

## REMARKS ON INDEPENDENCE IN FINITE ALGEBRAS

BY

K. URBANIK (WROCLAW)

I. In this note we adopt the definitions and notations given by E. Marczewski in [1] and [2]. Our purpose is to prove two theorems about independent elements in finite algebras without algebraic constants. Let  $(A; F)$  be an *algebra*, i. e. a set  $A$  of elements and a class  $F$  of fundamental operations consisting of  $A$ -valued functions of several variables running over  $A$ . If  $A = \{a, b, \dots\}$  and  $F = \{f, g, \dots\}$ , we shall sometimes write  $(A; f, g, \dots)$  or  $(a, b, \dots; f, g, \dots)$  instead of  $(A; F)$ . We denote by  $A^{(n)}$  ( $n = 1, 2, \dots$ ) the class of all *algebraic operations of  $n$  variables*, i. e. the smallest class of operations containing so called *trivial operations*

$$e_k^{(n)}(x_1, x_2, \dots, x_n) = x_k \quad (k = 1, 2, \dots, n),$$

and closed under the composition with the fundamental operations. The values of constant algebraic operations are called *algebraic constants*. If all algebraic operations are trivial, then the algebra is called *trivial*.

Following Marczewski, we say that elements  $a_1, a_2, \dots, a_n$  of  $A$  are *independent* if for any  $f, g \in A^{(n)}$  the equation

$$f(a_1, a_2, \dots, a_n) = g(a_1, a_2, \dots, a_n)$$

implies the identity of  $f$  and  $g$  in  $A$ . Henceforth, sets of independent elements will be called briefly *independent sets*.

**THEOREM 1.** *Let  $n$  and  $m$  be integers satisfying the inequalities  $n > m$ ,  $n > 3$  and let  $(A; F)$  be a finite algebra without algebraic constants containing at least  $n + m$  elements. Suppose that there exists an  $m$ -element subset  $M$  of  $A$  such that each  $n$ -element subset of  $A \setminus M$  is independent. Then each  $n$ -element subset of  $A$  is independent.*

First of all we shall show by counter-examples that all assumptions of this theorem are essential.

1. Let  $N$  be the set of all non-negative integers and let  $f_0$  be a one-to-one mapping of all ordered  $n$ -tuples  $i_1, i_2, \dots, i_n$  of different

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positive integers into the set of positive integers satisfying the condition

$$f_0(i_1, i_2, \dots, i_n) > i_s \quad (s = 1, 2, \dots, n).$$

For instance, as a mapping  $f_0$  we can take the mapping

$$f_0(i_1, i_2, \dots, i_n) = p_1^{i_1} p_2^{i_2} \dots p_n^{i_n},$$

where  $p_1, p_2, \dots, p_n$  are primes. We extend the mapping  $f_0$  over all  $n$ -tuples of non-negative integers by setting  $f_0(i_1, i_2, \dots, i_n) = i_1$  in all remaining cases. Consider the algebra  $(N; f_0)$ . Since  $f_0 \neq e_1^{(n)}$  and  $f_0(0, i_2, \dots, i_n) = 0 = e_1^{(n)}(0, i_2, \dots, i_n)$ , we infer that all  $n$ -element subsets of  $N$  containing 0 are dependent. From the following Lemma it follows that the algebra in question contains no algebraic constant (formula (1)) and that every  $n$ -element set of positive integers is independent (formula (2)).

LEMMA. If  $f$  is a non-trivial algebraic operation of  $n$  variables in  $(N; f_0)$ , then for any system  $i_1, i_2, \dots, i_n$ , of different positive integers, the inequality

$$(1) \quad f(i_1, i_2, \dots, i_n) > i_s \quad (s = 1, 2, \dots, n)$$

holds. Moreover, if  $g$  and  $h$  are different algebraic operations of  $n$  variables in  $(N; f_0)$ , then for any system  $i_1, i_2, \dots, i_n$  of different positive integers the inequality

$$(2) \quad g(i_1, i_2, \dots, i_n) \neq h(i_1, i_2, \dots, i_n)$$

holds.

Proof. The class  $N^{(n)}$  of all algebraic operations of  $n$  variables in  $(N; f_0)$  is the union  $N^{(n)} = \bigcup_{k=0}^{\infty} N_k^{(n)}$ , where the classes  $N_k^{(n)}$  are defined recursively as follows

$$N_0^{(n)} = \{e_1^{(n)}, e_2^{(n)}, \dots, e_n^{(n)}\},$$

$$N_{k+1}^{(n)} = N_k^{(n)} \cup \{f_0(f_1, f_2, \dots, f_n) : f_j \in N_k^{(n)}, j = 1, 2, \dots, n\} \quad (k = 0, 1, \dots)$$

(see [2], p. 47). Let  $f, g$ , and  $h$  belong to  $N_k^{(n)}$ . We shall prove the Lemma by induction with respect to  $k$ . If  $k = 0$  or 1, then our assertion is a direct consequence of the definition of the mapping  $f_0$ . Suppose now that the Lemma is true for all operations from  $N_k^{(n)}$ , where  $k > 0$ . For any operation  $f$  from  $N_{k+1}^{(n)} \setminus N_k^{(n)}$  there exist operations  $f_1, f_2, \dots, f_n$ , belonging to  $N_k^{(n)}$ , such that

$$(3) \quad f = f_0(f_1, f_2, \dots, f_n).$$

Since  $f \notin N_k^{(n)}$ , all operations  $f_1, f_2, \dots, f_n$  are different and at least one of them, say  $f_j$ , is non-trivial. Hence it follows that all numbers  $f_1(i_1, i_2, \dots, i_n), f_2(i_1, i_2, \dots, i_n), \dots, f_n(i_1, i_2, \dots, i_n)$  are different and  $f_j(i_1, i_2, \dots, i_n) > i_s$  ( $s = 1, 2, \dots, n$ ), whenever  $i_1, i_2, \dots, i_n$  are different positive integers.

