbb{N} \text{ is odd,} \\ 1 & \text{otherwise.} \end{cases}$$

*Proof.* For  $n \geq 1$ , every factorization of  $t^{(n,k)}$  into irreducible types contains exactly one irreducible factor of the form  $(1, 0, \dots, 0, 1, 0, \dots, 0)$ . Therefore the numbers  $C(n, k)$  satisfy the following recursion formulas:

$$\begin{aligned} C(1, k) &= C(0, k) = 1 && \text{for } k \geq 0; \\ C(n+1, 0) &= C(n, 1) && \text{for } n \geq 1; \\ C(n, 0) &= (n-1)C(n-2, 0) && \text{for } n \geq 2; \\ C(n, k) &= (n-1)C(n-2, k) + C(n-1, k-1) && \text{for } n \geq 2, k \geq 1. \end{aligned}$$

These are satisfied by the expression given in Theorem 1. ■

**THEOREM 3.** For  $k \in \mathbb{N}$ , let  $n \in \mathbb{N}$  be maximal such that  $(2n-1)!! \leq k$ . Then

$$a_k(C_2) = 2n,$$

and

$$\{t \in \mathcal{T}_k(C_2) \mid t \text{ normalized, } \delta(t) = 2n\} = \{t^{(2n, 2j)} \mid j \in J_k\},$$

where  $J_k$  is given as follows:

$J_k = \{0\}$  if  $k = 1, 3 \leq k \leq 8, 15 \leq k \leq 59, 105 \leq k \leq 524, 945 \leq k \leq 5669, 10395 \leq k \leq 72764$  or  $n \geq 7, (2n - 1)!! \leq k < (2n - 1)!!(n + 1)$ ;

$J_k = \{0, 1\}$  if  $k = 9, 60 \leq k \leq 74, 525 \leq k \leq 734, 5670 \leq k \leq 8819, 72765 \leq k \leq 124739$  or  $n \geq 7, (2n - 1)!!(n + 1) \leq k < (2n + 1)!!$ ;

$J_k = \{0, 1, 2\}$  if  $k = 75, 735 \leq k \leq 762, 8820 \leq k \leq 9449$  or  $124740 \leq k \leq 135134$ ;

$J_k = \{0, 1, 2, 3\}$  if  $k = 763$  or  $9450 \leq k \leq 9494$ ;

$J_k = \{0, 1, 2, 3, 4\}$  if  $k = 9495$ ;

$J_k = \mathbb{N}_0$  if  $k = 2, 10 \leq k \leq 14, 76 \leq k \leq 104, 764 \leq k \leq 944$  or  $9496 \leq k \leq 10394$ .

**Proof.** Let  $k \in \mathbb{N}$  be given, and let  $n \in \mathbb{N}$  be maximal such that  $(2n - 1)!! \leq k$ .

By Theorem 2,  $\mathbf{f}(t^{(2n,0)}) = (2n - 1)!!$  and  $\delta(t^{(2n,0)}) = 2n$ . Therefore we must prove that  $\delta(t) > 2n$  implies  $\mathbf{f}(t) > k$  for every normalized type  $t$ ; but if  $\delta(t) > 2n$ , then  $t^{(2n+2,0)}$  divides  $t$ , and therefore  $\mathbf{f}(t) \geq \mathbf{f}(t^{(2n+2,0)}) = (2n + 1)!! > k$ .

By the same argument, every normalized type  $t \in \mathcal{T}(C_2)$  satisfying  $\mathbf{f}(t) \leq k$  and  $\delta(t) = 2n$  is of the form  $t = t^{(2n,2l)}$  for some  $l \in \mathbb{N}_0$ . In order to finish the proof of Theorem 3, we must determine all  $l \in \mathbb{N}_0$  satisfying  $C(2n, 2l) \leq k$ .

$n = 1 : k \leq 2, C(2, 0) = 1, C(2, 2l) = 2$  for all  $l \geq 1$ ; therefore  $J_1 = \{0\}$  and  $J_2 = \mathbb{N}_0$ .

$n = 2 : 3 \leq k \leq 14, C(4, 0) = 3, C(4, 2) = 9, C(4, 2l) = 10$  for all  $l \geq 2$ ; therefore  $J_k = \{0\}$  for  $3 \leq k \leq 8, J_9 = \{0, 1\}$  and  $J_k = \mathbb{N}_0$  for  $10 \leq k \leq 14$ .

$n = 3 : 15 \leq k \leq 104, C(6, 0) = 15, C(6, 2) = 60, C(6, 4) = 75, C(6, 2l) = 76$  for all  $l \geq 3$ ; therefore  $J_k = \{0\}$  for  $15 \leq k \leq 59, J_k = \{0, 1\}$  for  $60 \leq k \leq 74, J_k = \{0, 1, 2\}$  for  $k = 75$  and  $J_k = \mathbb{N}_0$  for  $76 \leq k \leq 104$ .

