

A CHARACTERIZATION OF MODULAR LATTICES

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1. Introduction. A binary algebra $(L, +, \cdot)$ is said to be a *lattice* if it satisfies the following identities:

- 1) $x + x = x$, $x \cdot x = x$,
- 2) $x + y = y + x$, $x \cdot y = y \cdot x$,
- 3) $(x + y) + z = x + (y + z)$, $(x \cdot y) \cdot z = x \cdot (y \cdot z)$,
- 4) $(x + y) \cdot y = y$, $x \cdot y + y = y$.

(In the sequel we shall write xy instead of $x \cdot y$.) A lattice $(L, +, \cdot)$ is *modular* if the identity $x(xy + z) = xy + xz$ holds in $(L, +, \cdot)$.

The main purpose of this paper is to prove the following:

THEOREM 1.1. *Let $(L, +, \cdot)$ be a commutative binary algebra in which the following identities hold: $(x + y)y = y$, $x + x = x$. Then $(L, +, \cdot)$ is a nondistributive modular lattice if and only if $p_3(L, +, \cdot) = 19$.*

Recall that $p_n(A)$ denotes the number of all essentially n -ary polynomials over A , i.e., polynomials depending on all their variables. For this and all other undefined concepts used here we refer to [10] (see also [9]).

In his survey of equational logic, Taylor ([13], p. 41) poses a general problem of whether the numbers $p_n(A)$ characterize (to some extent and perhaps in special circumstances) the algebra A . Our result can be treated as a contribution to this problem.

An algebra (A, F) is called *idempotent (symmetric)* if every $f \in F$ is idempotent (symmetric). A symmetric binary algebra is called *commutative*. At the Klagenfurt Conference on Universal Algebra (June, 1982) we announced the following (see also [3]).

THEOREM 1.2. *Let $(B, +, \cdot)$ be a bisemilattice. Then $(B, +, \cdot)$ is a nondistributive modular lattice if and only if $p_3(B, +, \cdot) = 19$.*

The proof of this theorem appeared in [5] (cf. [11]). At the same conference during the Problem Session we stated the following:

CONJECTURE 1.3. *Let $(A, +, \cdot)$ be a commutative idempotent binary algebra with different operations $+$ and \cdot . Then $(A, +, \cdot)$ is a nondistributive modular lattice if and only if $p_3(A, +, \cdot) = 19$.*

So, Theorem 1.1 can also be treated as a step towards the proof of this conjecture.

An algebra $(A, \{f_t\}_{t \in T})$ is said to be *proper* if the mapping $t \rightarrow f_t$ is one-to-one and every nonnullary f_t depends on all its variables. Let $f = f(x_1, \dots, x_n)$ be a function on a set A . Then we denote by $G(f)$ the *symmetry group* of f , i.e., the set of all permutations $\sigma \in S_n$ (where S_n denotes the symmetry group of n letters) such that $f = f^\sigma$, where $f^\sigma(x_1, \dots, x_n) = f(x_{\sigma 1}, \dots, x_{\sigma n})$ for all $x_1, \dots, x_n \in A$ (see [10]). A function $f = f(x_1, \dots, x_n)$ is called *symmetric* if $f = f^\sigma$ for all $\sigma \in S_n$, and *idempotent* if $f(x, \dots, x) = x$ for all $x \in A$.

Recall that a *bisemilattice* (see Theorem 1.2) is a commutative idempotent binary algebra $(B, +, \cdot)$ such that both $+$ and \cdot are associative, i.e., both reducts $(B, +)$ and (B, \cdot) are semilattices.

To prove Theorem 1.1 we need several lemmas.