Consequently, by (3) and by the definition of the mapping  $f_0$  we have the inequality

$$f(i_1, i_2, \dots, i_n) > f_j(i_1, i_2, \dots, i_n) > i_s \quad (s = 1, 2, \dots, n).$$

Thus formula (1) holds for all non-trivial operations in  $N_{k+1}^{(n)}$ . By inductive assumption and formula (1) it suffices to prove (2) for non-trivial operations  $g$  and  $h$  from  $N_{k+1}^{(n)}$ . Therefore we may assume that  $g = f_0(g_1, g_2, \dots, g_n)$  and  $h = f_0(h_1, h_2, \dots, h_n)$ , where the operations  $g_1, g_2, \dots, g_n, h_1, h_2, \dots, h_n$  belong to  $N_k^{(n)}$ , all the operations  $g_1, g_2, \dots, g_n$  are different, and all the operations  $h_1, h_2, \dots, h_n$  are different. Moreover, since  $g \neq h$ , there exists an index  $r$  ( $1 \leq r \leq n$ ) such that

$$(4) \quad g_r \neq h_r.$$

Given a system  $i_1, i_2, \dots, i_n$  of different positive integers, we put

$$v_s^2 = g_s(i_1^1, i_2^1, \dots, i_n^1), \quad v_s = h_s(i_1, i_2, \dots, i_n) \quad (s = 1, 2, \dots, n).$$

By inductive assumption  $u_1, u_2, \dots, u_n$  are different positive integers and  $v_1, v_2, \dots, v_n$  are different positive integers. Moreover, by (4),  $u_r \neq v_r$ . Thus, by the definition of the mapping  $f_0$ , we have the inequality

$$g(i_1, i_2, \dots, i_n) = f_0(u_1, u_2, \dots, u_n) \neq f_0(v_1, v_2, \dots, v_n) = h(i_1, i_2, \dots, i_n),$$

which completes the proof.

The algebra  $(N; f_0)$  shows that the assumption of finiteness of the algebra in Theorem 1 is essential.

S. Świerczkowski proved in [6] that if  $n > 3$  and all  $n$ -element subsets of a finite algebra are independent, then all algebraic operations of  $n$  variables are trivial. We note that in the subalgebra  $(1, 2, \dots; f_0)$  all  $n$ -element subsets are independent. However,  $f_0$  is a non-trivial operation of  $n$  variables. Thus the assumption of finiteness of the algebra in Świerczkowski's Theorem is also essential.

2. Let  $A$  be an arbitrary set containing at least four elements. For a fixed element  $a_0$  in  $A$  we define two symmetric operations  $f_1$  and  $f_2$  of two and three variables respectively by means of the formulas  $f_1(x, x) = x, f_1(x, y) = a_0$  if  $x$  and  $y$  are different;  $f_2(x, y, x) = x, f_2(x, y, z) = a_0$  if  $x, y$  and  $z$  are different. Consider the algebras  $(A; f_1)$  and  $(A; f_2)$ . It is very easy to verify that  $f_1$  is the only non-trivial algebraic operation of two variables in  $(A; f_1)$  and  $f_2$  is the only non-trivial algebraic operation of three variables in  $(A; f_2)$ . Hence it follows that all two-element subsets of  $A$  which do not contain  $a_0$  are independent in  $(A; f_1)$  and all three-element subsets of  $A$  which do not contain  $a_0$  are independent in  $(A; f_2)$ . On the other hand, for elements  $a_1$  different from  $a_0$  and elements  $a^2$

different from  $a_0$  and  $a_1$  we have the equations  $f_1(a_0, a_1) = a_0 = e_1^{(2)}(a_0, a_1)$ ,  $f_2(a_0, a_1, a_2) = a_0 = e_1^{(3)}(a_0, a_0, a_2)$ , which show that all pairs of elements of  $A$  containing  $a_0$  are dependent in  $(A; f_1)$  and all triplets of elements of  $A$  containing  $a_0$  are dependent in  $(A; f_2)$ . Thus the assumption  $n > 3$  in Theorem 1 is essential.

3. Consider a  $2n$ -element set  $\{a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n\}$ . Put  $f(a_j) = b_j$  and  $f(b_j) = a_j$  ( $j = 1, 2, \dots, n$ ). Of course, the elements  $a_1, a_2, \dots, a_n$  are independent and the elements  $b_1, b_2, \dots, b_n$  are dependent in the algebra  $(a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n; f)$ . Thus the assumption  $n > m$  in Theorem 1 is also essential.

4. Let  $A$  be an arbitrary set containing at least  $n+1$  elements. For a fixed element  $c$  in  $A$  we define a constant operation  $c(x) = c$  ( $x \in A$ ). Obviously, every  $n$ -element subset of  $A$  which does not contain the element  $c$  is independent, and every subset of  $A$  containing  $c$  is dependent in the algebra  $(A; c)$ . Thus the assumption that there is no algebraic constant in the algebra is essential.