$n = 4, 5, 6 : \text{Similar.}$

$n \geq 7 : C(2n, 2) = (2n - 1)!!(n + 1) < (2n + 1)!!$ , and  $C(2n, 4) = (2n - 1)!!(n^2 + 5n + 6)/6 \geq (2n + 1)!!$ ; therefore we obtain  $J_k = \{0\}$  for  $(2n - 1)!! \leq k < (2n - 1)!!(n + 1)$ , and  $J_k = \{0, 1\}$  for  $(2n - 1)!!(n + 1) \leq k < (2n + 1)!!$ . ■

**4. Analytical theory of factorizations in class number two.** From Theorems 1 and 3 we deduce:

**THEOREM 4.** *Let  $[D, H]$  be an arithmetical formation with class group of order  $N = 2$ , and  $k \in \mathbb{N}$ . Let  $n \in \mathbb{N}$  be maximal with  $(2n - 1)!! \leq k$ . Then we have, as  $k \rightarrow \infty$ ,*

$$F_k(x) \sim c_k \frac{x}{\sqrt{\log x}} (\log \log x)^{2n},$$

where

$$c_k = \frac{G(1)}{2^{2n}(2n)!\sqrt{\pi}} \sum_{j \in J_k} S_{2j},$$

$$S_0 = 1, \quad S_l = \sum_{p \in P \setminus H} |p|^{-l} \quad \text{for } l \geq 2,$$

and

$$G(s) = (s-1)^{1/2} \prod_{p \in P \cap H} (1 - |p|^{-s})^{-1}.$$

Though  $c_k$  is given explicitly in Theorem 4,  $G(1)$  cannot be calculated from the definition of  $G(s)$ , and for small  $j$  the series defining  $S_{2j}$  converge very slowly. Therefore we shall now describe techniques which allow us to compute  $c_k$  in specific examples.

Let  $[D, H]$  be an arithmetical formation whose class group  $G$  is of order  $N = 2$ , and let  $\chi$  be the non-trivial character of  $G$ . Then the formation has two zeta functions,  $Z(s)$  and  $Z(s, \chi)$ , and we define its *total zeta function* by

$$Z^*(s) = Z(s)Z(s, \chi).$$

$Z^*(s)$  is a meromorphic function in the half-plane  $\Re s > 1 - \delta$ , having a simple pole at  $s = 1$ , and we set

$$K = \text{Res}\{Z^*(s) : s = 1\}.$$

If

$$G_0(s) = \prod_{p \in P \cap H} (1 - |p|^{-s})^{-1},$$

then the following formulas permit a calculation of  $G(1)$ .

PROPOSITION 1. *Let notations be as above and  $m \in \mathbb{N}$ . Then we have*

$$\begin{aligned} G(1) &= \sqrt{\frac{K}{Z(2)}} \prod_{p \in P \cap H} \frac{|p|}{\sqrt{|p|^2 - 1}} = \sqrt{K} \prod_{p \in P \setminus H} \frac{\sqrt{|p|^2 - 1}}{|p|} \\ &= \sqrt{\frac{K}{Z(2)}} \prod_{j=1}^{m-1} \left\{ \frac{Z^*(2^j)}{Z(2^{j+1})} \right\}^{2^{-j-1}} G_0(2^m)^{2^{-m}}, \end{aligned}$$

and moreover

$$G(1) = \sqrt{\frac{K}{Z(2)}} \prod_{j=1}^{\infty} \left\{ \frac{Z^*(2^j)}{Z(2^{j+1})} \right\}^{2^{-j-1}}.$$

Proof. From the identity

$$G_0(s)^2 = \frac{Z^*(s)}{Z(2s)} G_0(2s)$$

we obtain

$$G(1) = \lim_{s \rightarrow 1} (s - 1)^{1/2} G_0(s) = \sqrt{\frac{K}{Z(2)}} G_0(2),$$

which implies the first formula. The second one follows by induction on  $m$ ; for the third one observe that

$$\lim_{m \rightarrow \infty} G_0(2^m)^{2^{-m}} = 1. \blacksquare$$

For the calculation of  $S_l$  for  $l \geq 2$  we introduce the function

$$H(s) = \sum_{n=1}^{\infty} \frac{\mu(n)}{n} \log \frac{Z(ns, \chi)}{Z(ns)},$$

where  $\mu$  denotes the Möbius function. It is connected with the sums  $S_l$  by the following formulas.