2. Binary idempotent algebras. Let $(A, +, \cdot)$ be a proper idempotent binary algebra such that $(A, +)$ is commutative. Let

$$\begin{aligned} s(x, y, z) &= (x + y) + z, & \widehat{s}(x, y, z) &= (xy)z, \\ f(x, y, z) &= (x + y)z, & \widehat{f}(x, y, z) &= xy + z, \end{aligned}$$

and if additionally (A, \circ) is a proper noncommutative idempotent groupoid, then let also

$$q_1(x, y, z) = (x + y) \circ z, \quad q_2(x, y, z) = z \circ (x + y).$$

Similarly to [6] we get

LEMMA 2.1. *If $(A, +, \cdot)$ is a proper idempotent binary algebra such that $(A, +)$ is commutative, then $s, \widehat{s}, f, \widehat{f}$ are essentially ternary and pairwise distinct. If, additionally, (A, \circ) is a proper noncommutative groupoid, then q_1, q_2 are essentially ternary and the polynomials $s, \widehat{s}, f, \widehat{f}, q_1, q_2$ are pairwise distinct.*

LEMMA 2.2 (cf. [7]). *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra satisfying $(x + y)z = (x + z)y$, then $(A, +, \cdot)$ is polynomially infinite, i.e., $p_n(A, +, \cdot)$ is infinite for all $n \geq 2$. (The dual version of this lemma is also true.)*

LEMMA 2.3. *If an algebra A contains 3 distinct commutative idempotent binary operations, then $p_3(A) \geq 21$.*

Proof. Examining the symmetry groups of the polynomials $(x + y) + z$, $(xy)z$, $(x \circ y) \circ z$, $(x + y)z$, $xy + z$, $(x + y) \circ z$, $(x \circ y) + z$, $(xy) \circ z$ and $(x \circ y)z$ and using Lemmas 2.1 and 2.2 we get our assertion.

LEMMA 2.4. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra such that either $(A, +)$ or (A, \circ) is cancellative, then $(A, +, \cdot)$ contains at least three essentially binary commutative idempotent polynomials.*

Proof. Assume that $(A, +)$ is cancellative. Then the polynomials $x + y$, xy , $(x + y) + (xy)$ are essentially binary and pairwise distinct, because e.g. if $x + y = (x + y) + xy$, then $x + y = (x + y) + (x + y) = (x + y) + xy$ gives $x + y = xy$.

As a corollary from Theorem 1 of [1] and the last two lemmas we get

LEMMA 2.5. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra such that $p_3(A, +, \cdot) = 19$, then both polynomials $x + 2y$ and xy^2 are essentially binary.*

Here xy^k denotes $(\dots(xy)\dots y)y$ (y appearing k times), and we use $x + ky$ in the additive case, respectively.

Recall that a commutative idempotent groupoid (G, \cdot) satisfying $xy = xy^2$ is called a *near-semilattice* (cf. [4]).

A groupoid (G, \cdot) is *distributive* if it satisfies $(xy)z = (xz)(yz)$ and $z(xy) = (zx)(zy)$.

A groupoid (G, \cdot) is *medial* if it satisfies the medial law: $(xy)(uv) = (xu)(yv)$.

LEMMA 2.6. (cf. [2]). *Let $(A, +)$ be a commutative idempotent groupoid. Then the following are equivalent:*

- (i) $(A, +)$ is a semilattice.
- (ii) The polynomial $d(x, y, z) = (x + z) + (y + z)$ is symmetric.
- (iii) $(A, +)$ is a distributive (medial) groupoid satisfying $x + 2y = y + 2x$.

LEMMA 2.7. *If $(A, +, \cdot)$ is a proper idempotent binary algebra such that $(A, +)$ is commutative and $(x + y)z = (x + z)y$, then the polynomial $x \circ y = x + 2y$ is essentially binary and noncommutative. Moreover, there exist such algebras with (A, \circ) noncommutative.*

Proof. First we give an example. Let (A, \oplus) be an abelian group of exponent 5. We put $x + y = 3x \oplus 3y$ and $xy = 4x \oplus 2y$. Then $(A, +, \cdot)$ is the required algebra (note that this algebra satisfies $x \circ y = xy$ and is not polynomially infinite, comp. with Lemma 2.2).

Assume now that $(x + y)z = (x + z)y$. Then $x + y = (x + y)(x + y) = ((x + y) + y)x = (x \circ y)x$, thus $x \circ y$ is essentially binary. Assume that $x \circ y$ is commutative. If in addition \cdot is commutative, then $x + y = (x \circ y)x = (y \circ x)x = ((y + x) + x)x = x(x + y) = (x + y)x = xy$, a contradiction. If \cdot

is noncommutative, then $xy = (x+x)y = (y+x)x = ((x+y) + (x+y))x = ((y+x) + x)(x+y) = (y \circ x)(x+y) = (x \circ y)(y+x) = yx$, a contradiction. The proof is complete.