From Theorem 1 we obtain some simple corollaries. In [5] a sufficient condition is given for a hereditary class of subsets to be a class of independent sets in an algebra. As a direct consequence of Theorem 1 we obtain examples of hereditary classes of subsets of a finite set which are not classes of independent sets in any algebra.

**COROLLARY 1.** *Let  $n$  and  $m$  be integers satisfying the inequalities  $n > m, n > 3$  and let  $A$  be a finite set containing at least  $n+m$  elements. Further, let  $M$  be an  $m$ -element subset of  $A$ . No hereditary class of subsets of  $A$  containing all one-point sets and all  $n$ -element subsets of  $A \setminus M$  which does not contain all  $n$ -element subsets of  $A$  can be a class of independent sets in any algebra over  $A$ .*

**COROLLARY 2.** *If an algebra without algebraic constants and with an  $n$ -element basis has less than  $2n$  elements, where  $n > 3$ , then it is trivial.*

Indeed, taking as the set  $M$  in Theorem 1 the complement of the basis, we infer that all  $n$ -element subsets of the algebra are independent. Thus, by Theorem 1 in [3], p. 749, each  $n$ -element subset is a basis of the whole algebra. Consequently, by Theorem 2 in [4], p. 94, the algebra in question is trivial.

We have seen that the assumption  $n > 3$  in Theorem 1 is essential. For  $n = 2$  or  $3$  we obtain the same result under an additional assumption.

**THEOREM 2.** *Let  $n = 2$  or  $3, n > m$ , and let  $(A; F)$  be a finite algebra without algebraic constants containing at least  $n+m$  elements. Suppose that there exists an  $m$ -element subset  $M$  of  $A$  such that every  $n$ -element subset of  $A \setminus M$  is independent. Moreover, suppose that  $M$  is contained in an  $n$ -element independent set. Then every  $n$ -element subset of  $A$  is independent.*

**II.** Before proving the Theorems we shall prove some Lemmas. By  $[a_1, a_2, \dots, a_n]$  we shall denote henceforth the subalgebra generated by elements  $a_1, a_2, \dots, a_n$ .

**LEMMA 1.** *Let  $n$  and  $m$  be integers satisfying the inequalities  $n > m, n > 1$  and let  $(A; F)$  be an algebra without algebraic constants containing at least  $n+m$  elements. Suppose that there exists an  $m$ -element subset  $M$  of  $A$  such that every  $n$ -element subset of  $A \setminus M$  is independent. Then all operations from  $A^{(n-1)}$  are trivial.*

**Proof.** Contrary to this let us suppose that there exists a non-trivial algebraic operation  $f$  of  $s$  variables depending on every variable, where  $1 \leq s \leq n-1$ . Let  $a_1, a_2, \dots, a_s$  be an arbitrary system of different elements of  $A \setminus M$ . By assumption, the elements  $a_1, a_2, \dots, a_s$  are independent. Since the operation  $f$  is non-trivial, we have the inequality  $f(a_1, a_2, \dots, a_s) \neq a_j$  ( $j = 1, 2, \dots, s$ ). Thus the  $s+1$ -element set  $\{f(a_1, a_2, \dots, a_s), a_1, a_2, \dots, a_s\}$  is dependent. But this is possible only when

$$(5) \quad f(a_1, a_2, \dots, a_s) \in M.$$

Now we define auxiliary algebraic operations  $f_1, f_2, \dots, f_n$  of  $n$  variables:

$$(6) \quad f_j(x_1, x_2, \dots, x_n) = \begin{cases} f(x_j, x_{j+1}, \dots, x_{s+j-1}) & \text{if } 1 \leq j \leq n+1-s, \\ f(x_j, x_{j+1}, \dots, x_n, x_1, x_2, \dots, x_{s+j-n-1}) & \text{if } n+1-s < j \leq n. \end{cases}$$

Of course, all the operations  $f_1, f_2, \dots, f_n$  are different. For any system  $b_1, b_2, \dots, b_n$  of different elements of  $A \setminus M$  we have, by (5) and (6), the relation  $f_j(b_1, b_2, \dots, b_n) \in M$  ( $j = 1, 2, \dots, n$ ). Since the set  $M$  has less than  $n$  elements, there exists a pair  $p, q$  of different indices such that  $f_p(b_1, b_2, \dots, b_n) = f_q(b_1, b_2, \dots, b_n)$ . But this contradicts the independence of  $b_1, b_2, \dots, b_n$ . The Lemma is thus proved.

**LEMMA 2.** *Let  $(A; F)$  be an algebra for which all operations from  $A^{(n-1)}$  are trivial and  $n > 3$ . Then for any operation  $f$  from  $A^{(n)}$  there exists an index  $k$  ( $1 \leq k \leq n$ ) such that*

$$f(x_1, x_2, \dots, x_n) = e_k^{(n)}(x_1, x_2, \dots, x_n)$$

whenever at least two elements among  $x_1, x_2, \dots, x_n$  are equal.