PROPOSITION 2. *Let notations be as above.*

(i) *For  $l > 1$ , we have*

$$H(l) = S_{2l} - 2S_l;$$

(ii) *For  $l > 1$  and  $m \in \mathbb{N}$ ,*

$$S_l = - \sum_{\nu=0}^{m-1} 2^{-\nu-1} H(2^\nu l) + 2^{-m} S_{2^m l},$$

and

$$S_l = - \sum_{\nu=0}^{\infty} 2^{-\nu-1} H(2^\nu l).$$

Proof. (i) From

$$\frac{Z(ns, \chi)}{Z(ns)} = \prod_{p \in P \setminus H} \frac{(1 + |p|^{-ns})^{-1}}{(1 - |p|^{-ns})^{-1}}$$

we obtain

$$\log \frac{Z(ns, \chi)}{Z(ns)} = \sum_{p \in P \setminus H} \sum_{\substack{k=1 \\ k \equiv 1 \pmod{2}}}^{\infty} \frac{-2}{k} |p|^{-kns},$$

and consequently

$$\sum_{n=1}^{\infty} \frac{\mu(n)}{n} \log \frac{Z(ns, \chi)}{Z(ns)} = \sum_{p \in P \setminus H} \sum_{m=1}^{\infty} \frac{-2}{m} |p|^{-ms} \sum_{\substack{1 \leq k | m \\ k \equiv 1 \pmod{2}}} \mu\left(\frac{m}{k}\right).$$

It is easily checked that

$$\sum_{\substack{1 \leq k | m \\ k \equiv 1 \pmod{2}}} \mu\left(\frac{m}{k}\right) = \begin{cases} 1 & \text{if } m = 1, \\ -1 & \text{if } m = 2, \\ 0 & \text{if } m > 2, \end{cases}$$

which gives the result.

(ii) follows from (i) by induction on  $m$ , observing that  $\lim_{m \rightarrow \infty} 2^{-m} S_{2^m l} = 0$ . ■

**Remark.** The infinite product in Proposition 1 and the infinite series in Proposition 2 turn out to converge very rapidly. They have been used for the calculations in the subsequent examples.

**EXAMPLE 1.**  $H = 1 + 4\mathbb{N}_0$ ,  $D = 1 + 2\mathbb{N}_0$ ,  $\varphi = \left(\frac{-4}{\bullet}\right)$ ,  $Z(s) = (1 - 2^{-s})\zeta(s)$ ,  $Z(s, \varphi) = L(s, \varphi)$  and  $Z^*(s) = (1 - 2^{-s})\zeta_{\mathbb{Q}(\sqrt{-1})}(s)$ ;  $K = \frac{\pi}{8}$ .

$G(1)$	$S_2$	$S_4$	$S_6$	$S_8$
0.5798	0.1484	0.0128	0.0014	0.0002

**EXAMPLE 2.**  $H = \{a \in \mathbb{N} \mid a \equiv \pm 1 \pmod{5}\}$ ,  $D = \{a \in \mathbb{N} \mid a \not\equiv 0 \pmod{5}\}$ ,  $\varphi = \left(\frac{5}{\bullet}\right)$ ,  $Z(s) = (1 - 5^{-s})\zeta(s)$ ,  $Z(s, \varphi) = L(s, \varphi)$  and  $Z^*(s) = (1 - 5^{-s})\zeta_{\mathbb{Q}(\sqrt{5})}(s)$ ;  $K = \frac{2}{5\sqrt{5}} \log \frac{1+\sqrt{5}}{2}$ .

$G(1)$	$S_2$	$S_4$	$S_6$	$S_8$	$S_{10}$	$S_{12}$
0.2353	0.3965	0.0753	0.0170	0.0041	0.0010	0.0002

**EXAMPLE 3.**  $H$  is the semigroup of non-zero principal ideals of  $\mathbb{Z}[\sqrt{-5}]$ ,  $D$  is the semigroup of all non-zero ideals of  $\mathbb{Z}[\sqrt{-5}]$ ,  $G$  is the ideal class group of  $\mathbb{Z}[\sqrt{-5}]$  and  $\varphi$  is the non-trivial ideal class character,  $\varphi : D \rightarrow \{\pm 1\}$ ,  $H = \varphi^{-1}(1)$ ;  $Z(s) = \zeta_{\mathbb{Q}(\sqrt{-5})}(s) = \zeta(s)L(s, \chi)$ , where  $\chi = \left(\frac{-20}{\bullet}\right)$ ; we set  $\psi = \left(\frac{5}{\bullet}\right)$ ,  $\theta = \left(\frac{-4}{\bullet}\right)$  and obtain

$$Z^*(s) = \zeta_{\mathbb{Q}(\sqrt{5}, \sqrt{-5})}(s) = \zeta(s)L(s, \chi)L(s, \psi)L(s, \theta),$$

whence  $Z(s, \varphi) = L(s, \psi)L(s, \theta)$ ;  $K = \frac{1}{5} \log \frac{1+\sqrt{5}}{2}$ .

$G(1)$	$S_2$	$S_4$	$S_6$	$S_8$
0.2331	0.1353	0.0128	0.0014	0.0002

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