LEMMA 2.8. *If $(A, +)$ is a nonassociative commutative idempotent groupoid, $x \circ y = x + 2y$ and $(A, +, \circ)$ satisfies $(x + y) \circ z = (x + z) \circ y$, then the polynomial $x \circ y + z$ is essentially ternary and its symmetry group is trivial.*

PROOF. Since $(A, +)$ is proper we infer, using $(x + y) \circ z = (x + z) \circ y$, that (A, \circ) is also proper. Further, $x + y \neq x \circ y$ and therefore $(A, +, \circ)$ is a proper algebra. By Lemma 2.1, $x \circ y + z$ is essentially ternary. Lemma 2.7 proves that $x \circ y$ is noncommutative (here we put $x \circ y = xy$) and hence $x \circ y + z \neq y \circ x + z$.

Assume now that $(x + y) \circ z$ is symmetric. We show that the group $G(x \circ y + z)$ is trivial. If $x \circ y + z = y \circ z + x$, then $x + y = x \circ y + y$ and hence $x \circ y = x + 2y = (x + y) \circ y + y = y \circ x + y = x \circ y + y = x + y$. Thus $x \circ y = x + y$, which contradicts Lemma 2.7.

Let now $x \circ y + z = z \circ y + x$. Then $x + y = x \circ x + y = y \circ x + x$. Putting here $x + y$ for y we get $y \circ x = y + 2x = (x + y) \circ x + x = x \circ y + x$ and hence $x \circ y + x = y + 2x$. This implies $y + 2(y + x) = (x + y) \circ y + (x + y) = y \circ x + (x + y) = (x + y) \circ x + y = x \circ y + y = x + y$. Thus $x + y = y + 2(y + x) = (x + 2y) + (x + y)$. This gives $y \circ x = (x + y) \circ y = ((x + 2y) + (x + y)) \circ y = (x + 2y) \circ (x + 2y) = x + 2y = x \circ y$ and therefore $x \circ y = y \circ x$, a contradiction.

If $x \circ y + z = x \circ z + y$, then $x + y = x \circ y + x$ and hence $x \circ y = (x + y) \circ x = (x \circ y + x) \circ x = x \circ (x \circ y)$. Thus $x \circ y + y = x \circ (x \circ y) + y = x \circ y + x \circ y = x \circ y$. Putting $x + y$ for x in $x \circ y = x \circ y + y$ we get $y \circ x = (x + y) \circ y = (x + y) \circ y + y = y \circ x + y = y \circ y + x = x + y$, which is again impossible.

Note that the dual version of the preceding lemma is also true, i.e., we have

LEMMA 2.9. *If $(A, +)$ is a nonassociative commutative idempotent groupoid such that $(A, +, \circ)$, where $x \circ y = x + 2y$, satisfies $z \circ (x + y) = y \circ (x + z)$, then the polynomial $x \circ y + z$ is essentially ternary and has a trivial symmetry group.*

LEMMA 2.10. *If $(A, +)$ is a nonassociative commutative idempotent groupoid, and we put $x \circ y = x + 2y$, then the polynomials $(x + y) \circ z$ and $z \circ (x + y)$ cannot be simultaneously symmetric.*

PROOF. If both $(x + y) \circ z$ and $z \circ (x + y)$ are symmetric, then $x \circ y = (x + x) \circ y = (y + x) \circ x = (y + x) \circ (x + x) = x \circ ((y + x) + x) = x \circ (y \circ x)$. Thus $x \circ y = x \circ (y \circ x)$, and we obtain $y \circ x = x \circ (x + y) = x \circ ((x + y) \circ x) = x \circ (x \circ y)$,

so $x + y = (x + y) \circ (x + y) = x \circ ((x + y) + y) = x \circ (x \circ y) = y \circ x$ and we see that $x \circ y$ is commutative, thus contradicting Lemma 2.7.