**Proof.** Replacing  $x_j$  by  $x_i$  in  $f(x_1, x_2, \dots, x_n)$ , where  $i \neq j$ , we obtain an operation of  $n-1$  variables which, by assumption, is trivial. Thus there exists an index  $r(i, j)$  ( $1 \leq r(i, j) \leq n$ ) such that

$$(7) \quad f(x_1, x_2, \dots, x_{i-1}, x_i, x_{j+1}, \dots, x_n) = e_{r(i,j)}^{(n)}(x_1, x_2, \dots, x_n)$$

and, of course,  $r(i, j) \neq j$ . We note first that the equations  $r(1, 2) = 1$  and  $r(3, 4) = 3$  never hold simultaneously. Indeed, by (7) they would imply the equations

$$f(x_1, x_1, x_3, x_3, \dots, x_n) = e_{r(1,2)}^{(n)}(x_1, x_1, x_3, x_3, \dots, x_n) = x_1,$$

$$f(x_1, x_1, x_3, x_3, \dots, x_n) = e_{r(3,4)}^{(n)}(x_1, x_1, x_3, x_3, \dots, x_n) = x_3,$$

which gives a contradiction. Thus there exists a pair  $p, q$  ( $p \neq q$ ) of indices for which  $r(p, q) \neq p$ . Put  $k = r(p, q)$ . According to (7) to prove the Lemma it is sufficient to show that

$$(8) \quad r(i, j) = k \quad \text{if} \quad j \neq k.$$

Suppose that  $j \neq k$ . Replacing the  $p$ -th and the  $q$ -th variable in (7) by  $x_j$  and taking into account the inequality  $k \neq p, q, j$ , we infer that the left-hand side of (7) is equal to  $e_k^{(n)}(x_1, x_2, \dots, x_n)$  and the right-hand side of (7) is equal to

$$e_{r(j)}^{(n)}(x_1, x_2, \dots, x_{p-1}, x_j, x_{p+1}, \dots, x_{q-1}, x_j, x_{q+1}, \dots, x_n),$$

which, by simple reasoning, leads to formula (8). The Lemma is thus proved.

**Proof of Theorem 1.** We shall prove the theorem by induction with respect to  $m$ . For  $m = 0$  the theorem is obvious. Suppose that  $m > 0$ . First consider the case when  $A \setminus M$  contains  $n$  elements  $a_1, a_2, \dots, a_n$  such that  $M \setminus [a_1, a_2, \dots, a_n] \neq \emptyset$ . The set  $M_0 = M \cap [a_1, a_2, \dots, a_n]$  contains less than  $m$  elements. Moreover, the set  $[a_1, a_2, \dots, a_n] \setminus M_0$  contains at least  $n$  elements, all its  $n$ -element subsets are independent, in  $A$  and, consequently, in  $[a_1, a_2, \dots, a_n]$ . Of course, the algebra  $[a_1, a_2, \dots, a_n]$  contains no algebraic constants. Thus, by inductive assumption, all  $n$ -element subsets of  $[a_1, a_2, \dots, a_n]$  are independent in the algebra  $[a_1, a_2, \dots, a_n]$  and, consequently, by Świerczkowski's theorem [6], all algebraic operations of  $n$  variables are trivial on  $[a_1, a_2, \dots, a_n]$ . Hence, by independence of  $a_1, a_2, \dots, a_n$  in  $A$ , it follows that all operations from  $A^{(n)}$  are trivial and, consequently, all  $n$ -element subsets of  $A$  are independent.

Now suppose that for every  $n$ -tuple  $a_1, a_2, \dots, a_n$  of different elements of  $A \setminus M$  the inclusion

$$(9) \quad M \subset [a_1, a_2, \dots, a_n]$$

holds. First we shall prove that every  $n$ -element subset of  $A$  containing exactly one element of  $M$  is independent. Contrary to this let us suppose that there exists a dependent  $n$ -element set  $\{a_0, a_1, \dots, a_{n-1}\}$ , where  $a_0 \in M$  and  $a_1, a_2, \dots, a_{n-1} \in A \setminus M$ . Let  $a_n$  be an element of  $A \setminus M$  different from  $a_1, a_2, \dots, a_{n-1}$ . Obviously, the elements  $a_1, a_2, \dots, a_n$  are inde-

pendent and, by (9), there exists a non-trivial operation  $f$  in  $A^{(n)}$  such that  $f(a_1, a_2, \dots, a_n) = a_0$ . Since  $a_1, a_2, \dots, a_n$  are independent and  $f(a_1, a_2, \dots, a_n), a_1, a_2, \dots, a_{n-1}$  dependent, for any permutation  $a_{i_1}, a_{i_2}, \dots, a_{i_n}$  of elements  $a_1, a_2, \dots, a_n$ , by Marczewski's Theorem (ii) in [2], p. 60, the elements  $f(a_{i_1}, a_{i_2}, \dots, a_{i_n}), a_{i_1}, a_{i_2}, \dots, a_{i_{n-1}}$  are also dependent. But this is possible only when  $f(a_{i_1}, a_{i_2}, \dots, a_{i_n}) \in M$  for any permutation of elements  $a_1, a_2, \dots, a_n$ . Since the set  $M$  contains less than  $n$  elements, there exist an element  $c \in M$  and a family  $\mathcal{S}$  containing at least  $(n-1)! + 1$  permutations  $i_1, i_2, \dots, i_n$  such that  $f(a_{i_1}, a_{i_2}, \dots, a_{i_n}) = c$  whenever  $i_1, i_2, \dots, i_n$  belongs to  $\mathcal{S}$ . Hence, by the independence of  $a_1, a_2, \dots, a_n$ , for all  $x_1, x_2, \dots, x_n \in A$  we obtain the equations