LEMMA 2.11. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra such that $x \circ y = x + 2y$ is essentially binary, noncommutative and $p_3(A, +, \cdot) < 21$, then the polynomials $(x + y) \circ z$, $z \circ (x + y)$, $(xy) \circ z$ and $z \circ (xy)$ are essentially ternary and pairwise distinct.*

PROOF. The first fact follows from Lemma 2.1. Lemma 2.3 implies that $(x + y) + (xy) \in \{x + y, xy\}$. Assume e.g. that $z \circ (x + y) = z \circ (xy)$. Then $x + y = (x + y) \circ (xy) = (x + y) + (xy) + (xy)$ and $xy = xy \circ (x + y) = (xy + (x + y)) + (x + y)$. Since $(x + y) + (xy)$ is either $x + y$ or xy we deduce that $x + y = xy$, a contradiction.

LEMMA 2.12. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra such that $x \circ y = x + 2y$ is essentially binary and noncommutative, then $p_3(A, +, \cdot) > 19$.*

PROOF. Assume that $p_3(A, +, \cdot) \leq 19$ and consider the ternary polynomials $s = (x + y) + z$, $\widehat{s} = (xy)z$, $f = (x + y)z$, $\widehat{f} = xy + z$, $q_1 = (x + y) \circ z$, $q_2 = z \circ (x + y)$, $q'_1 = (xy) \circ z$, $q'_2 = z \circ (xy)$ and $q = x \circ y + z$. By Lemma 2.1 they are all essentially ternary. By the assumption we deduce that $+$ is nonassociative.

If $(x + y) \circ z$ is symmetric, then $\text{card } G(q) = 1$ by Lemma 2.8. Using Lemma 2.10 we see that $\text{card } G(q_2) = 2$. If f or \widehat{f} is symmetric, then Lemma 2.2 shows that $p_3(A, +, \cdot)$ is infinite. Thus we may assume that $\text{card } G(f) = \text{card } G(\widehat{f}) = 2$. Considering the polynomials $s, f, \widehat{f}, q_2, q, q_1, \widehat{s}$ and their symmetry groups we get

$$\begin{aligned} p_3(A, +, \cdot) &\geq \frac{6}{\text{card } G(s)} + \frac{6}{\text{card } G(f)} + \frac{6}{\text{card } G(\widehat{f})} \\ &\quad + \frac{6}{\text{card } G(q_2)} + \frac{6}{\text{card } G(q)} + \frac{6}{\text{card } G(q_1)} + \frac{6}{\text{card } G(\widehat{s})} \\ &\geq 3 + 3 + 3 + 3 + 6 + 1 + 1 = 20, \end{aligned}$$

a contradiction.

Assume now that neither q_1 nor q_2 is symmetric and consider $s, f, \widehat{f}, q_1, q_2, q'_1$ and q'_2 . If \cdot is nonassociative, then using Lemma 2.11 we obtain

$$\begin{aligned} p_3(A, +, \cdot) &\geq \frac{6}{\text{card } G(s)} + \frac{6}{\text{card } G(\widehat{s})} + \frac{6}{\text{card } G(f)} \\ &\quad + \frac{6}{\text{card } G(\widehat{f})} + \frac{6}{\text{card } G(q_1)} + \frac{6}{\text{card } G(q'_1)} + \frac{6}{\text{card } G(q'_2)} \\ &\geq 3 + 3 + 3 + 3 + 3 + 3 + 1 + 1 = 20, \end{aligned}$$

a contradiction.

If \cdot is associative, then q'_1 and q'_2 are not symmetric. In fact, if e.g. q'_1 is symmetric then $xy = xy \circ xy = ((xy)y) \circ x = xy \circ x = x \circ y$, a contradiction. As above, we get $p_3(A, +, \cdot) \geq 3 + 1 + 3 + 3 + 3 + 3 + 3 + 3 = 22$, which is impossible. The proof is complete.

Recall that a binary algebra $(A, +, \cdot)$ is called a *bi-near-semilattice* if both groupoids $(A, +)$ and (A, \cdot) are near-semilattices. Further, two algebras with the same underlying sets and the same sets of polynomials are called *polynomially equivalent*.

LEMMA 2.13. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra satisfying $p_3(A, +, \cdot) = 19$, then $(A, +, \cdot)$ is either a bi-near-semilattice, or it is polynomially equivalent to a commutative idempotent groupoid (A, \bullet) with $p_2(A, \bullet) = 2$. Moreover, in the second case $(A, +, \cdot)$ satisfies only regular identities.*

Proof. Let $(A, +, \cdot)$ be as in the assumptions. Lemma 2.5 shows that $x \circ y = x + 2y$ is essentially binary, and so is xy^2 .

Assume that $x \circ y = y \circ x$. If $x \circ y \neq x + y$, then Lemma 2.3 yields $x \circ y = xy$. Using now Theorem 4 of [1] and again Lemma 2.3 we deduce that $(A, +, \cdot)$ is polynomially equivalent to the commutative idempotent groupoid $(A, +)$ with $p_2(A, +) = 2$.

Applying Lemma 2.12 (and its dual version) we deduce that $x + 2y$ and xy^2 are commutative (and clearly essentially binary). Assume now that $(A, +, \cdot)$ is not polynomially equivalent to a groupoid. Then $x + 2y = x + y$ and $xy = xy$ and therefore $(A, +, \cdot)$ is a bi-near-semilattice.

Note that if $(A, +, \cdot)$ is polynomially equivalent to a commutative groupoid with $p_2 = 2$, then the results of [4] show that $(A, +, \cdot)$ contains a subgroupoid isomorphic to $N_2 = (\{1, 2, 3, 4\}, \square)$, where

$$x \square y = \begin{cases} x & \text{if } x = y, \\ 1 + \max(x, y) & \text{if } x, y \leq 3 \text{ and } x \neq y, \\ 4 & \text{otherwise.} \end{cases}$$

It is easy to see that N_2 satisfies only regular identities (cf. [4, 12]). The proof is complete.

Since the identity $(x + y)y = y$ in Theorem 1.1 is nonregular we see that according to the last lemma we consider in the sequel bi-near-semilattices with one absorption law.

3. Bi-near-semilattices with one absorption law. In this section we deal with bi-near-semilattices satisfying the identity $(x + y)y = y$. First we recall the following.

THEOREM 3.1 (Theorem 6 of [6]). *Let $(L, +, \cdot)$ be a commutative idempotent binary algebra satisfying $(x + y)y = y$. Then the following conditions are equivalent:*

- (i) $(L, +, \cdot)$ is a distributive lattice.
- (ii) $(L, +, \cdot)$ satisfies $(x + y)z = xz + yz$.
- (iii) $(L, +, \cdot)$ satisfies $xy + z = (x + z)(y + z)$.

Note that the idempotency of \cdot follows from the idempotency of $+$ and the absorption law $(x + y)y = y$.

Let now $(A, +, \cdot)$ be a proper bi-near-semilattice satisfying $(x + y)y = y$. Consider the following ternary polynomials over $(A, +, \cdot)$:

$$\begin{aligned} s &= s(x, y, z) = (x + y) + z, & \widehat{s} &= \widehat{s}(x, y, z) = (xy)z, \\ d &= d(x, y, z) = (x + z) + (y + z), & \widehat{d} &= \widehat{d}(x, y, z) = (xz)(yz), \\ f &= f(x, y, z) = (x + y)z, & \widehat{f} &= \widehat{f}(x, y, z) = xy + z, \\ m &= m(x, y, z) = xz + yz, & \widehat{m} &= \widehat{m}(x, y, z) = (x + z)(y + z). \end{aligned}$$

LEMMA 3.2. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra then the polynomials $s, \widehat{s}, d, \widehat{d}, f, \widehat{f}, m$ and \widehat{m} are essentially ternary.*

Proof. Standard, see e.g. [9].

We also have

LEMMA 3.3. *Under the same assumptions, the polynomials s, \widehat{s}, f and \widehat{f} are pairwise distinct.*

LEMMA 3.4. *Under the same assumptions, $m \neq \widehat{m}$, m is different from s and d , and m is different from \widehat{s} and \widehat{d} .*

LEMMA 3.5. *Under the same assumptions, either the symmetry groups of f and \widehat{f} are two-element, or the algebra $(A, +, \cdot)$ is polynomially infinite.*

This follows from Lemma 2.2.