$$(10) \quad f(x_{i_1}, x_{i_2}, \dots, x_{i_n}) = f(x_{j_1}, x_{j_2}, \dots, x_{j_n})$$

whenever  $i_1, i_2, \dots, i_n$  and  $j_1, j_2, \dots, j_n$  belong to  $\mathcal{S}$ . Of course, we may assume that  $\mathcal{S}$  forms a group of permutations. Thus the identity permutation belongs to  $\mathcal{S}$ . By Lemmas 1 and 2 there exists an index  $k$  ( $1 \leq k \leq n$ ) such that

$$f(x_1, x_2, \dots, x_n) = e_k^{(n)}(x_1, x_2, \dots, x_n)$$

whenever at least two elements among  $x_1, x_2, \dots, x_n$  are equal. Thus, by (10),

$$e_k^{(n)}(x_{i_1}, x_{i_2}, \dots, x_{i_n}) = e_k^{(n)}(x_1, x_2, \dots, x_n)$$

whenever  $i_1, i_2, \dots, i_n$  belongs to  $\mathcal{S}$  and at least two elements among  $x_1, x_2, \dots, x_n$  are equal. In other words, all permutations from  $\mathcal{S}$  preserve the  $k$ -th index. Thus  $\mathcal{S}$  contains at most  $(n-1)!$  permutations, which gives a contradiction. Thus every  $n$ -element subset of  $A$  containing exactly one element of  $M$  is independent. Therefore, setting  $M_0 = M \setminus \{a_0\}$ , where  $a_0$  is an element of  $M$ , we have the independence of each  $n$ -element subset of  $A \setminus M_0$ . Hence, by inductive assumption, all  $n$ -element subsets of  $A$  are independent, which completes the proof of Theorem 1.

**LEMMA 3.** Let  $n > 1$  and let  $(A; F)$  be an algebra containing a finite subalgebra  $(B; F)$  in which all  $n$ -element subsets are independent. If there exists an  $n$ -element subset of  $B$  independent in  $(A; F)$ , then all  $n$ -element subsets of  $A$  are independent.

**Proof.** Contrary to this let us suppose that there exists a dependent  $n$ -element set  $\{a_1, a_2, \dots, a_n\}$  in  $A$ . Consequently, there are different operations  $f$  and  $g$  in  $A^{(n)}$  such that

$$(11) \quad f(a_1, a_2, \dots, a_n) = g(a_1, a_2, \dots, a_n).$$

Of course, without loss of generality, we may assume that the following inequalities hold:

$$(12) \quad f \neq e_k^{(n)}, \quad g \neq e_k^{(n)} \quad (k = 1, 2, \dots, n-2).$$

Let  $b_1, b_2, \dots, b_n$  be an  $n$ -tuple of elements of  $B$  which is independent in the algebra  $(A; F)$ . By assumption, the subalgebra  $[b_1, b_2, \dots, b_n]$  is finite and each of its  $n$ -element subsets is independent. Thus, by (12), the  $n$ -element set  $\{b_1, b_2, \dots, b_{n-2}, f(b_1, b_2, \dots, b_n), g(b_1, b_2, \dots, b_n)\}$  is independent and, by Theorem 1 in [3], p. 749, is a basis of  $[b_1, b_2, \dots, b_n]$ . Consequently, there exist operations  $h_1$  and  $h_2$  in  $A^{(n)}$  such that

$$h_1(b_1, b_2, \dots, b_{n-2}, f(b_1, b_2, \dots, b_n), g(b_1, b_2, \dots, b_n)) = b_{n-1},$$

$$h_2(b_1, b_2, \dots, b_{n-2}, f(b_1, b_2, \dots, b_n), g(b_1, b_2, \dots, b_n)) = b_n.$$

Since  $b_1, b_2, \dots, b_n$  are independent in the algebra  $(A; F)$ , the elements  $b_1, b_2, \dots, b_n$  in the last equations can be replaced by the elements  $a_1, a_2, \dots, a_n$ . Hence and from (11) we get the equations

$$(13) \quad h_1(a_1, a_2, \dots, a_{n-2}, f(a_1, a_2, \dots, a_n), f(a_1, a_2, \dots, a_n)) = a_{n-1},$$

$$(14) \quad h_2(a_1, a_2, \dots, a_{n-2}, f(a_1, a_2, \dots, a_n), f(a_1, a_2, \dots, a_n)) = a_n.$$

By Lemma 1 all operations of  $n-1$  variables are trivial in the algebra  $[b_1, b_2, \dots, b_n]$  and, consequently, by independence of  $b_1, b_2, \dots, b_n$  in  $(A; F)$ , are trivial on  $A$ . Thus, by (13) and (14),

$$a_{n-1}, a_n \in \{a_1, a_2, \dots, a_{n-2}, f(a_1, a_2, \dots, a_n)\},$$

which is impossible, because  $a_1, a_2, \dots, a_n$  are different. The Lemma is thus proved.

An operation  $f \in A^{(n)}$  is said to be *alternating* if for every system  $x_1, x_2, \dots, x_n$  of elements of  $A$  the equation

$$f(x_1, x_2, \dots, x_n) = f(x_n, x_1, \dots, x_{n-1})$$

holds.