LEMMA 3.6. *If $(A, +, \cdot)$ is a proper commutative idempotent binary algebra satisfying $(x + y)y = y$, then the symmetry groups of m and \widehat{m} are two-element, i.e., the polynomials admit only trivial permutations of their variables.*

Proof. Assume that $(x + z)(y + z) = (x + z)(y + x)$. Then $x + y = (x + y)(y + x) = (x + y)y = y$, a contradiction. If $xz + yz$ is symmetric, then $xz + yz = xz + yx$ and hence $xy = xy + y$. This gives $(xy)y = y$, which is impossible.

LEMMA 3.7. *If $(A, +, \cdot)$ is a bi-near-semilattice satisfying $(x + y)y = y$ such that both $+$ and \cdot are nonassociative, then $p_3(A, +, \cdot) \geq 24$.*

Proof. By Lemma 3.2 the polynomials $s, \widehat{s}, d, \widehat{d}, f, \widehat{f}, m, \widehat{m}$ are essentially ternary. Since $(A, +, \cdot)$ is not a lattice, Theorem 3.1 shows that $m \neq f$ and $\widehat{m} \neq \widehat{f}$. Using Lemma 2.6 we infer that $s \neq d, \widehat{s} \neq \widehat{d}$. Further, it is routine to prove that all the above polynomials are pairwise distinct.

Since $+$ and \cdot are nonassociative, Lemma 2.6 shows that $\text{card } G(s) = \text{card } G(\widehat{s}) = \text{card } G(d) = \text{card } G(\widehat{d}) = 2$. By Lemma 3.6, $\text{card } G(m) = \text{card } G(\widehat{m}) = 2$. According to Lemma 3.5 we may assume that $\text{card } G(f) = \text{card } G(\widehat{f}) = 2$. This proves that $p_3(A, +, \cdot) \geq 24$, as required.

LEMMA 3.8. *If $(A, +, \cdot)$ is a bi-near-semilattice satisfying $(x + y)y = y$ with $+$ associative and \cdot nonassociative (or vice versa), then $p_3(A, +, \cdot) \geq 20$.*

Proof. Consider the ternary polynomials $s = x + y + z, \widehat{s} = (xy)z, \widehat{d} = (xz)(yz), f = (x + y)z, \widehat{f} = xy + z, m = xz + yz$ and $\widehat{m} = (x + z)(y + z)$. In addition, consider the essentially ternary polynomial $g = g(x, y, z) = xy + yz + zx$. It is clear that $\text{card } G(s) = \text{card } G(g) = 6$. If $s = g$, then $xy + y = x + y$ and hence $x + y = (x + y) + y = (x + y)y + y = y + y = y$. By Lemma 3.2 all these ternary polynomials are essentially ternary. Applying Lemmas 3.2–3.6 and Lemma 2.6 as in the preceding proof, and examining the symmetry groups of $s, \widehat{s}, \widehat{d}, f, \widehat{f}, m, \widehat{m}$ and g , we obtain

$$p_3(A, +, \cdot) \geq 1 + 3 + 3 + 3 + 3 + 3 + 3 + 1 = 20$$

(here Theorem 3.1 has also been used). The proof is complete.

4. Proof of Theorem 1.1. Recall that our aim is to prove that a (nontrivial) commutative idempotent binary algebra $(L, +, \cdot)$ satisfying $(x + y)y = y$ is a nondistributive modular lattice if and only if $p_3(L, +, \cdot) = 19$.

First, if $(L, +, \cdot)$ is a modular nondistributive lattice, then $p_3(L, +, \cdot) = 19$ (see e.g. Theorem 1.2). Assume now that $p_3(L, +, \cdot) = 19$ and $(L, +, \cdot)$ is a commutative idempotent binary algebra satisfying $(x + y)y = y$. Lemma 2.13 shows that $(L, +, \cdot)$ is a bi-near-semilattice since it satisfies a nonregular identity $(x + y)y = y$. If this bi-near-semilattice is a bisemilattice, then the assertion follows from Theorem 1.2; otherwise, it follows from Lemmas 3.7 and 3.8. The proof is complete.

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