**LEMMA 4.** *Let  $f$  be an alternating operation of three variables in an algebra  $(A; F)$ . Then for every triplet  $a_1, a_2, a_3$  of independent elements of  $A$  the relation*

$$f(a_1, a_2, f(a_1, a_2, a_3)) \notin \{a_1, a_2\}$$

*holds.*

**Proof.** Let us assume that  $f(a_1, a_2, f(a_1, a_2, a_3)) = a_j$ , where  $j = 1$  or 2. Hence, by independence of  $a_1, a_2$  and  $a_3$ , for all elements  $x_1, x_2$  and  $x_3$  in  $A$  we get the equation

$$(15) \quad f(x_1, x_2, f(x_1, x_2, x_3)) = x_j.$$

Thus  $f(x_1, x_2, x_n) = f(x_1, x_2, f(x_1, x_2, f(x_1, x_2, x_3))) = x_j$ . Since the

operation  $f$  is alternating, the last equation implies that  $f(x, y, x) = f(y, x, x) = f(x, x, y) = x$ . Hence and from (15) it follows that

$$x = f(y, x, x) = f(y, x, f(y, x, x)) = y \quad \text{if} \quad j = 1$$

and

$$x = f(x, y, x) = f(x, y, f(x, y, x)) = y \quad \text{if} \quad j = 2,$$

which gives a contradiction.

**Proof of Theorem 2.** Let us suppose that there exists an  $n$ -element subset  $\{a_1, a_2, \dots, a_n\}$  of  $A \setminus M$  for which  $M \cap [a_1, a_2, \dots, a_n] = \emptyset$ . Thus, by assumption, all  $n$ -element subsets of  $[a_1, a_2, \dots, a_n]$  are independent in the algebra  $(A; F)$ . Hence and from Lemma 3 it follows that every  $n$ -element subset of  $A$  is independent.

Now let us assume that for every  $n$ -element subset  $\{a_1, a_2, \dots, a_n\}$  of  $A \setminus M$  the relation

$$(16) \quad M \cap [a_1, a_2, \dots, a_n] \neq \emptyset$$

holds.

First we consider the case when  $M$  is a one-point set  $\{c\}$ . We have to prove that every  $n$ -element subset of  $A$  containing  $c$  is independent. Contrary to this let us suppose that there exists a dependent  $n$ -element set  $\{c, b_1, b_2, \dots, b_{n-1}\}$ , where, of course,  $b_1, b_2, \dots, b_{n-1}$  belong to  $A \setminus M$ . Given an arbitrary element  $b_n$ , different from  $b_1, b_2, \dots, b_{n-1}$ , there exists, by (16), an operation  $f \in A^{(n)}$  such that  $f(b_1, b_2, \dots, b_n) = c$ . Of course, the operation  $f$  is non-trivial. Moreover, from the independence of  $b_1, b_2, \dots, b_n$  and the dependence of  $f(b_1, b_2, \dots, b_n), b_1, b_2, \dots, b_{n-1}$  we obtain, by Theorem (ii) in [2], p. 60, the dependence of elements  $f(a_1, a_2, \dots, a_n), a_1, a_2, \dots, a_{n-1}$  for any system  $a_1, a_2, \dots, a_n$  of elements of  $A \setminus M$ . On account of the independence of each  $n$ -element subset of  $A \setminus M$  this is possible only when  $f(a_1, a_2, \dots, a_n) \in M$ . Thus  $f(a_1, a_2, \dots, a_n) = c$  and, consequently, for any system  $a_1, a_2, \dots, a_{n-1}$  of elements of  $A \setminus M$  the set  $\{c, a_1, a_2, \dots, a_{n-1}\}$  is dependent. In other words, every  $n$ -element subset of  $A$  containing  $M$  is dependent, which contradicts the assumption of the Theorem. Consequently, for one-point sets  $M$  the Theorem is proved.

Now suppose that  $M$  is a two-element set  $\{c_1, c_2\}$  and, of course, that relation (16) holds. In this case we have  $n = 3$ . By the assumption there exists an element  $a_1 \in A \setminus M$  such that  $c_1, c_2, a_1$  are independent. Let  $a_2, a_3$  be a pair of elements of  $A \setminus M$  different from  $a_1$ . Without loss of generality, in virtue of (16), we may assume that

$$(17) \quad c_1 \in [a_1, a_2, a_3].$$



First we shall prove that every three-element subset of  $[a_1, a_2, a_3]$  which does not contain the element  $c_2$  is independent. Contrary to this let us suppose that there exists a pair  $b_1, b_2$  of elements of  $[a_1, a_2, a_3] \setminus M$  such that  $c_1, b_1, b_2$  are dependent. Of course, we can choose an element  $b_3$  in  $[a_1, a_2, a_3] \setminus M$  different from  $b_1$  and  $b_2$ . Since  $b_1, b_2, b_3$  are independent and the subalgebra  $[a_1, a_2, a_3]$  is generated by three elements, we have, by Theorem 1 in [3], p. 749, the equation  $[b_1, b_2, b_3] = [a_1, a_2, a_3]$ . Hence and from (17) it follows that there exists an operation  $f \in \mathcal{A}^{(3)}$  such that

$$(18) \quad f(b_1, b_2, b_3) = c_1.$$

It is clear that the operation  $f$  is non-trivial. Moreover, we shall prove that it is alternating. We know that the elements  $f(b_1, b_2, b_3)$ ,  $b_1, b_2$  are dependent and the elements  $b_1, b_2, b_3$  are independent. Thus, by Theorem (ii) in [2], p. 60, for any triplet  $u_1, u_2, u_3$  of different elements of  $A \setminus M$  the elements  $f(u_1, u_2, u_3)$ ,  $u_1, u_2$  are also dependent. By the independence of all three-element subsets of  $A \setminus M$  this is possible only when

$$(19) \quad f(u_1, u_2, u_3) \in M.$$

Hence, in particular, we obtain the relation  $f(b_{i_1}, b_{i_2}, b_{i_3}) \in M$  for every permutation  $i_1, i_2, i_3$  of indices 1, 2, 3. Since  $M$  consists of two elements, there exist three permutations  $p_1, p_2, p_3$ ,  $q_1, q_2, q_3$  and  $r_1, r_2, r_3$  for which the equation

$$f(b_{p_1}, b_{p_2}, b_{p_3}) = f(b_{q_1}, b_{q_2}, b_{q_3}) = f(b_{r_1}, b_{r_2}, b_{r_3})$$

holds. Hence, by the independence of  $b_1, b_2, b_3$ , for all elements  $x_1, x_2$  and  $x_3$  in  $A$  we get the equation

$$f(x_{p_1}, x_{p_2}, x_{p_3}) = f(x_{q_1}, x_{q_2}, x_{q_3}) = f(x_{r_1}, x_{r_2}, x_{r_3}).$$

Let  $\mathcal{S}$  be the group of permutations of variables  $x_1, x_2, x_3$  under which the operation  $f$  is invariant. We have proved that  $\mathcal{S}$  contains at least three permutations. Thus,  $\mathcal{S}$  is either the alternating group  $\mathcal{A}_3$  or the symmetric group  $\mathcal{S}_3$ . In both cases we obtain the equation

$$f(x_1, x_2, x_3) = f(x_3, x_1, x_2) = f(x_2, x_3, x_1),$$

which shows that the operation  $f$  is alternating.

From (19) it follows that there exists an index  $i$  ( $i = 1$  or  $2$ ) such that

$$(20) \quad f(a_1, a_2, a_3) = c_i.$$

Now we shall prove that

$$(21) \quad f(a_1, a_2, c_i) = c_i.$$

Since the operation  $f$  is alternating, we have, by (20) and Lemma 4,  $f(a_1, a_2, c_i) \notin \{a_1, a_2\}$ . Thus the relation  $f(a_1, a_2, c_i) \in A \setminus M$  would imply the independence of  $f(a_1, a_2, c_i)$ ,  $a_1, a_2$  and, consequently, by Theorem (ii) in [2], p. 60, the independence of  $f(b_1, b_2, b_3)$ ,  $b_1, b_2$  which, by (18), contradicts the assumption of dependence of  $c_1, b_1, b_2$ . Consequently,  $f(a_1, a_2, c_i) \in M$ . Suppose that  $f(a_1, a_2, c_i) = c_j$ , where  $j \neq i$  and, consequently,  $\{c_i, c_j\} = \{c_1, c_2\}$ . Since the operation  $f$  is alternating, we have then the equation  $f(c_i, a_1, a_2) = c_j$ . Thus the set  $\{f(c_i, a_1, a_2), c_i, a_1\}$  being equal to  $\{c_i, c_2, a_i\}$  is independent, which, by Theorem (ii) in [2], p. 60, implies the independence of  $f(b_1, b_2, b_3)$ ,  $b_1, b_2$ . But, according to (18), this contradicts the assumption of the dependence of  $c_1, b_1, b_2$ , which completes the proof of (21).

From (20) and (21), in view of the independence of  $a_1, a_2$  and  $a_3$ , we get the equation

$$(22) \quad f(x_1, x_2, f(x_1, x_2, x_3)) = f(x_1, x_2, x_3)$$

for all  $x_1, x_2$  and  $x_3$  in  $A$ . Since the elements  $c_1, c_2, a_1$  are independent and the operation  $f$  is non-trivial, we have the inequality  $f(a_1, c_1, c_2) \neq a_1, c_1, c_2$ . Set

$$(23) \quad d_1 = f(a_1, c_1, c_2).$$

Further, since the operation  $f$  is alternating, we have, by (22) and (23), the equation

$$(24) \quad \begin{aligned} f(c_2, a_1, d_1) &= f(c_2, a_1, f(a_1, c_1, c_2)) = f(c_2, a_1, f(c_2, a_1, c_1)) \\ &= f(c_2, a_1, c_1) = f(a_1, c_1, c_2) = d_1. \end{aligned}$$

Let us take an element  $d_2$  in  $A \setminus M$ , different from  $a_1$  and  $d_1$ . Since all three elements  $a_1, d_1$  and  $d_2$  belong to  $A \setminus M$ , we have, by (19),

$$(25) \quad f(a_1, d_1, d_2) \in M \quad \text{and} \quad f(d_1, a_1, d_2) \in M.$$

If

$$(26) \quad f(a_1, d_1, d_2) = c_1,$$

then, by (22) and (23), we get the equation

$$\begin{aligned} f(c_1, a_1, f(a_1, c_1, c_2)) &= f(c_1, a_1, d_1) = f(a_1, d_1, c_1) \\ &= f(a_1, d_1, f(a_1, d_1, d_2)) = f(a_1, d_1, d_2) = c_1. \end{aligned}$$

Hence, by the independence of  $c_1, c_2, a_1$ , we get the equation

$$(27) \quad f(x_1, x_2, f(x_2, x_1, x_3)) = x_1$$

for all  $x_1, x_2, x_3 \in A$ . In particular, we have the equation

$$f(a_1, d_1, f(d_1, a_1, d_2)) = a_1.$$

On the other hand, by Lemma 4,

$$f(a_1, \bar{a}_1, f(a_1, \bar{a}_1, \bar{a}_2)) \neq a_1.$$

Thus,  $f(a_1, \bar{a}_1, \bar{a}_2) \neq f(\bar{a}_1, a_1, \bar{a}_2)$ , which, according to (25) and (26), implies the equation  $f(\bar{a}_1, a_1, \bar{a}_2) = c_2$ . Since the operation  $f$  is alternating, the last equation and (27) imply

$$f(c_1, a_1, \bar{a}_1) = f(a_1, \bar{a}_1, c_2) = f(a_1, \bar{a}_1, f(\bar{a}_1, a_1, \bar{a}_2)) = a_1,$$

which contradicts (24).

Now consider the case  $f(a_1, \bar{a}_1, \bar{a}_2) = c_2$ . Since the operation  $f$  is alternating, we have, by (22), the equation

$$f(c_2, a_1, \bar{a}_1) = f(a_1, \bar{a}_1, c_2) = f(a_1, \bar{a}_1, f(a_1, \bar{a}_1, \bar{a}_2)) = f(a_1, \bar{a}_1, \bar{a}_2) = c_2,$$

which also contradicts (24). This completes the proof of the independence of each three-element subset of  $[a_1, a_2, a_3]$  which does not contain the element  $c_2$ . Since  $a_1, a_2, a_3$  are independent in the algebra  $(A; F)$ , to prove the Theorem it is sufficient, by Lemma 3, to show that all three-element subsets of  $[a_1, a_2, a_3]$  are independent in the algebra  $[a_1, a_2, a_3]$ . If  $c_2 \notin [a_1, a_2, a_3]$ , then it is obvious. Further, if  $c_2 \in [a_1, a_2, a_3]$ , then every three-element subset of  $[a_1, a_2, a_3]$  which does not contain  $c_2$  is independent. Moreover, the set  $\{c_1, c_2, a_1\}$  containing  $c_2$  is independent and, by (17), is contained in  $[a_1, a_2, a_3]$ . Thus, by the first part of the proof ( $n = 3, m = 1$ ), every three-element subset of  $[a_1, a_2, a_3]$  is independent, which completes the proof of Theorem 2.

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INSTITUTE OF MATHEMATICS, WROCLAW UNIVERSITY  
MATHEMATICAL INSTITUTE OF THE POLISH ACADEMY OF SCIENCES

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### ON FREE PRODUCTS OF $m$ -DISTRIBUTIVE BOOLEAN ALGEBRAS

BY

R. SIKORSKI AND T. TRACZYK (WARSAW)

**1. Introduction.** Let  $m$  be an arbitrary cardinal number and  $\{\mathcal{U}_t\}_{t \in T}$  be an indexed set of non-degenerate  $m$ -complete Boolean algebras. An  $m$ -complete Boolean algebra  $\mathfrak{B}$  is said to be a *minimal  $m$ -product* of  $\{\mathcal{U}_t\}_{t \in T}$  if there exist  $m$ -isomorphisms

$$i_t : \mathcal{U}_t \rightarrow \mathfrak{B} \quad (t \in T)$$

such that

- (a) the union of all the subalgebras  $i_t(\mathcal{U}_t)$   $m$ -generates  $\mathfrak{B}$ ,
- (b) the subalgebras  $i_t(\mathcal{U}_t)$ ,  $t \in T$ , are  $m$ -independent in  $\mathfrak{B}$ ,
- (c) the set of all meets of the form

$$\bigcap_{t \in T'} i_t(A_t) \quad \text{where} \quad A_t \in \mathcal{U}_t, \quad T' \subset T, \quad \bar{T}' \leq m$$

is dense in  $\mathfrak{B}$ .

Christensen and Pierce [1] proved the existence of the minimal  $m$ -product of any indexed set of non-degenerate  $m$ -complete Boolean algebras (for  $m = \aleph_0$  see also Sikorski [5]). They proved also that

**1.1. The minimal  $m$ -product of  $m$ -complete  $m$ -distributive Boolean algebras is a free  $m$ -distributive product of these algebras.**

We recall that an  $m$ -complete  $m$ -distributive Boolean algebra  $\mathfrak{B}$  is said to be a *free  $m$ -distributive product* of an indexed set  $\{\mathcal{U}_t\}_{t \in T}$  of  $m$ -complete  $m$ -distributive Boolean algebras if there exist isomorphisms

$$i_t : \mathcal{U}_t \rightarrow \mathfrak{B} \quad (t \in T)$$

such that

- ( $\alpha$ ) the union of all the subalgebras  $i_t(\mathcal{U}_t)$   $m$ -generates  $\mathfrak{B}$ ,
- ( $\beta$ ) if, for every  $t \in T$ ,  $h_t$  is a homomorphism of  $i_t(\mathcal{U}_t)$  into any  $m$ -complete  $m$ -distributive Boolean algebra  $\mathfrak{C}$ , then there is a homomorphism  $h$  of  $\mathfrak{B}$  into  $\mathfrak{C}$  which is a common extension of all the homomorphisms  $h_t$ , i. e.  $h_t(A) = h(A)$  for  $A \in i_t(\mathcal{U}_t)$  (cf. Sikorski [3], p. 214).